DEPARTMENT OF DEFENSE
OFFICE OF FREEDOM OF INFORMATION
1155 DEFENSE PENTAGON
WASHINGTON, DC 20301-1155

September 30, 2013
Ref: 13-F-0851

Mr. Erik Larson

[redacted]

Dear Mr. Larson:

This is the final response to your September 26, 2009, Freedom of Information Act (FOIA) request to the Federal Bureau of Investigation (FBI) for a copy of records relating to (deceased) Harvard Professor, Ernest R. May. The FBI referred your request to the Office of Personnel Management (OPM) on September 22, 2010. OPM notified you by letter that they were referring those records to the Defense Security Service (DSS) on October 25, 2010. You were again informed by letter on April 9, 2013, that the Defense Manpower Data Center (DMDC) had cognizance over those records. Because this office processes FOIA and Privacy Act requests for DMDC, we received the referral and one hundred eighty five pages of responsive material for our review and direct response to you on May 15, 2013. We assigned the request case number 13-F-0851 and ask that you use that number when referring to your request.

Mr. Will Kammer, an Initial Denial Authority for the Office of Freedom of Information, has determined that certain information which has been redacted from the enclosed document is exempt from release pursuant to 5 U.S.C. § 552(b)(6), which pertains to information the release of which would constitute a clearly unwarranted invasion of the personal privacy of third parties.

If you are not satisfied with this response, you may submit an administrative appeal to James Hogan, Defense Freedom of Information Policy Office, 1155 Defense Pentagon, Washington, DC 20301-1155, citing case number 13-F-0851. Your appeal should be postmarked within 60 calendar days of the date of this response and should be clearly marked "Freedom of Information Act Appeal." Your request is now closed in this Office. There are no fees associated with this response.

Sincerely,

Enclosure:
As stated

Michael Bowers, FOIA Analyst
Michael.D.Bowers.CIV@Mail.Mil
For Suzanne Council, Senior Advisor on behalf of
Paul J. Jacobsmeyer, Chief,
Office Freedom of Information
Office of the Secretary of Defense and Joint Staff
FOIA Request Service Center
http://www.dod.mil/pubs/foi/
1155 Defense Pentagon Washington, DC 20301-1155

Header

Date: May 01 2013

Name: MAY, ERNEST RICHARD

(b)(6)

1 Releases

(Attachment follows)

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in, a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

Signature (Sign in ink)	Full Name (Type or Print Legibly)	Date Signed
Ernest R. May	ERNEST RICHARD MAY	1/10/96

Other Names Used: (b)(6)

(b)(6)

Page 10

Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, I hereby authorize the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgement or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

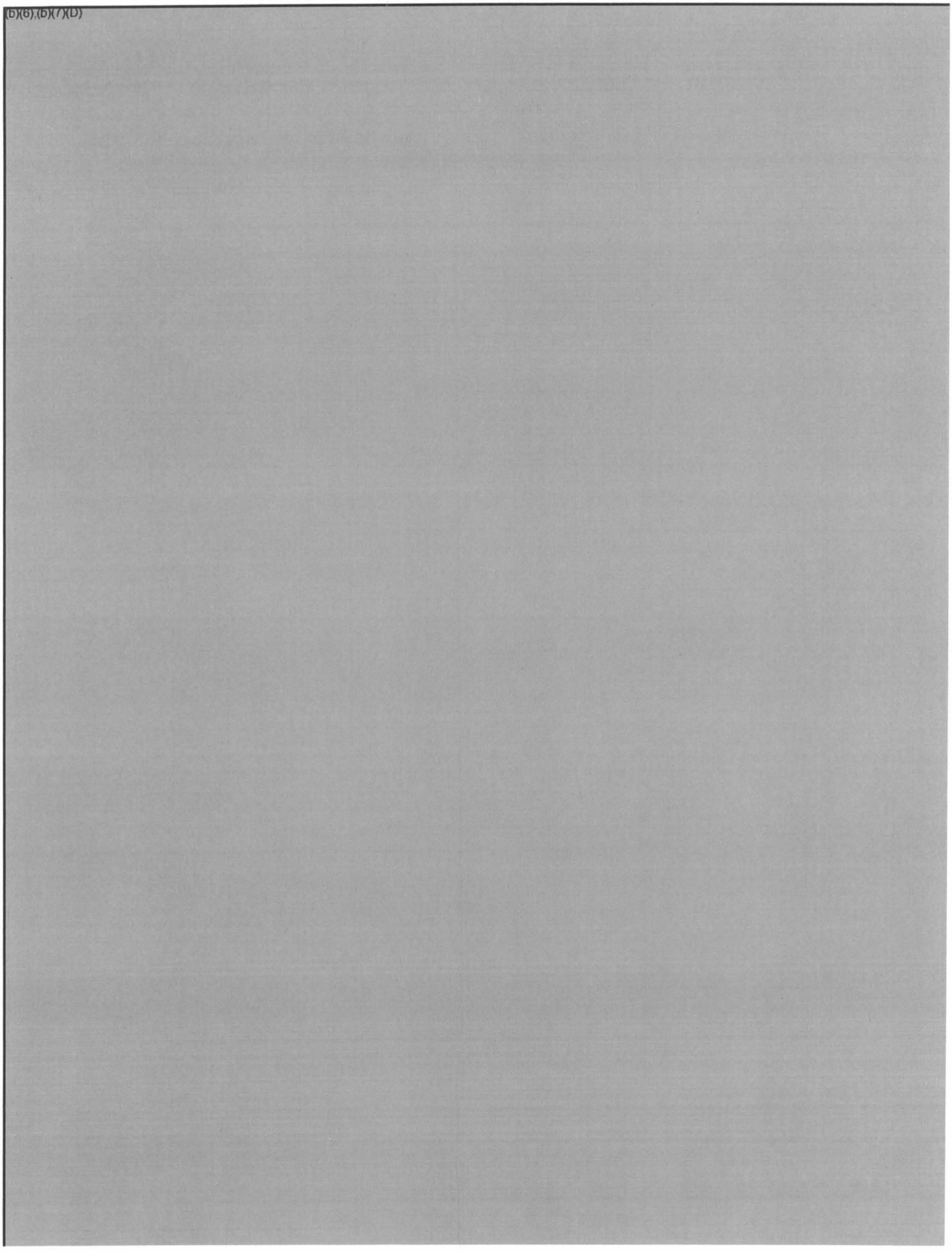
What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

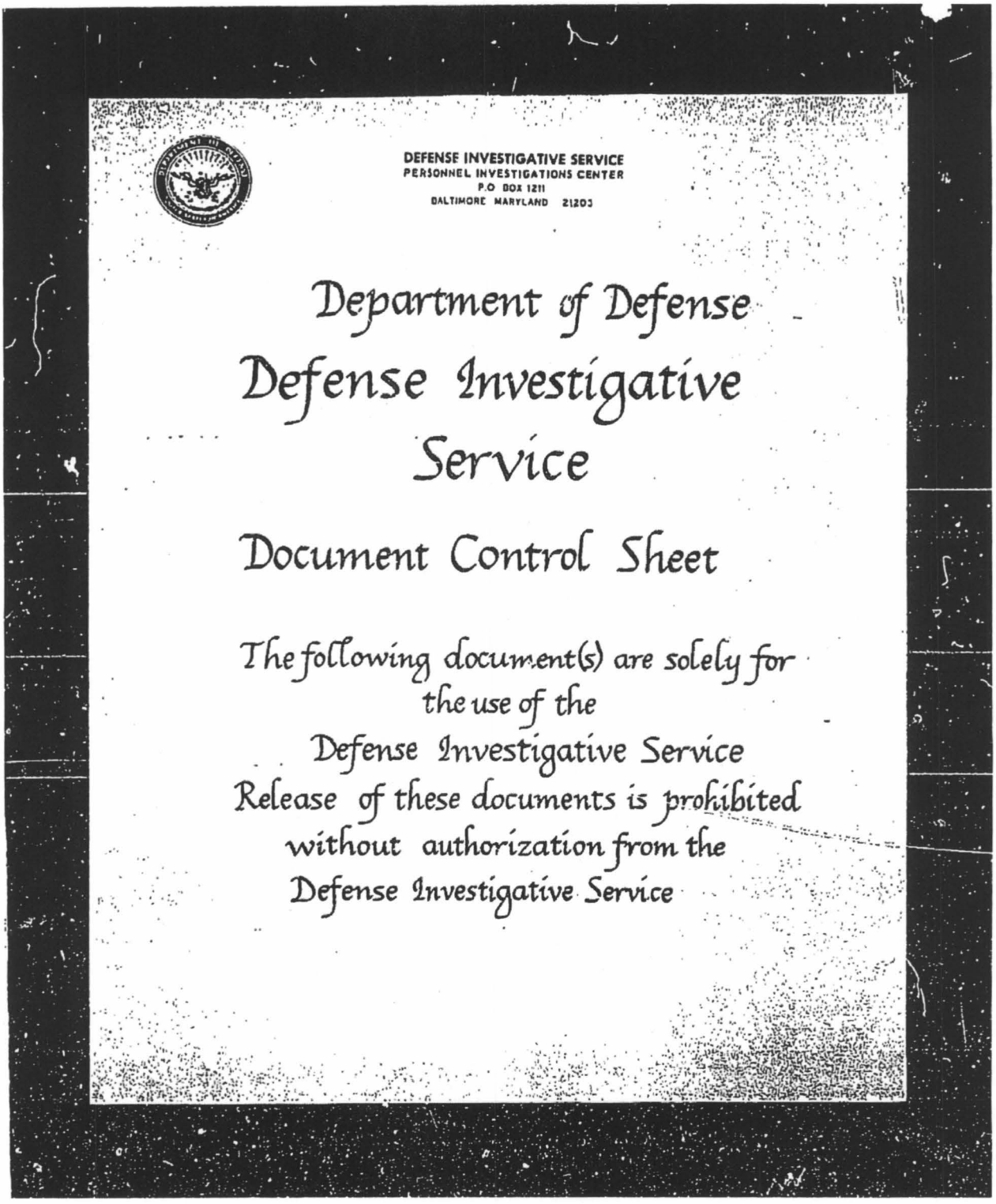
Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature (Sign in ink)	Full Name (Type or Print Legibly)	Date Signed
E.R.May	ERNEST RICHARD MAY	1/10/92
Other Names Used		Social Security Number
(b)(6)		

(b)(6),(b)(7)(D)



(b)(6),(b)(7)(D)



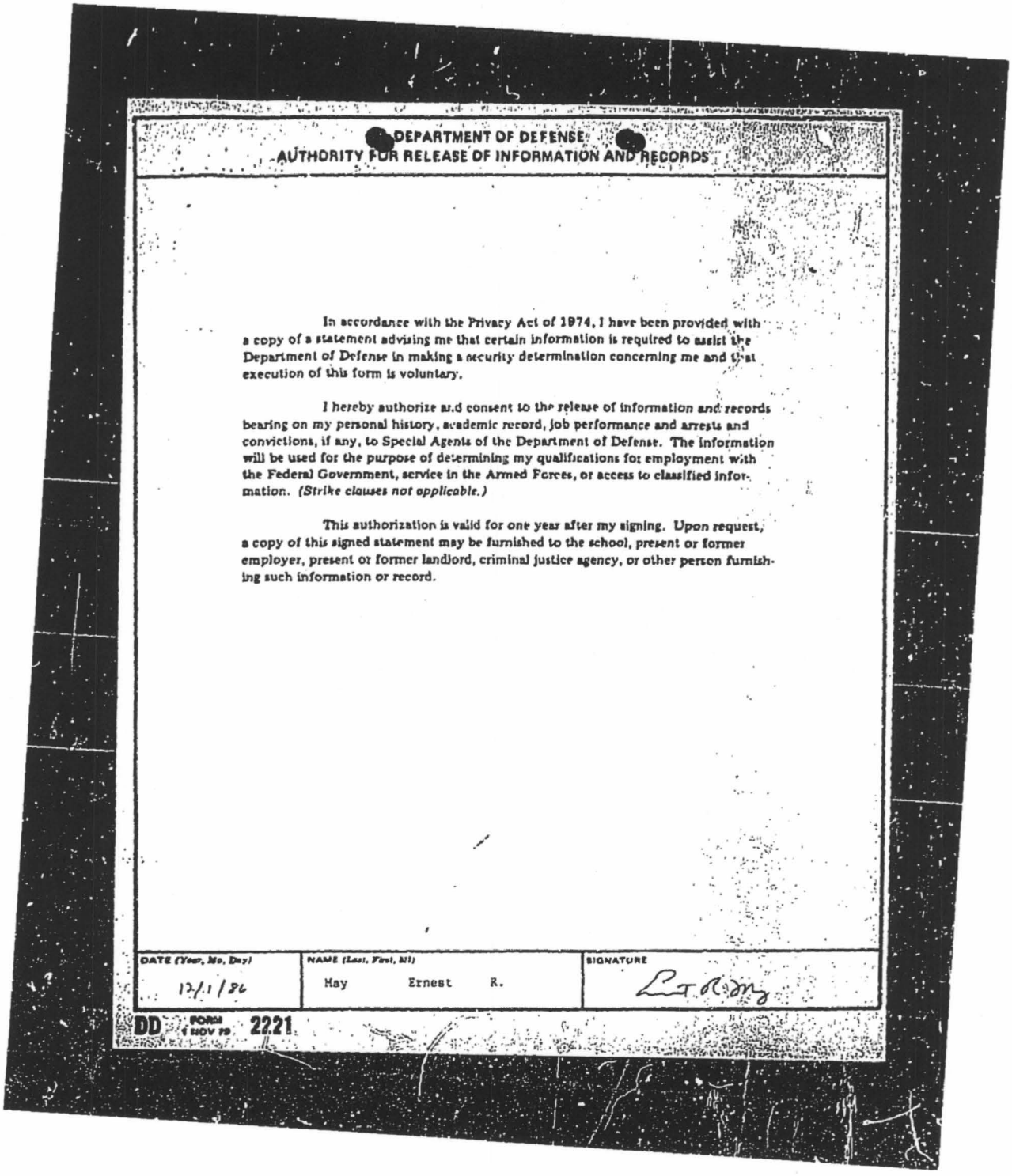
DEFENSE INVESTIGATIVE SERVICE
PERSONNEL INVESTIGATIONS CENTER
P.O BOX 1211
BALTIMORE MARYLAND 21203

Department of Defense

Defense Investigative Service

Document Control Sheet

The following document(s) are solely for the use of the Defense Investigative Service
Release of these documents is prohibited without authorization from the Defense Investigative Service



DEPARTMENT OF DEFENSE
AUTHORITY FOR RELEASE OF INFORMATION AND RECORDS

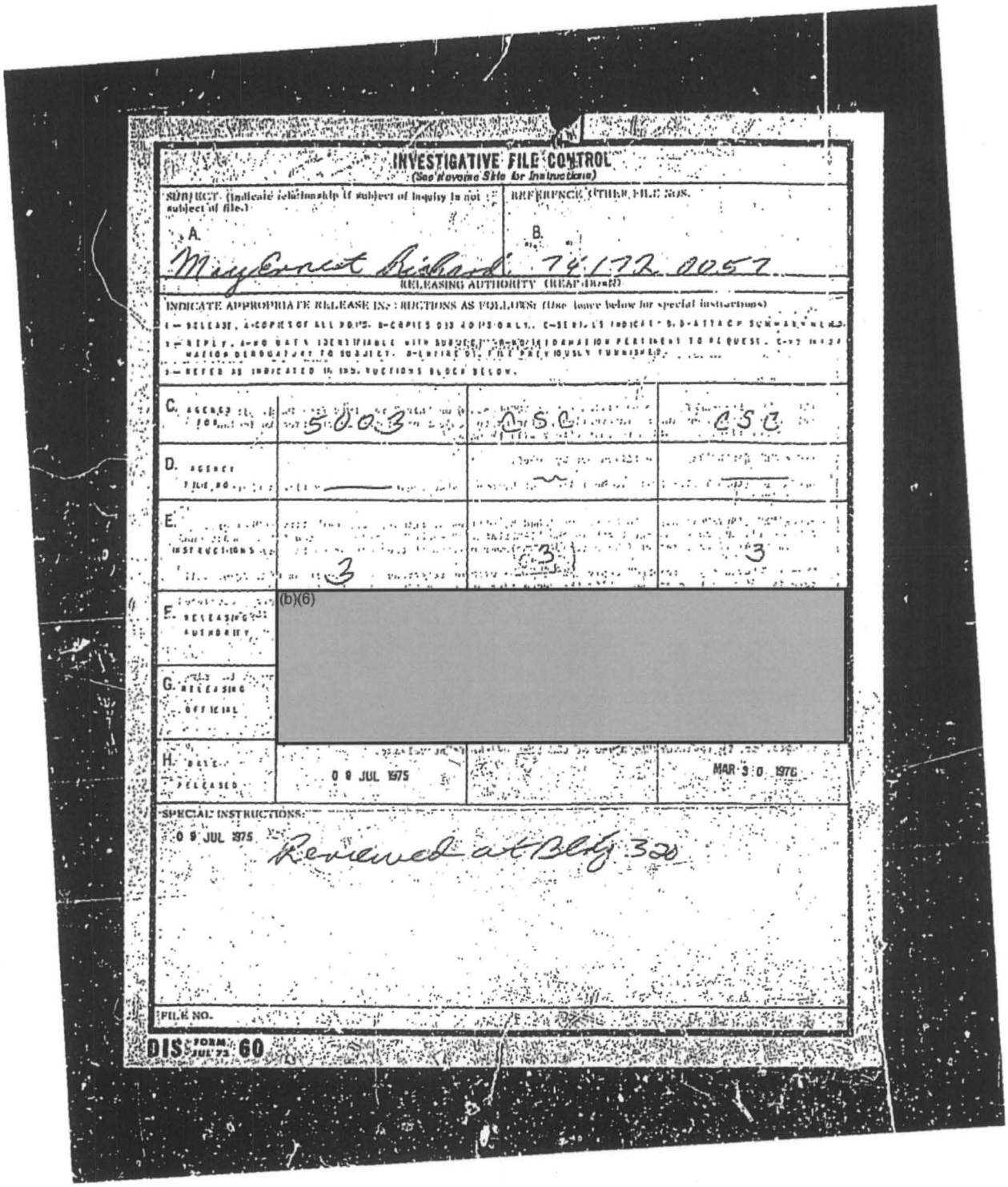
In accordance with the Privacy Act of 1974, I have been provided with a copy of a statement advising me that certain information is required to assist the Department of Defense in making a security determination concerning me and that execution of this form is voluntary.

I hereby authorize and consent to the release of information and records bearing on my personal history, academic record, job performance and arrests and convictions, if any, to Special Agents of the Department of Defense. The information will be used for the purpose of determining my qualifications for employment with the Federal Government, service in the Armed Forces, or access to classified information. *(Strike clauses not applicable.)*

This authorization is valid for one year after my signing. Upon request, a copy of this signed statement may be furnished to the school, present or former employer, present or former landlord, criminal justice agency, or other person furnishing such information or record.

DATE (Year, Mo, Day)	NAME (Last, First, MI)	SIGNATURE
12/1/86	May Ernest R.	

DD FORM 1 NOV 75 2221



INVESTIGATIVE FILE CONTROL
(See Reverse Side for Instructions)

SUBJECT (Indicate relationship if subject of inquiry is not subject of file.)
A. May, Ernest Richard

REFERENCE (OTHER FILE NOS.)
B. 74-172-0057

RELEASING AUTHORITY (READ down)

INDICATE APPROPRIATE RELEASE INSTRUCTIONS AS FOLLOWS: (Use items below for special instructions)
1—RELEASE. A—COPIES OF ALL DOCS. B—COPIES DIS ADDRESS ONLY. C—SERIALS INDICATED. D—ATTACH SUMMARY LETTERHEAD.
2—REPLY. A—NO DATA IDENTIFIABLE WITH SUBJECT. B—NO INFORMATION PERTINENT TO REQUEST. C—NO INFORMATION DEROGATORY TO SUBJECT. D—ENTIRE FILE PREVIOUSLY FURNISHED.
3—REFER AS INDICATED IN INSTRUCTIONS BLOCK BELOW.

C. AGENCY FOR INSTRUCTIONS	5003	CSC	CSC
D. AGENCY FILE NO.	—	~	—
E. INSTRUCTIONS	3	3	3
F. RELEASING AUTHORITY	(b)(6)		
G. RELEASING OFFICIAL			
H. DATE RELEASED	09 JUL 1975		MAR 30 1976

SPECIAL INSTRUCTIONS:
09 JUL 1975 Reviewed at Bldg 320

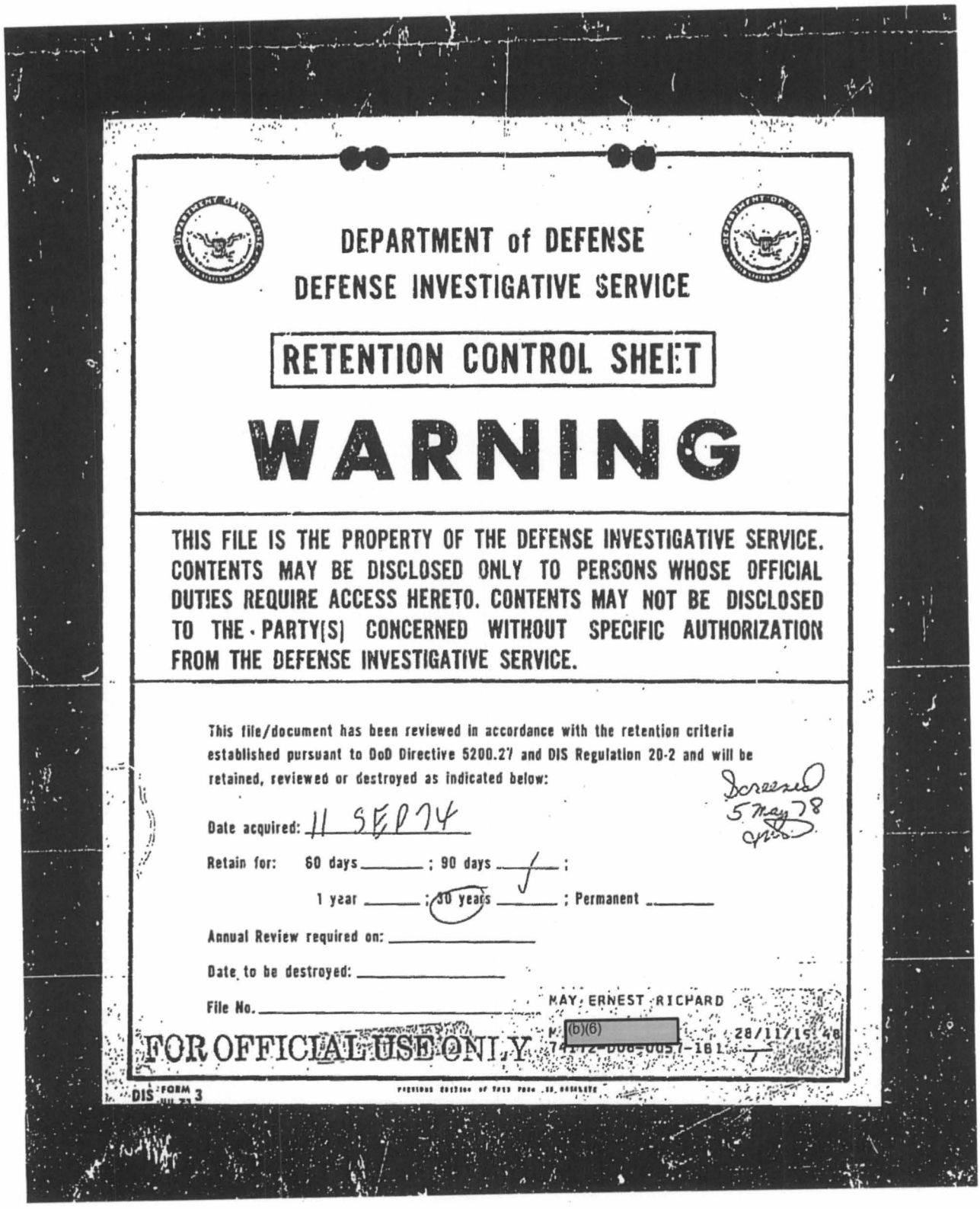
FILE NO.

DIS FORM JUL 73 60

2 Prior File

Prior File

(Attachment follows)



DEPARTMENT of DEFENSE
DEFENSE INVESTIGATIVE SERVICE

RETENTION CONTROL SHEET

WARNING

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This file/document has been reviewed in accordance with the retention criteria established pursuant to DoD Directive 5200.27 and DIS Regulation 20-2 and will be retained, reviewed or destroyed as indicated below:

Screened 5 May 78

Date acquired: 11 SEP 74

Retain for: 60 days ____ ; 90 days ____ ;

1 year ____ ; 30 years ✓ ; Permanent ____

Annual Review required on: ____

Date to be destroyed: ____

File No. ____ MAY, ERNEST RICHARD

(b)(6)

28/11/15 48

74172-D0C-005I-181

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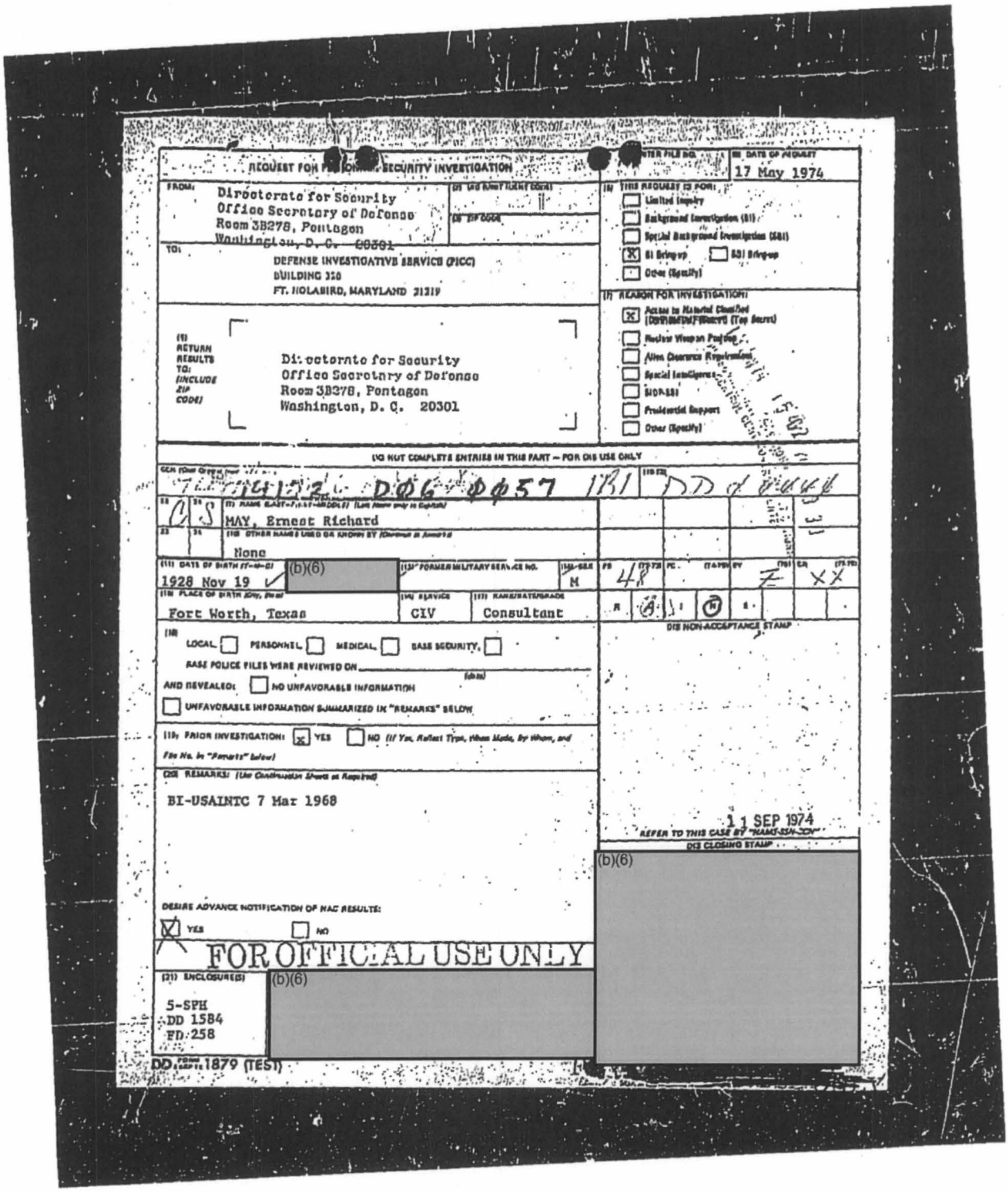
DIS FORM 3



REQUEST FOR PERSONNEL SECURITY INVESTIGATION

17 May 1974

FROM: Directorate for Security
Office Secretary of Defense
Room 3B278, Pentagon
Washington, D. C. 20301

TO: DEFENSE INVESTIGATIVE SERVICE (PICC)
BUILDING 310
FT. HOLABIRD, MARYLAND 21219

(I) THIS REQUEST IS FOR:
- [ ] Limited Inquiry
- [ ] Background Investigation (BI)
- [ ] Special Background Investigation (SBI)
- [X] BI Briefup
- [ ] SBI Briefup
- [ ] Other (Specify)

(J) REASON FOR INVESTIGATION:
- [X] Access to Material Classified [CONFIDENTIAL / SECRET] (Top Secret)
- [ ] Nuclear Weapon Program
- [ ] Alien Clearance Requirement
- [ ] Special Intelligence
- [ ] SIOP-ESI
- [ ] Presidential Support
- [ ] Other (Specify)

(K) RETURN RESULTS TO: (INCLUDE ZIP CODE)
Directorate for Security
Office Secretary of Defense
Room 3B278, Pentagon
Washington, D. C. 20301

(L) DO NOT COMPLETE ENTRIES IN THIS PART — FOR DIS USE ONLY

DD 1879 (TES1)

(1) NAME (LAST-FIRST-MIDDLE): MAY, Ernest Richard

(2) OTHER NAMES USED OR KNOWN BY: None

(3) DATE OF BIRTH: 1928 Nov 19 (b)(6)

(4) PLACE OF BIRTH: Fort Worth, Texas

(5) SERVICE: CIV

(6) RANK/RATE/GRADE: Consultant

(7) SEX: M

(8) FOREIGN TRAVEL: 48

(9) EMPLOYMENT STATUS: A

(10) DESIGNATION OF AGENCY: D

(11) DATE OF SECURITY CLEARANCE: XX

(12) PRIOR INVESTIGATION: [X] YES [ ] NO (If Yes, Reflect Type, When Made, By Whom, and File No. in "Remarks" below)

(13) REMARKS: BI-USAINTC 7 Mar 1968

(14) DESIRE ADVANCE NOTIFICATION OF NAC RESULTS: [X] YES [ ] NO

(15) LOCAL [ ] PERSONNEL [ ] MEDICAL [ ] BASE SECURITY [ ]
BASE POLICE FILES WERE REVIEWED ON _____ (date)
AND REVEALED: [ ] NO UNFAVORABLE INFORMATION
[ ] UNFAVORABLE INFORMATION SUMMARIZED IN "REMARKS" BELOW

DIS NON-ACCEPTANCE STAMP

11 SEP 1974
REFER TO THIS CASE BY "NAME-SSN-DOB"
DIS CLOSING STAMP

(b)(6)

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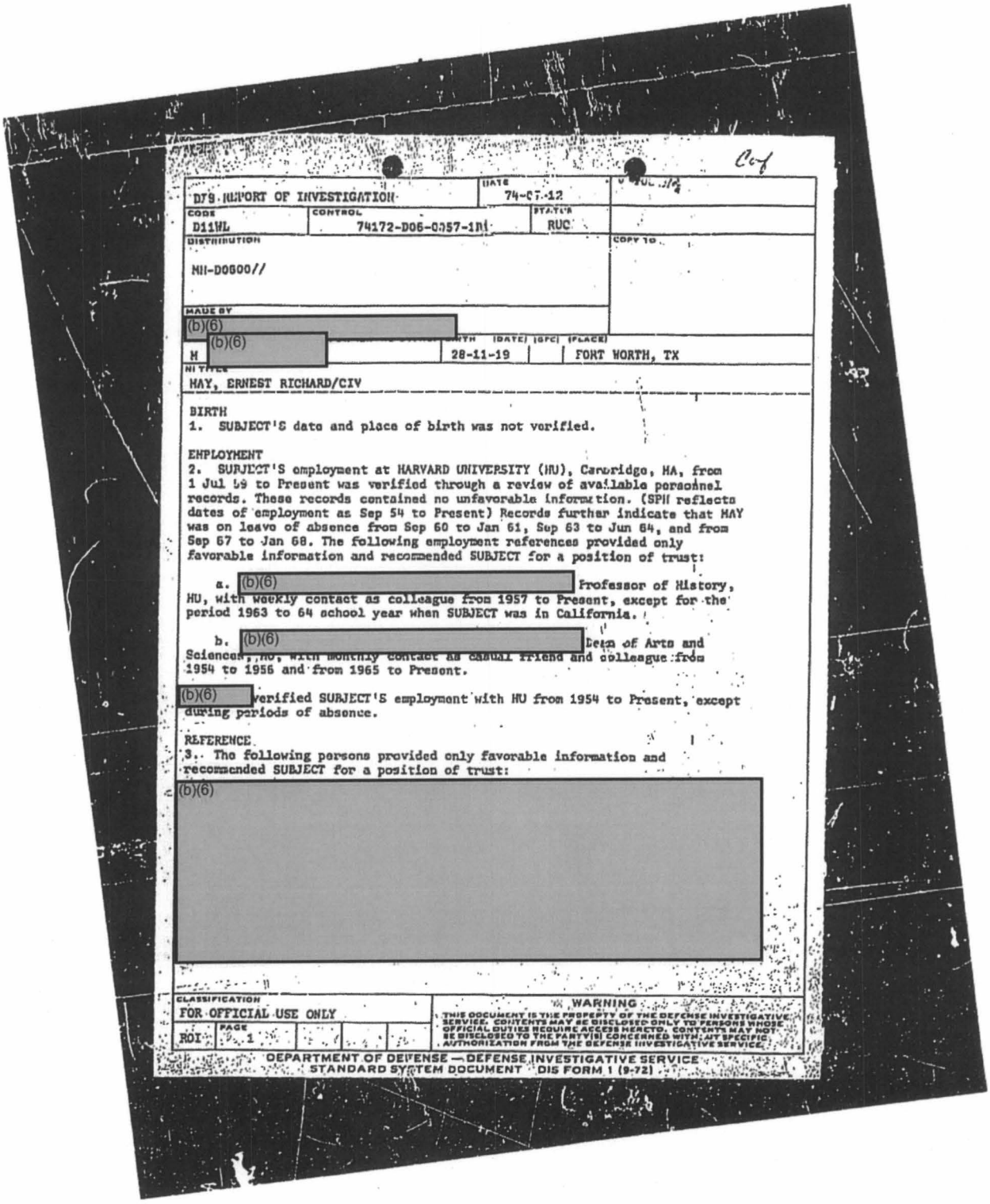
(21) ENCLOSURES:
5-SPH
DD 1584
FD 258

(b)(6)



DIS REPORT OF INVESTIGATION

DATE: 74-07-12

CODE	CONTROL	STATUS
D11WL	74172-D06-0057-1R	RUC

DISTRIBUTION: MH-D0600//

COPY TO:

MADE BY: (b)(6)

H	(b)(6)	28-11-19		FORT WORTH, TX
		(DATE)	(OFC)	(PLACE)

NI TITLE: MAY, ERNEST RICHARD/CIV

BIRTH

1. SUBJECT'S date and place of birth was not verified.

EMPLOYMENT

2. SUBJECT'S employment at HARVARD UNIVERSITY (HU), Cambridge, MA, from 1 Jul 69 to Present was verified through a review of available personnel records. These records contained no unfavorable information. (SPII reflects dates of employment as Sep 54 to Present) Records further indicate that MAY was on leave of absence from Sep 60 to Jan 61, Sep 63 to Jun 64, and from Sep 67 to Jan 68. The following employment references provided only favorable information and recommended SUBJECT for a position of trust:

a. (b)(6) Professor of History, HU, with weekly contact as colleague from 1957 to Present, except for the period 1963 to 64 school year when SUBJECT was in California.

b. (b)(6) Dean of Arts and Sciences, HU, with monthly contact as casual friend and colleague from 1954 to 1956 and from 1965 to Present.

(b)(6) verified SUBJECT'S employment with HU from 1954 to Present, except during periods of absence.

REFERENCE

3. The following persons provided only favorable information and recommended SUBJECT for a position of trust:

(b)(6)

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ROI PAGE 1

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DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)

DIS REPORT OF INVESTIGATION	DATE 74-07-12	
CODE D11WL	CONTROL 74172-D06-0057-1B1	STATUS RUC
DISTRIBUTION		COPY TO
MADE BY		
SEX / SOCIAL SECURITY NO / FORMER MIL. SE. NO. / BIRTH (DATE) (CPC) (PLACE)		

NI TITLE
MAY, ERNEST RICHARD/CIV

NEIGHBORHOOD INVESTIGATION

4. A neighborhood investigation was conducted in the vicinity of (b)(6) (b)(6) with the following results:

a. (b)(6) verified that SUBJECT resided at the above address from 1966 to Present. CUSHMAN, who had six times annual contact as neighbor from 1968 to Present, provided only favorable information and recommended SUBJECT for a position of trust.

b. (b)(6) (b)(6) verified that SUBJECT resided at the above address from 1966 to Present. WILKINS, who had annual personal contact and weekly casual contact from 1968 to Present, provided only favorable information and recommended SUBJECT for a position of trust.

LOCAL AGENCY CHECK

5. A check of applicable police department record scould not be conducted since the Massachusetts Criminal History Systems Board has issued a decision that the laws of the Commonwealth of Massachusetts prohibit the release of information in such records to the Department of Defense for personnel security purposes.

FOREIGN TRAVEL

6. (b)(6) identified under employment caption in this report, and (b)(6) fully identified under reference caption in this report, advised that SUBJECT has traveled to parts of Middle and South America on professional visits, dates and locations unknown. All verified SUBJECT'S travel to Israel for two weeks in Jun 74 on a professional visit with other staff members, including employment reference (b)(6)

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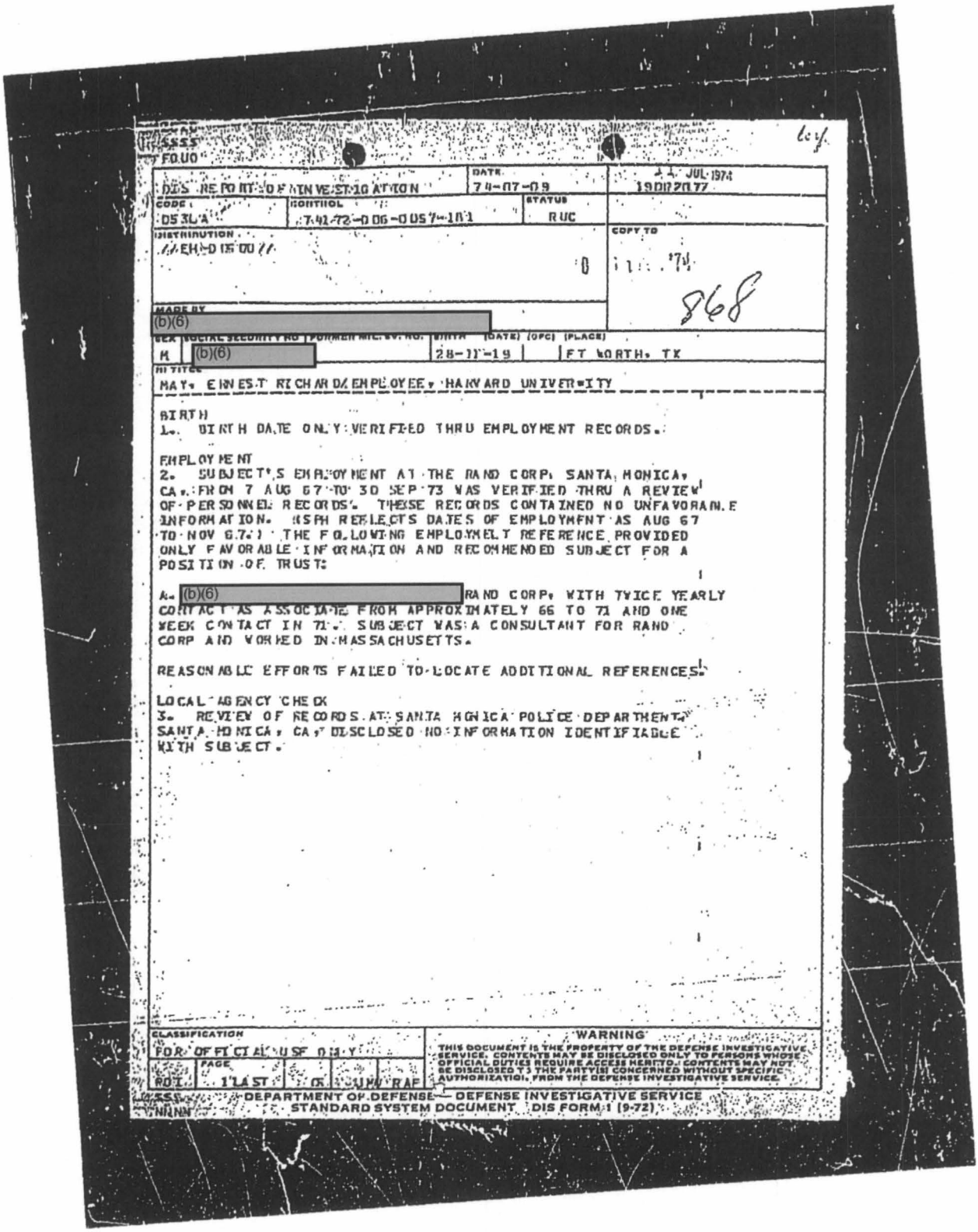
DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT - DIS FORM 1 (3-72)



FOUO

DIS REPORT OF INVESTIGATION | DATE 74-07-09 | 14 JUL 1974 19082077

CODE 053LA | CONTROL 741772-006-0057-1N1 | STATUS RUC

DISTRIBUTION
//EH-D15 00//

COPY TO
868

MADE BY
(b)(6)

SEX M | SOCIAL SECURITY NO (b)(6) | FORMER MIL SVC NO | BIRTH (DATE) 28-11-19 | (OPC) | (PLACE) FT WORTH, TX

SUBJECT
MAY, ERNEST RICHARD/EMPLOYEE, HARVARD UNIVERSITY

BIRTH
1. BIRTH DATE ONLY VERIFIED THRU EMPLOYMENT RECORDS.

EMPLOYMENT
2. SUBJECT'S EMPLOYMENT AT THE RAND CORP, SANTA MONICA, CA, FROM 7 AUG 67 TO 30 SEP 73 WAS VERIFIED THRU A REVIEW OF PERSONNEL RECORDS. THESE RECORDS CONTAINED NO UNFAVORABLE INFORMATION. (SPH REFLECTS DATES OF EMPLOYMENT AS AUG 67 TO NOV 67.) THE FOLLOWING EMPLOYMENT REFERENCE PROVIDED ONLY FAVORABLE INFORMATION AND RECOMMENDED SUBJECT FOR A POSITION OF TRUST:

A. (b)(6) RAND CORP, WITH TWICE YEARLY CONTACT AS ASSOCIATE FROM APPROXIMATELY 66 TO 71 AND ONE WEEK CONTACT IN 71. SUBJECT WAS A CONSULTANT FOR RAND CORP AND WORKED IN MASSACHUSETTS.

REASONABLE EFFORTS FAILED TO LOCATE ADDITIONAL REFERENCES.

LOCAL AGENCY CHECK
3. REVIEW OF RECORDS AT SANTA MONICA POLICE DEPARTMENT, SANTA MONICA, CA, DISCLOSED NO INFORMATION IDENTIFIABLE WITH SUBJECT.

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PAGE 1 LAST | ROI | CASE NUMBER

DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)

ZCZC
DOUC

DI'S REPORT OF INVESTIGATION	DATE 74-07-17	19102327
CODE D43PA	CONTROL 74172-D06-0057-131	STATUS RUC
DISTRIBUTION //EH-D0600//		74199 08.26.01 90219
		CLSD TO JUL 18 11 19 AM '74

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MADE BY
(b)(6)

		(DATE)	(DPC)	(PLACE)
P (b)(6)		28-11-19		FORT WORTH, TX

ATTN
MAY ERNEST RICHARD/CIV

LOCAL AGENCY CHECK
1. REVIEW OF RECORDS AT THE FOLLOWING LOCATIONS DISCLOSED NO INFORMATION IDENTIFIABLE WITH SUBJECT-

(b)(6)

C. LOS ANGELES COUNTY SHERIFF'S OFFICE, LOS ANGELES, CA

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PAGE				
ROT	LAST	FGB	JFT	LFB

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NNNN
DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)

FOUO

Conf.

DIS REPORT OF INVESTIGATION	DATE 74-07-22	20303083
CODE D52SC	CONTROL 74172-D06-0057-1B1	STATUS RUC
DISTRIBUTION //MH-D0600//		COPY TO
MADE BY (b)(6)		

SEX	SOCIAL SECURITY NO.	FORMER MIL. SV. NO.	BIRTH (DATE)	(GPC)	(PLACE)
M	(b)(6)		28-11-19		FORT WIRTH, TX

NI TITLE
MAY, ERNEST RICHARD

NEIGHBORHOOD INVESTIGATION

1. Attempts to verify SUBJECT'S listed residence at (b)(6) through a neighborhood investigation were unsuccessful because no one could be located who knew SUBJECT.

A. A review of Pacific Telephone Cross Street Directory (1964) disclosed that SUBJECT was listed as residing at (b)(6)

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ROI	PAGE LAST	RED	MDW	JSB

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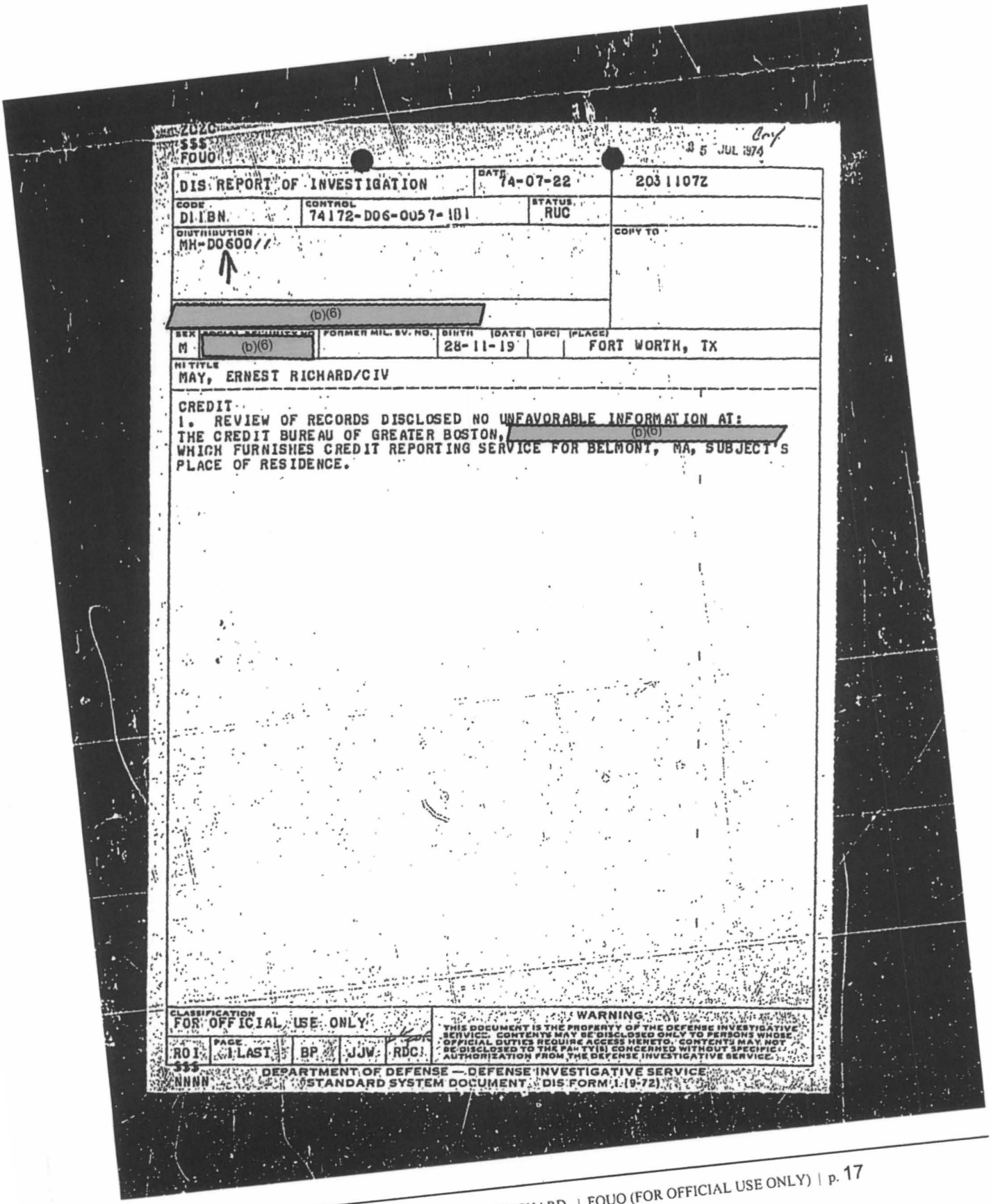
STANDARD SYSTEM DOCUMENT - DIS FORM 1 (9-72)



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5 JUL 1974

DIS REPORT OF INVESTIGATION | DATE 74-07-22 | 203 1107Z

CODE DIIBN | CONTROL 74172-D06-0057-101 | STATUS RUC

DISTRIBUTION MH-D0600// | COPY TO

(b)(6)

SEX M | SOCIAL SECURITY NO (b)(6) | FORMER MIL. SV. NO. | BIRTH (DATE) | (GPC) | (PLACE) FORT WORTH, TX

NI TITLE MAY, ERNEST RICHARD/CIV

CREDIT
1. REVIEW OF RECORDS DISCLOSED NO UNFAVORABLE INFORMATION AT: THE CREDIT BUREAU OF GREATER BOSTON, (b)(6) WHICH FURNISHES CREDIT REPORTING SERVICE FOR BELMONT, MA, SUBJECT'S PLACE OF RESIDENCE.

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DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)

0 8 SEP 1971

DEFENSE INVESTIGATIVE SERVICE
NATIONAL AGENCY CHECK CENTER
P. O. BOX 1083
BALTIMORE, MARYLAND 21203

Date 04 SEP 1974

Case Transfer to

PICO=ENAC	PICO=BI=NAC	SIO=DO500
	X	

Subject: May Ernest Richard

SSAN: (b)(6)

Code	
✓	Favorable
A	Alien
C	Citizen
D	Derog.
I	Incomplete
N	Name Check
T	Technical Check
NI	Not Identifiable
NR	No Record
NS	Not Subject

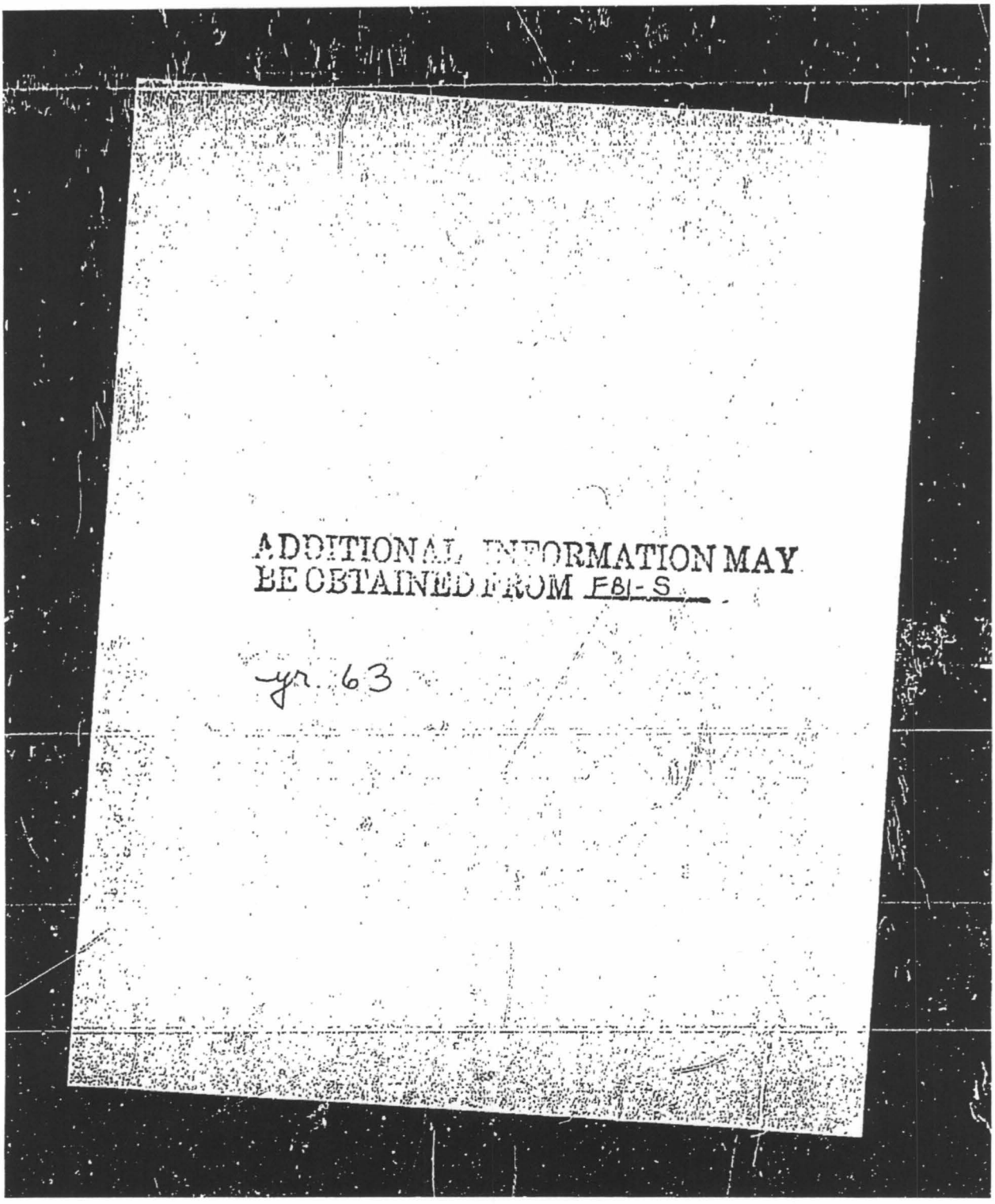
Case including check components as indicated is transferred for continuing action in accordance with existing instruction

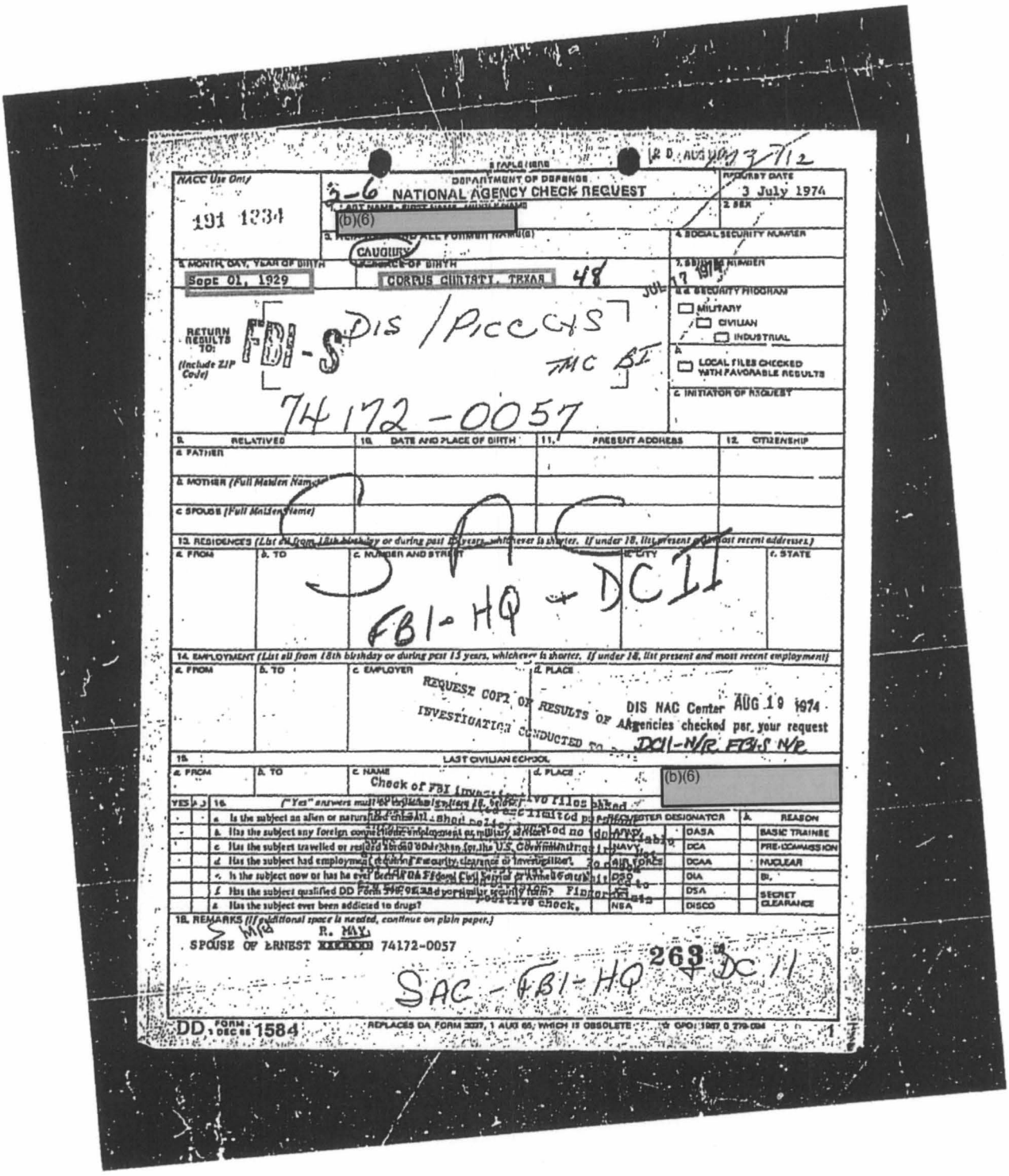
74DDIS 172 DOG 00571BI
S 59 F04SH 68-1124
S-68 FH-HQ D36, NAC-73
DCII S-67-AIRR (b)(6)

✓	FBI-HQ		[illegible]
✓	FBI-ID (T)		MFRC-
	FBI-ID (NCO)		MTRC-
	CDC		I&NS CENTRAL FIELD
✓	CIA		✓ STATE PASSPORT SECURITY
✓	BUPERS		ITEM 18, DD 1584
	USMC		
	ARPC		
	MFRD		
	TAGE		

SECURITY ASSISTANT (b)(6)

NACC FL 6
JAN 74


ADDITIONAL INFORMATION MAY
BE OBTAINED FROM FBI-S
yr. 63



DEPARTMENT OF DEFENSE
NATIONAL AGENCY CHECK REQUEST

NACC Use Only: 191 1234

REQUEST DATE: 3 July 1974

1. NAME (LAST NAME - FIRST NAME - MIDDLE NAME): (b)(6)

2. SEX:

3. NAME AND ALL FORMER NAME(S): CAUDURO

4. SOCIAL SECURITY NUMBER:

5. MONTH, DAY, YEAR OF BIRTH: Sept 01, 1929

6. PLACE OF BIRTH: CORPUS CHRISTI, TEXAS 48

7. SERVICE NUMBER: JUL 17 1951

8a. SECURITY PROGRAM: ☐ MILITARY ☐ CIVILIAN ☐ INDUSTRIAL

8b. ☐ LOCAL FILES CHECKED WITH FAVORABLE RESULTS

8c. INITIATOR OF REQUEST:

RETURN RESULTS TO: (Include ZIP Code) FBI-S DIS / PICCHS TMC BI

74172-0057

9. RELATIVES	10. DATE AND PLACE OF BIRTH	11. PRESENT ADDRESS	12. CITIZENSHIP
a. FATHER			
b. MOTHER (Full Maiden Name)			
c. SPOUSE (Full Maiden Name)			

13. RESIDENCES (List all from 18th birthday or during past 15 years, whichever is shorter. If under 18, list present and most recent addresses.)

a. FROM	b. TO	c. NUMBER AND STREET	d. CITY	e. STATE

FBI-HQ + DC II

14. EMPLOYMENT (List all from 18th birthday or during past 15 years, whichever is shorter. If under 18, list present and most recent employment)

a. FROM	b. TO	c. EMPLOYER	d. PLACE
		REQUEST COPY OF RESULTS OF INVESTIGATION CONDUCTED TO	DIS NAC Center AUG 19 1974 Agencies checked per your request DCII-N/R FBIS N/R

15. LAST CIVILIAN SCHOOL

a. FROM	b. TO	c. NAME	d. PLACE
		Check of FBI Investigative files	(b)(6)

YES	NO	16. ("Yes" answers must be explained in Item 18. Enter "No" if files checked are limited to subject's file.)	AGENCY LETTER DESIGNATOR	A. REASON
		a. Is the subject an alien or naturalized citizen? Where?	ARMY	OASA	BASIC TRAINEE
		b. Has the subject any foreign connections (employment, military service, property, etc.)?	NAVY	DCA	PRE-COMMISSION
		c. Has the subject traveled or resided outside the U.S. (Government orders, business, education, etc.)?	AIR FORCE	DCAA	NUCLEAR
		d. Has the subject had employment requiring Security clearance or investigation?	DOD	DIA	
		e. Is the subject now or has he ever been employed by the Federal Civil Service or Armed Forces?	JCS	DSA	SECRET CLEARANCE
		f. Has the subject qualified DD Form 398, SF 86 or similar security form?	CIA	DISCO	
		g. Has the subject ever been addicted to drugs?	NSA		

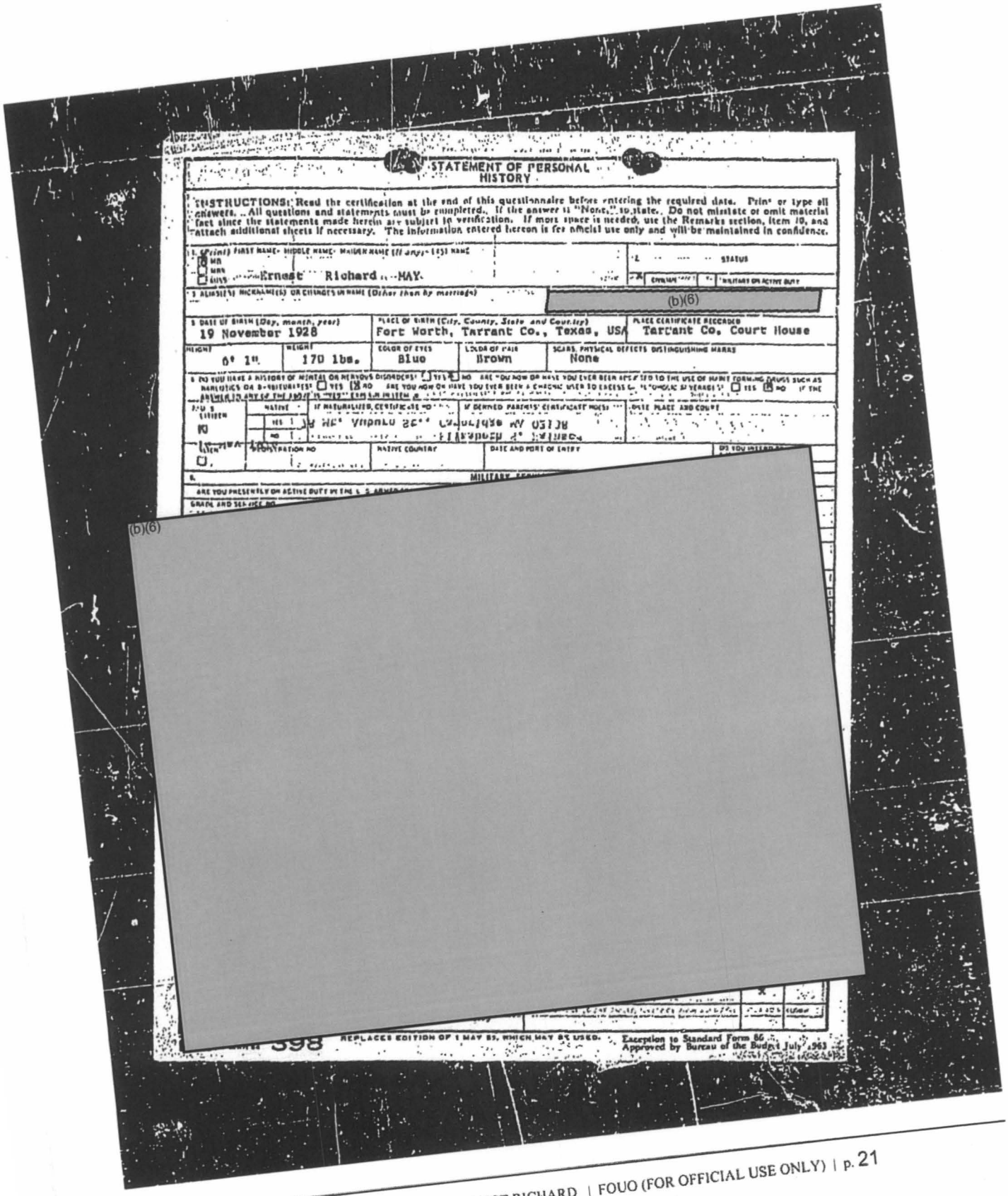
18. REMARKS (If additional space is needed, continue on plain paper.)

SPOUSE OF ERNEST R. MAY 74172-0057

263

SAC - FBI-HQ + DC II

DD, FORM 1584 DEC 68 REPLACES DA FORM 3227, 1 AUG 66, WHICH IS OBSOLETE. GPO: 1967 O 279-004



STATEMENT OF PERSONAL HISTORY

INSTRUCTIONS: Read the certification at the end of this questionnaire before entering the required data. Print or type all answers. All questions and statements must be completed. If the answer is "None," so state. Do not misstate or omit material fact since the statements made herein are subject to verification. If more space is needed, use the Remarks section, Item 19, and attach additional sheets if necessary. The information entered hereon is for official use only and will be maintained in confidence.

1. (Print) FIRST NAME - MIDDLE NAME - MAIDEN NAME (If any) - LAST NAME: Ernest Richard MAY

2. STATUS

3. ALIAS(ES), NICKNAME(S), OR CHANGES IN NAME (Other than by marriage): (b)(6)

4. DATE OF BIRTH (Day, month, year): 19 November 1928

PLACE OF BIRTH (City, County, State and Country): Fort Worth, Tarrant Co., Texas, USA

PLACE CERTIFICATE RECORDED: Tarrant Co. Court House

HEIGHT	WEIGHT	COLOR OF EYES	COLOR OF HAIR	SCARS, PHYSICAL DEFECTS DISTINGUISHING MARKS
6' 1"	170 lbs.	Blue	Brown	None

5. DO YOU HAVE A HISTORY OF MENTAL OR NERVOUS DISORDERS? ☐ YES ☒ NO ARE YOU NOW OR HAVE YOU EVER BEEN ADDICTED TO THE USE OF HABIT FORMING DRUGS SUCH AS NARCOTICS OR BARBITURATES? ☐ YES ☒ NO ARE YOU NOW OR HAVE YOU EVER BEEN A CHRONIC USER TO EXCESS OF ALCOHOLIC BEVERAGES? ☐ YES ☒ NO IF THE ANSWER TO ANY OF THE ABOVE IS "YES," EXPLAIN IN ITEM 19

6. U.S. CITIZEN: NO

NATIVE	NATURALIZED	IF NATURALIZED, CERTIFICATE NO.	IF DERIVED PARENTS' CERTIFICATE NO(S).	GIVE PLACE AND COURT
YES ☐	YES ☐			
NO ☐	NO ☐			

IF ALIEN: REGISTRATION NO. | NATIVE COUNTRY | DATE AND PORT OF ENTRY | DO YOU INTEND

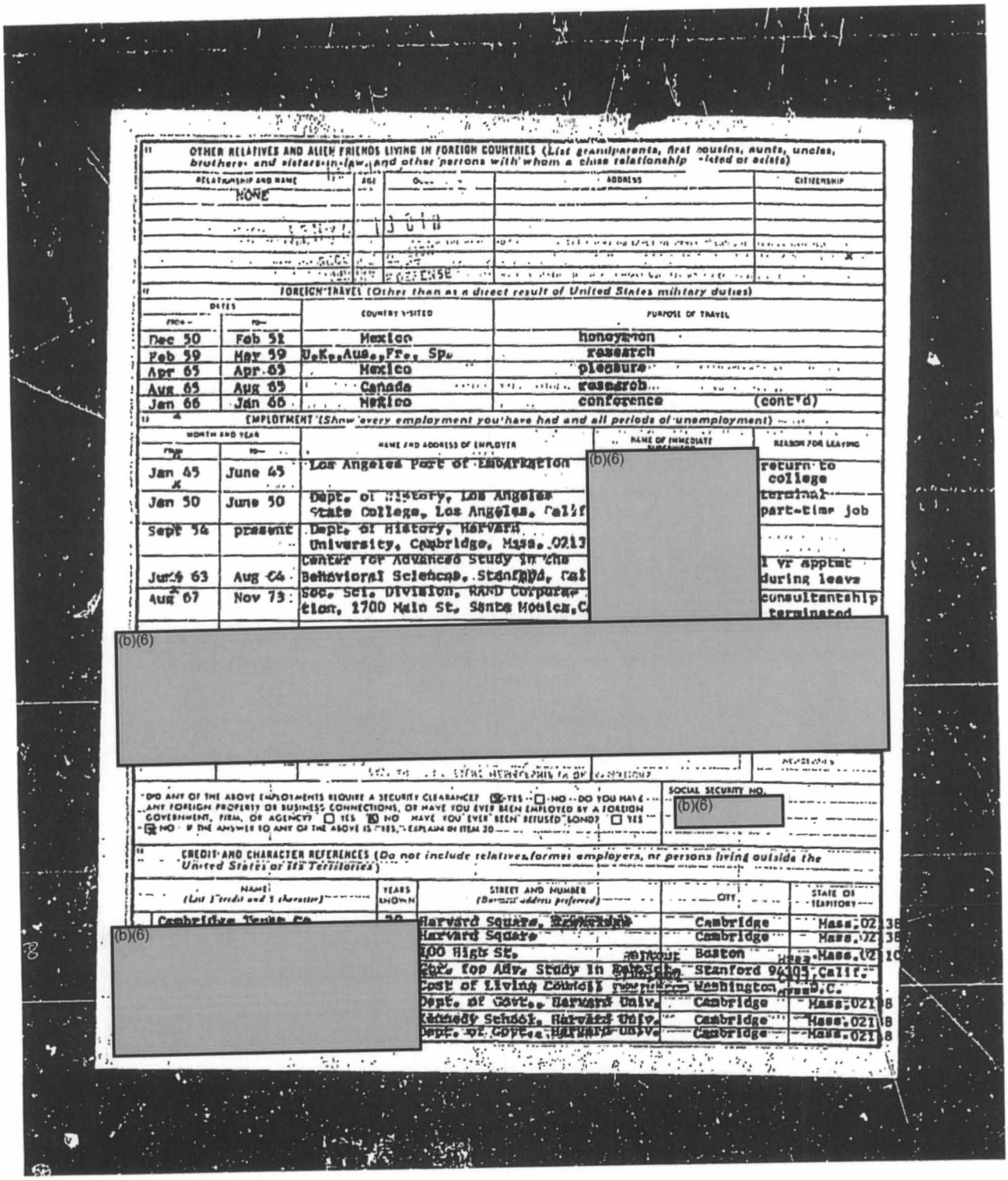
8. MILITARY SERVICE

ARE YOU PRESENTLY ON ACTIVE DUTY IN THE U.S. ARMED

GRADE AND SERVICE NO.

(b)(6)

398 REPLACES EDITION OF 1 MAY 53, WHICH MAY BE USED. Exception to Standard Form 86 Approved by Bureau of the Budget July 1963



OTHER RELATIVES AND ALIEN FRIENDS LIVING IN FOREIGN COUNTRIES (List grandparents, first cousins, aunts, uncles, brothers- and sisters-in-law, and other persons with whom a close relationship exists or exists)

RELATIONSHIP AND NAME	AGE		ADDRESS	CITIZENSHIP
NONE				

FOREIGN TRAVEL (Other than as a direct result of United States military duties)

DATES FROM	DATES TO	COUNTRY VISITED	PURPOSE OF TRAVEL
Dec 50	Feb 51	Mexico	honeymoon
Feb 59	Mar 59	U.K., Aus., Fr., Sp.	research
Apr 65	Apr 65	Mexico	pleasure
Aug 65	Aug 65	Canada	research
Jan 66	Jan 66	Mexico	conference (cont'd)

EMPLOYMENT (Show every employment you have had and all periods of unemployment)

MONTH AND YEAR FROM	MONTH AND YEAR TO	NAME AND ADDRESS OF EMPLOYER	NAME OF IMMEDIATE SUPERVISOR	REASON FOR LEAVING
Jan 45	June 45	Los Angeles Port of Embarkation	(b)(6)	return to college
Jan 50	June 50	Dept. of History, Los Angeles State College, Los Angeles, Calif		terminal part-time job
Sept 54	present	Dept. of History, Harvard University, Cambridge, Mass. 0213		
Jun 63	Aug 64	Center for Advanced Study in the Behavioral Sciences, Stanford, Cal		1 yr apptmt during leave
Aug 67	Nov 73	Soc. Sci. Division, RAND Corporation, 1700 Main St, Santa Monica, C		consultantship terminated

(b)(6)

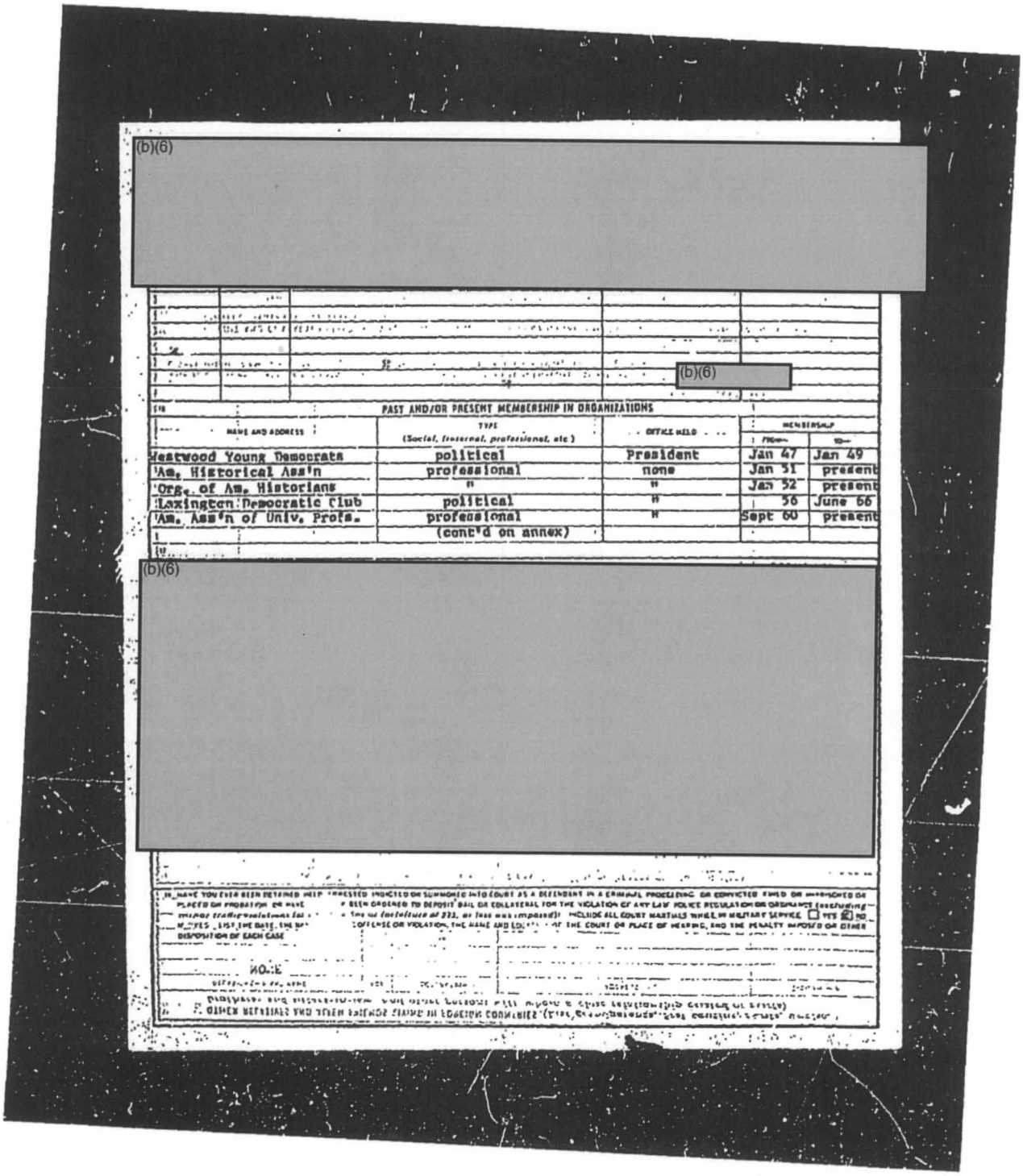
DO ANY OF THE ABOVE EMPLOYMENTS REQUIRE A SECURITY CLEARANCE? ☒ YES ☐ NO — DO YOU HAVE ANY FOREIGN PROPERTY OR BUSINESS CONNECTIONS, OR HAVE YOU EVER BEEN EMPLOYED BY A FOREIGN GOVERNMENT, FIRM, OR AGENCY? ☐ YES ☒ NO — HAVE YOU EVER BEEN REFUSED BOND? ☐ YES ☒ NO — IF THE ANSWER TO ANY OF THE ABOVE IS "YES," EXPLAIN IN ITEM 20

SOCIAL SECURITY NO. (b)(6)

CREDIT AND CHARACTER REFERENCES (Do not include relatives, former employers, or persons living outside the United States or its Territories)

NAME (Last 5 credit and 5 character)	YEARS KNOWN	STREET AND NUMBER (Business address preferred)	CITY	STATE OR TERRITORY
Cambridge Trust Co	30	Harvard Square, [illegible]	Cambridge	Mass. 02
[illegible]		Harvard Square	Cambridge	Mass. 02
[illegible]		100 High St.	Boston	Mass. (2
[illegible] for Adv. Study in Behavio[illegible]		[illegible]	Stanford 94305	Calif.
[illegible] Cost of Living Council		[illegible]	Washington	D.C.
[illegible] Dept. of Govt., Harvard Univ.		[illegible]	Cambridge	Mass. 021
[illegible] Kennedy School, Harvard Univ.		[illegible]	Cambridge	Mass. 021
[illegible] Dept. of Govt., Harvard Univ.		[illegible]	Cambridge	Mass. 021

(b)(6)


(b)(6)

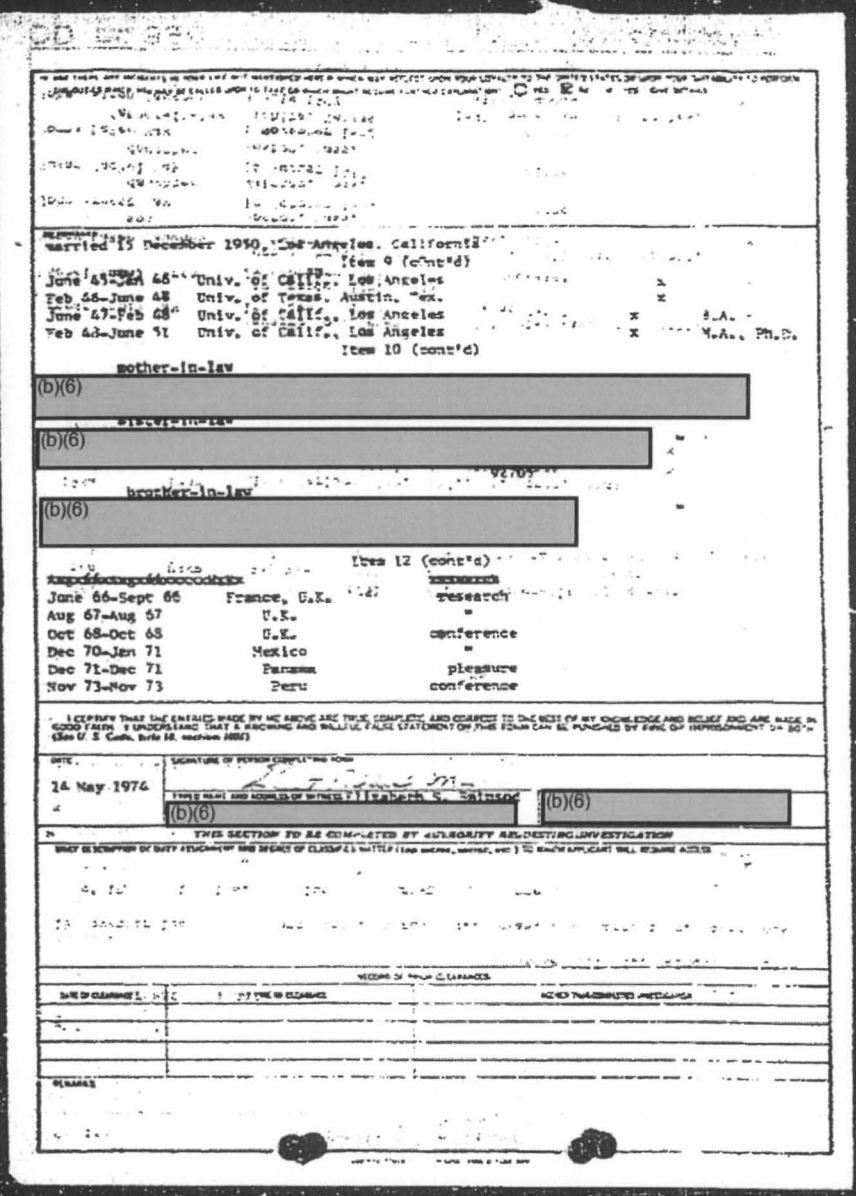
(b)(6)

PAST AND/OR PRESENT MEMBERSHIP IN ORGANIZATIONS

NAME AND ADDRESS	TYPE (Social, fraternal, professional, etc.)	OFFICE HELD	MEMBERSHIP From	To
Westwood Young Democrats	political	President	Jan 47	Jan 49
Am. Historical Ass'n	professional	none	Jan 51	present
Org. of Am. Historians	"	"	Jan 52	present
Lexington Democratic Club	political	"	56	June 66
Am. Ass'n of Univ. Profs.	professional	"	Sept 60	present
	(cont'd on annex)			

(b)(6)

BEST IMAGE AVAILABLE



married 15 December 1950, Los Angeles, California

Item 9 (cont'd)

June 45-Jan 48	Univ. of Calif., Los Angeles		x	
Feb 48-June 48	Univ. of Texas, Austin, Tex.		x	
June 47-Feb 48	Univ. of Calif., Los Angeles	x		B.A.
Feb 48-June 51	Univ. of Calif., Los Angeles	x		M.A., Ph.D.

Item 10 (cont'd)

mother-in-law

(b)(6)

(b)(6)

brother-in-law

(b)(6)

Item 12 (cont'd)

June 66-Sept 66	France, U.K.	research
Aug 67-Aug 67	U.K.	"
Oct 68-Oct 68	U.K.	conference
Dec 70-Jan 71	Mexico	"
Dec 71-Dec 71	Panama	pleasure
Nov 73-Nov 73	Peru	conference

I CERTIFY THAT THE ENTRIES MADE BY ME ABOVE ARE TRUE, COMPLETE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF AND ARE MADE IN GOOD FAITH. I UNDERSTAND THAT A KNOWING AND WILLFUL FALSE STATEMENT ON THIS FORM CAN BE PUNISHED BY FINE OR IMPRISONMENT OR BOTH. (See U. S. Code, title 18, section 1001)

DATE: 14 May 1974

SIGNATURE OF PERSON COMPLETING FORM

TYPED NAME AND ADDRESS OF WITNESS: Elizabeth S. Stimson (b)(6)

(b)(6)

THIS SECTION TO BE COMPLETED BY AUTHORITY REQUESTING INVESTIGATION

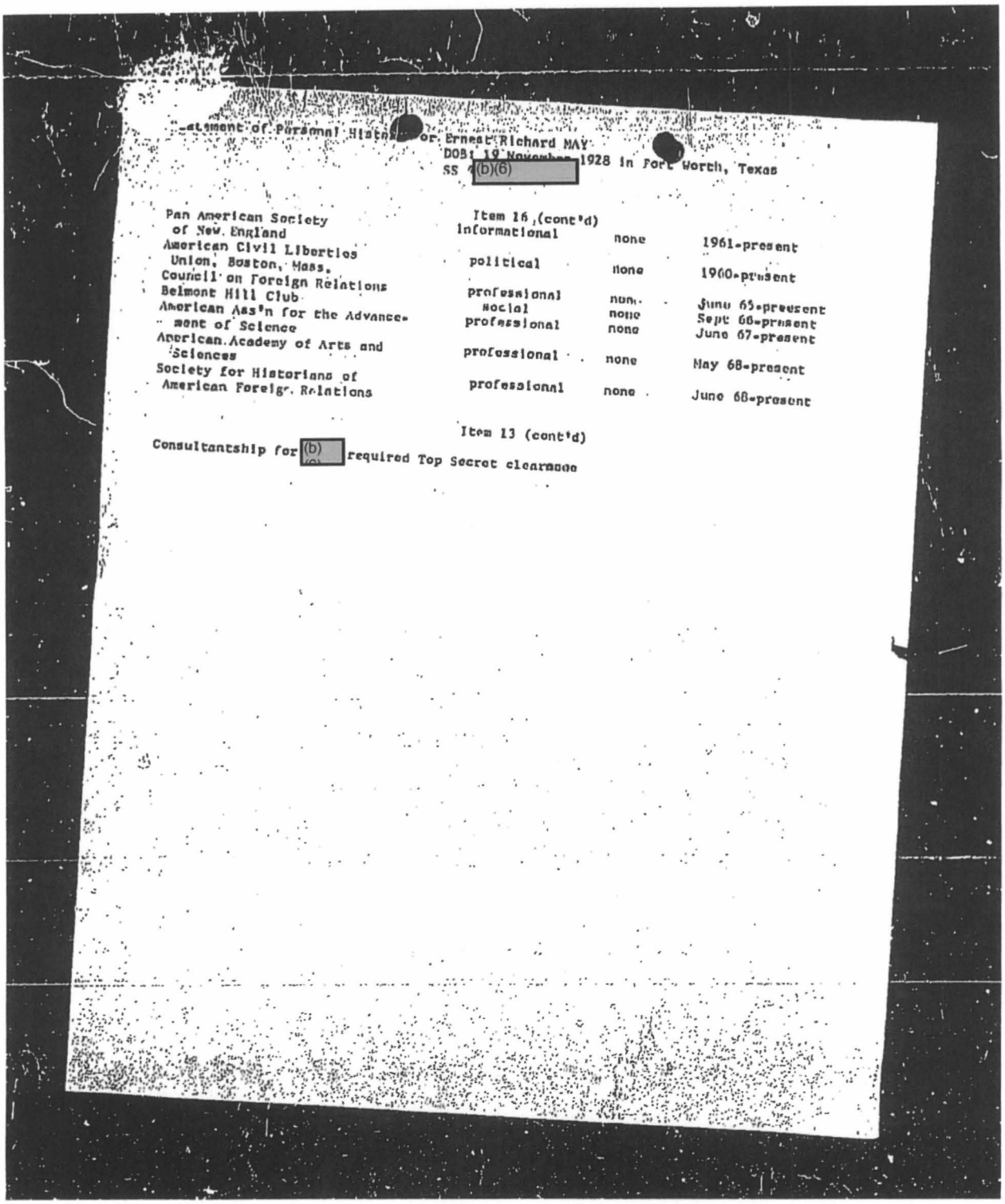
BRIEF DESCRIPTION OF DUTY ASSIGNMENT AND DEGREE OF CLEARANCE REQUIRED (Top Secret, Secret, etc.) TO WHICH APPLICANT WILL BE ASSIGNED

RECORD OF PRIOR CLEARANCES

DATE OF CLEARANCE	TYPE OF CLEARANCE	AGENCY THAT GRANTED CLEARANCE

REMARKS

BEST AVAILABLE IMAGE



...atement of Personal Histo... of Ernest Richard MAY
DOB: 19 November 1928 in Fort Worth, Texas
SS # (b)(6)

Item 16 (cont'd)

Pan American Society of New England	informational	none	1961-present
American Civil Liberties Union, Boston, Mass.	political	none	1960-present
Council on Foreign Relations	professional	none	June 65-present
Belmont Hill Club	social	none	Sept 66-present
American Ass'n for the Advancement of Science	professional	none	June 67-present
American Academy of Arts and Sciences	professional	none	May 68-present
Society for Historians of American Foreign Relations	professional	none	June 68-present

Item 13 (cont'd)

Consultantship for (b) required Top Secret clearance

For Official Use Only

AGENT REPORT
(PM 30-17)

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
28 February 1968

3. CONTROL SYMBOL OR FILE NUMBER
8033 4775 (b)(6)

4. REPORT OF FINDINGS

(LISTED EMPLOYMENT-~~NEGATIVE~~) On the date indicated, records of the below listed firm were checked and revealed no adverse information. There were no significant discrepancies concerning employment and/or birth information as furnished by SUBJECT on HIS/HER DD Form 48, dated 16 January 1968.

27 February 1968 — Date of Check
Center for Advanced Studies in the Behavioral Sciences, Stanford, Calif. — Name and Address of Employer

(EMPLOYMENT SUPERVISOR/~~REFERENCE~~) The following individual(s) was/were interviewed concerning SUBJECT'S moral character, integrity, discretion, financial responsibility, emotional stability, and loyalty to the United States. Source(s) furnished no adverse information and recommended that SUBJECT be favorably considered for a position of trust and responsibility with the United States Government.

a. Preston S. Cutler 990 Amarillo Avenue, Palo Alto, California
Name and Address of Interviewee

27 February 1968 — Date Interviewed
Assistant Director, Center for Advanced Studies in the Behavioral Sciences, Stanford, California — Occupation and Business Address

Source met SUBJECT in September 1963. He saw HIM three or four times weekly until he last saw HIM in August 1964. There was a slight social association.
Nature, Degree, and Duration of Relationship with SUBJECT

b.
Name and Address of Interviewee

Date Interviewed
Occupation and Business Address

Nature, Degree, and Duration of Relationship with SUBJECT

AGENT'S NOTES: Sources were contacted telephonically as listed employment. Source had no knowledge of SUBJECT's political affiliations or sympathies

ANNOTATION DOES NOT APPLY UNLESS DATA IS ENTERED.

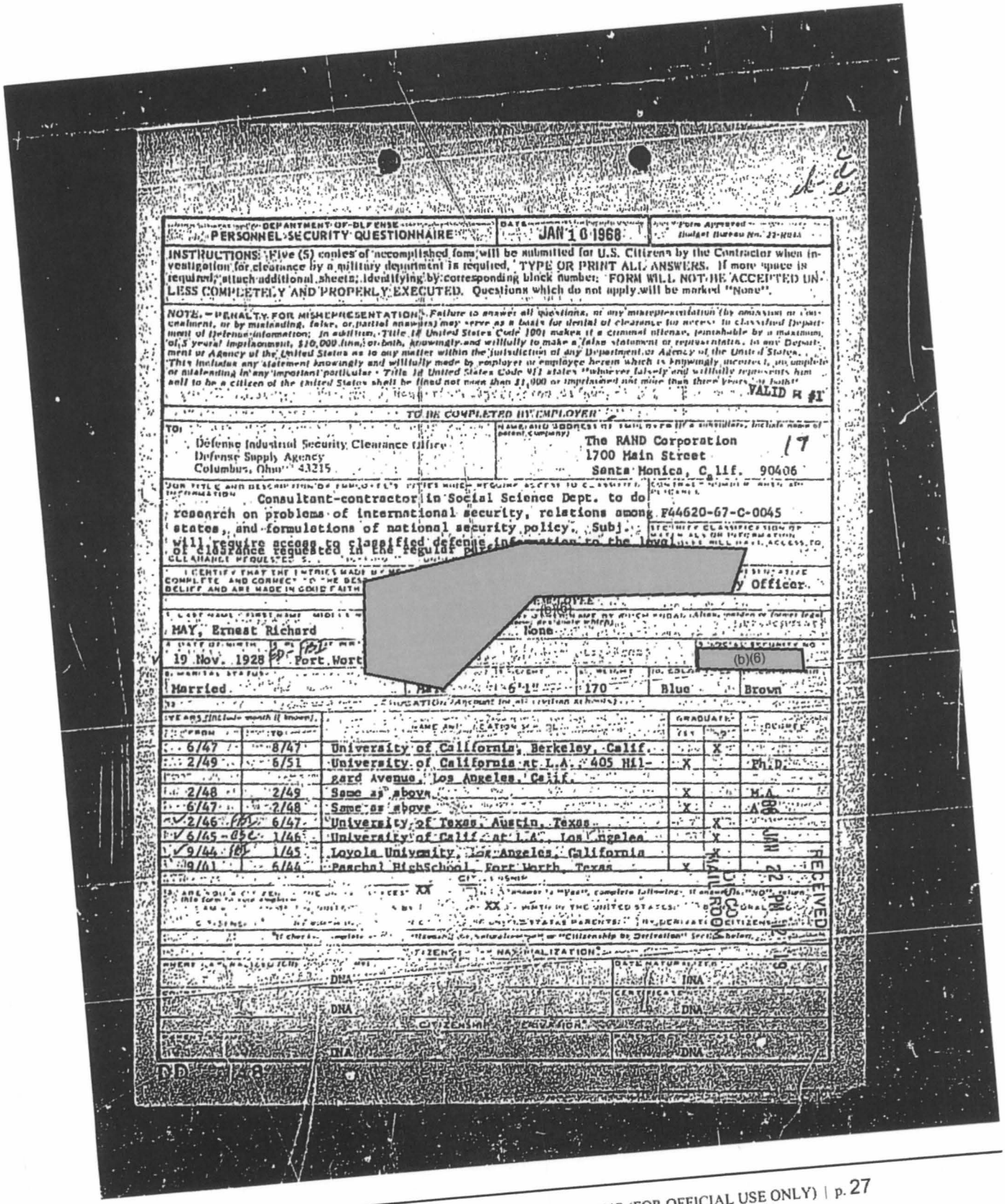
THIS PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

5. TYPED NAME AND ORGANIZATION OF SPECIAL AGENT
RAY A. BROWN, 115th MI Group (CI) (IFA)

6. SIGNATURE OF SPECIAL AGENT
Ray A. Brown

DA FORM 1 APR 52 341 REPLACES WD AGO FORM 341, 1 JUL 50, WHICH IS OBSOLETE.

For Official Use Only



DEPARTMENT OF DEFENSE
PERSONNEL SECURITY QUESTIONNAIRE

DATE: JAN 10 1968

Form Approved Budget Bureau No. 22-R311

INSTRUCTIONS: Five (5) copies of accomplished form will be submitted for U.S. Citizens by the Contractor when investigation for clearance by a military department is required. TYPE OR PRINT ALL ANSWERS. If more space is required, attach additional sheets, identifying by corresponding block number. FORM WILL NOT BE ACCEPTED UNLESS COMPLETELY AND PROPERLY EXECUTED. Questions which do not apply will be marked "None".

NOTE.—PENALTY FOR MISREPRESENTATION: Failure to answer all questions, or any misrepresentation (by omission or concealment, or by misleading, false, or partial answers) may serve as a basis for denial of clearance for access to classified Department of Defense information; in addition, Title 18 United States Code 1001 makes it a criminal offense, punishable by a maximum of 5 years imprisonment, $10,000 fine, or both, knowingly and willfully to make a false statement or representation to any Department or Agency of the United States as to any matter within the jurisdiction of any Department or Agency of the United States. This includes any statement knowingly and willfully made by employer or employee herein which is knowingly incorrect, incomplete or misleading in any important particular. Title 18 United States Code 911 states "whoever falsely and willfully represents him self to be a citizen of the United States shall be fined not more than $1,000 or imprisoned not more than three years, or both."

VALID R #1

TO BE COMPLETED BY EMPLOYER

TO: Defense Industrial Security Clearance Office
Defense Supply Agency
Columbus, Ohio 43215

NAME AND ADDRESS OF EMPLOYER (If a subsidiary, include name of parent company):
The RAND Corporation
1700 Main Street
Santa Monica, Calif. 90406

17

YOUR TITLE AND DESCRIPTION OF DUTIES WHICH REQUIRE ACCESS TO CLASSIFIED INFORMATION: Consultant-contractor in Social Science Dept. to do research on problems of international security, relations among states, and formulations of national security policy. Subj. will require access to classified defense information to the level of clearance requested in the regular performance of duties.

CONTRACT NUMBER: F44620-67-C-0045

HIGHEST CLASSIFICATION OF MATERIAL OR INFORMATION TO WHICH EMPLOYEE WILL HAVE ACCESS: Secret

CLEARANCE REQUESTED: S

I CERTIFY THAT THE ENTRIES MADE BY ME ARE TRUE, COMPLETE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF AND ARE MADE IN GOOD FAITH.

Security Officer

(b)(6)

LAST NAME, FIRST NAME, MIDDLE	DATE OF BIRTH	PLACE OF BIRTH	SOCIAL SECURITY NO.	MARITAL STATUS	HEIGHT	WEIGHT	COLOR EYES	COLOR HAIR
MAY, Ernest Richard	19 Nov. 1928	Fort Worth	(b)(6)	Married	6'1"	170	Blue	Brown

EDUCATION (Attach list if additional space is required)

YEARS (list last month if known) FROM	TO	NAME AND LOCATION OF SCHOOL	GRADUATE YES	GRADUATE NO	DEGREE
6/47	8/47	University of California, Berkeley, Calif.		X	
2/49	6/51	University of California at L.A. 405 Hilgard Avenue, Los Angeles, Calif.	X		Ph.D.
2/48	2/49	Same as above	X		M.A.
6/47	2/48	Same as above	X		A.B.
2/46	6/47	University of Texas, Austin, Texas		X	
6/45	1/46	University of Calif. at L.A., Los Angeles		X	
9/44	1/45	Loyola University, Los Angeles, California		X	
9/41	6/44	Paschal HighSchool, Fort Worth, Texas	X		

RECEIVED JAN 22 PM 2 5 DEFENSE CONTRACT ADMIN SERVICE REGION LOS ANGELES CALIF

ARE YOU A CITIZEN OF THE UNITED STATES? XX If answer is "Yes", complete following. If answer is "NO", return this form to your employer.

WERE YOUR PARENTS CITIZENS OF THE UNITED STATES? XX WHERE? IN THE UNITED STATES

If you claim citizenship by birth, complete following. If claiming citizenship by naturalization or "Citizenship by Derivation" see below.

CITIZENSHIP — NATURALIZATION

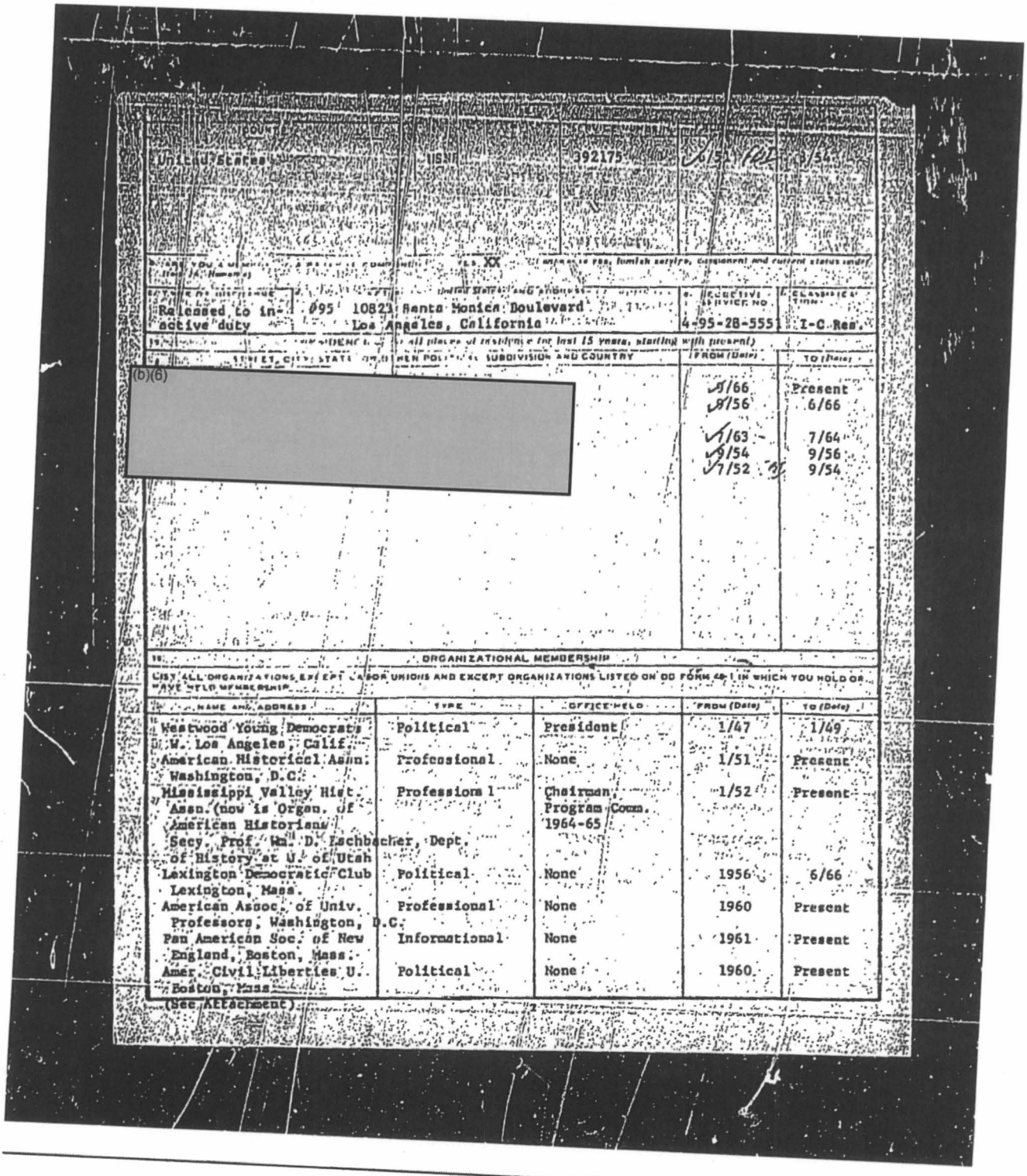
WHERE (City, County, State) DNA DATE NATURALIZED DNA

CERTIFICATE DNA DNA

CITIZENSHIP BY DERIVATION

DNA DNA

ND 148



United States	USN	392175		1/54	

XX

Released to in-active duty	095	10823 Santa Monica Boulevard Los Angeles, California	4-95-28-555	I-C Res.

(b)(6)

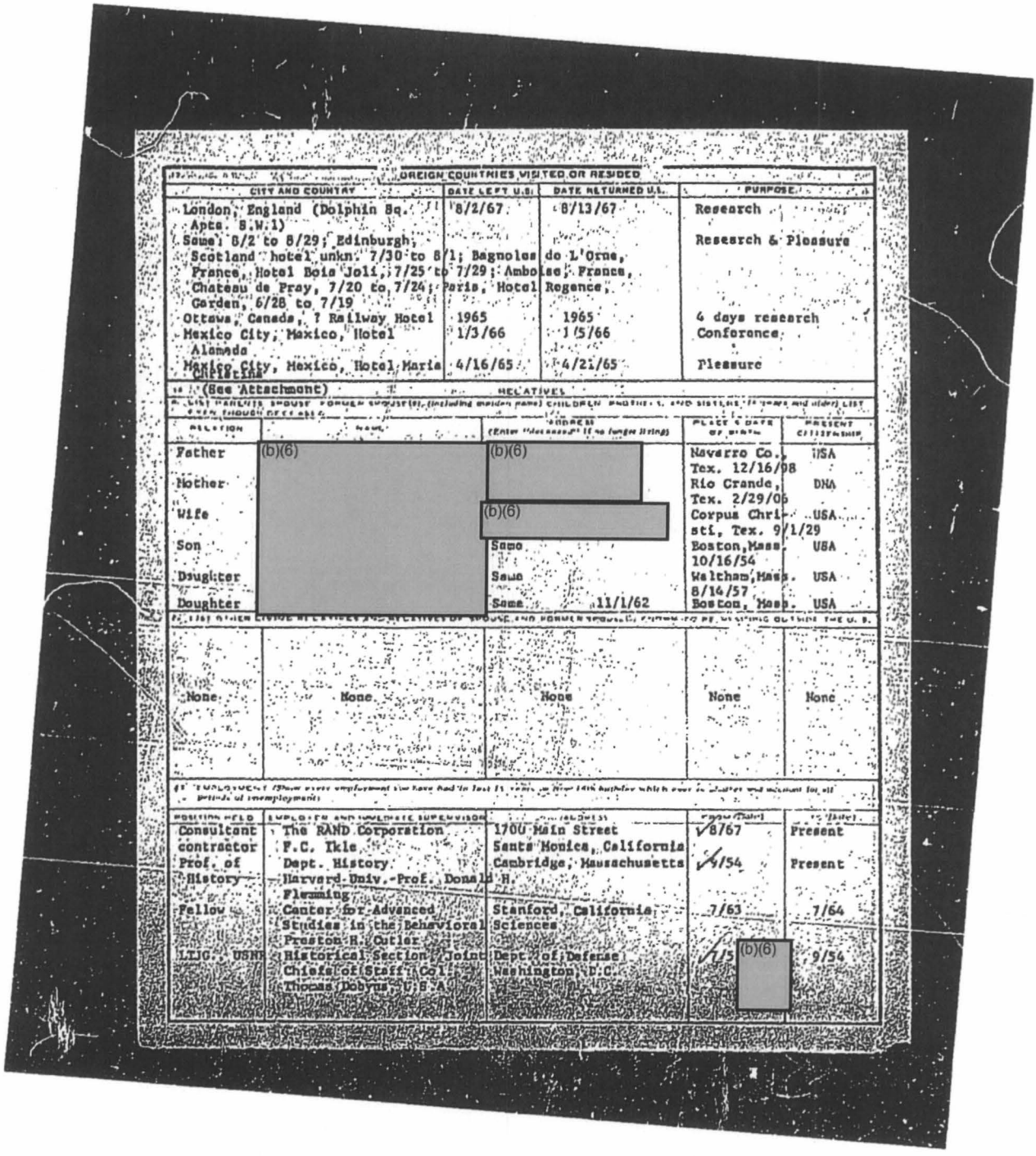
STREET, CITY, STATE OR FOREIGN POLITICAL SUBDIVISION AND COUNTRY	FROM (Date)	TO (Date)
	1/66	Present
	8/56	6/66
	1/63	7/64
	9/54	9/56
	7/52	9/54

ORGANIZATIONAL MEMBERSHIP

LIST ALL ORGANIZATIONS, EXCEPT LABOR UNIONS AND EXCEPT ORGANIZATIONS LISTED ON DD FORM 48-1, IN WHICH YOU HOLD OR HAVE HELD MEMBERSHIP.

NAME AND ADDRESS	TYPE	OFFICE HELD	FROM (Date)	TO (Date)
Westwood Young Democrats W. Los Angeles, Calif.	Political	President	1/47	1/49
American Historical Assn. Washington, D.C.	Professional	None	1/51	Present
Mississippi Valley Hist. Assn. (now is Organ. of American Historians) Secy. Prof. Wm. D. Lschbacher, Dept. of History at U. of Utah	Professional	Chairman, Program Comm. 1964-65	1/52	Present
Lexington Democratic Club Lexington, Mass.	Political	None	1956	6/66
American Assoc. of Univ. Professors, Washington, D.C.	Professional	None	1960	Present
Pan American Soc. of New England, Boston, Mass.	Informational	None	1961	Present
Amer. Civil Liberties U. Boston, Mass.	Political	None	1960	Present

(See Attachment)



FOREIGN COUNTRIES VISITED OR RESIDED

CITY AND COUNTRY	DATE LEFT U.S.	DATE RETURNED U.S.	PURPOSE
London, England (Dolphin Sq. Apts. S.W.1)	8/2/67	8/13/67	Research
Same 8/2 to 8/29; Edinburgh, Scotland hotel unkn. 7/30 to 8/1; Bagnoles de L'Orne, France, Hotel Bois Joli, 7/25 to 7/29; Amboise, France, Chateau de Pray, 7/20 to 7/24; Paris, Hotel Regence, Garden, 6/28 to 7/19			Research & Pleasure
Ottawa, Canada, ? Railway Hotel	1965	1965	4 days research
Mexico City, Mexico, Hotel Alameda	1/3/66	1/5/66	Conference
Mexico City, Mexico, Hotel Maria Christina	4/16/65	4/21/65	Pleasure

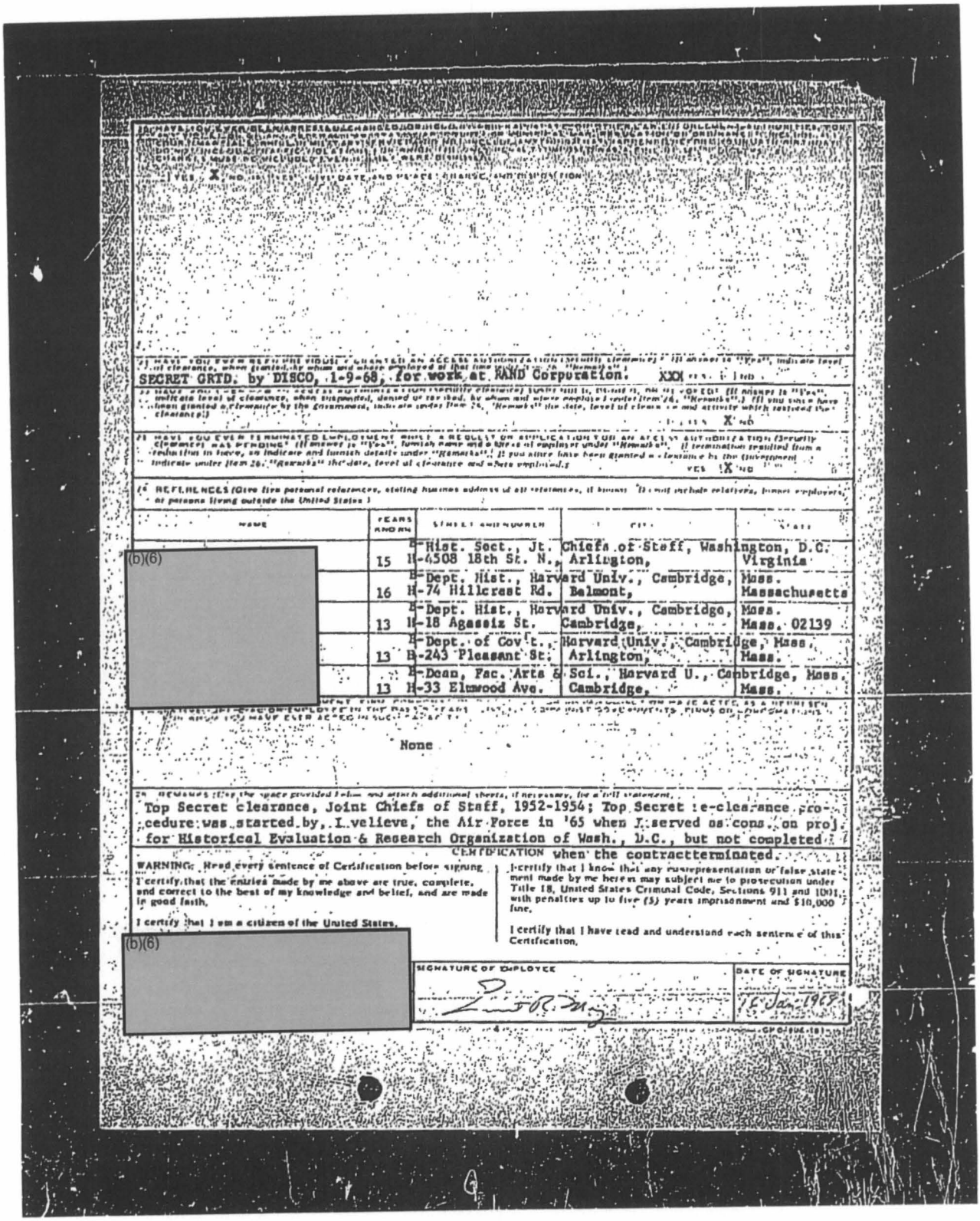
(See Attachment)

RELATIVES

RELATION	NAME	ADDRESS (Enter "deceased" if no longer living)	PLACE & DATE OF BIRTH	PRESENT CITIZENSHIP
Father	(b)(6)	(b)(6)	Navarro Co., Tex. 12/16/98	USA
Mother			Rio Grande, Tex. 2/29/08	USA
Wife		(b)(6)	Corpus Christi, Tex. 9/1/29	USA
Son		Same	Boston, Mass. 10/16/54	USA
Daughter		Same	Waltham, Mass. 8/14/57	USA
Daughter		Same 11/1/62	Boston, Mass.	USA

None	None	None	None	None

POSITION HELD	EMPLOYER AND IMMEDIATE SUPERVISOR	ADDRESS	FROM (Date)	TO (Date)
Consultant contractor	The RAND Corporation F.C. Ikle	1700 Main Street Santa Monica, California	8/67	Present
Prof. of History	Dept. History Harvard Univ. Prof. Donald H. Fleming	Cambridge, Massachusetts	9/54	Present
Fellow	Center for Advanced Studies in the Behavioral	Stanford, California Sciences	7/63	7/64
LTJG USNR	Preston H. Cutler Historical Section, Joint Chiefs of Staff, Col. Thomas Dobyns, U.S.A.	Dept. of Defense Washington, D.C.	7/5 (b)(6)	9/54



SECRET GRTD. by DISCO, 1-9-68, for work at RAND Corporation.

NAME	YEARS KNOWN	STREET AND NUMBER	CITY	STATE
(b)(6) redacted	15	E-Hist. Sect., Jt. Chiefs of Staff, H-4508 18th St. N.,	Washington, D.C. Arlington,	Virginia
	16	E-Dept. Hist., Harvard Univ., H-74 Hillcrest Rd.	Cambridge, Belmont,	Mass. Massachusetts
	13	E-Dept. Hist., Harvard Univ., H-18 Agassiz St.	Cambridge,	Mass. 02139
	13	E-Dept. of Gov't., Harvard Univ., H-243 Pleasant St.	Cambridge, Arlington,	Mass. Mass.
	13	E-Dean, Fac. Arts & Sci., Harvard U., H-33 Elmwood Ave.	Cambridge, Cambridge,	Mass. Mass.

None

Top Secret clearance, Joint Chiefs of Staff, 1952-1954; Top Secret clearance procedure was started by, I believe, the Air Force in '65 when I served as cons. on proj. for Historical Evaluation & Research Organization of Wash., D.C., but not completed when the contract terminated.

CERTIFICATION

WARNING: Read every sentence of Certification before signing.

I certify that the entries made by me above are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

I certify that I am a citizen of the United States.

I certify that I know that any misrepresentation or false statement made by me herein may subject me to prosecution under Title 18, United States Criminal Code, Sections 911 and 1001, with penalties up to five (5) years imprisonment and $10,000 fine.

I certify that I have read and understand each sentence of this Certification.

(b)(6) redacted

SIGNATURE OF EMPLOYEE: Ernest R. May

DATE OF SIGNATURE: 16 Jan 1968

The RAND Corporation, 1700 Main Street, Santa Monica, California 90406

Personnel Security Questionnaire Attachment for: MAY, Ernest Richard
DOB: 19 Nov. 1928 in Fort Worth, Texas
(b)(6)

Number 16 Organizations

Council on Foreign Relations New York, New York	Professional	None	6/65	Present
Belmont Hill Club Belmont, Mass.	Recreational	None	9/66	Present

Number 17 Foreign Countries

London, England, Whitehall Hotel 5/10 to 5/26; Paris, Grand Hotel du 7 , 4/8 to 5/9; Madrid, Pension (Name forgotten) on the Gran Via, 3/21 to 4/8; Vienna, Austria, Pension Maria Hilfe, 2/24/to3/18; London, Whitehall, 2/13 to 2/22.	2/13/59	5/26/59	Research
Mexico City, Mexico, Hotel Isabel La Catolica	12/50	2/51	Pleasure

Date 16 Jan 1968 Signature E. R. May

Witness (b)(6)

AGENT REPORT
(AR 381-130; FM 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT

MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED

1 December 1967

3. CONTROL SYMBOL OR FILE NUMBER

(b)(6)

4. REPORT OF FINDINGS

(LOCAL AGENCY) On 28 November 1967, the files of Confidential Source Los Angeles II A 15, an official agency, were examined by the undersigned agent concerning Ernest Richard MAY. The information contained in the files reflected no additional information, other than the information which is contained in the files of Confidential Source Los Angeles II A 14.

AGENT'S NOTES: Attention is invited to agent report by undersigned special agent, subject and file as above, dated 1 December 1967, re: Local Agency Check conducted at Confidential Source Los Angeles II A 14.

FOR OFFICIAL USE ONLY THIS PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION.

(b)(6)

DA FORM APR 52 341 REPLACES WD AGO FORM 341, 1 JAN 47, WHICH MAY BE USED.

AGENT REPORT
(AR 381-130) FM 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT

MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth; Texas

2. DATE SUBMITTED
1 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
(b)(6)

4. REPORT OF FINDINGS

(LOCAL AGENCY) On 27 November 1967, the files of Confidential Source Los Angeles II A 14, an official agency, were examined by the undersigned agent concerning Ernest Richard MAY. The following information was extracted from a 3" X 5" file card:

University of California at Los Angeles (UCLA) publication, "Daily Bruin", edition of 18 May 1966, cites Ernest MAY as one of the authors of a controversial eight grade history book, entitled: "Land Of Free". "The row over this book delayed action on Governor Brown's $44.6 billion dollars budget bill. MAY is identified as an 'American Historian'".

A responsible representative of Source was interviewed and stated that the above information was submitted by a Confidential Informant of known reliability. No representative nor the files of Source may be called to appear or be used before a court or board.

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(b)(6)

DA FORM 1 APR 57 341 REPLACES WD AGO FORM 341, 1 JAN 47, WHICH MAY BE USED.

AGENT REPORT
(AR 381-130) FM 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
29 November 1967

3. (b)(6)

4. REPORT OF FINDINGS

(LOCAL AGENCY) On 29 November 1967, the Federal Bureau of Investigation (FBI), 900 John F. Kennedy Federal Building, Government Center, Boston, Massachusetts, was contacted in order to obtain concurrence of that office to an investigation of Ernest Richard MAY and to obtain information from FBI files pertaining to HIM, with the following results:

Concurrence granted on 5 December 1967. An applicant-type investigation was concluded by the Boston Office of the FBI on 20 August 1963. Results may be obtained through a National Agency Check.

PROTECTIVE MARKING IS EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

DA FORM 1 APR 52 341 REPLACES WD AGO FORM 341, 1 JUN 47, WHICH MAY BE USED. FOUO

RETURN TO
SPECIAL OPERATIONS SECTION

HEADQUARTERS REGION III
106th Military Intelligence Group
Boston Army Base, Boston, Massachusetts 02210

ICGP-A-III

DATE: 28 November 1967

REQUEST FOR INFORMATION

TO: Identification Agent
Bureau of Identification
Department of Public Safety
1010 Commonwealth Avenue
Boston, Massachusetts

This Headquarters requests information from your files or any source available to you concerning the SUBJECT named and described below:

NAME: (Last, First, Middle) MAY, Ernest Richard

DATE AND PLACE OF BIRTH: 19 November 1928, Fort Worth, Texas

PRESENT ADDRESS: 68 Snake Hill Road, Belmont, Massachusetts

FORMER ADDRESSES: 3 Kimball Road, Lexington, Massachusetts

PARENTS: Father: (b)(6)
Mother:

SPOUSE: (b)(6)

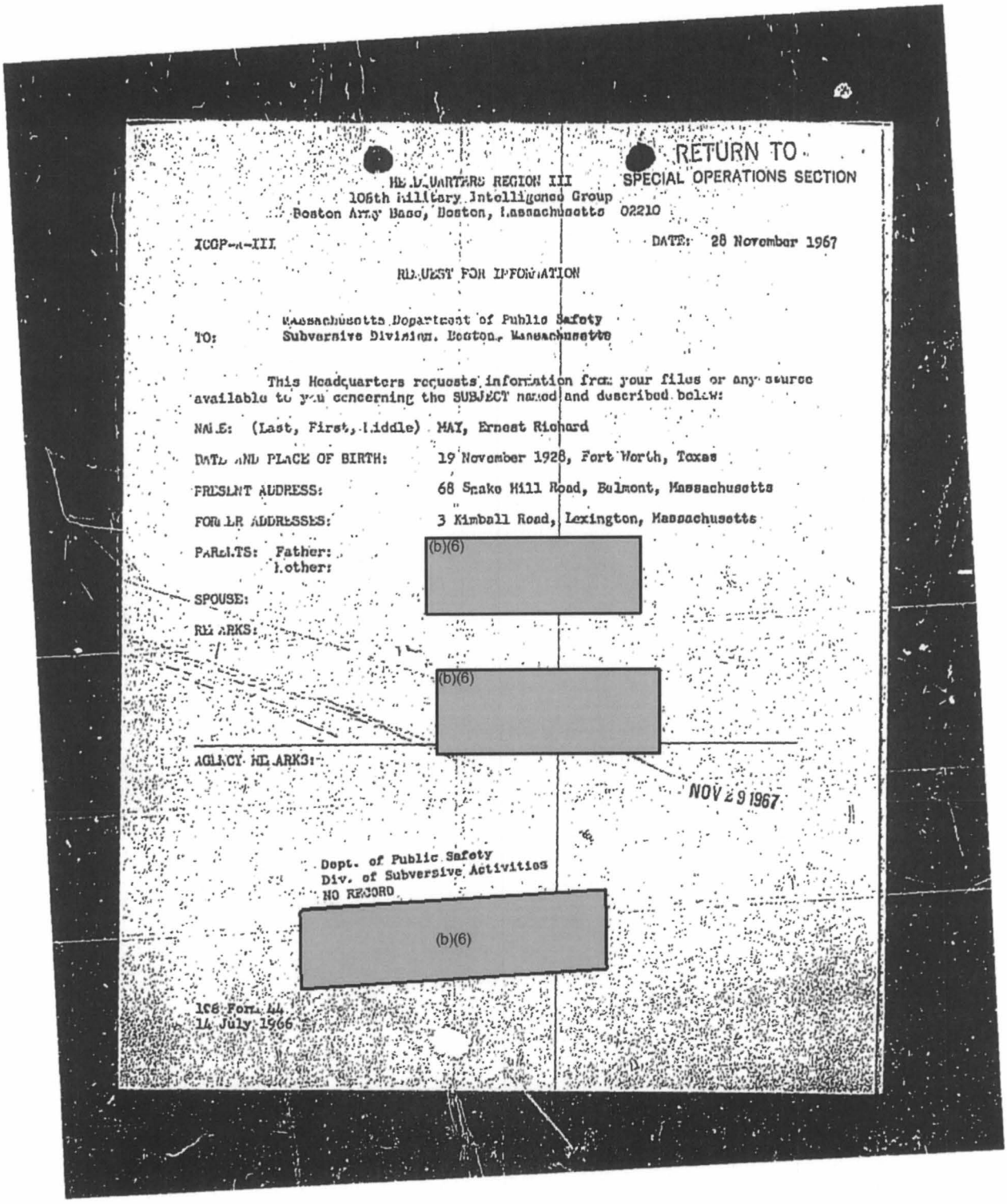
REMARKS:

(b)(6)

AGENCY REMARKS:

RECORD - MASS. STATE
BUREAU OF IDENTIFICATION
DEPT. OF PUBLIC SAFETY
1010 COMMONWEALTH AVE.
BOSTON 15, MASSACHUSETTS
11-29-67

ICS Form 44
14 July 1966



RETURN TO
SPECIAL OPERATIONS SECTION

HEADQUARTERS REGION III
106th Military Intelligence Group
Boston Army Base, Boston, Massachusetts 02210

ICOP-A-III

DATE: 28 November 1967

REQUEST FOR INFORMATION

TO: Massachusetts Department of Public Safety
Subversive Division, Boston, Massachusetts

This Headquarters requests information from your files or any source available to you concerning the SUBJECT named and described below:

NAME: (Last, First, Middle) MAY, Ernest Richard

DATE AND PLACE OF BIRTH: 19 November 1928, Fort Worth, Texas

PRESENT ADDRESS: 68 Snake Hill Road, Belmont, Massachusetts

FORMER ADDRESSES: 3 Kimball Road, Lexington, Massachusetts

PARENTS: Father: (b)(6)
Mother:

SPOUSE:

REMARKS:

(b)(6)

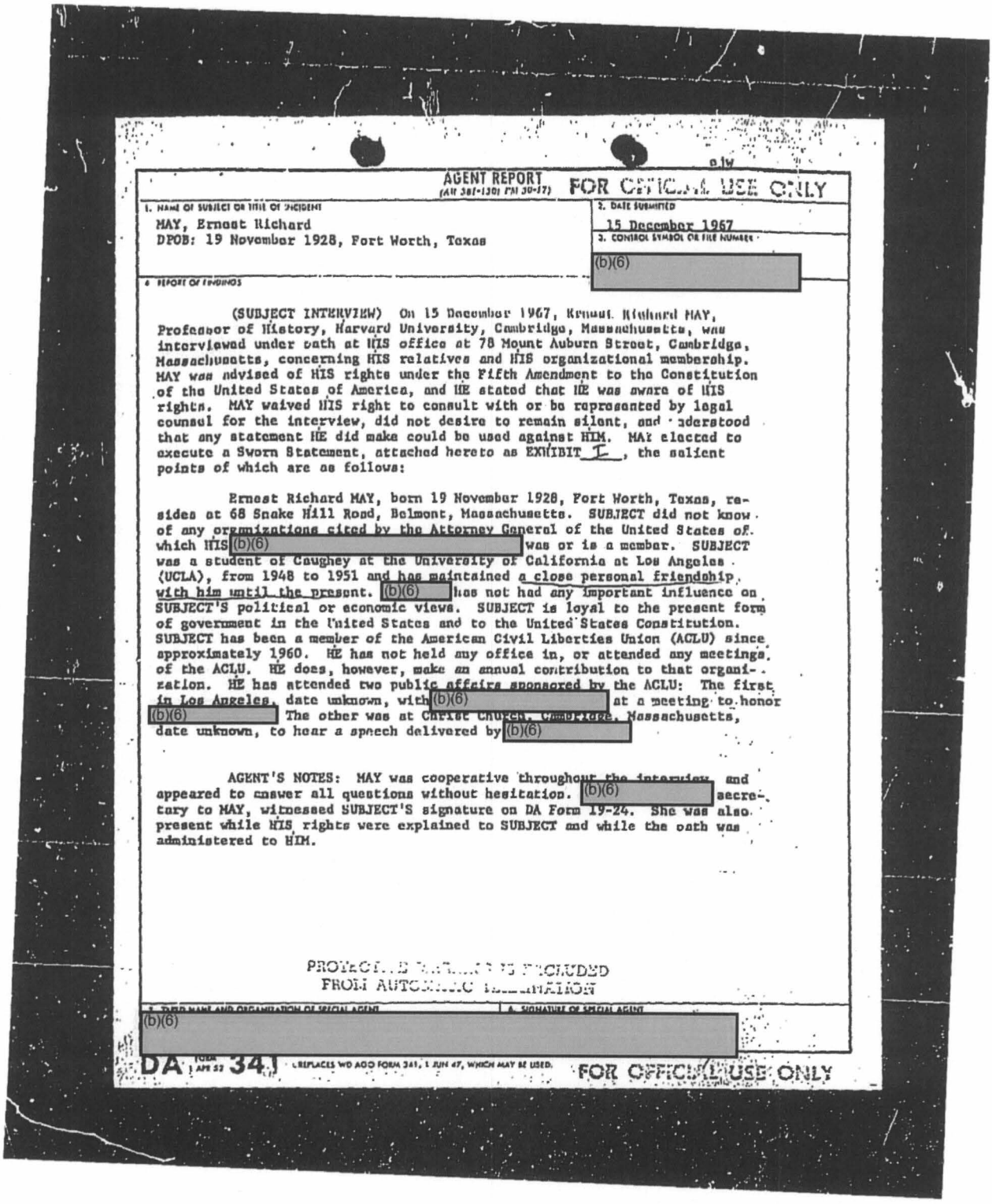
AGENCY REMARKS:

NOV 29 1967

Dept. of Public Safety
Div. of Subversive Activities
NO RECORD

(b)(6)

ICS Form 44
14 July 1966



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AGENT REPORT (AR 381-130) FM 30-17) FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
(b)(6)

4. REPORT OF FINDINGS

(SUBJECT INTERVIEW) On 15 December 1967, Ernest Richard MAY, Professor of History, Harvard University, Cambridge, Massachusetts, was interviewed under oath at HIS office at 78 Mount Auburn Street, Cambridge, Massachusetts, concerning HIS relatives and HIS organizational membership. MAY was advised of HIS rights under the Fifth Amendment to the Constitution of the United States of America, and HE stated that HE was aware of HIS rights. MAY waived HIS right to consult with or be represented by legal counsel for the interview, did not desire to remain silent, and understood that any statement HE did make could be used against HIM. MAY elected to execute a Sworn Statement, attached hereto as EXHIBIT I, the salient points of which are as follows:

Ernest Richard MAY, born 19 November 1928, Fort Worth, Texas, resides at 68 Snake Hill Road, Belmont, Massachusetts. SUBJECT did not know of any organizations cited by the Attorney General of the United States of which HIS (b)(6) was or is a member. SUBJECT was a student of Caughey at the University of California at Los Angeles (UCLA), from 1948 to 1951 and has maintained a close personal friendship with him until the present. (b)(6) has not had any important influence on SUBJECT'S political or economic views. SUBJECT is loyal to the present form of government in the United States and to the United States Constitution. SUBJECT has been a member of the American Civil Liberties Union (ACLU) since approximately 1960. HE has not held any office in, or attended any meetings of the ACLU. HE does, however, make an annual contribution to that organization. HE has attended two public affairs sponsored by the ACLU: The first in Los Angeles, date unknown, with (b)(6) at a meeting to honor (b)(6) The other was at Christ Church, Cambridge, Massachusetts, date unknown, to hear a speech delivered by (b)(6)

AGENT'S NOTES: MAY was cooperative throughout the interview and appeared to answer all questions without hesitation. (b)(6) secretary to MAY, witnessed SUBJECT'S signature on DA Form 19-24. She was also present while HIS rights were explained to SUBJECT and while the oath was administered to HIM.

PROTECTED INFORMATION EXCLUDED FROM AUTOMATIC DECLASSIFICATION

5. TYPED NAME AND ORGANIZATION OF SPECIAL AGENT
(b)(6)

6. SIGNATURE OF SPECIAL AGENT

DA FORM 1 APR 52 341 REPLACES WD AGO FORM 341, 1 JUN 47, WHICH MAY BE USED.

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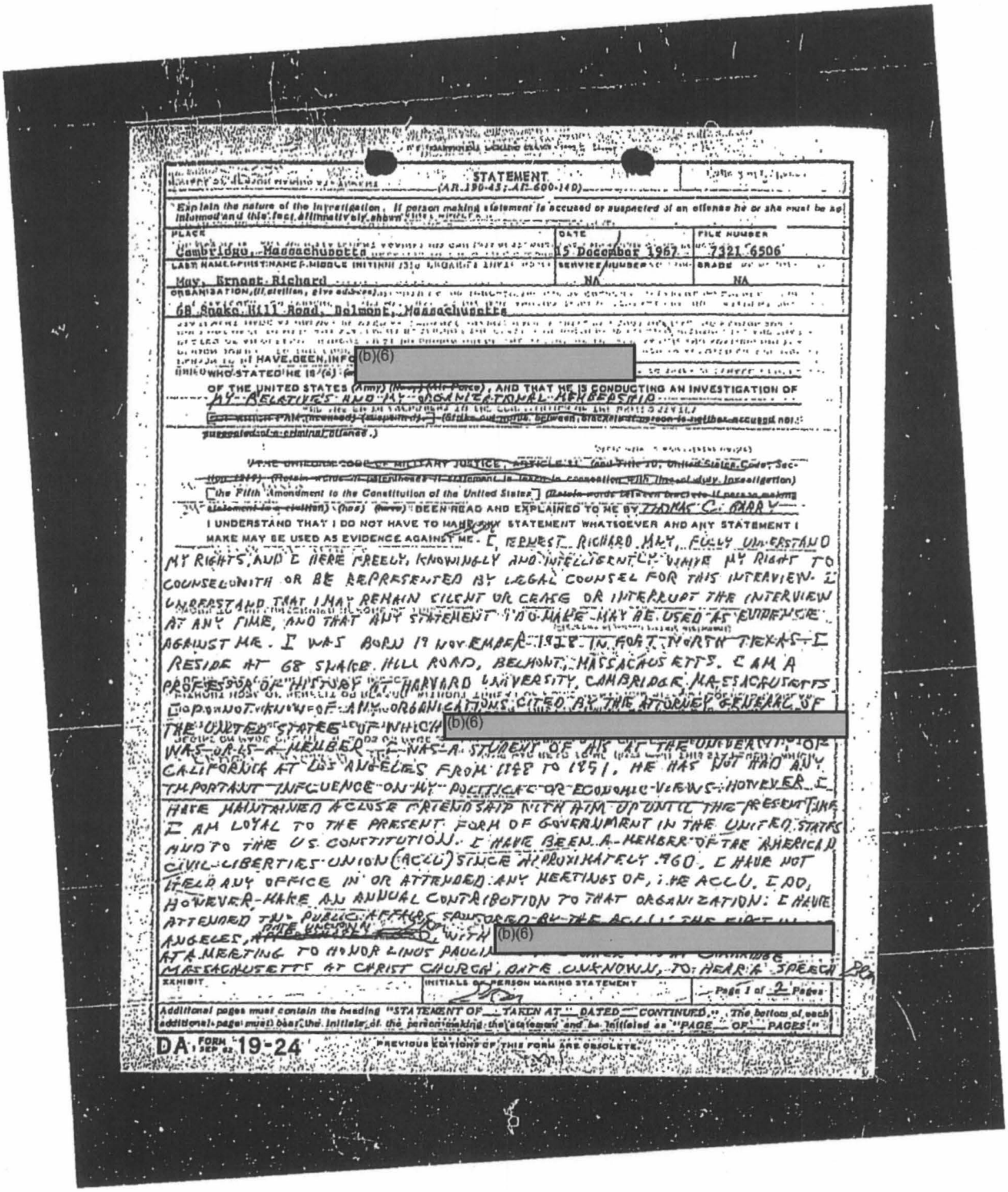


STATEMENT
(AR 190-45; AR 600-140)

Explain the nature of the investigation. If person making statement is accused or suspected of an offense he or she must be so informed and this fact affirmatively shown.

PLACE	DATE	FILE NUMBER
Cambridge, Massachusetts	15 December 1967	7321 6506

LAST NAME-FIRST NAME-MIDDLE INITIAL	SERVICE NUMBER	GRADE
May, Ernest Richard	NA	NA

ORGANIZATION, (If civilian, give address)
68 Snake Hill Road, Belmont, Massachusetts

I HAVE BEEN INFO (b)(6) WHO STATED HE IS (a)

OF THE UNITED STATES (Army) (Navy) (Air Force), AND THAT HE IS CONDUCTING AN INVESTIGATION OF MY RELATIVES AND MY ORGANIZATIONAL MEMBERSHIP

(Last name, First name, Middle initial) (Strike out words between brackets not applicable to person making statement.)

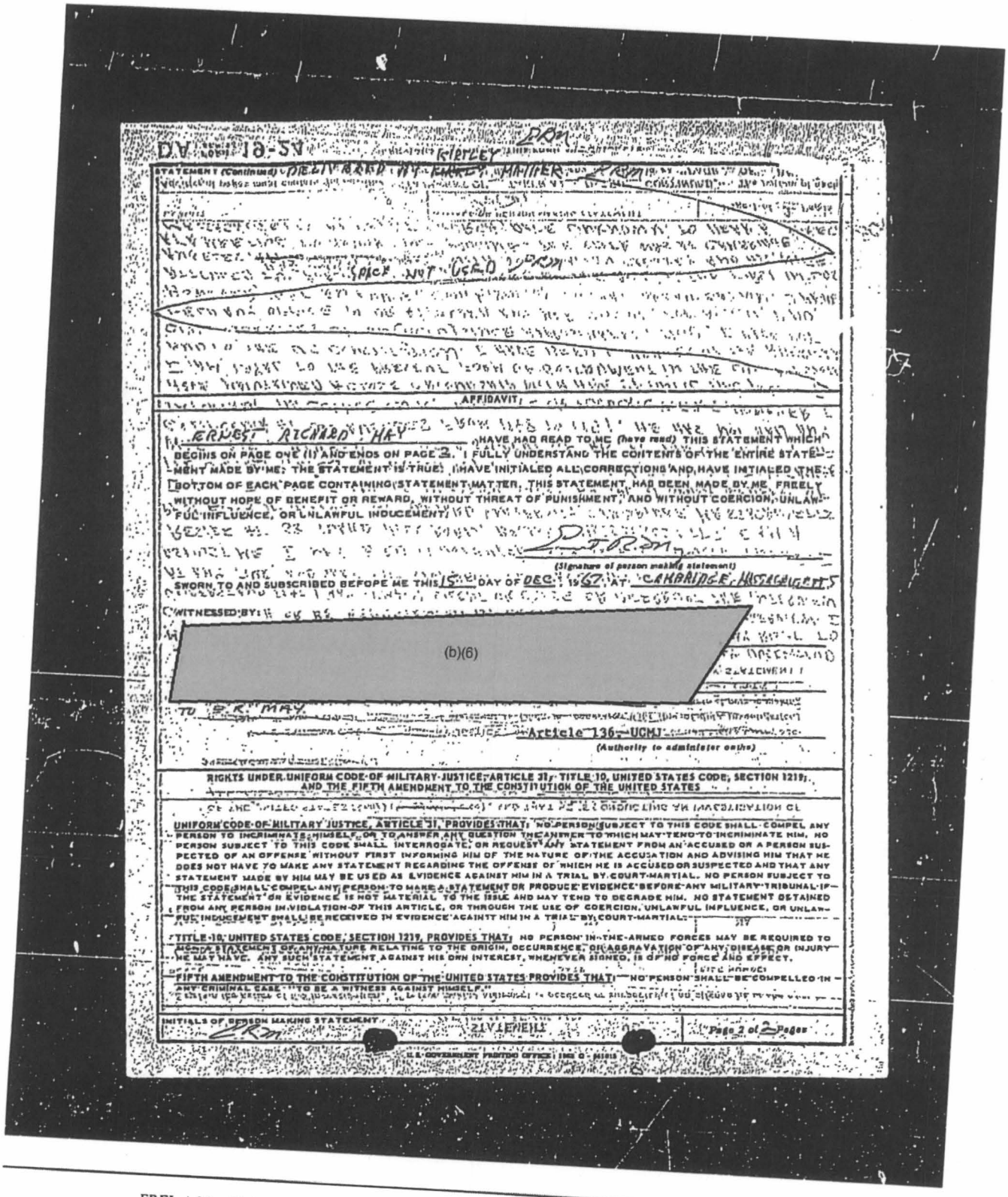
[UNIFORM CODE OF MILITARY JUSTICE, ARTICLE 31 (and Title 10, United States Code, Sec. 1219)] [Retain words between brackets if statement is taken in connection with line of duty investigation.]
[the Fifth Amendment to the Constitution of the United States] [Retain words between brackets if person making statement is a civilian] (has) (have) BEEN READ AND EXPLAINED TO ME BY THOMAS C. BARRY

I UNDERSTAND THAT I DO NOT HAVE TO MAKE ANY STATEMENT WHATSOEVER AND ANY STATEMENT I MAKE MAY BE USED AS EVIDENCE AGAINST ME. I, ERNEST RICHARD MAY, FULLY UNDERSTAND MY RIGHTS AND I HERE FREELY, KNOWINGLY AND INTELLIGENTLY WAIVE MY RIGHT TO COUNSEL WITH OR BE REPRESENTED BY LEGAL COUNSEL FOR THIS INTERVIEW. I UNDERSTAND THAT I MAY REMAIN SILENT OR CEASE OR INTERRUPT THE INTERVIEW AT ANY TIME, AND THAT ANY STATEMENT I DO MAKE MAY BE USED AS EVIDENCE AGAINST ME. I WAS BORN 19 NOVEMBER 1918 IN FORT WORTH TEXAS. I RESIDE AT 68 SNAKE HILL ROAD, BELMONT, MASSACHUSETTS. I AM A PROFESSOR OF HISTORY AT HARVARD UNIVERSITY, CAMBRIDGE, MASSACHUSETTS. I DO NOT KNOW OF ANY ORGANIZATIONS CITED BY THE ATTORNEY GENERAL OF THE UNITED STATES OF WHICH (b)(6) WAS OR IS A MEMBER. I WAS A STUDENT OF HIS AT THE UNIVERSITY OF CALIFORNIA AT LOS ANGELES FROM 1948 TO 1951, HE HAS NOT HAD ANY IMPORTANT INFLUENCE ON MY POLITICAL OR ECONOMIC VIEWS. HOWEVER I HAVE MAINTAINED A CLOSE FRIENDSHIP WITH HIM UP UNTIL THE PRESENT TIME. I AM LOYAL TO THE PRESENT FORM OF GOVERNMENT IN THE UNITED STATES AND TO THE U.S. CONSTITUTION. I HAVE BEEN A MEMBER OF THE AMERICAN CIVIL LIBERTIES UNION (ACLU) SINCE APPROXIMATELY 1960. I HAVE NOT HELD ANY OFFICE IN OR ATTENDED ANY MEETINGS OF THE ACLU. I DO, HOWEVER, MAKE AN ANNUAL CONTRIBUTION TO THAT ORGANIZATION. I HAVE ATTENDED TWO PUBLIC AFFAIRS SPONSORED BY THE ACLU: THE FIRST IN LOS ANGELES, APPROXIMATELY [illegible] WITH (b)(6) AT A MEETING TO HONOR LINUS PAULING IN CAMBRIDGE MASSACHUSETTS AT CHRIST CHURCH, DATE UNKNOWN, TO HEAR A SPEECH BY

EXHIBIT | INITIALS OF PERSON MAKING STATEMENT | Page 1 of 2 Pages

Additional pages must contain the heading "STATEMENT OF ___ TAKEN AT ___ DATED ___ CONTINUED." The bottom of each additional page must bear the initials of the person making the statement and be initialed as "PAGE ___ OF ___ PAGES."

DA FORM SEP 82 19-24 PREVIOUS EDITIONS OF THIS FORM ARE OBSOLETE.



STATEMENT (Continued) DELIV BOARD MY FINGER PRINTS BY [illegible]

SPACE NOT USED

AFFIDAVIT

I, ERNEST RICHARD MAY, HAVE HAD READ TO ME (have read) THIS STATEMENT WHICH BEGINS ON PAGE ONE (1) AND ENDS ON PAGE 2. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING STATEMENT MATTER. THIS STATEMENT HAD BEEN MADE BY ME FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(Signature of person making statement)

SWORN TO AND SUBSCRIBED BEFORE ME THIS 15 DAY OF DEC, 1967 AT CAMBRIDGE, MASSACHUSETTS

WITNESSED BY: (b)(6)

TO E R MAY

Article 136, UCMJ

(Authority to administer oaths)

RIGHTS UNDER UNIFORM CODE OF MILITARY JUSTICE, ARTICLE 31, TITLE 10, UNITED STATES CODE, SECTION 1219, AND THE FIFTH AMENDMENT TO THE CONSTITUTION OF THE UNITED STATES

UNIFORM CODE OF MILITARY JUSTICE, ARTICLE 31, PROVIDES THAT: NO PERSON SUBJECT TO THIS CODE SHALL COMPEL ANY PERSON TO INCRIMINATE HIMSELF, OR TO ANSWER ANY QUESTION THE ANSWER TO WHICH MAY TEND TO INCRIMINATE HIM. NO PERSON SUBJECT TO THIS CODE SHALL INTERROGATE, OR REQUEST ANY STATEMENT FROM AN ACCUSED OR A PERSON SUSPECTED OF AN OFFENSE WITHOUT FIRST INFORMING HIM OF THE NATURE OF THE ACCUSATION AND ADVISING HIM THAT HE DOES NOT HAVE TO MAKE ANY STATEMENT REGARDING THE OFFENSE OF WHICH HE IS ACCUSED OR SUSPECTED AND THAT ANY STATEMENT MADE BY HIM MAY BE USED AS EVIDENCE AGAINST HIM IN A TRIAL BY COURT-MARTIAL. NO PERSON SUBJECT TO THIS CODE SHALL COMPEL ANY PERSON TO MAKE A STATEMENT OR PRODUCE EVIDENCE BEFORE ANY MILITARY TRIBUNAL IF THE STATEMENT OR EVIDENCE IS NOT MATERIAL TO THE ISSUE AND MAY TEND TO DEGRADE HIM. NO STATEMENT OBTAINED FROM ANY PERSON IN VIOLATION OF THIS ARTICLE, OR THROUGH THE USE OF COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT SHALL BE RECEIVED IN EVIDENCE AGAINST HIM IN A TRIAL BY COURT-MARTIAL.

TITLE 10, UNITED STATES CODE, SECTION 1219, PROVIDES THAT: NO PERSON IN THE ARMED FORCES MAY BE REQUIRED TO MAKE A STATEMENT OR ANY NATURE RELATING TO THE ORIGIN, OCCURRENCE, OR AGGRAVATION OF ANY DISEASE OR INJURY HE MAY HAVE. ANY SUCH STATEMENT AGAINST HIS OWN INTEREST, WHENEVER SIGNED, IS OF NO FORCE AND EFFECT.

FIFTH AMENDMENT TO THE CONSTITUTION OF THE UNITED STATES PROVIDES THAT: "NO PERSON SHALL BE COMPELLED IN ANY CRIMINAL CASE TO BE A WITNESS AGAINST HIMSELF."

INITIALS OF PERSON MAKING STATEMENT ERM

Page 2 of 2 Pages

AGENT REPORT
(AR 381-130) FM JUNE 67

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
29 November 1967

3. CONTROL SYMBOL OR FILE NUMBER
(b)(6)

4. REPORT OF FINDINGS

(LOCAL AGENCY) On 28 November 1967, the following information pertaining to SUBJECT was obtained from the records of the Massachusetts Board of Probation, New Court House, Pemberton Square, Boston, Massachusetts:

Name:	Ernest R. MAY
Date of Birth:	19 November 1928
Place of Birth:	Not Recorded
Address:	3 Kimball Road, Lexington, Massachusetts
Previous Address:	Not Recorded
Occupation:	Not Recorded
Marital Status:	Not Recorded
Parents:	Not Recorded
Offense:	Traffic violation (mechanical device)
Date of Offense:	12 December 1962
Court:	Cambridge, Massachusetts
Disposition:	Fined $10

AGENT'S NOTES: The Massachusetts Board of Probation is a central repository for all records of arrest which result in conviction in the Commonwealth of Massachusetts. Records of arrests for drunkenness and some minor traffic violations which do not result in conviction are recorded for court reference. Massachusetts law forbids the disclosure of arrest or conviction records for offenses committed prior to an individual's seventeenth birthday.

PROTECTIVE MARKING IS EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

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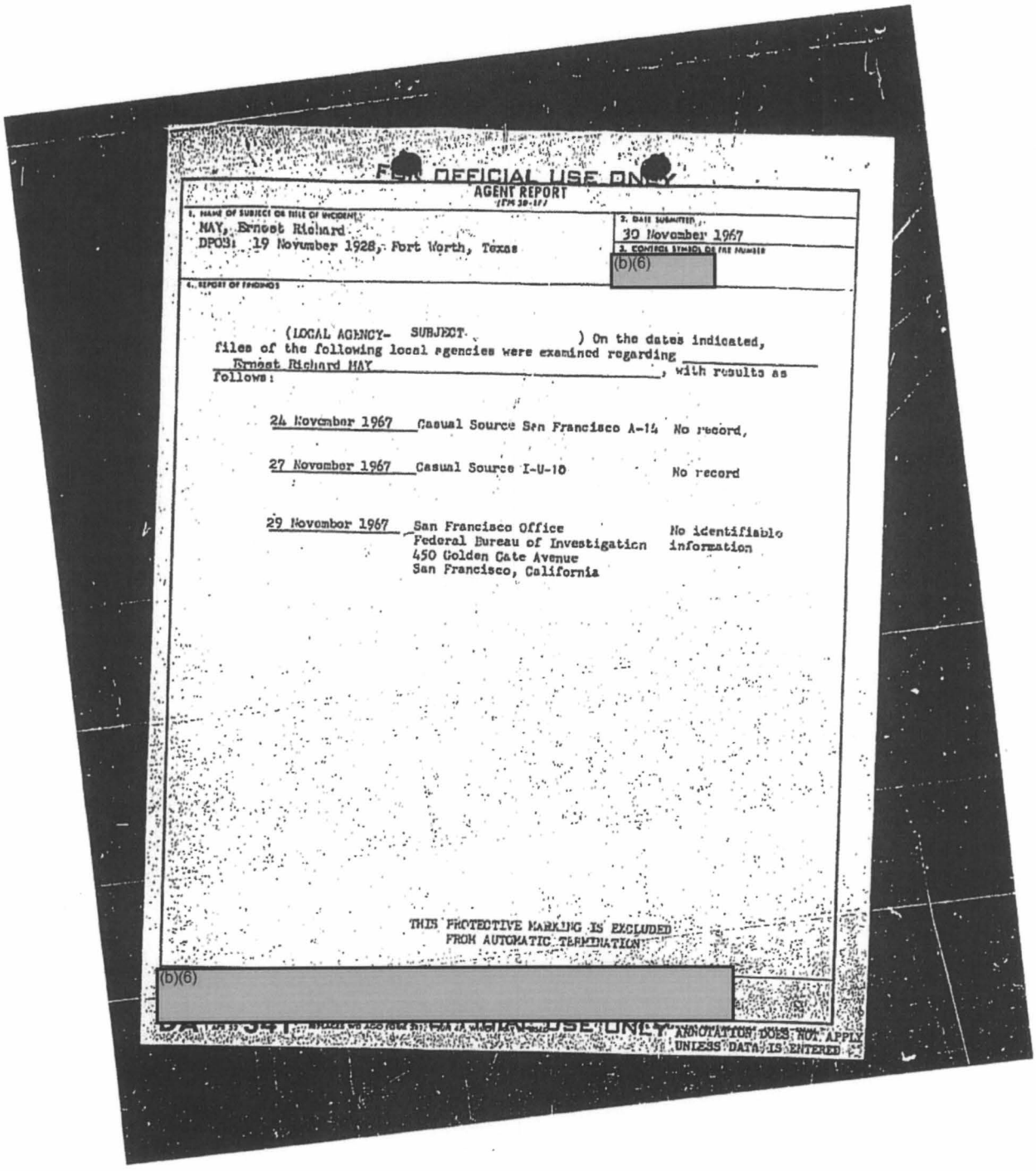

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AGENT REPORT

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
30 November 1967

3. CONTROL SYMBOL OR FILE NUMBER
(b)(6)

4. REPORT OF FINDINGS

(LOCAL AGENCY- SUBJECT) On the dates indicated, files of the following local agencies were examined regarding Ernest Richard MAY, with results as follows:

24 November 1967	Casual Source San Francisco A-14	No record.
27 November 1967	Casual Source I-U-10	No record
29 November 1967	San Francisco Office Federal Bureau of Investigation 450 Golden Gate Avenue San Francisco, California	No identifiable information

THIS PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

(b)(6)

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ANNOTATION DOES NOT APPLY UNLESS DATA IS ENTERED

FOR OFFICIAL USE ONLY

AGENT REPORT
(AR 381-130; FM 30-17)

1. NAME OF SUBJECT OR TITLE OF EXCERPT	2. DATE SUBMITTED
MAY, Ernest Richard DPOB: 19 November 1928, Fort Worth, Texas	4 December 1967
	3. CONTROL SYMBOL OR FILE NUMBER 7321-6506 (b)(6)

4. REPORT OF (PERIOD)

(LOCAL AGENCIES) On the dates indicated, files of the following agencies were checked concerning SUBJECT, including all variations of SUBJECT'S name, and disclosed no record:

_____ Sheriff's Office,
_____ Sheriff's Office,
_____ Sheriff's Office,
_____ Sheriff's Office
_____ Sheriff's Office
_____ Sheriff's Office
30 November 1967, Police Department, San Francisco, California
_____ Police Department,
_____ Police Department,
_____ Police Department
_____ Police Department
_____ Bureau of Police,

AGENT'S NOTES:

THIS PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

FOR OFFICIAL USE ONLY

115th INTC GP (I)

(b)(6)

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AGENT REPORT

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1967 Fort Worth, Texas

2. DATE SUBMITTED
29 November 1967

3. CONTROL SYMBOL OR FILE NUMBER
7321 6506 (b)(6)

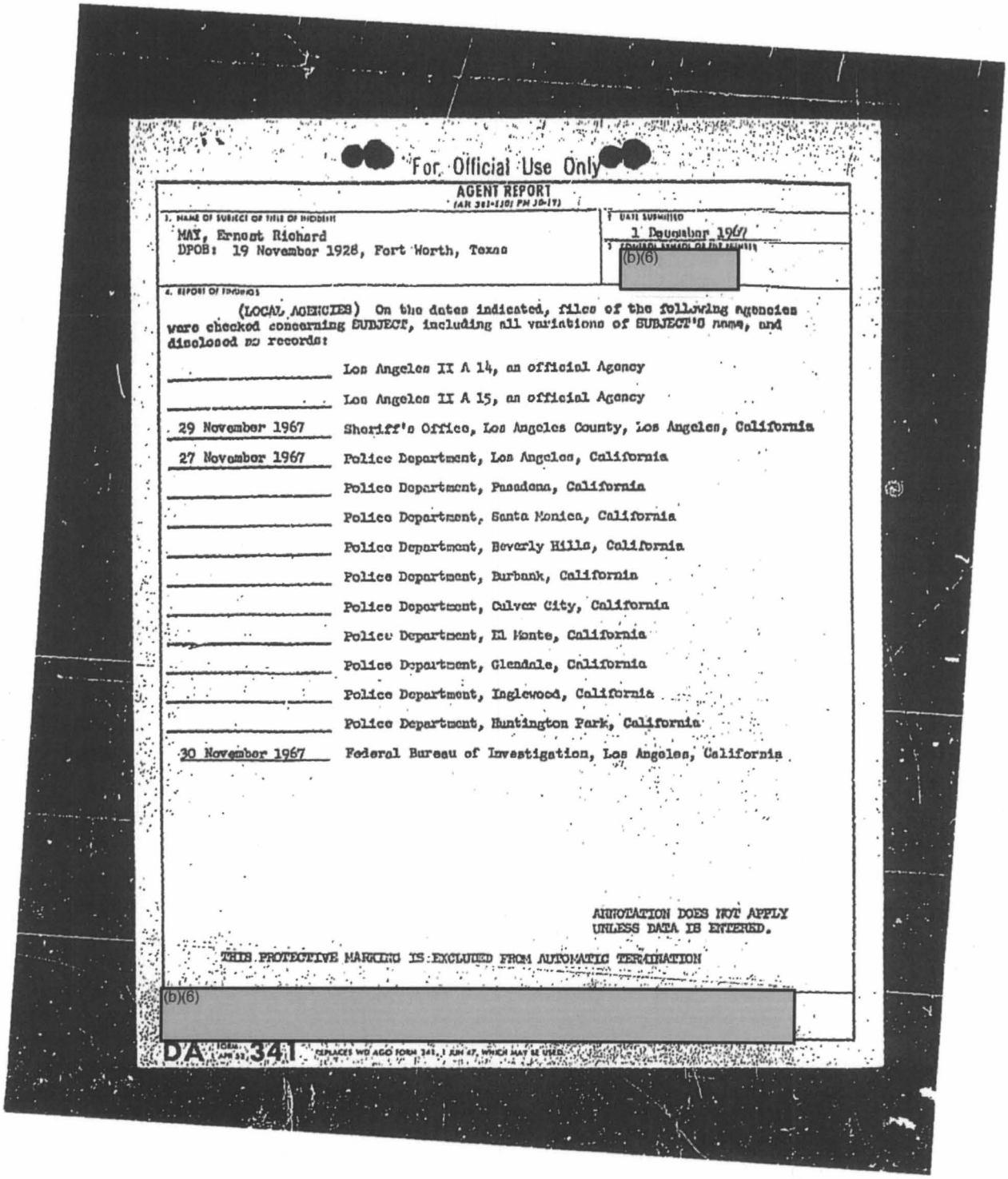
4. REPORT OF FINDINGS

(LOCAL AGENCIES) On the dates indicated, the agencies indicated below were checked concerning SUBJECT, including all variations of SUBJECT'S name and disclosed no record:

29 November 1967 Sheriff's Office, Santa Clara County, San Jose, California
_____ Sheriff's Office, San Mateo County, Redwood City, California
_____ Police Department, San Jose, California
_____ Police Department, Santa Clara, California
29 November 1967 Police Department, Palo Alto, California
_____ Police Department, Mountain View, California
_____ Police Department, Sunnyvale, California
29 November 1967 Police Department, Stanford University, Stanford, California
_____ Police Department, Menlo Park, California
_____ Police Department, Redwood City, California
_____ Police Department, San Mateo, California
_____ Police Department, Los Altos, California
_____ Police Department, Campbell, California
_____ Police Department, Burlingame, California
_____ Police Department, Los Gatos, California
_____ Police Department, Milpitas, California
_____ Police Department, San Carlos, California
_____ Police Department,
_____ Police Department,

AGENT'S NOTES: For Official Use Only

(b)(6)



For Official Use Only

AGENT REPORT
(AR 381-130; FM 30-17)

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
1 December 1967

3. FORWARDING OFFICE OF FBI REPORT
(b)(6)

4. REPORT OF FINDINGS

(LOCAL AGENCIES) On the dates indicated, files of the following agencies were checked concerning SUBJECT, including all variations of SUBJECT'S name, and disclosed no records:

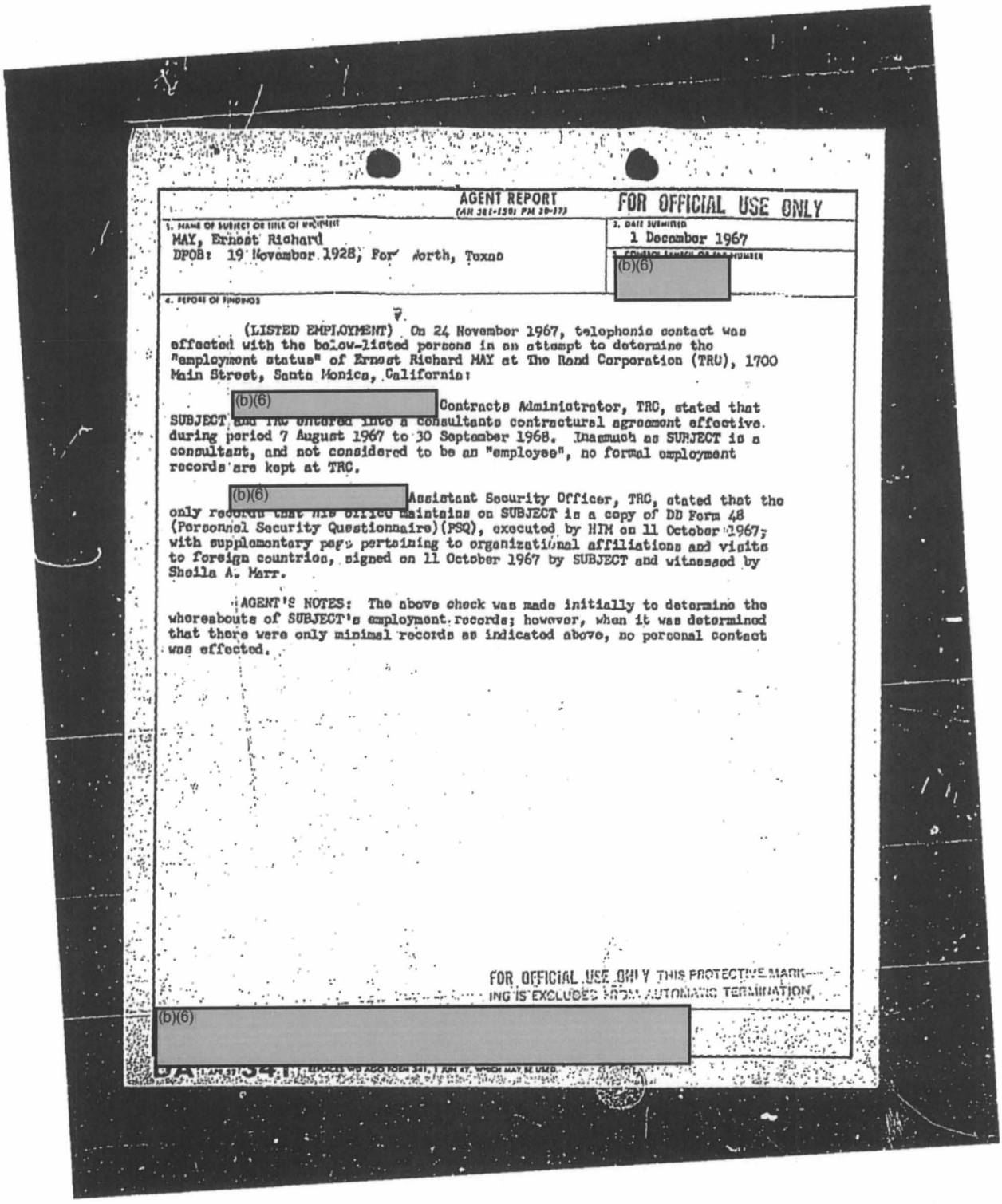
	Los Angeles II A 14, an official Agency
	Los Angeles II A 15, an official Agency
29 November 1967	Sheriff's Office, Los Angeles County, Los Angeles, California
27 November 1967	Police Department, Los Angeles, California
	Police Department, Pasadena, California
	Police Department, Santa Monica, California
	Police Department, Beverly Hills, California
	Police Department, Burbank, California
	Police Department, Culver City, California
	Police Department, El Monte, California
	Police Department, Glendale, California
	Police Department, Inglewood, California
	Police Department, Huntington Park, California
30 November 1967	Federal Bureau of Investigation, Los Angeles, California

ANNOTATION DOES NOT APPLY UNLESS DATA IS ENTERED.

THIS PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

(b)(6)

DA FORM 341 REPLACES WD AGO FORM 341, 1 JUN 47, WHICH MAY BE USED.



AGENT REPORT (AR 381-130) FM 30-17) FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
1 December 1967

3. FOREIGN AGENCY OR FBI NUMBER
(b)(6)

4. REPORT OF FINDINGS

(LISTED EMPLOYMENT) On 24 November 1967, telephonic contact was effected with the below-listed persons in an attempt to determine the "employment status" of Ernest Richard MAY at The Rand Corporation (TRC), 1700 Main Street, Santa Monica, California:

(b)(6) Contracts Administrator, TRC, stated that SUBJECT and TRC entered into a consultants contractural agreement effective during period 7 August 1967 to 30 September 1968. Inasmuch as SUBJECT is a consultant, and not considered to be an "employee", no formal employment records are kept at TRC.

(b)(6) Assistant Security Officer, TRC, stated that the only records that his office maintains on SUBJECT is a copy of DD Form 48 (Personnel Security Questionnaire)(PSQ), executed by HIM on 11 October 1967, with supplementary page pertaining to organizational affiliations and visits to foreign countries, signed on 11 October 1967 by SUBJECT and witnessed by Sheila A. Marr.

AGENT'S NOTES: The above check was made initially to determine the whereabouts of SUBJECT's employment records; however, when it was determined that there were only minimal records as indicated above, no personal contact was effected.

FOR OFFICIAL USE ONLY THIS PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

(b)(6)

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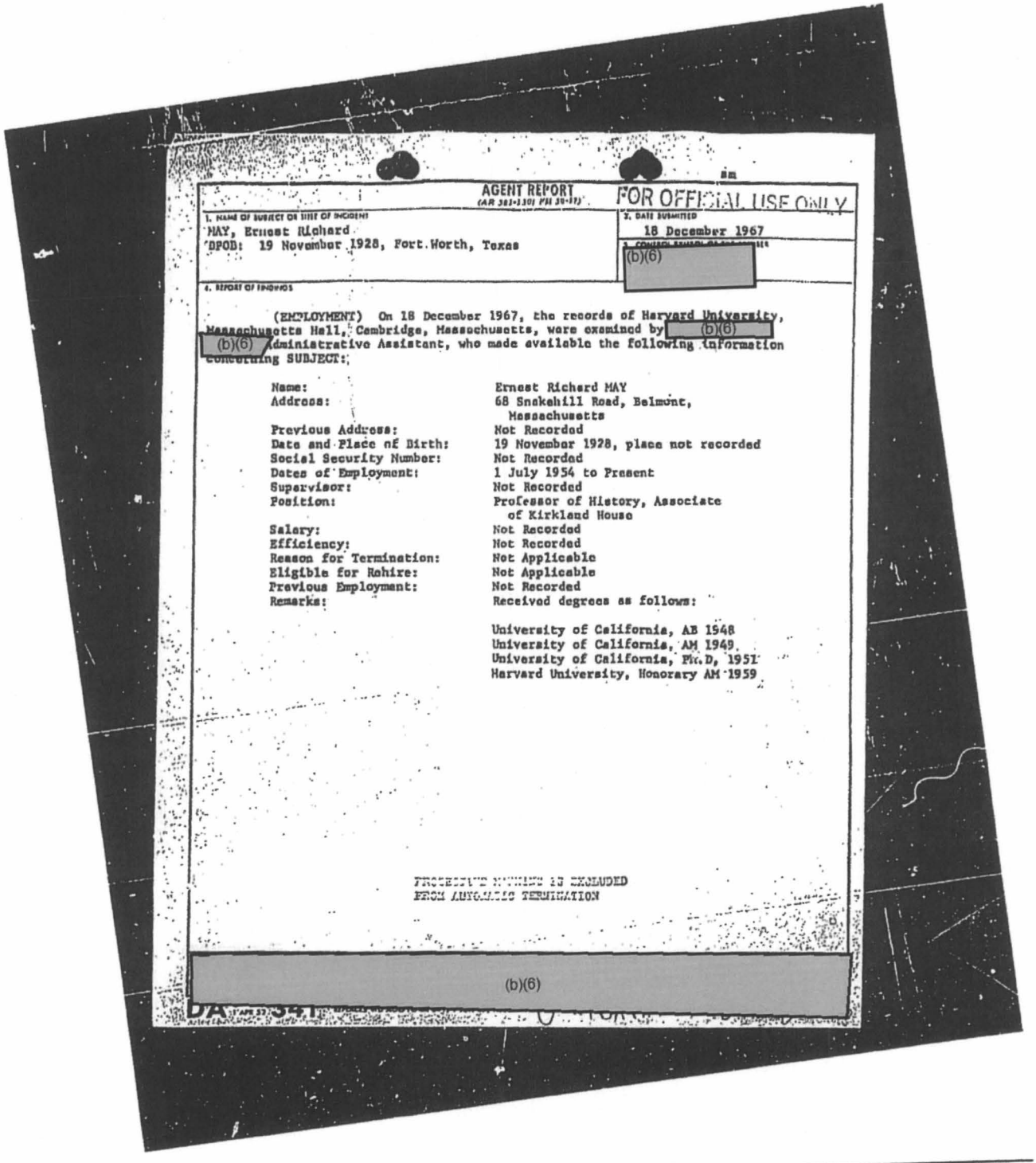

AGENT REPORT
(AR 381-130) FBI 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
18 December 1967

(b)(6)

4. REPORT OF FINDINGS

(EMPLOYMENT) On 18 December 1967, the records of Harvard University, Massachusetts Hall, Cambridge, Massachusetts, were examined by (b)(6) (b)(6) Administrative Assistant, who made available the following information concerning SUBJECT:

Name:	Ernest Richard MAY
Address:	68 Snakehill Road, Belmont, Massachusetts
Previous Address:	Not Recorded
Date and Place of Birth:	19 November 1928, place not recorded
Social Security Number:	Not Recorded
Dates of Employment:	1 July 1954 to Present
Supervisor:	Not Recorded
Position:	Professor of History, Associate of Kirkland House
Salary:	Not Recorded
Efficiency:	Not Recorded
Reason for Termination:	Not Applicable
Eligible for Rehire:	Not Applicable
Previous Employment:	Not Recorded
Remarks:	Received degrees as follows:

University of California, AB 1948
University of California, AM 1949
University of California, Ph.D, 1951
Harvard University, Honorary AM 1959

PRIVACY ACT EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

AGENT REPORT
(AR 381-130; FM 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
(b)(6)

4. REPORT OF INVESTIGATION

(EMPLOYMENT-SUPERVISOR) On 13 December 1967, (b)(6) Professor of History, Harvard University, Cambridge, Massachusetts, was interviewed in his office, Room 785, Widener Library, Harvard University. Fleming, who resides at (b)(6) Cambridge, stated substantially as follows:

Source has known Ernest Richard MAY for the past 12 years; since HE arrived at Harvard University. Source is a senior faculty associate of SUBJECT and sees HIM often as a fellow faculty member and socially. SUBJECT'S work at Harvard University has been outstanding and HE gets along quite well with HIS fellow faculty members and is well liked by them.

SUBJECT'S mother was Mexican by birth but died when HE was a child. HE was born in Texas and received HIS Ph.D at the University of California at Los Angeles (UCLA), Los Angeles, California. SUBJECT might have taught at UCLA while there, but HE was in the United States Navy before coming to Harvard University.

SUBJECT'S moral character, honesty, and integrity are excellent. HE has no serious emotional problems. HE is stable and mature, and was never in any difficulty with law enforcement agencies. SUBJECT has never used narcotics, nor does HE drink to excess. SUBJECT is a member of the American Historical Association and other unknown professional organizations. SUBJECT has traveled professionally to South America. Some of HIS mother's relatives may still be residing in Mexico. SUBJECT never said or did anything to cast doubt on HIS loyalty to the United States, nor did HE adhere to any revolutionary groups or movements.

(b)(6) recommended that Ernest Richard MAY be favorably considered for a position of trust and responsibility with the United States Government.

[redacted]

(b)(6)

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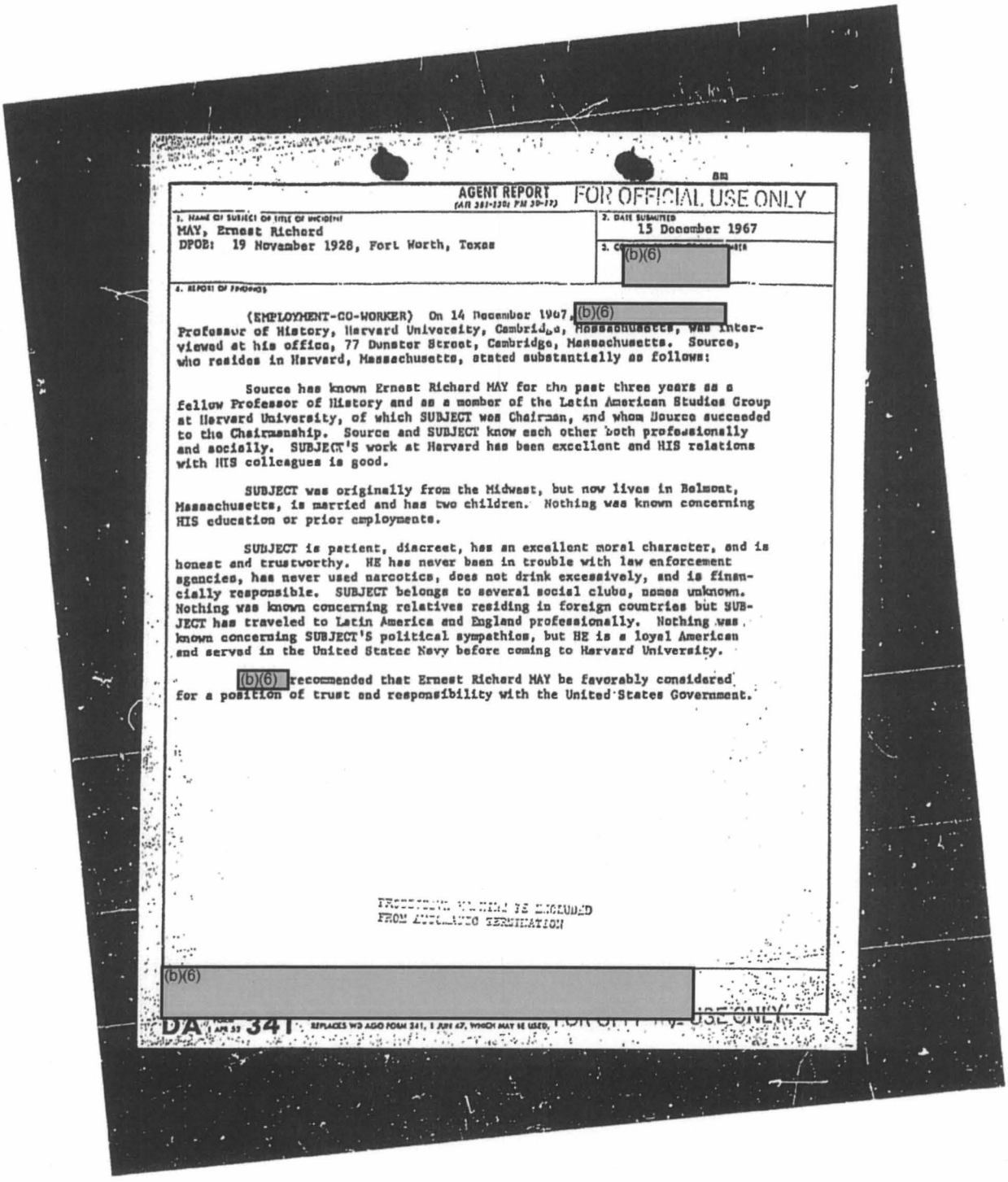

AGENT REPORT (AR 381-130; FM 30-17) FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. CO (b)(6)

4. REPORT OF FINDINGS

(EMPLOYMENT-CO-WORKER) On 14 December 1967, (b)(6) Professor of History, Harvard University, Cambridge, Massachusetts, was interviewed at his office, 77 Dunster Street, Cambridge, Massachusetts. Source, who resides in Harvard, Massachusetts, stated substantially as follows:

Source has known Ernest Richard MAY for the past three years as a fellow Professor of History and as a member of the Latin American Studies Group at Harvard University, of which SUBJECT was Chairman, and whom Source succeeded to the Chairmanship. Source and SUBJECT know each other both professionally and socially. SUBJECT'S work at Harvard has been excellent and HIS relations with HIS colleagues is good.

SUBJECT was originally from the Midwest, but now lives in Belmont, Massachusetts, is married and has two children. Nothing was known concerning HIS education or prior employments.

SUBJECT is patient, discreet, has an excellent moral character, and is honest and trustworthy. HE has never been in trouble with law enforcement agencies, has never used narcotics, does not drink excessively, and is financially responsible. SUBJECT belongs to several social clubs, names unknown. Nothing was known concerning relatives residing in foreign countries but SUBJECT has traveled to Latin America and England professionally. Nothing was known concerning SUBJECT'S political sympathies, but HE is a loyal American and served in the United States Navy before coming to Harvard University.

(b)(6) recommended that Ernest Richard MAY be favorably considered for a position of trust and responsibility with the United States Government.

[illegible] BE EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

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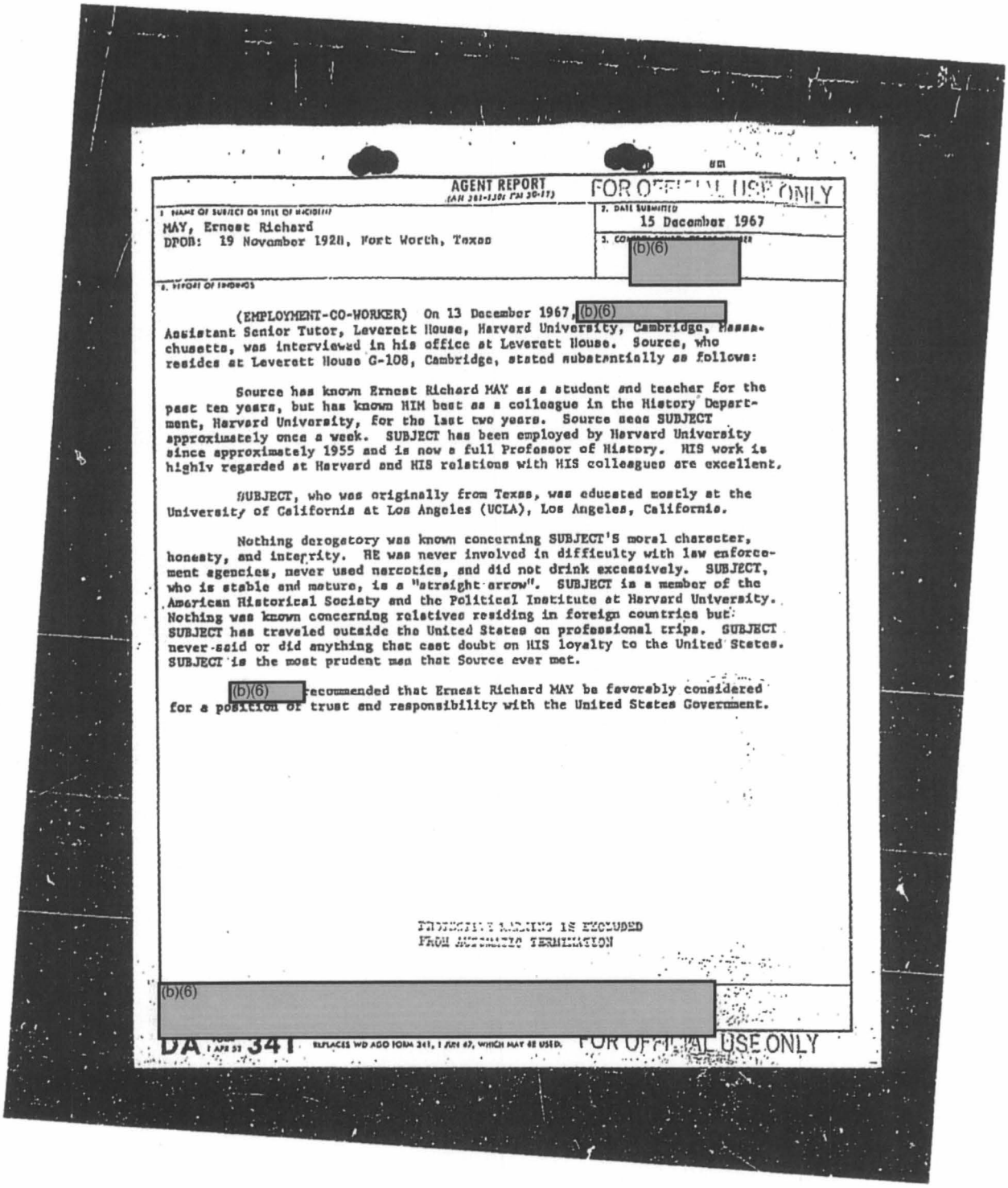

AGENT REPORT
(AR 381-130; FM 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1920, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. COMMAND ... OF CASE NUMBER
(b)(6)

4. REPORT OF FINDINGS

(EMPLOYMENT-CO-WORKER) On 13 December 1967, (b)(6) Assistant Senior Tutor, Leverett House, Harvard University, Cambridge, Massachusetts, was interviewed in his office at Leverett House. Source, who resides at Leverett House G-108, Cambridge, stated substantially as follows:

Source has known Ernest Richard MAY as a student and teacher for the past ten years, but has known HIM best as a colleague in the History Department, Harvard University, for the last two years. Source sees SUBJECT approximately once a week. SUBJECT has been employed by Harvard University since approximately 1955 and is now a full Professor of History. HIS work is highly regarded at Harvard and HIS relations with HIS colleagues are excellent.

SUBJECT, who was originally from Texas, was educated mostly at the University of California at Los Angeles (UCLA), Los Angeles, California.

Nothing derogatory was known concerning SUBJECT'S moral character, honesty, and integrity. HE was never involved in difficulty with law enforcement agencies, never used narcotics, and did not drink excessively. SUBJECT, who is stable and mature, is a "straight arrow". SUBJECT is a member of the American Historical Society and the Political Institute at Harvard University. Nothing was known concerning relatives residing in foreign countries but SUBJECT has traveled outside the United States on professional trips. SUBJECT never said or did anything that cast doubt on HIS loyalty to the United States. SUBJECT is the most prudent man that Source ever met.

(b)(6) recommended that Ernest Richard MAY be favorably considered for a position of trust and responsibility with the United States Government.

PRECEDENCE MARKING IS EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

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AGENT REPORT
(AR 381-130; FM 30-17)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
(b)(6)

4. REPORT OF FINDINGS

(LISTED CHARACTER REFERENCE) On 13 December 1967, (b)(6) Professor of History and Dean of the Faculty of Arts and Sciences, Harvard University, Cambridge, Massachusetts, was interviewed in his office, University Hall, Harvard University, Cambridge. Source, who resides at (b)(6) (b)(6) stated substantially as follows:

Source has known Ernest Richard MAY since 1954 or 1955 when HE was interviewed for a job with the History Department, Harvard University. Since that time Source has served on committees with SUBJECT and has been a colleague and good personal friend. SUBJECT and Source see each other frequently.

SUBJECT, who is married and has three children, lives at 68 Snake Hill Road, Belmont, Massachusetts. HE was born in Texas, and received HIS education at the University of California at Los Angeles (UCLA), Los Angeles, California. SUBJECT served with the United States Navy before coming to Harvard University. HE is an outstanding member of the Harvard History Department who has produced such works as American Isolation in WWI, Imperial Republic, and a volume in Life magazine's "Life's History of the U.S."

SUBJECT'S moral character, honesty and integrity are excellent. HE was never in difficulty with law enforcement agencies, never used narcotics, did not drink excessively, and was not financially irresponsible. SUBJECT has no serious emotional problems; rather HE has a steady and unemotional character. SUBJECT has traveled outside the United States many times to Latin America, Europe, Madrid, and Vienna, in relation to HIS professional studies. Any relatives that SUBJECT might have residing in foreign countries were unknown. SUBJECT is a member of the American Historical Society, but no other memberships were known. Source would be very surprised if SUBJECT belonged to any political organizations. SUBJECT, who never said or did anything that would cast doubt on HIS loyalty to the United States, was not a member or adherent of any radical or revolutionary group or movement.

(b)(6) recommended that Ernest Richard MAY be favorably considered for a position of trust and responsibility with the United States Government.

PROTECTED INFORMATION
FROM AUTOMATIC TERMINATION

(b)(6)

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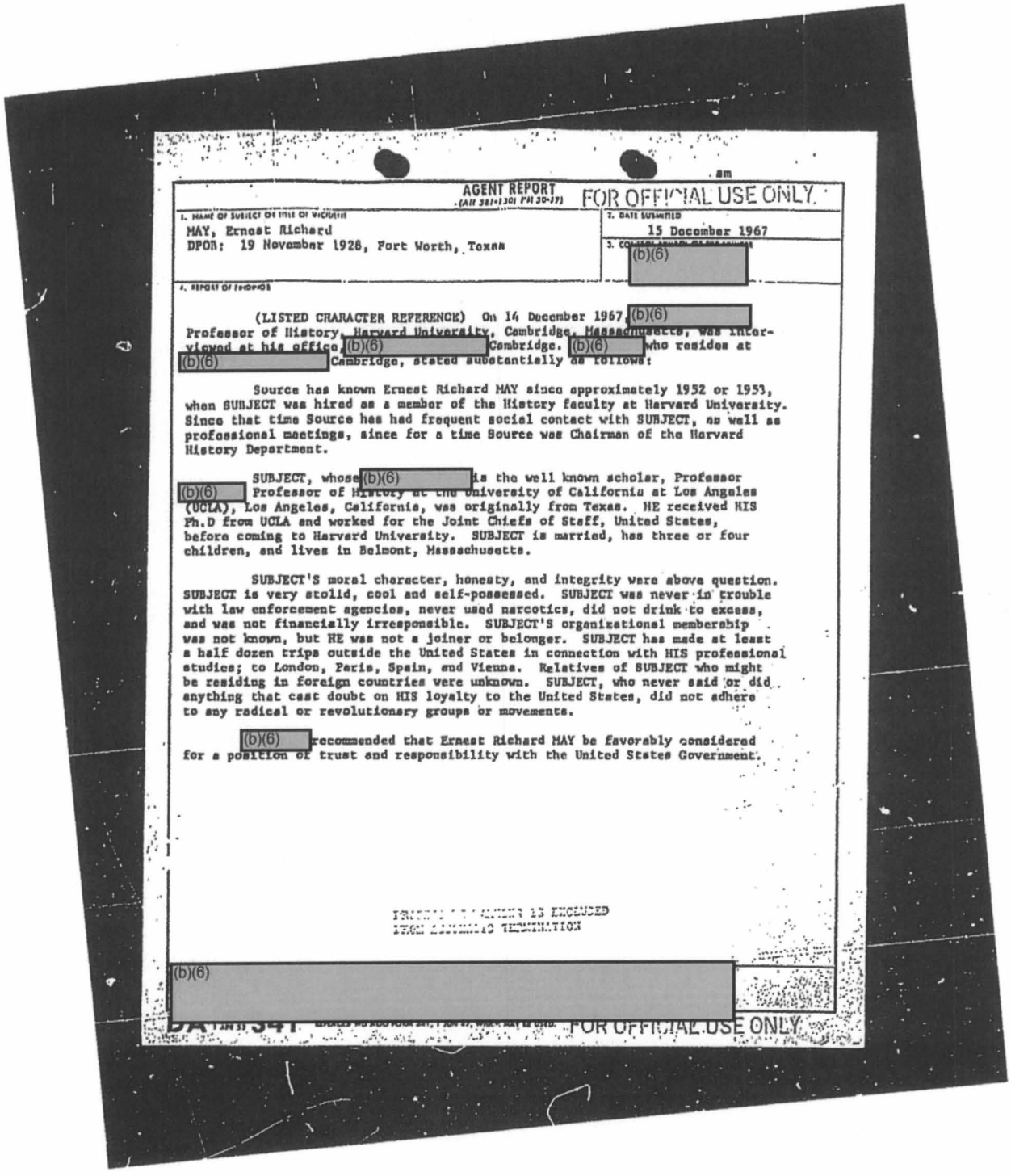

AGENT REPORT
(All 381-1301 PH 30-37)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INVESTIGATION
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. (b)(6)

4. REPORT OF INTERVIEW

(LISTED CHARACTER REFERENCE) On 14 December 1967, (b)(6) Professor of History, Harvard University, Cambridge, Massachusetts, was interviewed at his office, (b)(6) Cambridge. (b)(6) who resides at (b)(6) Cambridge, stated substantially as follows:

Source has known Ernest Richard MAY since approximately 1952 or 1953, when SUBJECT was hired as a member of the History faculty at Harvard University. Since that time Source has had frequent social contact with SUBJECT, as well as professional meetings, since for a time Source was Chairman of the Harvard History Department.

SUBJECT, whose (b)(6) is the well known scholar, Professor (b)(6) Professor of History at the University of California at Los Angeles (UCLA), Los Angeles, California, was originally from Texas. HE received HIS Ph.D from UCLA and worked for the Joint Chiefs of Staff, United States, before coming to Harvard University. SUBJECT is married, has three or four children, and lives in Belmont, Massachusetts.

SUBJECT'S moral character, honesty, and integrity were above question. SUBJECT is very stolid, cool and self-possessed. SUBJECT was never in trouble with law enforcement agencies, never used narcotics, did not drink to excess, and was not financially irresponsible. SUBJECT'S organizational membership was not known, but HE was not a joiner or belonger. SUBJECT has made at least a half dozen trips outside the United States in connection with HIS professional studies; to London, Paris, Spain, and Vienna. Relatives of SUBJECT who might be residing in foreign countries were unknown. SUBJECT, who never said or did anything that cast doubt on HIS loyalty to the United States, did not adhere to any radical or revolutionary groups or movements.

(b)(6) recommended that Ernest Richard MAY be favorably considered for a position of trust and responsibility with the United States Government.

[illegible] IS ENCLOSED
[illegible] TERMINATION

(b)(6)

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AGENT REPORT (AR 381-130) FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1920, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
7321 6506 (b)(6)

4. REPORT OF INVESTIGATION

(DEVELOPED CHARACTER REFERENCE) On 15 December 1967, (b)(6) Professor of History, Harvard University, Cambridge, Massachusetts, was interviewed in his office, (b)(6) Source, who resides at (b)(6) Cambridge, stated substantially as follows:

Source has known Ernest Richard MAY since HE has been employed by the History Department, Harvard, in 1954. Source sees SUBJECT on a frequent and continuous basis both professionally and socially.

SUBJECT, whose work at Harvard has been outstanding, was originally from somewhere in the Southwest United States. HE was probably educated at the University of California at Los Angeles (UCLA), Los Angeles, California. HE was associated with the United States Joint Chiefs of Staff before coming to Harvard. Nothing further was known concerning HIS background.

Nothing derogatory was known concerning SUBJECT'S moral character, honesty, or integrity. HE was never in trouble with law enforcement agencies, never used narcotics, did not drink excessively, and was not financially irresponsible. SUBJECT'S organizational membership and relatives living in foreign countries were unknown. SUBJECT traveled to England and the Continent on HIS professional research. HE also traveled to Latin America. SUBJECT never said or did anything that cast doubt on HIS loyalty to the United States, nor did HE adhere to any radical or revolutionary groups or movements.

(b)(6) recommended MAY for a position of trust and responsibility with the United States Government.

PROTECTIVE MARKING IS EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

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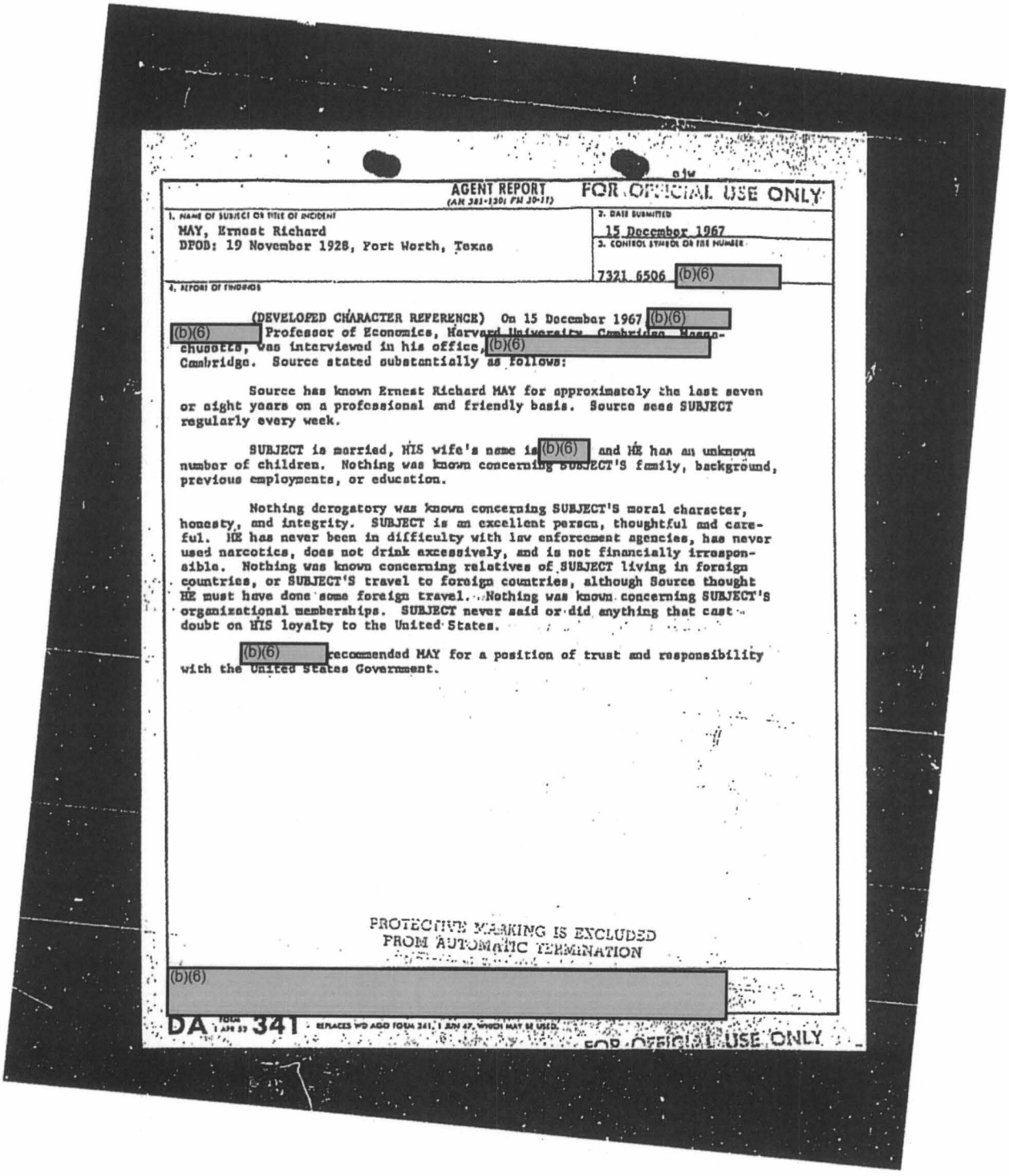

AGENT REPORT (AR 381-130) FM 30-17)

FOR OFFICIAL USE ONLY

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MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
15 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
7321 6506 (b)(6)

4. REPORT OF FINDINGS

(DEVELOPED CHARACTER REFERENCE) On 15 December 1967 (b)(6) (b)(6) Professor of Economics, Harvard University, Cambridge, Massachusetts, was interviewed in his office, (b)(6) Cambridge. Source stated substantially as follows:

Source has known Ernest Richard MAY for approximately the last seven or eight years on a professional and friendly basis. Source sees SUBJECT regularly every week.

SUBJECT is married, HIS wife's name is (b)(6) and HE has an unknown number of children. Nothing was known concerning SUBJECT'S family, background, previous employments, or education.

Nothing derogatory was known concerning SUBJECT'S moral character, honesty, and integrity. SUBJECT is an excellent person, thoughtful and careful. HE has never been in difficulty with law enforcement agencies, has never used narcotics, does not drink excessively, and is not financially irresponsible. Nothing was known concerning relatives of SUBJECT living in foreign countries, or SUBJECT'S travel to foreign countries, although Source thought HE must have done some foreign travel. Nothing was known concerning SUBJECT'S organizational memberships. SUBJECT never said or did anything that cast doubt on HIS loyalty to the United States.

(b)(6) recommended MAY for a position of trust and responsibility with the United States Government.

PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

(b)(6)

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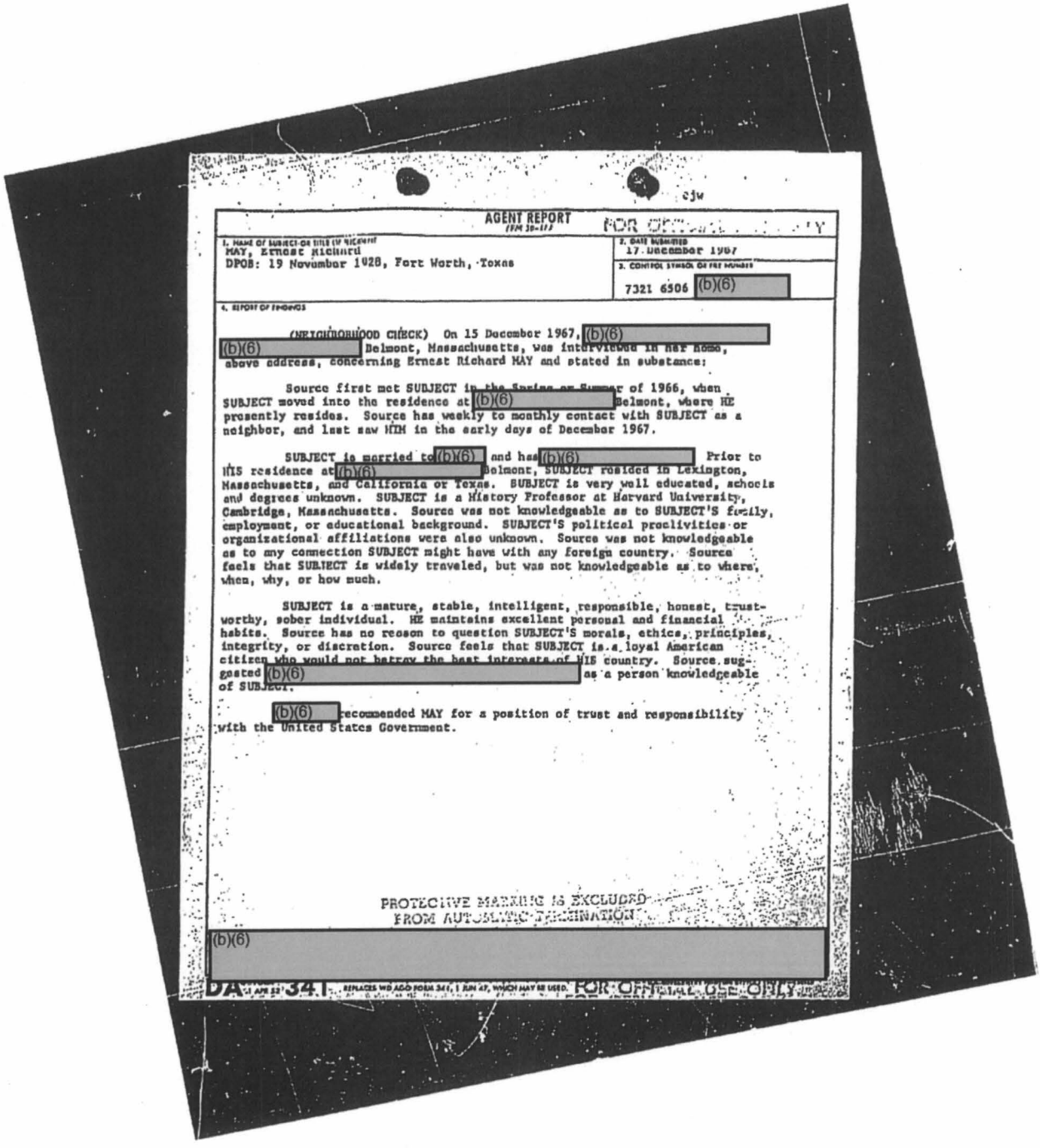

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AGENT REPORT

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
17 December 1967

3. CONTROL SYMBOL OR FBI NUMBER
7321 6506 (b)(6)

4. REPORT OF FINDINGS

(NEIGHBORHOOD CHECK) On 15 December 1967, (b)(6) (b)(6) Belmont, Massachusetts, was interviewed in her home, above address, concerning Ernest Richard MAY and stated in substance:

Source first met SUBJECT in the Spring or Summer of 1966, when SUBJECT moved into the residence at (b)(6) Belmont, where HE presently resides. Source has weekly to monthly contact with SUBJECT as a neighbor, and last saw HIM in the early days of December 1967.

SUBJECT is married to (b)(6) and has (b)(6) Prior to HIS residence at (b)(6) Belmont, SUBJECT resided in Lexington, Massachusetts, and California or Texas. SUBJECT is very well educated, schools and degrees unknown. SUBJECT is a History Professor at Harvard University, Cambridge, Massachusetts. Source was not knowledgeable as to SUBJECT'S family, employment, or educational background. SUBJECT'S political proclivities or organizational affiliations were also unknown. Source was not knowledgeable as to any connection SUBJECT might have with any foreign country. Source feels that SUBJECT is widely traveled, but was not knowledgeable as to where, when, why, or how much.

SUBJECT is a mature, stable, intelligent, responsible, honest, trustworthy, sober individual. HE maintains excellent personal and financial habits. Source has no reason to question SUBJECT'S morals, ethics, principles, integrity, or discretion. Source feels that SUBJECT is a loyal American citizen who would not betray the best interests of HIS country. Source suggested (b)(6) as a person knowledgeable of SUBJECT.

(b)(6) recommended MAY for a position of trust and responsibility with the United States Government.

PROTECTIVE MARKING IS EXCLUDED FROM AUTOMATIC TERMINATION

(b)(6)

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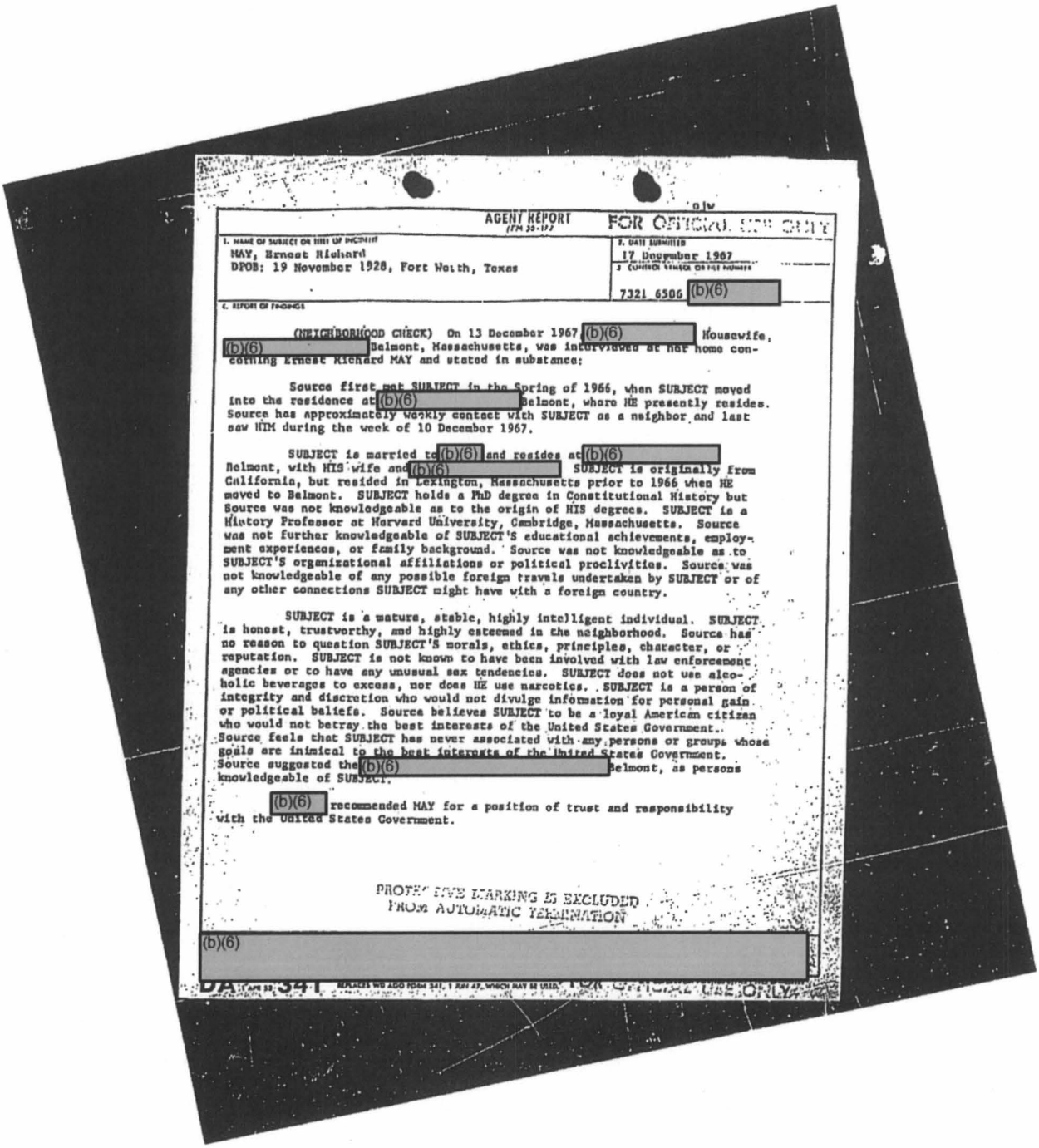

AGENT REPORT
(FM 30-187)

FOR OFFICIAL USE ONLY

1. NAME OF SUBJECT OR TITLE OF INCIDENT
MAY, Ernest Richard
DPOB: 19 November 1928, Fort Worth, Texas

2. DATE SUBMITTED
17 December 1967

3. CONTROL SYMBOL OR FILE NUMBER
7321 6506 (b)(6)

4. REPORT OF FINDINGS

(NEIGHBORHOOD CHECK) On 13 December 1967 (b)(6) Housewife, (b)(6) Belmont, Massachusetts, was interviewed at her home concerning Ernest Richard MAY and stated in substance:

Source first met SUBJECT in the Spring of 1966, when SUBJECT moved into the residence at (b)(6) Belmont, where HE presently resides. Source has approximately weekly contact with SUBJECT as a neighbor and last saw HIM during the week of 10 December 1967.

SUBJECT is married to (b)(6) and resides at (b)(6) Belmont, with HIS wife and (b)(6) SUBJECT is originally from California, but resided in Lexington, Massachusetts prior to 1966 when HE moved to Belmont. SUBJECT holds a PhD degree in Constitutional History but Source was not knowledgeable as to the origin of HIS degrees. SUBJECT is a History Professor at Harvard University, Cambridge, Massachusetts. Source was not further knowledgeable of SUBJECT'S educational achievements, employment experiences, or family background. Source was not knowledgeable as to SUBJECT'S organizational affiliations or political proclivities. Source was not knowledgeable of any possible foreign travels undertaken by SUBJECT or of any other connections SUBJECT might have with a foreign country.

SUBJECT is a mature, stable, highly intelligent individual. SUBJECT is honest, trustworthy, and highly esteemed in the neighborhood. Source has no reason to question SUBJECT'S morals, ethics, principles, character, or reputation. SUBJECT is not known to have been involved with law enforcement agencies or to have any unusual sex tendencies. SUBJECT does not use alcoholic beverages to excess, nor does HE use narcotics. SUBJECT is a person of integrity and discretion who would not divulge information for personal gain or political beliefs. Source believes SUBJECT to be a loyal American citizen who would not betray the best interests of the United States Government. Source feels that SUBJECT has never associated with any persons or groups whose goals are inimical to the best interests of the United States Government. Source suggested the (b)(6) Belmont, as persons knowledgeable of SUBJECT.

(b)(6) recommended MAY for a position of trust and responsibility with the United States Government.

PROTECTIVE MARKING IS EXCLUDED
FROM AUTOMATIC TERMINATION

(b)(6)

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DOD NAC Center
P.O. BOX 4
Ft Holabird, Md. 21219

FBI-S

OCT 23 1957

DEPARTMENT OF DEFENSE
PERSONNEL SECURITY QUESTIONNAIRE

DATE OCT 11 1957

INSTRUCTIONS: Five (5) copies of accomplished form will be submitted for U. S. Citizens by the Contractor when investigation for clearance by a military department is required. TYPE OR PRINT ALL ANSWERS. If more space is required, attach additional sheets, identifying by corresponding block number. PAGE WILL NOT BE ACCEPTED UNLESS COMPLETELY AND PROPERLY EXECUTED. Questions which are not applicable will be answered "None".

NOTICE - PENALTY FOR MISREPRESENTATION: Failure to answer all questions truthfully and completely may delay or prevent a favorable clearance, or be considered false, or fraudulent statement or representation in the event of Defense prosecution. In addition, Title 18 United States Code 1001 makes it a criminal offense punishable by a maximum of 5 years' imprisonment, $10,000 fine or both, knowingly and willfully to make a false statement or representation to any department or agency of the United States as to any matter within the jurisdiction of any Department or Agency of the United States. Title 50 United States Code 783 (b) makes it unlawful for any officer or employee of the United States knowingly and willfully to make any false statement or misrepresentation in applying for a position in the Government. Title 18 United States Code 1001 makes it unlawful to knowingly and willfully make any false statement or misrepresentation to any department or agency of the United States as to any matter within its jurisdiction. Title 28 United States Code 1621 makes it punishable by a fine of not more than $2,000 or imprisonment for not more than five years, or both, to willfully swear falsely. Any person who is a citizen of the United States shall be liable to a fine of not more than $1,000 or imprisonment for not more than one year, or both, for willfully failing to appear or refusing to testify or to produce books, papers, documents, records or copies thereof when duly subpoenaed in any case, matter or proceeding before any department or agency of the United States.

TO BE COMPLETED BY EMPLOYER

TO: Defense Industrial Security Clearance Office
Defense Supply Agency
Columbus, Ohio 43215

The RAND Corporation
1700 Main Street
Santa Monica, Calif. 90406

Consultant-contractor in Social Science Dept. to do research on problems of international security, relations among states, and formulations of national security pol... Subj. will require access to classified defense inf... of clearance requested in the regular perfo...

F44620-67-C-0045

(b)(6)

MAY, Ernest Richard

None

19 Nov. 1928 Fort Worth, (b)(6)

Married	Male	6'1"	170	Blue	Brown

YEARS FROM	TO			
6/47	8/47	University of California, Berkeley, Calif.	X		
2/49	6/51	University of California at L.A., 405 Hilgard Avenue, Los Angeles, Calif.	X		Ph.D.
2/48	2/49	Same as above	X		M.A.
6/47	2/48	Same as above	X		A.B.
2/46	6/47	University of Texas, Austin, Texas		X	
6/45	2/46	University of Calif. at L.A., Los Angeles		X	
9/44	2/45	Loyola University, Los Angeles, California		X	
9/41	6/44	Peschal HighSchool, Fort Worth, Texas	X		

IX

This reply is result of check of FBI

Investigative files to check against

FBI Identification Division

prints are necessary for posting check

S-3 DNA

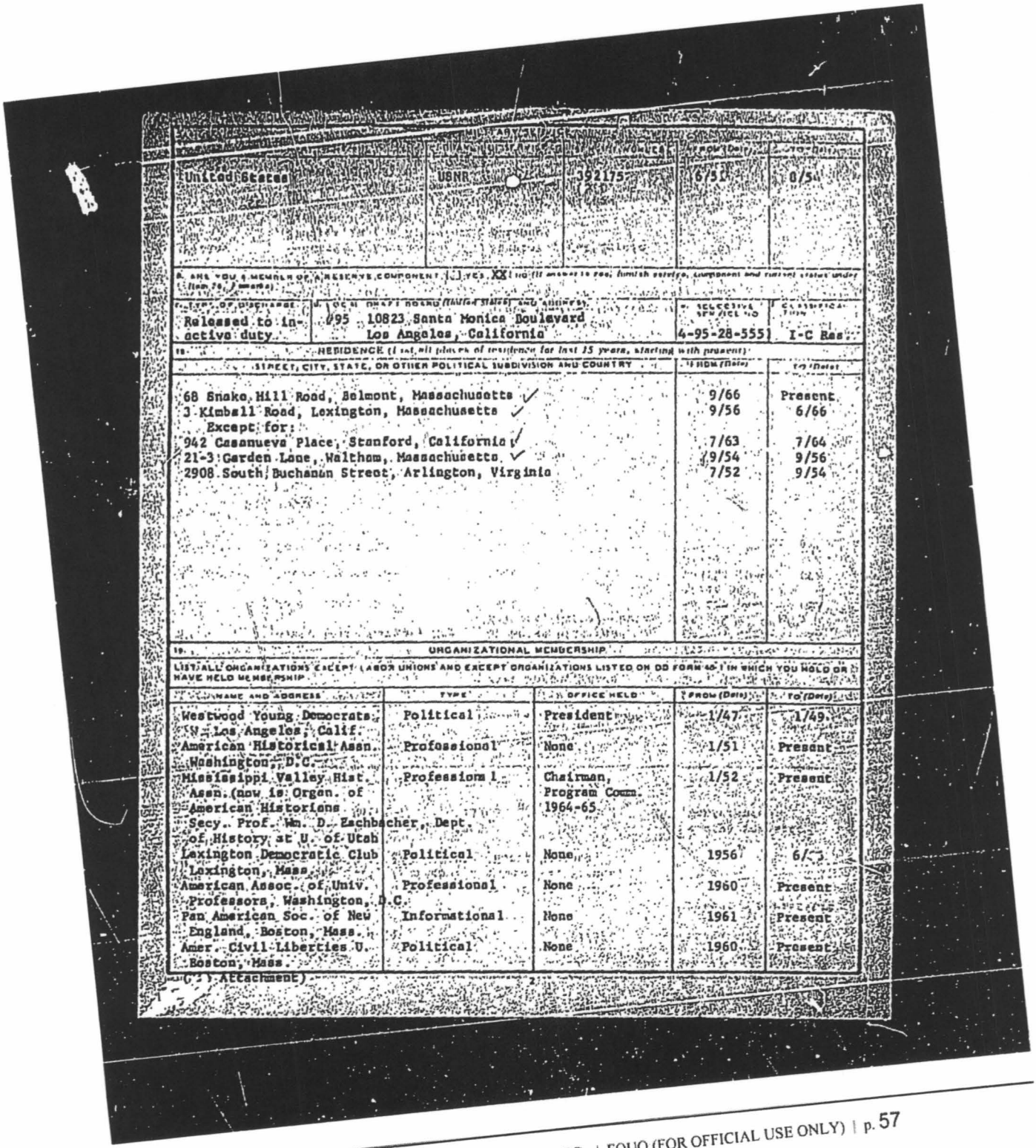
DNA

DNA

291-1241

DD FORM 48

BEST AVAILABLE IMAGE



United States	USNR	392175	6/51	0/54

A. ARE YOU A MEMBER OF A RESERVE COMPONENT? ☐ YES XX NO (If answer is yes, furnish service, component and current status under Item 16.) (Month, Day, Year)

TYPE OF DISCHARGE	LOCAL DRAFT BOARD (Number, street, city, State, and ZIP code)	SELECTIVE SERVICE NO.	CLASSIFICATION
Released to inactive duty	095 10823 Santa Monica Boulevard Los Angeles, California	4-95-28-555	I-C Res.

18. RESIDENCE (List all places of residence for last 15 years, starting with present)

STREET, CITY, STATE, OR OTHER POLITICAL SUBDIVISION AND COUNTRY	FROM (Date)	TO (Date)
68 Snake Hill Road, Belmont, Massachusetts ✓	9/66	Present
3 Kimball Road, Lexington, Massachusetts ✓	9/56	6/66
Except for:		
942 Casanueva Place, Stanford, California ✓	7/63	7/64
21-3 Garden Lane, Waltham, Massachusetts ✓	9/54	9/56
2908 South Buchanan Street, Arlington, Virginia	7/52	9/54

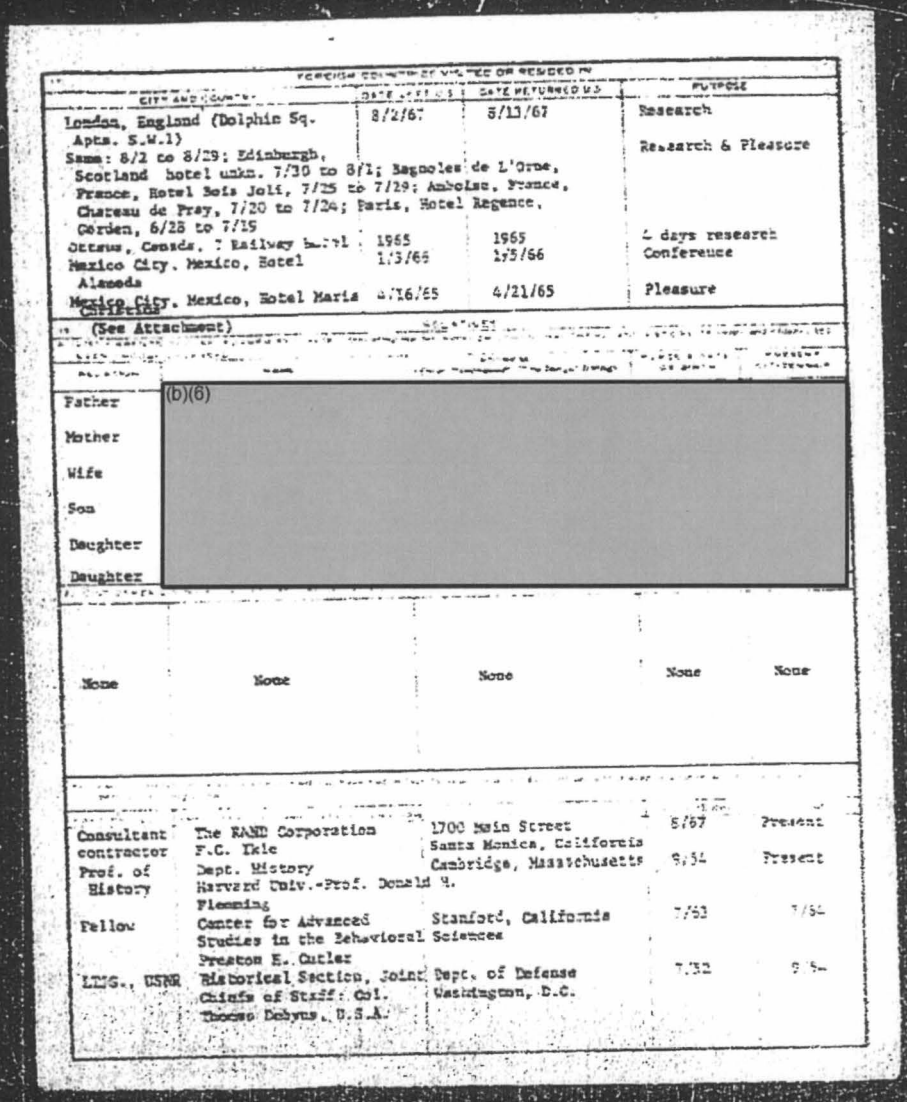
19. ORGANIZATIONAL MEMBERSHIP

LIST ALL ORGANIZATIONS (EXCEPT LABOR UNIONS AND EXCEPT ORGANIZATIONS LISTED ON DD FORM 98-1) IN WHICH YOU HOLD OR HAVE HELD MEMBERSHIP

NAME AND ADDRESS	TYPE	OFFICE HELD	FROM (Date)	TO (Date)
Westwood Young Democrats, W. Los Angeles, Calif.	Political	President	1/47	1/49
American Historical Assn. Washington, D.C.	Professional	None	1/51	Present
Mississippi Valley Hist. Assn. (now is Organ. of American Historians Secy. Prof. Wm. D. Zachbacher, Dept. of History at U. of Utah	Professional	Chairman, Program Comm. 1964-65	1/52	Present
Lexington Democratic Club Lexington, Mass.	Political	None	1956	6/[illegible]
American Assoc. of Univ. Professors, Washington, D.C.	Professional	None	1960	Present
Pan American Soc. of New England, Boston, Mass.	Informational	None	1961	Present
Amer. Civil Liberties U. Boston, Mass.	Political	None	1960	Present

(21 Attachment)

BEST IMAGE AVAILABLE



CITY AND COUNTRY	DATE DEPARTED U.S.	DATE RETURNED U.S.	PURPOSE
London, England (Dolphin Sq. Apts. S.W.1)	8/2/67	8/13/67	Research
Same: 8/2 to 8/29; Edinburgh, Scotland hotel unkn. 7/30 to 8/1; Segnoles de L'Orne, France, Hotel Bois Joli, 7/25 to 7/29; Amboise, France, Chateau de Pray, 7/20 to 7/24; Paris, Hotel Regence, Gorden, 6/28 to 7/19			Research & Pleasure
Ottawa, Canada, [illegible] Railway Hotel	1965	1965	4 days research
Mexico City, Mexico, Hotel Alameda	1/3/66	1/5/66	Conference
Mexico City, Mexico, Hotel Maria Cristina	4/16/65	4/21/65	Pleasure

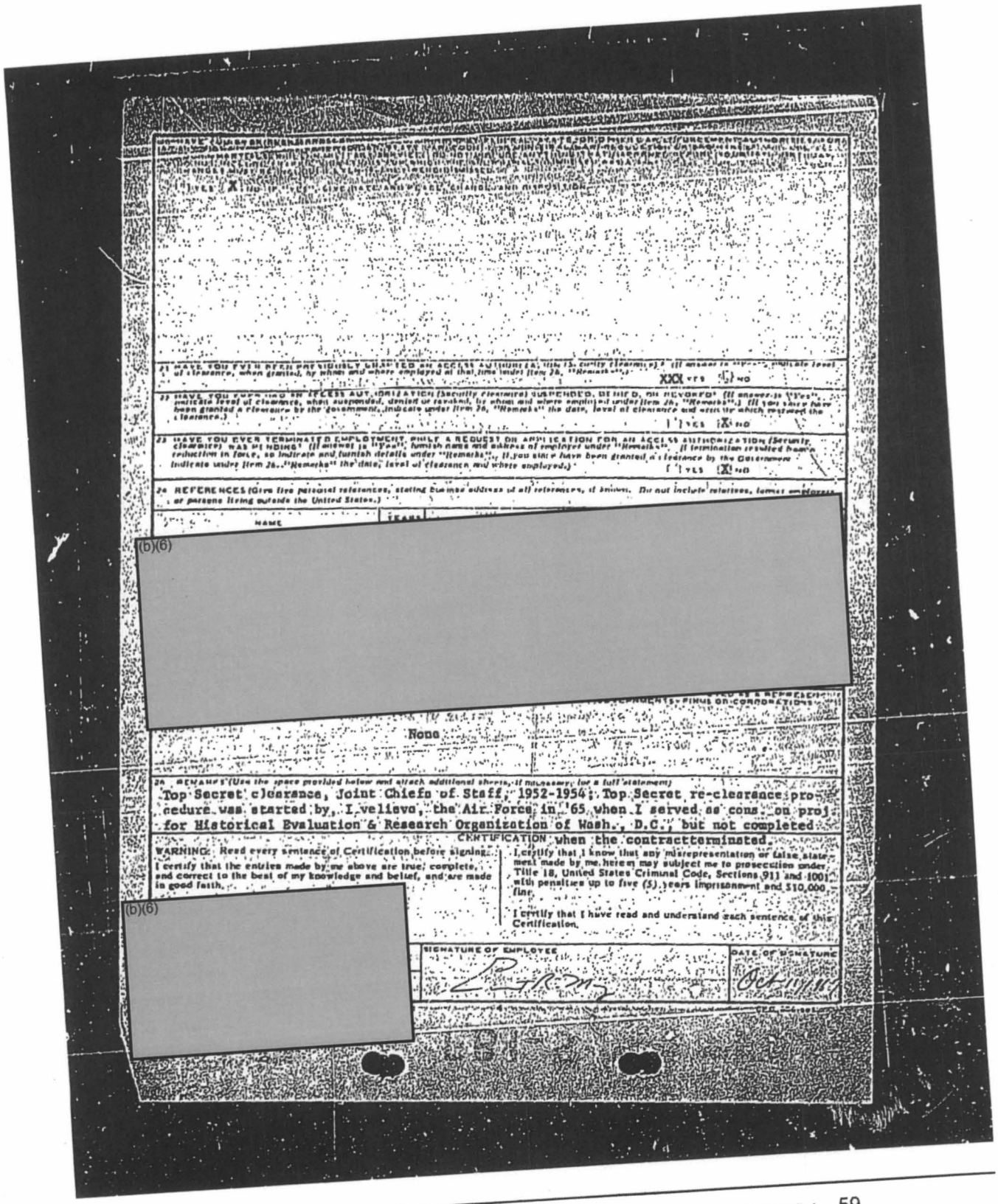
(See Attachment)

Father	(b)(6)
Mother	
Wife	
Son	
Daughter	
Daughter	

None	None	None	None	None

Consultant contractor	The RAND Corporation F.C. Ikle	1700 Main Street Santa Monica, California	8/67	Present
Prof. of History	Dept. History Harvard Univ.-Prof. Donald G. Fleming	Cambridge, Massachusetts	9/54	Present
Fellow	Center for Advanced Studies in the Behavioral Sciences Preston E. Cutler	Stanford, California	7/61	7/62
LTJG., USNR	Historical Section, Joint Chiefs of Staff: Col. Thomas Dobyns, U.S.A.	Dept. of Defense Washington, D.C.	7/32	9/5-

BEST AVAILABLE IMAGE

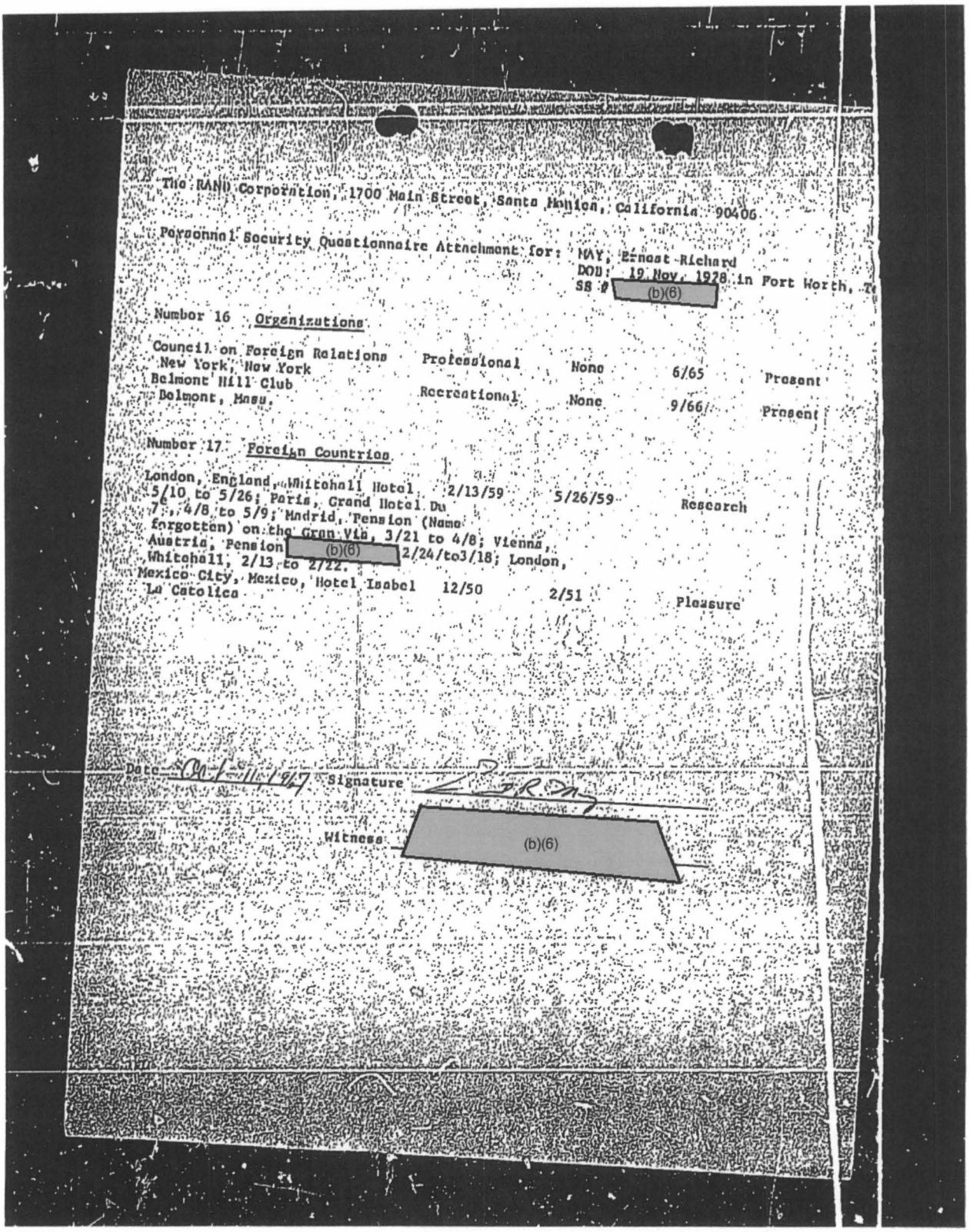


(b)(6)

None

Top Secret clearance, Joint Chiefs of Staff, 1952-1954; Top Secret re-clearance procedure was started by, I believe, the Air Force in '65 when I served as cons. on proj. for Historical Evaluation & Research Organization of Wash., D.C., but not completed when the contract terminated.

(b)(6)



The RAND Corporation, 1700 Main Street, Santa Monica, California 90406

Personnel Security Questionnaire Attachment for: MAY, Ernest Richard
DOB: 19 Nov. 1928 in Fort Worth, Te
SS #: (b)(6)

Number 16 Organizations

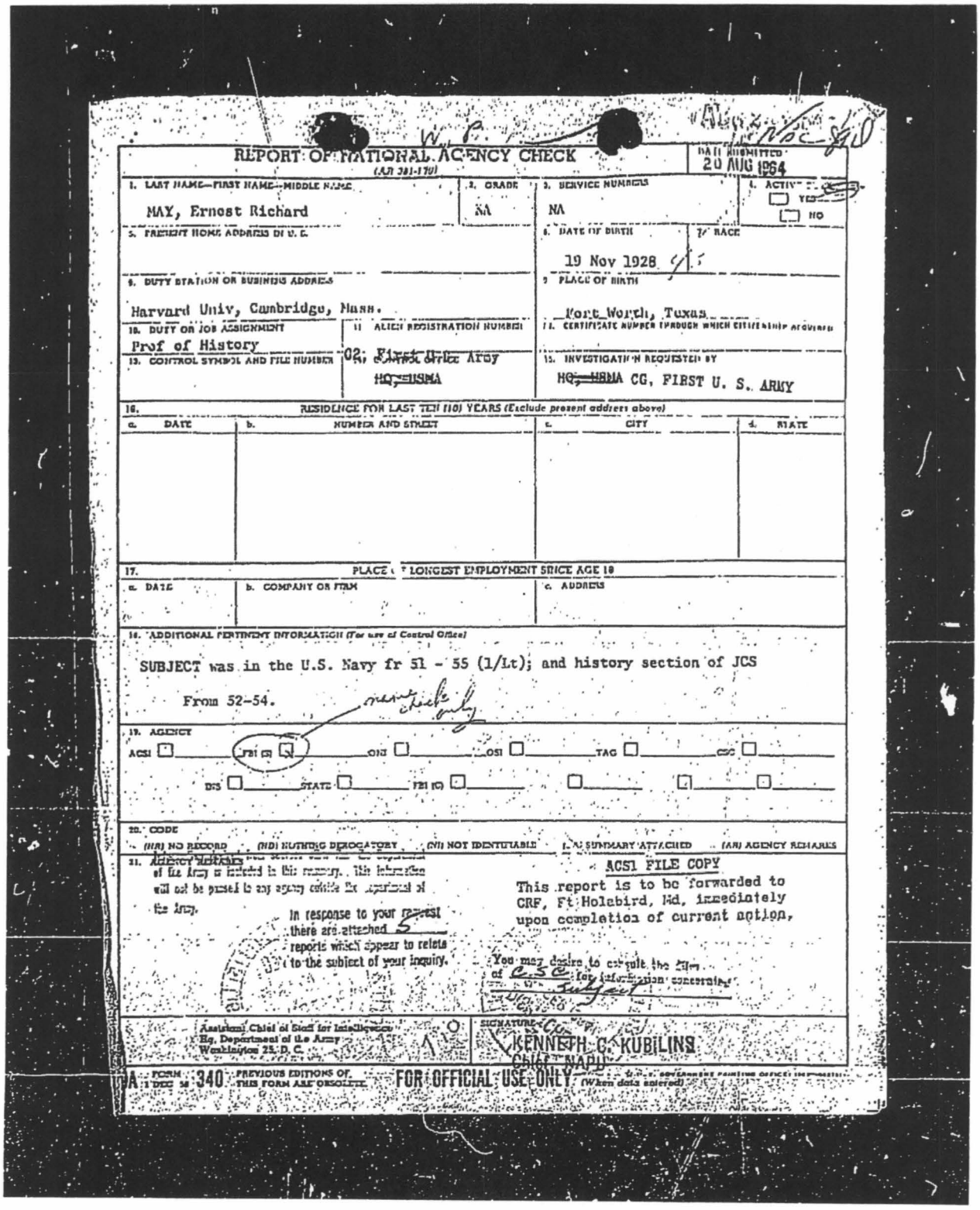
Council on Foreign Relations New York, New York	Professional	None	6/65	Present
Belmont Hill Club Belmont, Mass.	Recreational	None	9/66	Present

Number 17 Foreign Countries

London, England, Whitehall Hotel 5/10 to 5/26; Paris, Grand Hotel Du 7, 4/8 to 5/9; Madrid, Pension (Name forgotten) on the Gran Via, 3/21 to 4/8; Vienna, Austria, Pension (b)(6) 2/24/to3/18; London, Whitehall, 2/13 to 2/22.	2/13/59	5/26/59	Research
Mexico City, Mexico, Hotel Isabel La Catolica	12/50	2/51	Pleasure

Date 20 Jan 1967 Signature

Witness (b)(6)



REPORT OF NATIONAL AGENCY CHECK

(AR 381-130)

DATE SUBMITTED: 20 AUG 1964

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE	3. SERVICE NUMBER	4. ACTIVE
MAY, Ernest Richard	NA	NA	YES / NO

5. PRESENT HOME ADDRESS OR U. S.	6. DATE OF BIRTH	7. RACE
	19 Nov 1928	

8. DUTY STATION OR BUSINESS ADDRESS	9. PLACE OF BIRTH
Harvard Univ, Cambridge, Mass.	Fort Worth, Texas

10. DUTY OR JOB ASSIGNMENT	11. ALIEN REGISTRATION NUMBER	12. CERTIFICATE NUMBER THROUGH WHICH CITIZENSHIP ACQUIRED
Prof of History		

13. CONTROL SYMBOL AND FILE NUMBER	14. INVESTIGATION REQUESTED BY
02; ~~First Army~~ HQ, USMA	~~HQ, USMA~~ CG, FIRST U. S. ARMY

16. RESIDENCE FOR LAST TEN (10) YEARS (Exclude present address above)

a. DATE	b. NUMBER AND STREET	c. CITY	d. STATE

17. PLACE OF LONGEST EMPLOYMENT SINCE AGE 18

a. DATE	b. COMPANY OR FIRM	c. ADDRESS

18. ADDITIONAL PERTINENT INFORMATION (For use of Control Office)

SUBJECT was in the U.S. Navy fr 51 - 55 (1/Lt); and history section of JCS

From 52-54.

19. AGENCY

ACSI ☐ ____ FBI (2) ☑ ____ ONI ☐ ____ OSI ☐ ____ TAG ☐ ____ CIC ☐ ____

DIS ☐ ____ STATE ☐ ____ FBI (2) ☐ ____ ☐ ____ ☐ ____ ☐ ____

20. CODE

(NR) NO RECORD (NID) NOTHING DEROGATORY (NI) NOT IDENTIFIABLE (S) SUMMARY ATTACHED (AR) AGENCY REMARKS

21. Agency Remarks

of the Army is included in this summary. The information will not be passed to any agency outside the Department of the Army.

In response to your request there are attached 5 reports which appear to relate to the subject of your inquiry.

ACSI FILE COPY

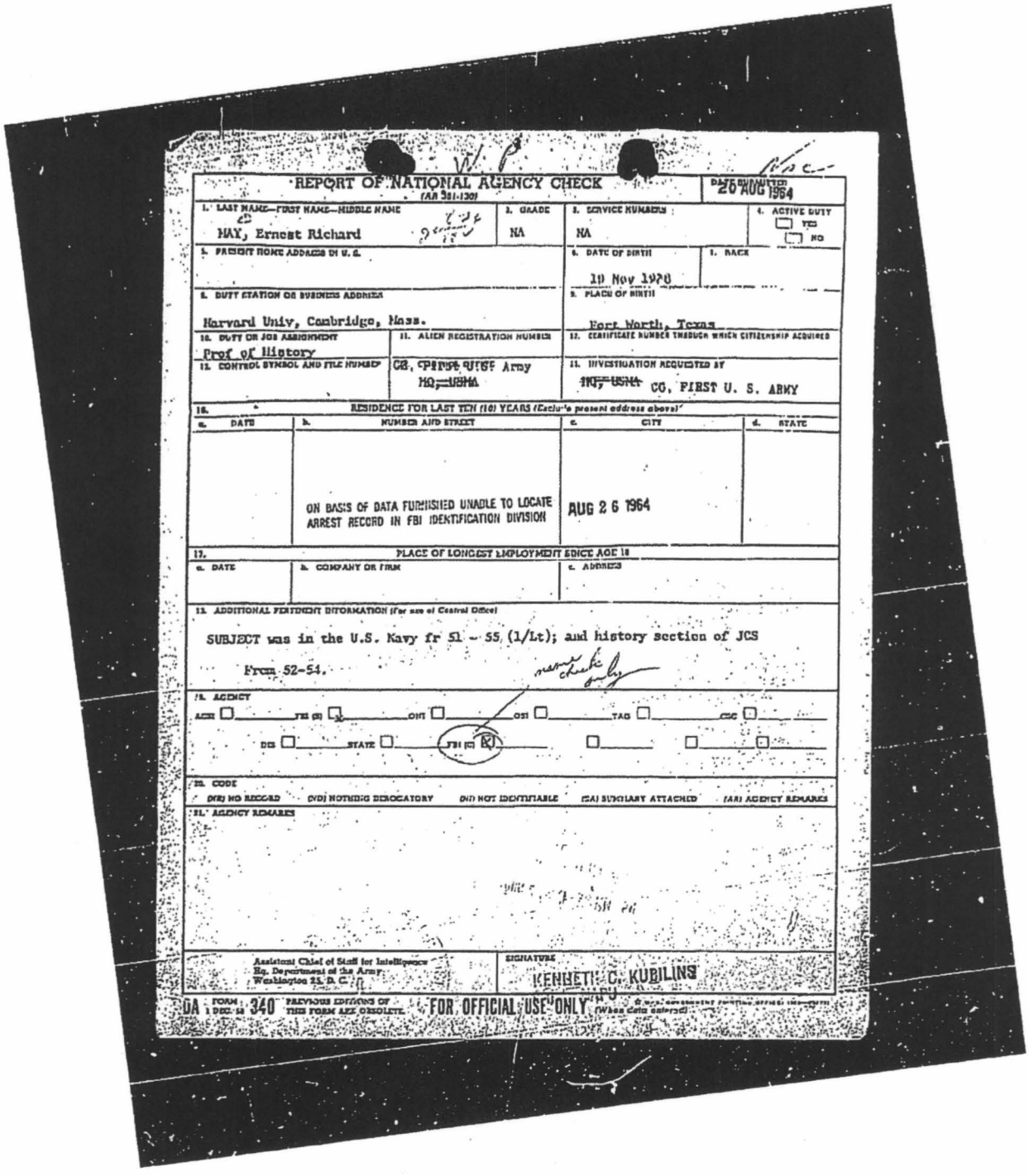
This report is to be forwarded to CRF, Ft Holabird, Md, immediately upon completion of current action.

You may desire to consult the files of C.S.C. for information concerning subject

Assistant Chief of Staff for Intelligence
Hq, Department of the Army
Washington 25, D. C.

SIGNATURE: KENNETH C. KUBILINS
Chief, NAPU

FORM 1 DEC 58 340 PREVIOUS EDITIONS OF THIS FORM ARE OBSOLETE. FOR OFFICIAL USE ONLY (When data entered)



REPORT OF NATIONAL AGENCY CHECK
(AR 381-130)

DATE SUBMITTED: 20 AUG 1964

1. LAST NAME—FIRST NAME—MIDDLE NAME	2. GRADE	3. SERVICE NUMBER	4. ACTIVE DUTY
MAY, Ernest Richard	NA	NA	☐ YES ☐ NO

5. PRESENT HOME ADDRESS OR U. S.	6. DATE OF BIRTH	7. RACE
	19 Nov 1928	

8. DUTY STATION OR BUSINESS ADDRESS	9. PLACE OF BIRTH
Harvard Univ, Cambridge, Mass.	Fort Worth, Texas

10. DUTY OR JOB ASSIGNMENT	11. ALIEN REGISTRATION NUMBER	12. CERTIFICATE NUMBER THROUGH WHICH CITIZENSHIP ACQUIRED
Prof of History		

13. CONTROL SYMBOL AND FILE NUMBER	14. INVESTIGATION REQUESTED BY
CG, First USF Army HQ, USMA	~~HQ, USMA~~ CO, FIRST U. S. ARMY

16. RESIDENCE FOR LAST TEN (10) YEARS (Exclude present address above)

a. DATE	b. NUMBER AND STREET	c. CITY	d. STATE
	ON BASIS OF DATA FURNISHED UNABLE TO LOCATE ARREST RECORD IN FBI IDENTIFICATION DIVISION	AUG 26 1964	

17. PLACE OF LONGEST EMPLOYMENT SINCE AGE 18

a. DATE	b. COMPANY OR FIRM	c. ADDRESS

18. ADDITIONAL PERTINENT INFORMATION (For use of Central Office)

SUBJECT was in the U.S. Navy fr 51 - 55 (1/Lt); and history section of JCS From 52-54.

name check only

19. AGENCY

ACSI ☐ ____ FBI (2) ☒ ____ ONI ☐ ____ OSI ☐ ____ TAG ☐ ____ CSC ☐ ____

DIS ☐ ____ STATE ☐ ____ FBI (2) ☒ ____ ☐ ____ ☐ ____ ☐ ____

20. CODE

(NR) NO RECORD (ND) NOTHING DEROGATORY (NI) NOT IDENTIFIABLE (SA) SUMMARY ATTACHED (AR) AGENCY REMARKS

21. AGENCY REMARKS

Assistant Chief of Staff for Intelligence
Hq. Department of the Army
Washington 25, D. C.

SIGNATURE: KENNETH C. KUBILINS

DA FORM 1 DEC 58 340 PREVIOUS EDITIONS OF THIS FORM ARE OBSOLETE. FOR OFFICIAL USE ONLY

ONAV-5511-1/0 (Rev. 4-49)

UNITED STATES NAVAL INTELLIGENCE FOR OFFICIAL USE ONLY

INVESTIGATION REPORT [redacted]

[redacted]—When filled in

SUBJECT
MAY, Ernest Richard, ENS, USN, 392 41 1105

REPORT MADE AT: New Orleans, La., EIGHTH Naval District DATE: 29 August 52

REPORT MADE BY: (b)(6)

PERIOD COVERED: 6/9/52 - 6/16/52 STATUS OF CASE: Closed in ONI

ORIGIN OF CASE:
JCS Security Section conf ltr AK-290-52 to ONI dtd 30 Apr 52

REFERENCES
(a) ONI NNI-152 dtd 14 May 52; same subj.

ENCLOSURES
None

COPY TO
ONI (1)
8ND (1)

CHARACTER OF INVESTIGATION
BACKGROUND
OI

SOURCE FILE NO.: ONI FILE NO.: ONI-10418-1(a)

SYNOPSIS
BIRTH: 11/19/28, Fort Worth, Texas, according to reference (a). Date substantiated by university records.
EDUCATION: University of Texas, Austin, Texas 3/1/46 - 6/1/47; did not graduate; good grades.
CRIMINAL RECORD: Negative regarding required checks covering Austin, Texas.
CREDIT RECORD: Retail Merchants Association, Austin, Texas - no credit information.

DIO-8ND files negative.

(b)(6)

DECLASSIFIED i.a.w. ONI Inst 5500.22 07-22-

UNDEVELOPED LEADS

(b)(6)

FOR OFFICIAL USE ONLY

THIS REPORT COMPLETE ON ONI

FOR OFFICIAL USE ONLY

INVESTIGATION REPORT
NAVAL INTELLIGENCE
ONI FORM 5520-119 (REV. 2-52)

ND11-3201-JFR/rlh
A5-7

[redacted]

DATE: 30 July 19 52

SUBJECT:
MAY, Ernest Richard, ENS, USN #398174/1105;
240 Chadbourne Avenue, Los Angeles 49, California

REPORT MADE BY: (b)(6)

REPORT MADE AT: ZIO-Long Beach, California (11-ND)

DATE OF REPORT: 29 July 1952

STATUS OF CASE: CLOSED IN 11ND

ORIGIN OF CASE:
JCS Security Section conf ltr AM-290-52 to DNI dated 30 April 1952

REFERENCES:
None

ENCLOSURES:
None

COPY TO:
ONI (2)
DIO-11ND (2)
ZIO-LB (2)

CHARACTER OF INVESTIGATION:
CL - BACKGROUND INVESTIGATION

SOURCE FILE NO.:

ONI FILE NO.: ONI-10318-1(a)

SYNOPSIS:
DESCRIPTION: Born: 19 November 1928, Fort Worth, Texas.

ROUTINE CHECKS: Files of DIO-11ND and ZIO-Long Beach, California are negative concerning Subject. Other pertinent record and file checks are being conducted by the Zone Intelligence Office, Los Angeles, California (11-ND).

No further action contemplated by this office.

DECLASSIFIED i.a.w. ONI-Inst 5500.22 07-22-7

(b)(6)

INVESTIGATIVE LEADS:
None

Approved:

(b)(6)

FOR OFFICIAL USE ONLY

THIS REPORT CONSISTS OF ONE PAGE ONLY

[redacted]

FOR OFFICIAL USE ONLY

INVESTIGATION REPORT
U. S. NAVAL INTELLIGENCE

NDII-32C-LD-DCC/ns
Serial: 02079/320

DATE: 29 July 19 52

SUBJECT: MAY, Ernest Richard, ENS USN 392174/1105
240 Chadbourne Ave., Los Angeles 49, California.

REPORTER: (b)(6)

REPORT MADE AT: ZIC-LA, 11ND, Los Angeles, California

PERIOD COVERED: 25 - 28 July 1952

STATUS OF CASE: PENDING

ORIGIN OF CASE: JCS Security Section conf ltr AM-290-52 to DNI of 30 April 1952.

REFERENCES:

ENCLOSURES: NONE

COPY TO: ONI (2) DIO-11ND (2) ZIC-LA (1)

CHARACTER OF INVESTIGATION: CL BACKGROUND INVESTIGATION

SOURCE FILE NO.:

ONI FILE NO.: ONI-10318-1(a)

SYNOPSIS:

DESCRIPTION: Born 19 Nov 1928, Fort Worth, Texas. (Verified through school records.) (No Physical description available.)

ROUTINE CHECKS: Files of DIO-11ND and ZIC-LA contain no information on subject.

RESIDENCES: 240 Chadbourne Ave and 601 Westholme St., both in Los Angeles, were verified through records of UCLA. 730 W. Anaheim, Wilmington, Calif., was verified through Loyola University and 1260 - 26th St., Santa Monica, Calif. was verified through Los Angeles State College. Nothing derogatory was developed.

EDUCATION: Loyola University and University of California at Los Angeles both verified subject's attendance. Their records reflected no derogatory information.

EMPLOYMENT: At Los Angeles State College, 2/50 to 7/50 as part time professor, and at University of California at Los Angeles from 9/48 to 6/49 and 9/50 to 6/51 as assistant to professor were both verified as satisfactory. Subject is eligible for rehire.

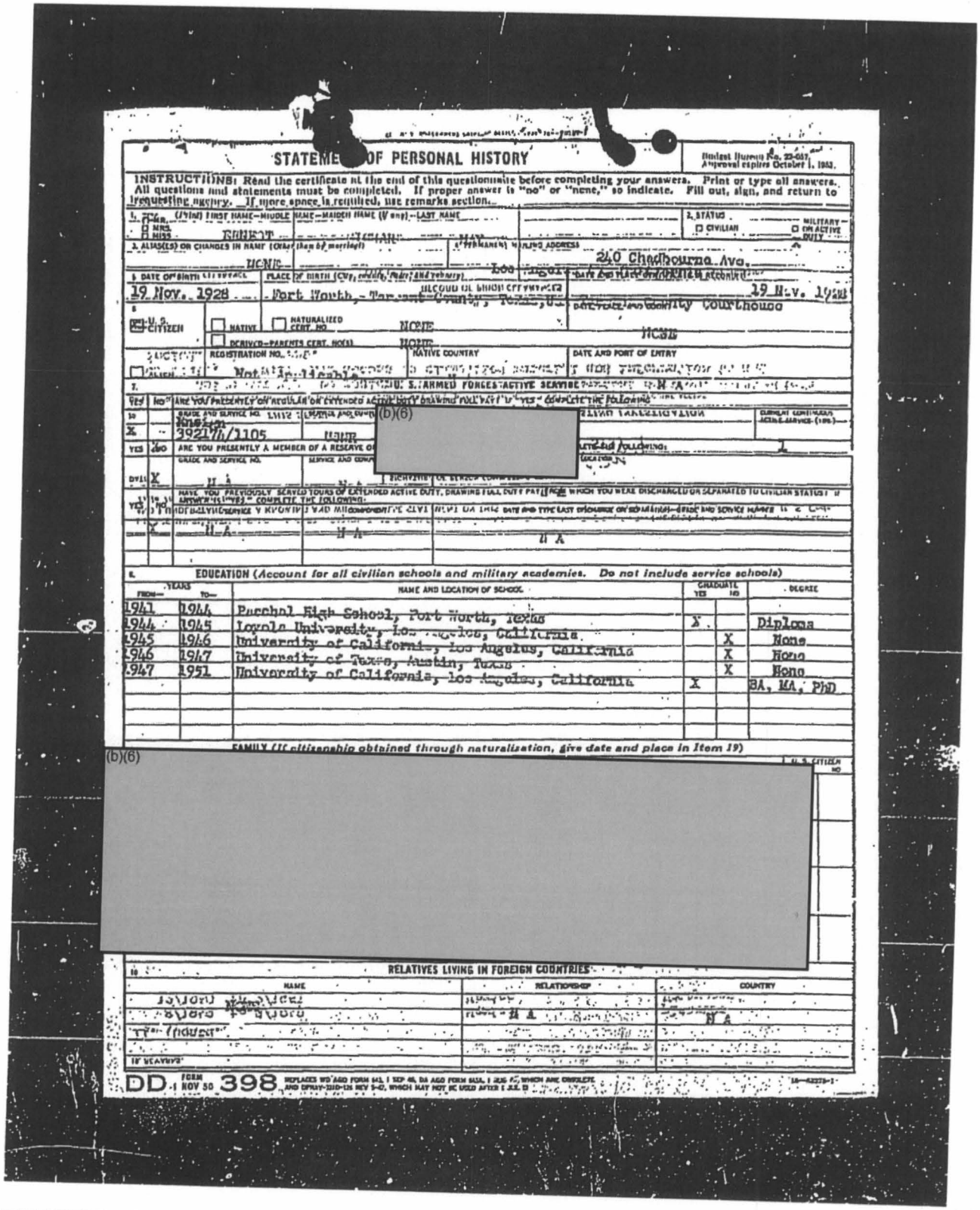
REFERENCES: (b)(6) loan service counselor at Coast Federal Savings, Los Angeles who has known subject 5 years; (b)(6) instructor at John Muir College, Pasadena, California, who has known subject 8 years; and (b)(6) graduate student from UCLA, now living at 1260-26th St., Santa Monica, California, all variously stated that subject is an honest, upright American whom they all recommended highly f[illegible] of trust with the Navy Department.

DECLASS[illegible].22 Approved (b)(6)
07-22-7[illegible]
(Details [illegible] files of DIO-11ND.)

THIS REPORT CONSISTS OF ONE PAGE ONLY.

APPROVED: (b)(6)

[illegible] FOR OFFICIAL USE ONLY [illegible] by direction



STATEMENT OF PERSONAL HISTORY

Budget Bureau No. 22-R12.
Approval expires October 1, 1948.

INSTRUCTIONS: Read the certificate at the end of this questionnaire before completing your answers. Print or type all answers. All questions and statements must be completed. If proper answer is "no" or "none," so indicate. Fill out, sign, and return to requesting agency. If more space is required, use remarks section.

1. NAME (FIRST NAME—MIDDLE NAME—MAIDEN NAME (If any)—LAST NAME): ERNEST MAY
2. STATUS: ☐ CIVILIAN ☐ MILITARY—ON ACTIVE DUTY
3. ALIAS(ES) OR CHANGES IN NAME (Other than by marriage): NONE
4. PRESENT MAILING ADDRESS: 240 Chadbourne Ave.
5. DATE OF BIRTH: 19 Nov. 1928
6. PLACE OF BIRTH (City, county, State and country): Fort Worth, Tarrant County, Texas, U.S.A.
7. DATE AND PLACE OF PRESENT ACCOUNT: 19 Nov. 1948 Tarrant County Courthouse
8. U. S. CITIZEN: ☒ NATIVE ☐ NATURALIZED CERT. NO. NONE ☐ DERIVED—PARENTS CERT. NO(S). NONE
ALIEN: REGISTRATION NO. Not Applicable; NATIVE COUNTRY; DATE AND PORT OF ENTRY
9. HAVE YOU EVER BEEN IN THE U. S. ARMED FORCES? ACTIVE SERVICE? (If "YES" complete the following)

YES	NO	ARE YOU PRESENTLY ON REGULAR OR EXTENDED ACTIVE DUTY DRAWING FULL PAY? (If "YES" complete the following)	GRADE AND SERVICE NO.	BRANCH AND COMPONENT	DATE OF ENTRY INTO PRESENT ACTIVE SERVICE	ESTIMATE CONTINUED ACTIVE SERVICE (Mos.)
X			Airman 3921?h/1105	USAF		

YES	NO	ARE YOU PRESENTLY A MEMBER OF A RESERVE OR NATIONAL GUARD UNIT? (If "YES" complete the following)	GRADE AND SERVICE NO.	BRANCH AND COMPONENT	RICHARDS OR BRANCH COMMANDER	LOCATION OF UNIT
DVLI	X		U A			

YES	NO	HAVE YOU PREVIOUSLY SERVED TOURS OF EXTENDED ACTIVE DUTY, DRAWING FULL DUTY PAY FROM WHICH YOU WERE DISCHARGED OR SEPARATED TO CIVILIAN STATUS? (If answer is "YES" COMPLETE THE FOLLOWING:	DATE OF ENTRY INTO ACTIVE SERVICE	DATE OF ENTRY INTO CURRENT ACTIVE DUTY	DATE AND TYPE LAST DISCHARGE OR SEPARATION	GRADE AND SERVICE NAME
			U A	U A	U A	

10. EDUCATION (Account for all civilian schools and military academies. Do not include service schools)

YEARS FROM	YEARS TO	NAME AND LOCATION OF SCHOOL	GRADUATE YES	GRADUATE NO	DEGREE
1943	1944	Paschal High School, Fort Worth, Texas	X		Diploma
1944	1945	Loyola University, Los Angeles, California		X	None
1945	1946	University of California, Los Angeles, California		X	None
1946	1947	University of Texas, Austin, Texas		X	None
1947	1951	University of California, Los Angeles, California	X		BA, MA, PhD

FAMILY (If citizenship obtained through naturalization, give date and place in Item 19)

(b)(6)

[redacted]

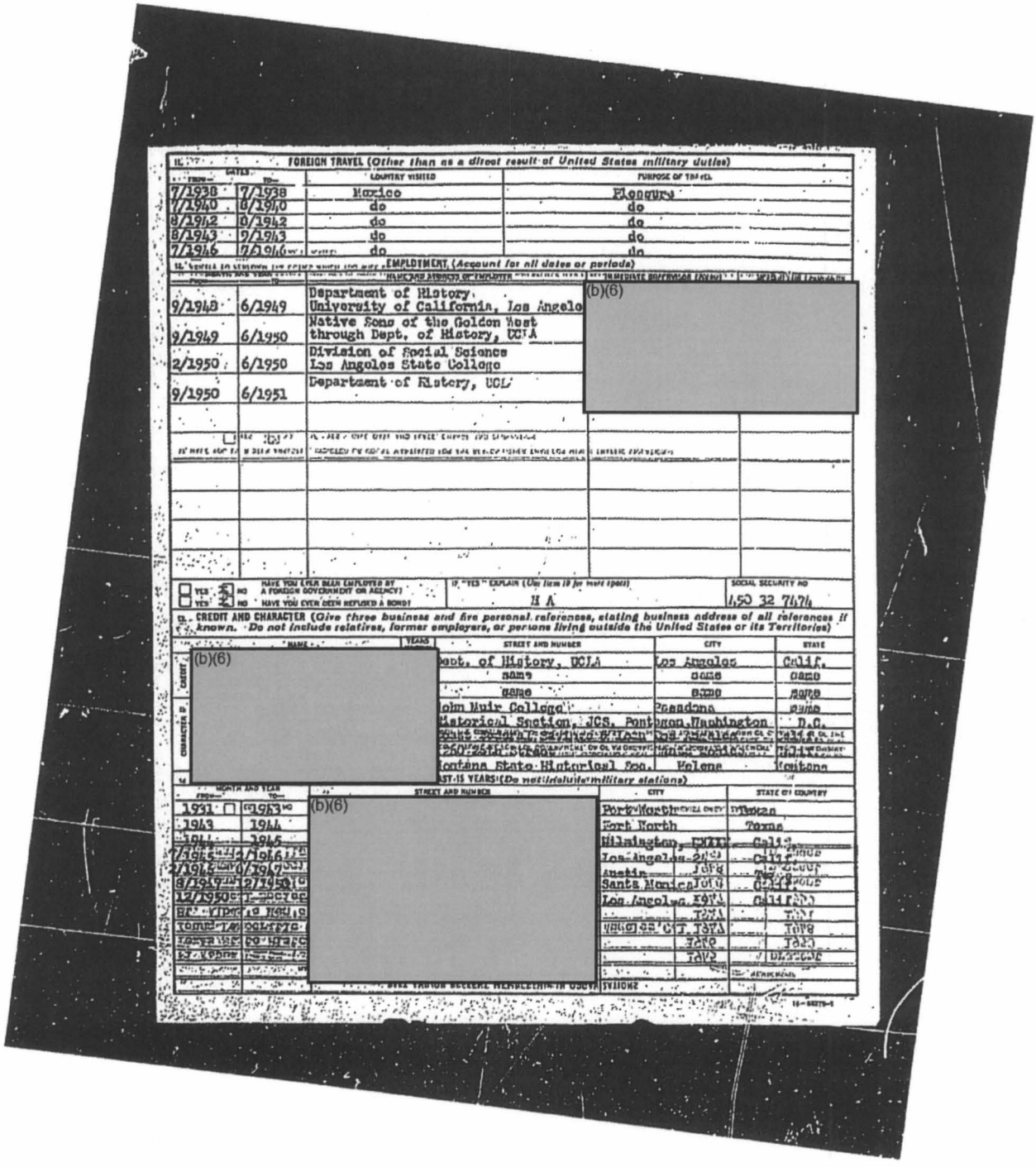
U. S. CITIZEN NO.

RELATIVES LIVING IN FOREIGN COUNTRIES

NAME	RELATIONSHIP	COUNTRY
NONE		
	U A	U A

DD FORM NOV 50 398 REPLACES WD AGO FORM 641, 1 SEP 46, DA AGO FORM 641A, 1 JUL 47, WHICH ARE OBSOLETE AND OPNAV-220-12A REV 5-47, WHICH MAY NOT BE USED AFTER 1 JUL 48

16—62279-1



FOREIGN TRAVEL (Other than as a direct result of United States military duties)

DATES FROM	DATES TO	COUNTRY VISITED	PURPOSE OF TRAVEL
7/1938	7/1938	Mexico	Pleasure
7/1940	8/1940	do	do
8/1942	8/1942	do	do
8/1943	9/1943	do	do
7/1946	7/1946	do	do

EMPLOYMENT (Account for all dates or periods)

DATES FROM	DATES TO	NAME AND ADDRESS OF EMPLOYER	IMMEDIATE SUPERVISOR
9/1948	6/1949	Department of History University of California, Los Angeles	(b)(6)
9/1949	6/1950	Native Sons of the Golden West through Dept. of History, UCLA	
2/1950	6/1950	Division of Social Science Los Angeles State College	
9/1950	6/1951	Department of History, UCLA	

YES NO HAVE YOU EVER BEEN EMPLOYED BY A FOREIGN GOVERNMENT OR AGENCY?
YES NO HAVE YOU EVER BEEN REFUSED A BOND?

IF "YES" EXPLAIN (Use Item 18 for more space): N A

SOCIAL SECURITY NO: 450 32 7474

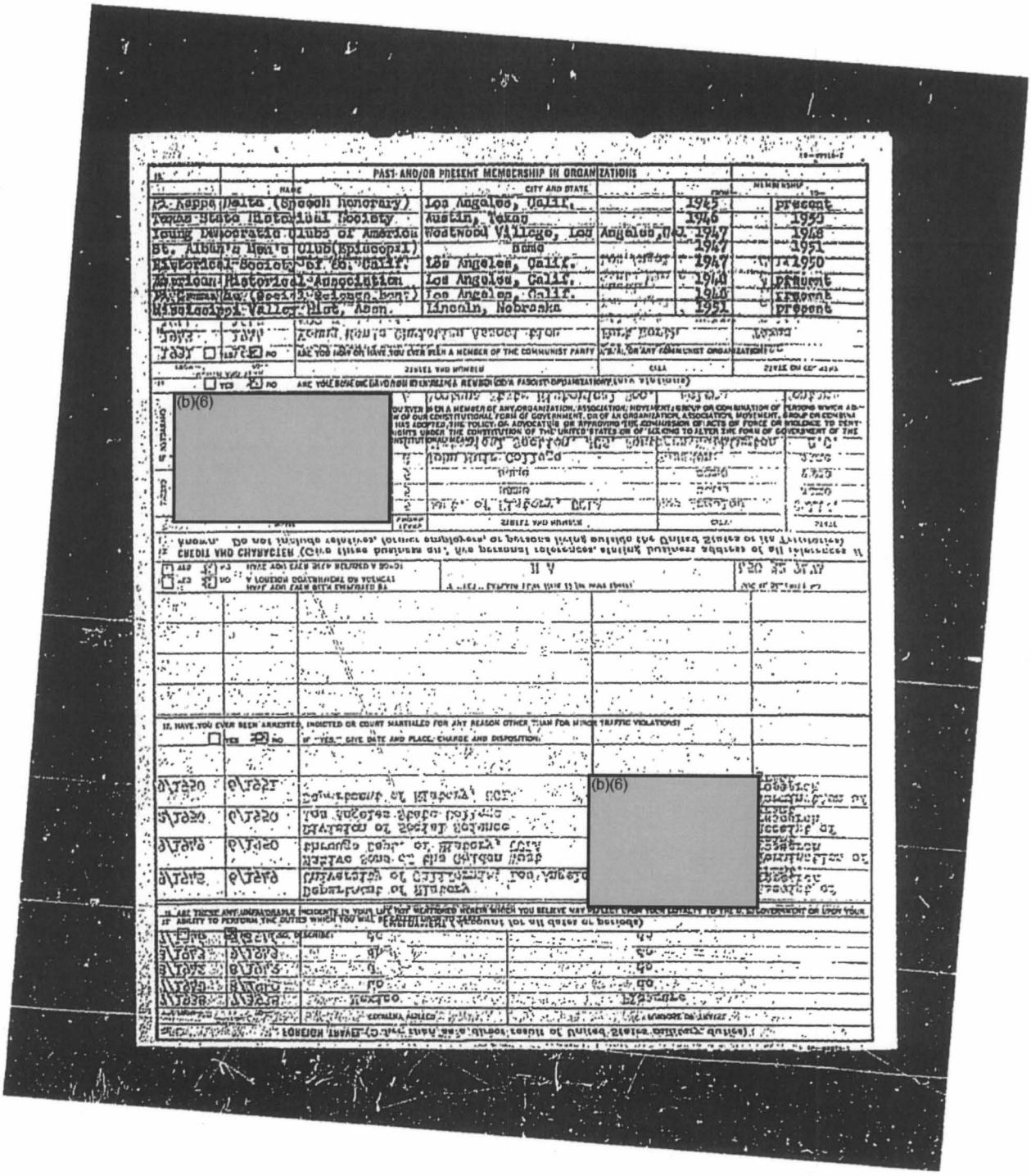
CREDIT AND CHARACTER (Give three business and five personal references, stating business address of all references if known. Do not include relatives, former employers, or persons living outside the United States or its Territories)

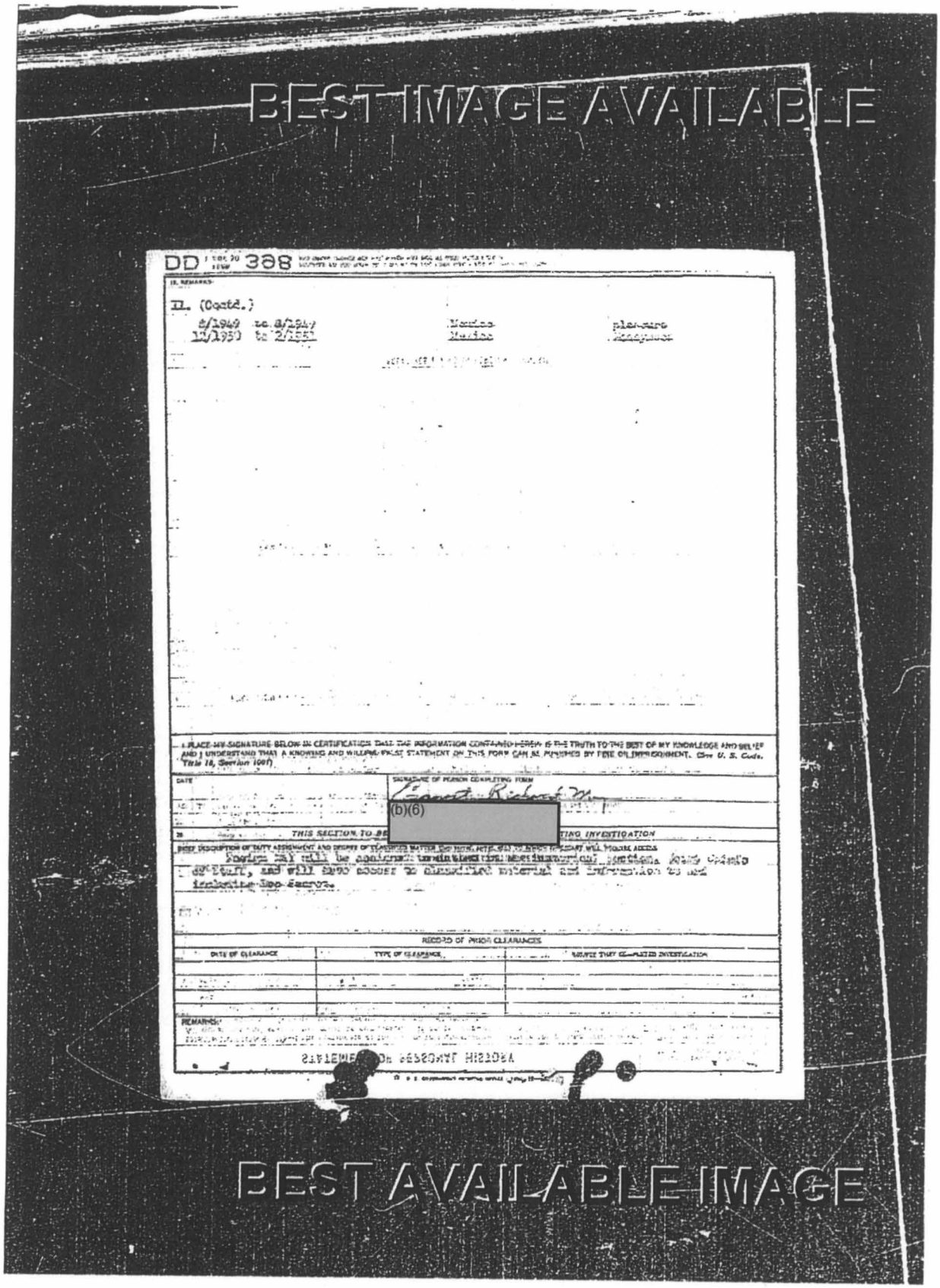
	NAME	YEARS KNOWN	STREET AND NUMBER	CITY	STATE
CREDIT	(b)(6)		Dept. of History, UCLA	Los Angeles	Calif.
CHARACTER			same	same	same
			same	same	same
			John Muir College	Pasadena	same
			Historical Section, JCS, Pentagon	Washington	D.C.
			[illegible]	Los Angeles	Calif.
			Montana State Historical Soc.	Helena	Montana

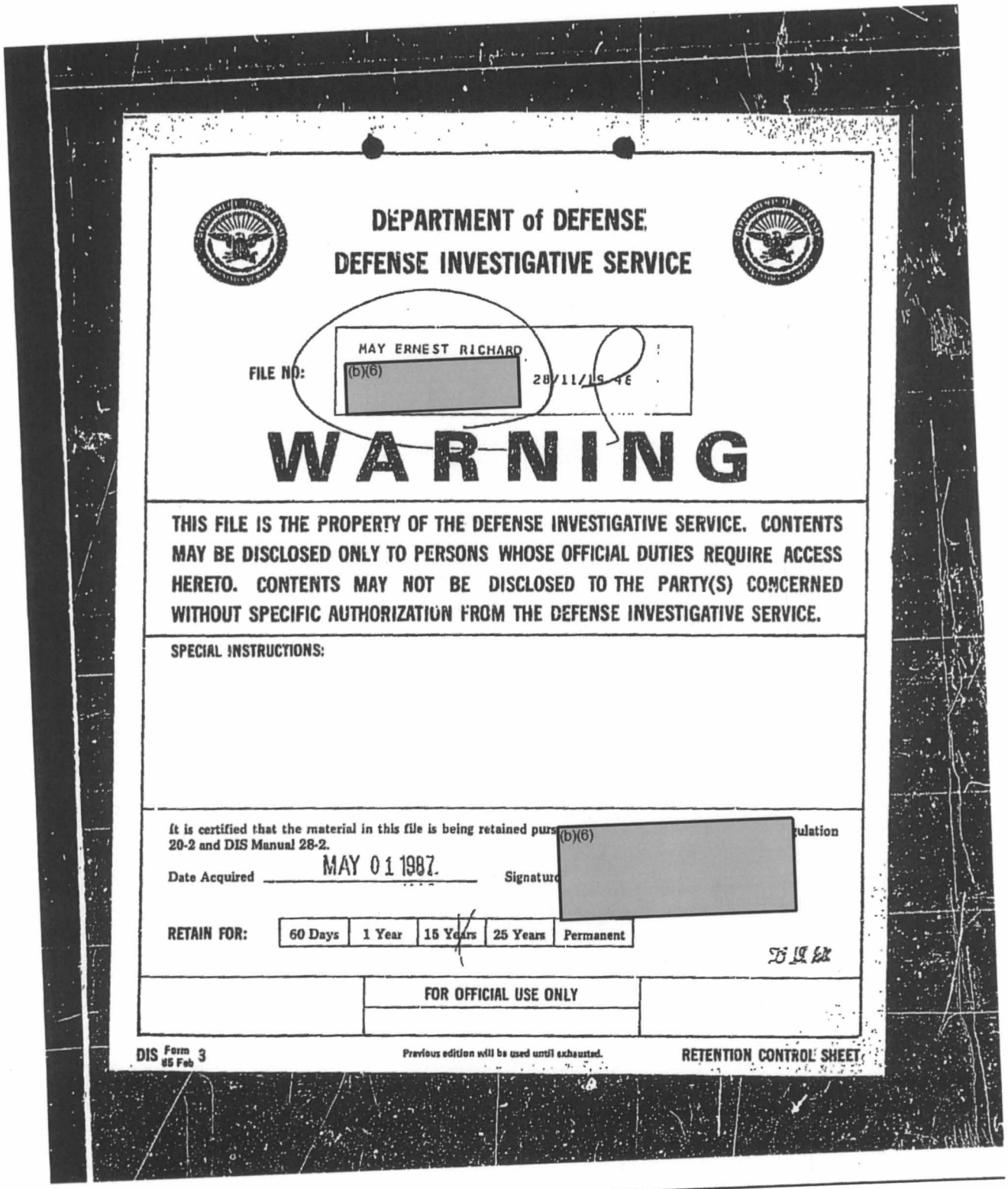
LIST 15 YEARS: (Do not include military stations)

MONTH AND YEAR FROM	MONTH AND YEAR TO	STREET AND NUMBER	CITY	STATE OR COUNTRY
1931	1943	(b)(6)	Port Worth	Texas
1943	1944		Fort Worth	Texas
1944	1946		Hillsington, [illegible]	Calif.
7/1946	9/1946		Los Angeles 24	Calif.
9/1946	8/1949		Austin	Texas
8/1949	12/1950		Santa Monica	Calif.
12/1950			Los Angeles	Calif.

[illegible]


PAST AND/OR PRESENT MEMBERSHIP IN ORGANIZATIONS
NAME
CITY AND STATE
MEMBERSHIP
Kappa Delta (Social Honorary)
Los Angeles, Calif.
1945
present
Texas State Historical Society
Austin, Texas
1946
1953
Young Democratic Clubs of America
Westwood Village, Los Angeles, Cal.
1947
1948
St. Alban's Men's
Club (Episcopal)
Reno
1947
1951
Historical Society of So. Calif.
Los Angeles, Calif.
1947
1950
American Historical Association
Los Angeles, Calif.
1948
present
American Law (Social Science Inst.)
Los Angeles, Calif.
1948
present
Nebraska Valley Play, Assn.
Lincoln, Nebraska
1951
present
(b)(6)
(b)(6)


BEST IMAGE AVAILABLE
DD 1 JAN 50 1398 388
12. REMARKS
11. (Contd.)
6/1949 to 8/1947 Mexico plasterer
12/1950 to 2/1951 Mexico receptionist
13. I PLACE MY SIGNATURE BELOW IN CERTIFICATION THAT THE INFORMATION CONTAINED HEREIN IS THE TRUTH TO THE BEST OF MY KNOWLEDGE AND BELIEF AND I UNDERSTAND THAT A KNOWING AND WILLFUL FALSE STATEMENT ON THIS FORM CAN BE PUNISHED BY FINE OR IMPRISONMENT. (See U. S. Code, Title 18, Section 1001)
DATE
SIGNATURE OF PERSON COMPLETING FORM
(b)(6)
20 THIS SECTION TO BE
TING INVESTIGATION
BRIEF DESCRIPTION OF DUTY ASSIGNMENT AND DEGREE OF CLASSIFIED MATTER FOR WHICH APPLICANT WILL REQUIRE ACCESS
Foreign visits will be applicable [illegible] questions. Duty consists of Draft, and will have access to classified material and information up to and including Top Secret.
RECORD OF PRIOR CLEARANCES
DATE OF CLEARANCE
TYPE OF CLEARANCE
BY WHOM THEY COMPLETED INVESTIGATION
REMARKS:
STATEMENT OF PERSONAL HISTORY
BEST AVAILABLE IMAGE



DEPARTMENT of DEFENSE
DEFENSE INVESTIGATIVE SERVICE

FILE NO: MAY ERNEST RICHARD (b)(6) 28/11/15 46

WARNING

THIS FILE IS THE PROPERTY OF THE DEFENSE INVESTIGATIVE SERVICE. CONTENTS MAY BE DISCLOSED ONLY TO PERSONS WHOSE OFFICIAL DUTIES REQUIRE ACCESS HERETO. CONTENTS MAY NOT BE DISCLOSED TO THE PARTY(S) CONCERNED WITHOUT SPECIFIC AUTHORIZATION FROM THE DEFENSE INVESTIGATIVE SERVICE.

SPECIAL INSTRUCTIONS:

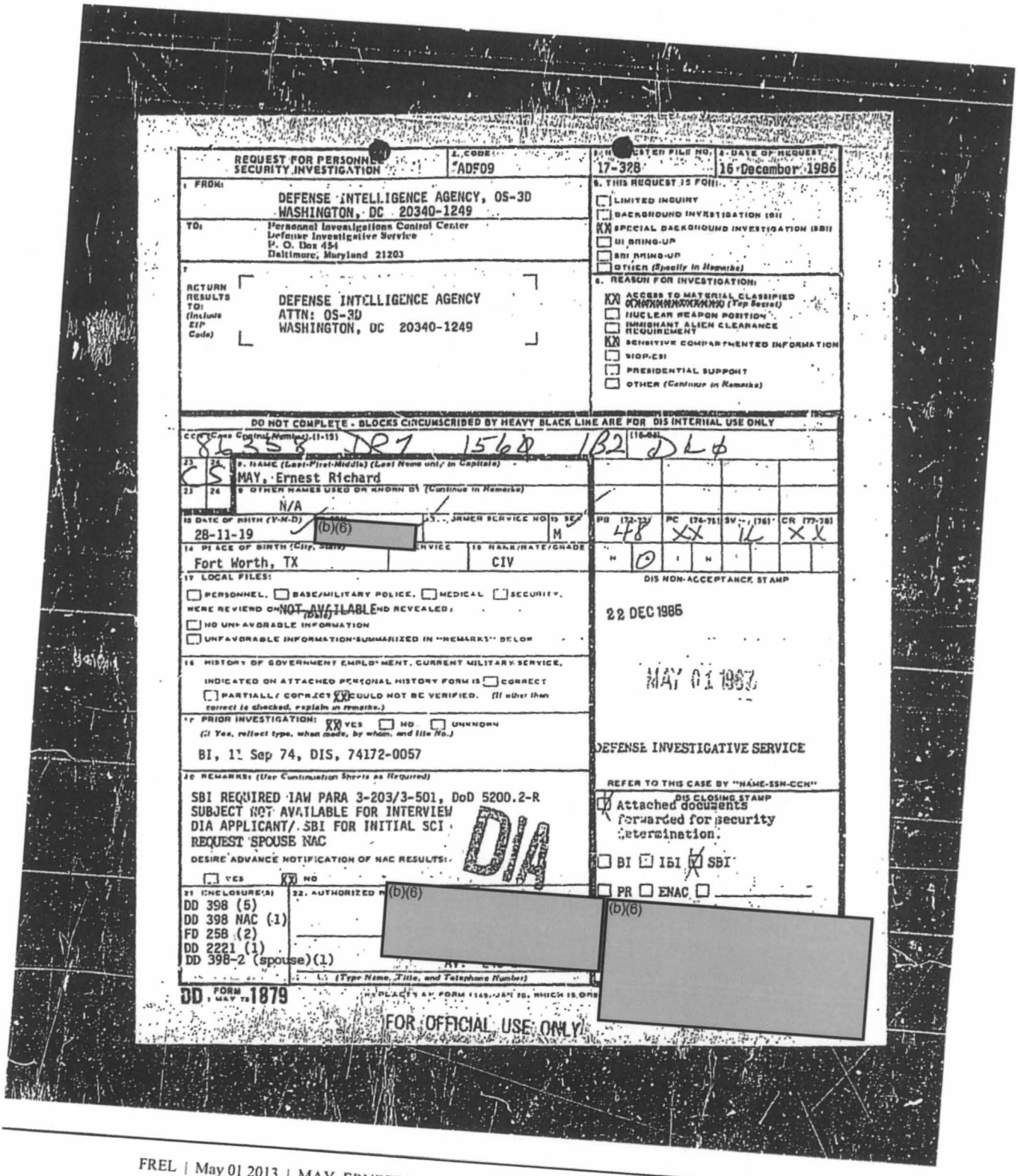
It is certified that the material in this file is being retained purs[illegible]ulation 20-2 and DIS Manual 28-2.

Date Acquired MAY 01 1987. Signatur[illegible] (b)(6)

RETAIN FOR: 60 Days | 1 Year | 15 Years | 25 Years | Permanent

FOR OFFICIAL USE ONLY

DIS Form 3 85 Feb — Previous edition will be used until exhausted. — RETENTION CONTROL SHEET



REQUEST FOR PERSONNEL SECURITY INVESTIGATION

2. CODE: "AD509

3. REGISTER FILE NO: 17-328

4. DATE OF REQUEST: 16 December 1986

1. FROM:
DEFENSE INTELLIGENCE AGENCY, OS-3D
WASHINGTON, DC 20340-1249

TO:
Personnel Investigations Control Center
Defense Investigative Service
P. O. Box 454
Baltimore, Maryland 21203

7. RETURN RESULTS TO: (Include ZIP Code)
DEFENSE INTELLIGENCE AGENCY
ATTN: OS-3D
WASHINGTON, DC 20340-1249

5. THIS REQUEST IS FOR:
- [ ] LIMITED INQUIRY
- [ ] BACKGROUND INVESTIGATION (BI)
- [XX] SPECIAL BACKGROUND INVESTIGATION (SBI)
- [ ] BI UPING-UP
- [ ] SBI UPING-UP
- [ ] OTHER (Specify in Remarks)

6. REASON FOR INVESTIGATION:
- [XX] ACCESS TO MATERIAL CLASSIFIED [redacted] (Top Secret)
- [ ] NUCLEAR WEAPON POSITION
- [ ] IMMIGRANT ALIEN CLEARANCE REQUIREMENT
- [XX] SENSITIVE COMPARTMENTED INFORMATION
- [ ] DIOP-ESI
- [ ] PRESIDENTIAL SUPPORT
- [ ] OTHER (Continue in Remarks)

DO NOT COMPLETE - BLOCKS CIRCUMSCRIBED BY HEAVY BLACK LINE ARE FOR DIS INTERNAL USE ONLY

CCH (Case Control Number) (1-15): 8633S DR7 1560 1B2 (16-84) DLØ

23 CS 24 9. NAME (Last-First-Middle) (Last Name only in Capitals): MAY, Ernest Richard

23 24 8 OTHER NAMES USED OR KNOWN BY (Continue in Remarks): N/A

10 DATE OF BIRTH (Y-M-D): 28-11-19 (b)(6)

12 SOCIAL SECURITY NO.: [redacted]

13 SEX: M

14 PLACE OF BIRTH (City, State): Fort Worth, TX

15 SERVICE: CIV

16 RANK/RATE/GRADE:

PB (72-73): 48 PC (74-75): XX SV (76): 1L CR (77-78): XX

H O I N

17 LOCAL FILES:
- [ ] PERSONNEL, [ ] BASE/MILITARY POLICE, [ ] MEDICAL, [ ] SECURITY,

WERE REVIEWED ON (Date) NOT AVAILABLE AND REVEALED:
- [ ] NO UNFAVORABLE INFORMATION
- [ ] UNFAVORABLE INFORMATION SUMMARIZED IN "REMARKS" BELOW

18 HISTORY OF GOVERNMENT EMPLOYMENT, CURRENT MILITARY SERVICE, INDICATED ON ATTACHED PERSONAL HISTORY FORM IS [ ] CORRECT [ ] PARTIALLY CORRECT [XX] COULD NOT BE VERIFIED. (If other than correct is checked, explain in remarks.)

19 PRIOR INVESTIGATION: [XX] YES [ ] NO [ ] UNKNOWN
(If Yes, reflect type, when made, by whom, and file No.)
BI, 11 Sep 74, DIS, 74172-0057

20 REMARKS: (Use Continuation Sheets as Required)
SBI REQUIRED IAW PARA 3-203/3-501, DoD 5200.2-R
SUBJECT NOT AVAILABLE FOR INTERVIEW
DIA APPLICANT/. SBI FOR INITIAL SCI
REQUEST SPOUSE NAC

DESIRE ADVANCE NOTIFICATION OF NAC RESULTS:
[ ] YES [XX] NO

DIA

21 ENCLOSURE(S):
DD 398 (5)
DD 398 NAC (1)
FD 258 (2)
DD 2221 (1)
DD 398-2 (spouse)(1)

22. AUTHORIZED [redacted] (b)(6)

(Type Name, Title, and Telephone Number) (b)(6)

DIS NON-ACCEPTANCE STAMP
22 DEC 1986

MAY 01 1987

DEFENSE INVESTIGATIVE SERVICE

REFER TO THIS CASE BY "NAME-SSN-CCH"

DIS CLOSING STAMP
[X] Attached documents forwarded for security determination.

[ ] BI [X] ISI [X] SBI
[ ] PR [ ] ENAC [ ]

DD FORM 1 MAY 79 1879 REPLACES AF FORM 1149, JAN 75, WHICH IS OBS

FOR OFFICIAL USE ONLY

FROM	DATE
Defense Investigative Service Personnel Investigations Center P.O. Box 454 Baltimore, MD 21203-0454	MAY 01 1987

TO	SUBJECT

NOTICE

THIS DOCUMENT, ACCOMPANYING REPORTS AND ATTACHMENTS ARE THE PROPERTY OF DIS. CONTENTS MAY BE DISCLOSED ONLY TO PERSONS WHOSE OFFICIAL DUTIES REQUIRE ACCESS HERETO. CONTENTS MAY NOT BE DISCLOSED TO SUBJECT OR COUNSEL WITHOUT SPECIFIC AUTHORIZATION FROM DIS. THIS TRANSMITTAL DOES NOT CONSTITUTE A DENIAL OR GRANTING OF CLEARANCE. FOLLOWING COMPLETION OF FINAL ACTION THIS FORM, ACCOMPANYING REPORTS WITH ATTACHMENTS AND ALL REPRODUCTIONS SHOULD BE DESTROYED. IF ADVERSE ACTION IS TAKEN AS A RESULT OF DIS INVESTIGATIVE ACTIVITY ADVISE: INVESTIGATIVE FILES DIVISION, PERSONNEL INVESTIGATIONS CENTER, DIS, P.O. BOX 1211, BALTIMORE, MD. 21203-1211.

ITEMS CHECKED ARE APPLICABLE

X	Results of investigation are attached. This matter is ☐ pending ☒ closed.
X	Results of prior investigation, copy attached, ~~should satisfy current requirements.~~ 740515 172 DOC. 0057181
	DIS/DCII records reflect NAC/ENTNAC completed favorably on _____.
	OPI Form 79 was submitted to OPM.
	Prior file no. _____ completed by _____ attached.
	Prior file no. _____ completed by _____ attached.
	Reply received reference file no. _____ indicated: ___ No information pertinent to your inquiry. ___ File destroyed/missing. ___ File does not pertain to Subject. ___ Adjudicative material only.

REMARKS:

PIC Form 13 US Apr 86 — Replaces PIC Form 13, Dec 84 which will be used until exhausted.

DIS REPORT OF INVESTIGATION	DATE 19 FEB 87	INIT: 86364
CODE D15DC	CONTROL 86358-DR7-1560-1B2	STATUS RUC
DISTRIBUTION DO600		COPY TO

(b)(6)

SOC SEC NO.	FORMER MIL. SV. NO.	BIRTH (DATE)	(OPC)	(PLACE)
M (b)(6)		19 [illegible] 28		FORTWORTH, TX

NI TITLE
MAY, ERNEST RICHARD/CONSULTANT; LAWRENCE LIVERMORE NATIONAL LAB.

INVESTIGATIVE RESULTS
THE RECORD CHECK(S) AND INTERVIEW(S), AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEE(S) RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

UNLESS OTHERWISE INDICATED ALL ADDRESSES ARE IN WASHINGTON, DC.

EMPLOYMENT
1. Records of the personnel office, Woodrow Wilson International Center For Scholars (WWICS), Smithsonian Institution, disclosed that subject was employed as a fellow from 1 JAN 83 TO 30 JUN 83, while on sabbatical from Harvard University. Records also indicated a local address for subject as 3003 Van Ness Street, NW, Apt. S-210, Washington, DC (WDC) (subject's personnel security questionnaire does not list this residential place or period of time of residence)

EMPLOYMENT REFERENCES
2. (b)(6) Secretary WWICS, American Society and Politics, with three or four casual professional contacts from 1973 TO JAN 83, with daily contact as supervisor from JAN 83 TO JUN 83 and with three or four professional contacts from JUN 83 TO Present.
3. (b)(6) Associate Director, WWICS with daily contact as student of subject's from 1960 to 1966, with once monthly professional contact as collegues from 1966 to 1974, with daily to twice or three times weekly professional contact from 1974 to 1977 with twice monthly professional contact as collegue and social friend from 1977 to JAN 83, with daily contact as co-worker and social friend from JAN 83 to JUN 83, with professional contact once every three months. From JUN 83 to AUG 86 and with once weekly professional contact as academic collegue and friend from AUG 86 to present.

NEIGHBORHOOD INVESTIGATION
4. Efforts to locate neighbors knowledgeable of subject in the vicinity of 3003 Van Ness Street, NW Apt. S-210, WDC, were unsuccessful due to the fact that the Van Ness South Apartments (VNSA), located at this address is a secured residential community and entry for the purpose if investigation was not obtained.
5. (b)(6) Assistant Resident Manager (b)(6) JBG Property Management advised that there was no rental records maintained prior to late 1984 and no record pertaining to subject was able to be located regarding residence at 3003 Van Ness Street, NW Apt. S-210.
6. (b)(6) fully identified under employment reference caption, confirmed that subject

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	PAGE 1	CB	WKY	VLRB

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DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE
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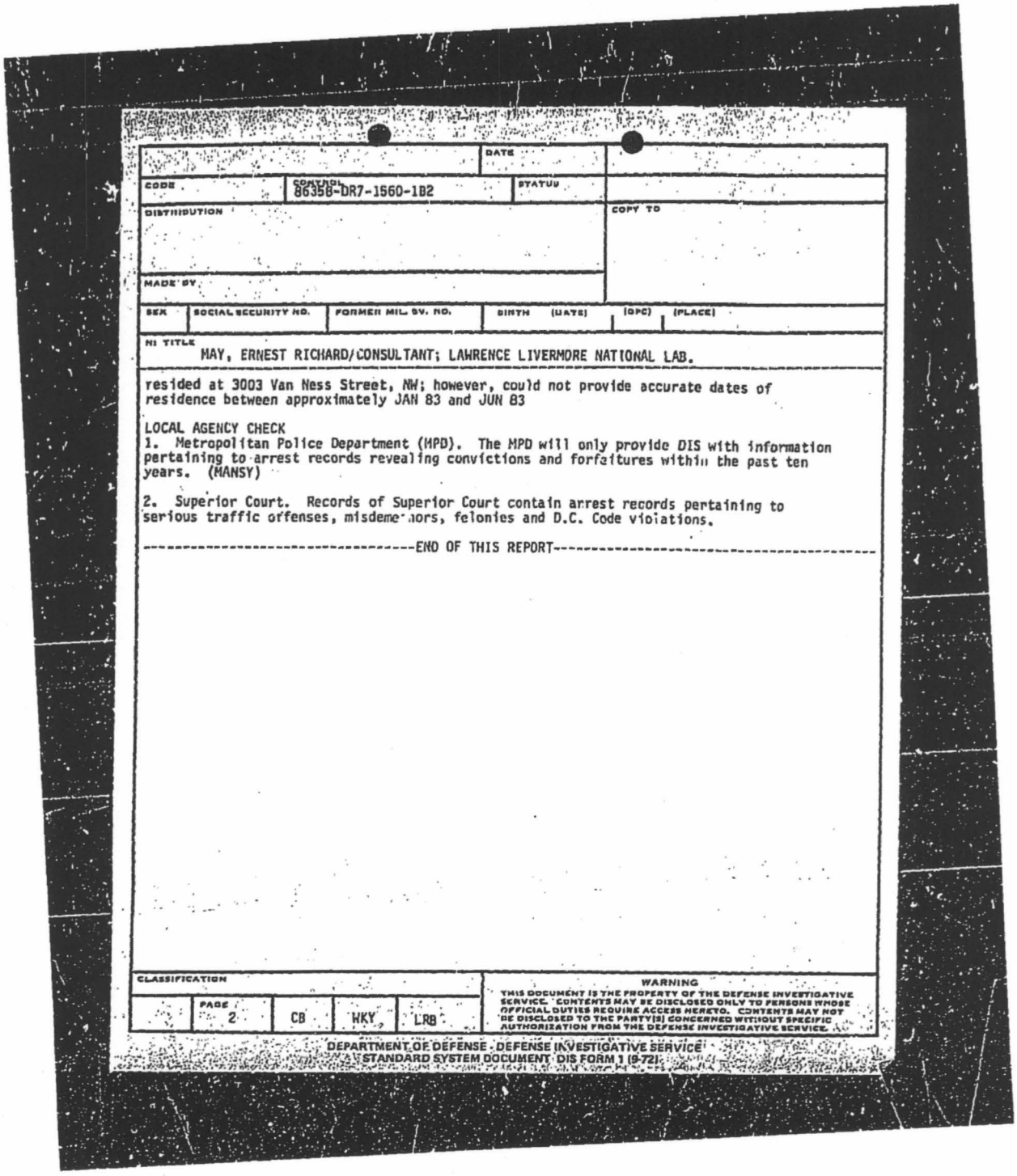

		DATE	
CODE	CONTROL 86358-DR7-1560-1B2	STATUS	
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MADE BY			
SEX	SOCIAL SECURITY NO.	FORMER MIL. SV. NO.	BIRTH (DATE) (DPC) (PLACE)

NI TITLE
MAY, ERNEST RICHARD/CONSULTANT; LAWRENCE LIVERMORE NATIONAL LAB.

resided at 3003 Van Ness Street, NW; however, could not provide accurate dates of residence between approximately JAN 83 and JUN 83

LOCAL AGENCY CHECK
1. Metropolitan Police Department (MPD). The MPD will only provide DIS with information pertaining to arrest records revealing convictions and forfeitures within the past ten years. (MANSY)

2. Superior Court. Records of Superior Court contain arrest records pertaining to serious traffic offenses, misdemeanors, felonies and D.C. Code violations.

----------END OF THIS REPORT----------

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DIS REPORT OF INVESTIGATION	DATE 07 APR 87	LAT: 87057
CODE D42SL	CONTROL 86358-DR7-1560-1R2	STATUS RUC	ADD: 87070
DISTRIBUTION H-D0600		COPY TO

(b)(6)

SEX	(b)(6)	FORMER MIL. SV. NO.	BIRTH (DATE)	(SFC)	(PLACE)
M			19 NOV 28		FT. WORTH, TX

NI TITLE
MAY, ERNEST RICHARD/CIVILIAN, HARVARD UNIVERSITY

INVESTIGATIVE RESULTS
THE RECORD CHECK(S) AND INTERVIEW(S) AS APPROPRIATE. WERE FAVORABLE AND INTERVIEWEE(S) RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

EMPLOYMENT
1. Records at the National Personnel Records Center (NPRC), 111 Winnebago Street, St. Louis, MO, reflected the following claimed federal employment:

a. Consultant, National Security Council, Washington, DC, from 10 Apr 78 to 10 Feb 81, intermittent. (PSQ reflects Jul 77 to Jan 81).

b. Consultant-Panelist, National Endowment for the Humanities, Cambridge, MA, from 07 Oct 74 to 12 Aug 78. (Not listed on PSQ).

c. Expert, Office, Secretary of Defense (OSD), Arlington, VA, from 07 Jun 74 to 06 Jun 77, intermittent. (Not listed on PSQ).

2. NPRC failed to verify Subject's claimed employment with OSD from Oct 83 to Nov 83 and Naval Research from Jul 77 to Jan 80 as consultants.

----------END OF THIS REPORT----------

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	PAGE 1	KJJ	DAJ	EAM

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CODE: D52AL | CONTROL: 86358-DR7-1560-1B2 | STATUS: IUC

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COPY TO:

(b)(6)

SEX: M | (b)(6) | FORMER MIL. SV. NO. | BIRTH (DA)(6): 19 NOV 28 | (OPC) | (PLACE): FORTWORTH, TX

NI TITLA: MAY, ERNEST RICHARD/CIV, HARVARD UNIVERSITY

INVESTIGATIVE RESULTS
THE RECORDS CHECKS AND INTERVIEWS, AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEES RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

EMPLOYMENT
1. Lawrence Livermore National Lab (LLNL), Livermore, CA. from Oct 84 to Dec 86. Efforts to interview OPF records of SUBJECT at LLNL were unsuccessful, as SUBJECT is a contract employee. OPF records are located at National Personnel Record Center (NPRC), St. Louis, MO.

EMPLOYMENT REFERENCES
2. Efforts to interview co-workers at LLNL and SUBJECT's lsited Supervisor (b)(6) were unsuccessful. Investigation disclosed that May is currently in Lajolla, CA, on assignment for the LLNL.

LOCAL AGENCY CHECKS
3. Police Department, Livermore, CA.
4. Alameda County Sheriff's Office, Oakland, CA, policy precludes release of criminal arrest information without prior fingerprint card verification.

----------END OF THIS REPORT----------

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PAGE 1. | ABJ | SDP | RHO

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CODE: D53VS | CONTROL: 86350-DR7-1560-1D2 | STATUS: ROC

DISTRIBUTION: H-D0600 | COPY TO:

(b)(6)

SEX: M | (b)(6) | FORMER MIL. SV. NO. | BIRTH (DATE): 19 NOV 28 | (SFC) | (PLACE): FORTWORTH, TX

NI TITLE: MAY, ERNEST RICHARD/CIV., HARVARD UNIVERSITY

INVESTIGATIVE RESULTS
The record check(s) and interview(s), as appropriate, were favorable and interviewee(s) recommended SUBJECT for a position of trust, unless otherwise indicated.

EMPLOYMENT REFERENCE
1. (b)(6) Physicist at Lawrence Livermore National Lab (LLNL), Livermore, CA, [illegible] twice yearly contact as SUBJECT's host/sponsor from OCT 84 to DEC 86. (b)(6) advised that SUBJECT was a consultant to LLNL and he only had contact with him twice a year. (b)(6) knew of no unfavorable information concerning SUBJECT; however, could not recommend him for a position of trust due to lack of association. (Tb)

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DEPARTMENT OF DEFENSE, DEFENSE INVESTIGATIVE SERVICE
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DIS REPORT OF INVESTIGATION	DATE 17 FEB 87	I-86364
CODE D11DN	CONTROL 86358-DR7-1560-1B2	STATUS RUC
DISTRIBUTION H-DOGOO		COPY TO
NAME (b)(6)		
SEX M	SOCIAL SECURITY NO. (b)(6)	FORMER MIL. SV. NO.
BIRTH (DATE) 19 NOV 28	(GPC)	(PLACE) FT. WORTH, TX

NI TITLE
MAY ERNEST RICHARD/ CONSULTANT

INVESTIGATIVE RESULTS
THE RECORD CHECK(S) AND INTERVIEW(S), AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEE(S) RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

EMPLOYMENT
1. Harvard University (HU), Cambridge, MA, from 01 Jul 54 to present, with sabbaticals with salary from 01 Jul 74 to 30 Jun 75, and 01 Jan 83 to 31 Dec 83.

EMPLOYMENT REFERENCES
2. (b)(6) professor, HU, with weekly contact as professional colleague from 1975 to present, minus times Subject was traveling.
3. (b)(6) professor, HU, with weekly contact as professional colleague and friend from 1966 to present, minus times Subject was traveling. (PSQ reflects Neustadt as a listed reference.)

(b)(6),(b)(7)(E)

NEIGHBORHOOD INVESTIGATION
7. (b)(6) retired, 322 Harvard St., Cambridge, MA, with monthly contact as neighbor from 1981 to present. (Tc)
8. (b)(6) executive, 320 Harvard St., Cambridge, MA, with two times weekly contact as neighbor from Apr 84 to present. (Tc)

FOREIGN TRAVEL
9. (b)(6) fully identified above, stated that Subject has traveled in Western Europe for a variety of conferences (NFI).

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	PAGE 1-LAST	UM	JJM	MWR

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DIS REPORT OF INVESTIGATION

DATE: 5 Feb 87

CODE	CONTROL	STATUS
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MAY ERNEST RICHARD

(b)(6) 28/11/19 48

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CALIFORNIA BUREAU OF CRIMINAL IDENTIFICATION (CBCI) AND CALIFORNIA DEPARTMENT OF MOTOR VEHICLES(DMV) RECORDS MAY NOT CONTAIN INFORMATION ON ALL ARRESTS/CITATIONS BECAUSE OF PURGING PROCEDURES BASED ON TYPE AND DATE OF OFFENSE. CBCI RECORDS ALSO DO NOT CONTAIN MOST VEHICULAR ARRESTS, SOME MISDEMEANORS AND LOCAL ORDINANCE VIOLATIONS.

LOCAL AGENCY CHECKS
1. RECORDS OF CBCI, SACRAMENTO, CA DISCLOSED NO RECORD IDENTIFIABLE WITH SUBJECT.
2. RECORDS OF DMV, SACRAMENTO, CA DISCLOSED NO UNFAVORABLE INFORMATION CONCERNING SUBJECT.

----------END OF THIS REPORT----------

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PAGE: CA-1 1

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DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)

DIS REPORT OF INVESTIGATION	DATE 3 FEB 87	INIT:
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SEX	SOCIAL SECURITY NO.	FORMER MIL. SV. NO.	BIRTH (DATE)	(GPC)	(PLACE)
NI TITLE					

INVESTIGATIVE RESULTS:
THE RECORD CHECK(S) AND INTERVIEW(S), AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEE(S) RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

LOCAL AGENCY CHECK
1. Office of the Commissioner of Probation, Boston, MA, the central repository for all criminal court appearances in the Commonwealth of Massachusetts.

----------END OF THIS REPORT----------

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DIS REPORT OF INVESTIGATION | DATE 07/01/87

CODE D0620 | CONTROL 86358-DR7-1560-182 | STATUS RUC

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NI TITLE MAY ERNEST RICHARD

CREDIT
REVIEW OF CREDIT BUREAU RECORDS COVERING THE FOLLOWING LOCATIONS DISCLOSED NO UNFAVORABLE INFORMATION-

CAMBRIDGE, MA

CREDIT
REVIEW OF CREDIT BUREAU RECORDS COVERING THE FOLLOWING LOCATIONS DISCLOSED NO INFORMATION-

LIVERMORE, CA

CREDIT
REVIEW OF CREDIT BUREAU RECORDS COVERING THE FOLLOWING LOCATIONS DISCLOSED INFORMATION —— SEE ATTACHED

WASHINGTON, D.C.

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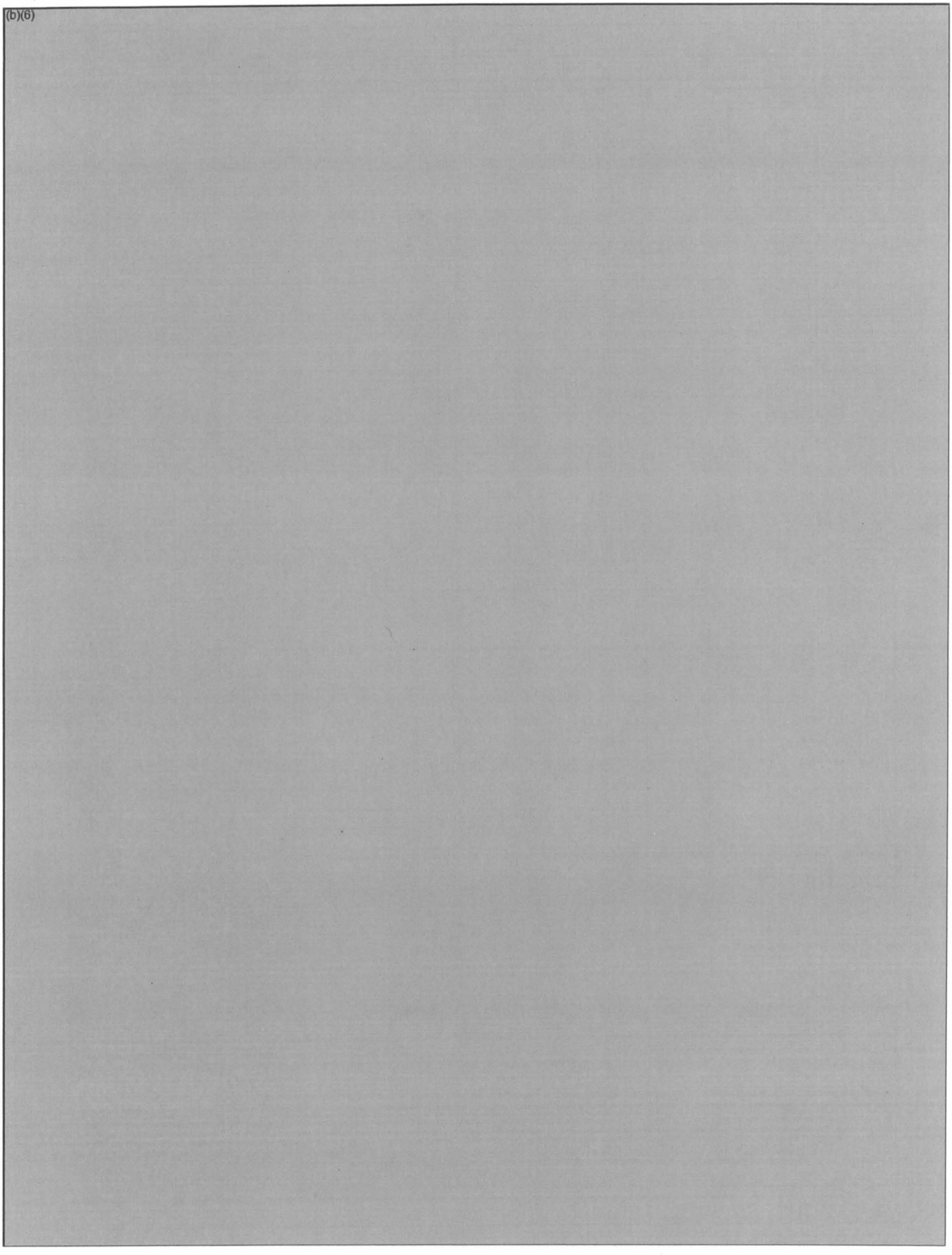
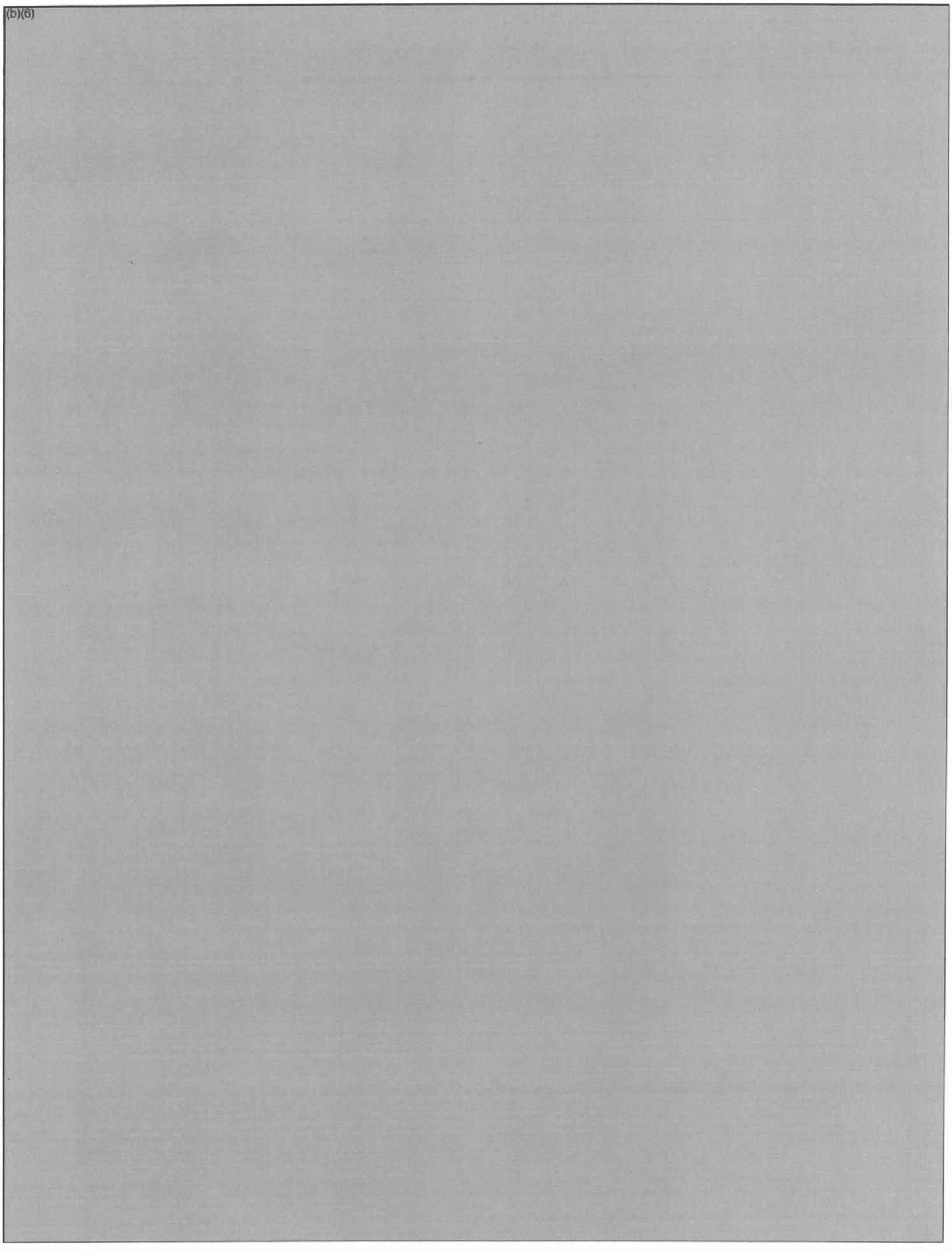

(b)(6)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DIS REPORT OF NAC/ENTNAC | 27 APR 1987 | 87032-8045

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DIS, PO BOX 1083, BALTIMORE, MD 21203

SEX: M | (b)(6) [redacted] | FORMER MIL SV. NO. | BIRTH (DATE) 281119 | (PLACE) 4H

MAY ERNEST RICHARD

NAC CONDUCTED, INCLUDING DCII CHECK. THE FOLLOWING AGENCIES WERE CHECKED WITH RESULTS AS INDICATED.

FAVORABLE

1. CENTRAL INTELLIGENCE AGENCY.

2. FBI - IDENT. DIV. NAME CHECK ONLY.

OTHER

3. FBI - HEADQUARTERS. RESULTS ATTACHED.

4. OFFICE OF PERSONNEL MANAGEMENT. RESULTS ATTACHED.

A TECHNICAL CHECK OF THE FBI IDENTIFICATION DIVISION WAS NOT ACCOMPLISHED AS THE FINGERPRINT CARD IS ASSUMED LOST IN PROCESSING. AN FBI-ID NAME CHECK WAS ACCOMPLISHED FOR THE CURRENT CASE.

KEN R. MCLAUGHLIN
DIRECTOR, PERSONNEL INVESTIGATIONS CENTER

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DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)

March 16, 1970

DR. ERNEST R. MAY

Dr. Ernest Richard May, who was born on November 19, 1928, in Fort Worth, Texas, was the subject of applicant-type investigations conducted by the FBI during 1963 and 1969, which were favorable. Dr. May listed (b)(6) (897 Mango Way, Los Angeles, California, as his (b)(6) on his employment application.

It was determined that (b)(6) was a history professor at the University of California at Los Angeles (UCLA) and that he and his (b)(6) were very close. A neighbor stated that in his opinion, Dr. May looked to Caughey for guidance and he certainly respected his opinions. This same neighbor described (b)(6) as being very active in politics and espousing very liberal views. He said (b)(6) often had large gatherings at his home and because those in attendance held such liberal views he had refused invitations to participate in their discussions.

The UCLA newspaper in 1950 listed (b)(6) as one who had refused to sign the Loyalty Oath at the University.

Sources who have furnished reliable information in the past advised that (b)(6) name had appeared on a petition to abolish the House Committee on Un-American Activities (now known as the House Committee on Internal Security) and on the ballot of the Hollywood Arts, Sciences and Professional Council, cited by the California Committee on Un-American Activities. These sources also advised that he was scheduled to speak before the Women for Legislative Action which was described as having views paralleling the Communist Party position with respect to legislative action. (b)(6) name also appeared on a brief to the U. S.

Dr. Ernest R. May

Supreme Court filed by Amici Curiae in the case of the Communist Party, USA, versus the Subversive Activities Control Board. Newspaper articles disclosed (b)(6) and Dr. May had helped to write the "controversial" United States history textbook, "Land of the Free."

The fingerprint files of the Identification Division of the FBI contain no arrest data identifiable with captioned individual based upon background information submitted in connection with this name check request.

- 2 -

OFFICE OF THE DIRECTOR

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

WASHINGTON, D.C. 20535

July 11, 1975

ERNEST RICHARD MAY

THE INVESTIGATION OF DR. MAY COVERED INQUIRIES IN THE CONTINENTAL UNITED STATES AS TO HIS CHARACTER, LOYALTY, ABILITY, AND GENERAL STANDING, BUT NO INQUIRIES WERE MADE AS TO THE SOURCES OF HIS INCOME.

The information in this summary memorandum covers the period since December, 1969. Dr. May was born on November 19, 1928, in Fort Worth, Texas.

Employment

Dr. May has continued to be employed as a history professor by Harvard University, Cambridge, Massachusetts. In addition he has been a member since 1966, and Director from 1971 to 1974, of the Institute of Politics, Harvard University; Dean of Harvard College, from 1970 to 1971; and Acting Associate Dean, Faculty of Arts and Sciences, Harvard University, from 1971 to 1972.

Dr. May has also been employed as a consultant, on an intermittent and part-time basis, by The Rand Corporation, Santa Monica, California, from August, 1967, to November, 1973; the Department of the Air Force, Washington, D. C., from November, 1968, to October, 1970; the Atomic Energy Commission, now part of the newly formed Energy Research and Development Administration, Washington, D. C., from November, 1969, to June, 1973; and the Office of the Secretary of Defense, Washington, D. C., from July, 1974, to date.

Marital Status

Dr. May is married to the former (b)(6) They and their children, (b)(6) and (b)(6) reside at 68 Snake Hill Road, Belmont, Massachusetts. Dr. May also maintains a residence in Apartment D-201 at 509 North Roosevelt Boulevard, Falls Church, Virginia.

18

Ernest Richard May

Interviews

(b)(6) Historian, Office of the Assistant Secretary of Defense, Washington, D. C., advised he has known Dr. May for over twenty years. (b)(6) said he first met Dr. May when Dr. May was a Naval officer assigned to the Joint Chiefs of Staff in Washington, D. C. They have maintained contact throughout the years, and since July, 1974, (b)(6) has been Dr. May's immediate supervisor. He said Dr. May works as a consultant for the Assistant Secretary of Defense Historian Office, about three or four days a week and maintains an apartment in Virginia. He returns to the Boston, Massachusetts, area each week to be with his family.

(b)(6) advised Dr. May is a well-known and highly regarded professional historian. He is a man of high personal and professional accomplishments. He said he considers him to be a loyal American of excellent character and reputation. (b)(6) recommended Dr. May for a position of trust and confidence with the United States Government.

(b)(6) Associate Professor of Public Policy, Harvard University, Cambridge, Massachusetts, interviewed in Washington, D. C., advised he has known Dr. May as a close professional associate since 1969. (b)(6) stated he, (b)(6) began work as a consultant in the Assistant Secretary of Defense Historian Office with Dr. May. He said Dr. May is a fine person and an excellent historian. Dr. Steinbruner said Dr. May is an intellectual leader in his field, as well as an administrative leader at Harvard University. He stated he considers Dr. May to be a loyal American of excellent character and reputation and he would recommend him for a position involving trust and confidence with the United States Government.

(b)(6) Associate Dean, John F. Kennedy School of Government, Harvard University, Cambridge, Massachusetts, advised he has been a professional colleague of Dr. May's since 1966. He said they have collaborated on various research projects and Dr. May was his successor as Director of the Institute of Politics at Harvard University. He said Dr. May is an excellent family man of good character and reputation. He said he considers Dr. May to be a loyal American who is highly suited for a major assignment with the United States Government.

Ernest Richard May

(b)(6) Professor of History, Harvard University, Cambridge, Massachusetts, advised that in his twenty years' association with Dr. May as a Harvard colleague, he has observed him to be a man of integrity, outstanding judgement, character, and loyalty. He said Dr. May is highly respected and trusted by his professional associates. (b)(6) recommended Dr. May for an appointment of trust and confidence with the United States Government.

(b)(6) United States Senator from Massachusetts, interviewed in Washington, D. C., advised he knows of Dr. May only by reputation. He said he has no objection to Dr. May's being appointed to a position of trust and confidence with the United States Government.

Edward M. Kennedy, United States Senator from Massachusetts, interviewed in Washington, D. C., advised he is personally acquainted with Dr. May and considers him to be a loyal American of excellent character and reputation. He said he would recommend Dr. May for a position of trust and confidence with the United States Government.

Nineteen additional persons, consisting of current and former supervisors and co-workers, neighbors, references, professional associates, and social acquaintances, were interviewed. They commented favorably concerning Dr. May's character, loyalty, reputation, associates, and morals. Dr. May was variously described as intelligent, capable, stable, personable, and hardworking, and as a highly regarded educator and administrator. They recommended him for a position of trust and confidence with the United States Government. Those persons acquainted with his close relatives described them as reputable and loyal individuals.

Close Relatives

Dr. May's mother, (b)(6) is deceased. In addition to his wife and children, mentioned previously, Dr. May's only other living close relative is his father, Ernest May, who resides in Fort Worth, Texas.

Ernest Richard May

Credit and Arrest Checks

Information has been received from appropriate credit reporting agencies indicating their files contain either no record or no additional pertinent information concerning Dr. May.

Information has been received from appropriate law enforcement agencies indicating their files contain no record concerning Dr. May or his close relatives.

Security Clearances

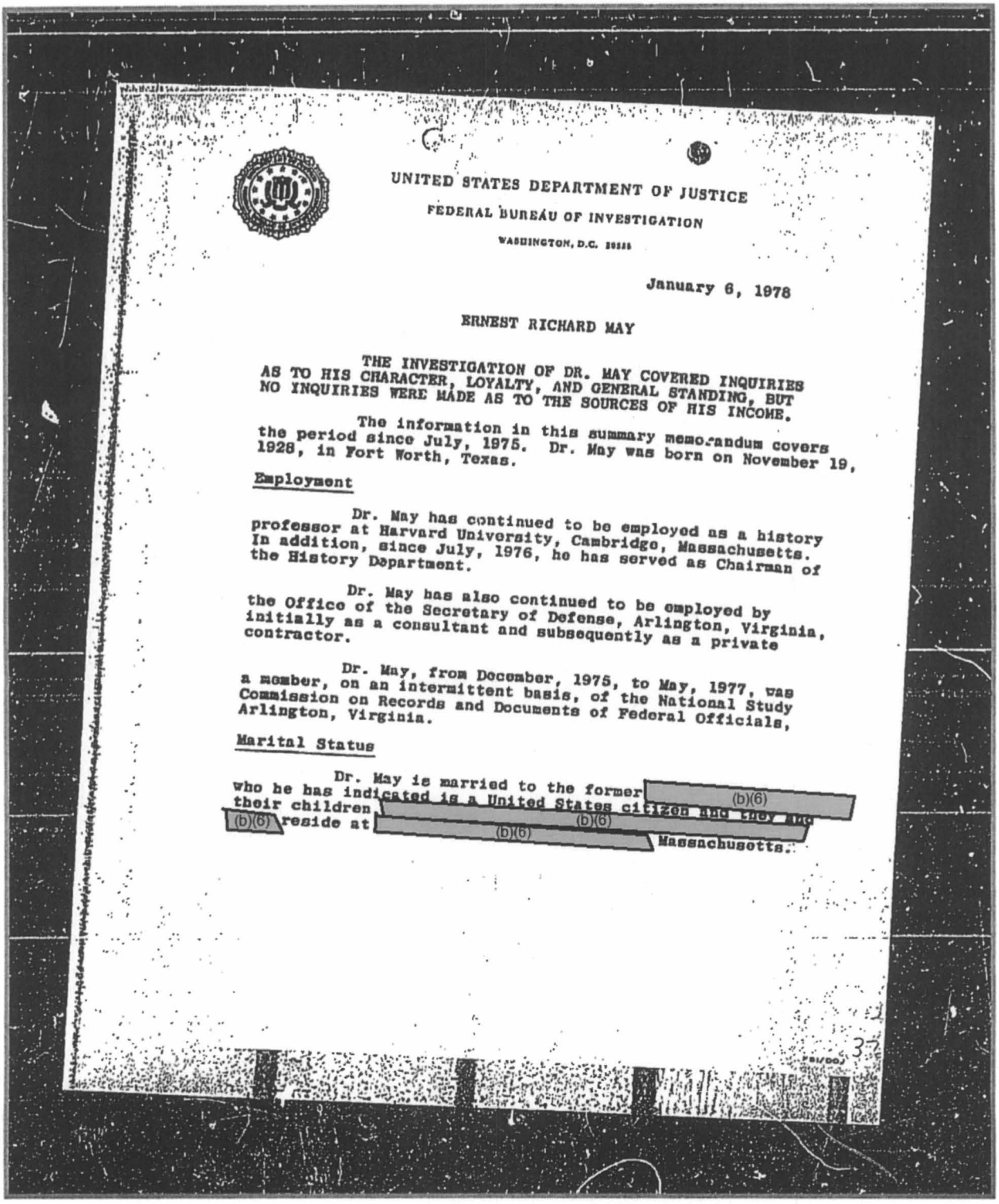
Dr. May holds an active top secret clearance granted to him by the Office of the Secretary of Defense.

Agency Checks

Information has been received from the following governmental agencies indicating their files contain either no record or no additional pertinent information concerning Dr. May:

Defense Central Index of Investigations;
Defense Industrial Security Clearance Office;
Energy Research and Development Administration;
Personnel Security Group, Department of the Air Force; Security Division, Office of the Assistant Secretary of Defense; and
The White House Office.

The central files of the FBI, including the files of the Identification Division, contain no additional pertinent information concerning Dr. May.



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

WASHINGTON, D.C. 20535

January 6, 1978

ERNEST RICHARD MAY

THE INVESTIGATION OF DR. MAY COVERED INQUIRIES AS TO HIS CHARACTER, LOYALTY, AND GENERAL STANDING, BUT NO INQUIRIES WERE MADE AS TO THE SOURCES OF HIS INCOME.

The information in this summary memorandum covers the period since July, 1975. Dr. May was born on November 19, 1928, in Fort Worth, Texas.

Employment

Dr. May has continued to be employed as a history professor at Harvard University, Cambridge, Massachusetts. In addition, since July, 1976, he has served as Chairman of the History Department.

Dr. May has also continued to be employed by the Office of the Secretary of Defense, Arlington, Virginia, initially as a consultant and subsequently as a private contractor.

Dr. May, from December, 1975, to May, 1977, was a member, on an intermittent basis, of the National Study Commission on Records and Documents of Federal Officials, Arlington, Virginia.

Marital Status

Dr. May is married to the former (b)(6) who he has indicated is a United States citizen and they have their children (b)(6) (b)(6) (b)(6) reside at (b)(6) Massachusetts.

Ernest Richard May

Interviews

Twelve persons, consisting of current and former supervisors and co-workers, present and former neighbors, references, professional associates, and social acquaintances of Dr. May's, were interviewed and they advised he is a loyal American whose character, reputation, and associates are above reproach. He was described as competent, responsible, hardworking, trustworthy, dependable, and intelligent. It was stated that Dr. May is a splendid scholar who possesses excellent judgment. Several individuals commented that Dr. May is one of the foremost historians in the area of American diplomatic relations in this country. They highly recommended him for a position of trust and responsibility.

Close Relatives

In addition to his wife and children, mentioned previously, Dr. May's only other living close relative is his father, Ernest May, who resides in Fort Worth, Texas.

Based on the background information furnished by Dr. May, and on investigation, he has no known close relatives residing in Iron Curtain countries.

Persons interviewed during this inquiry who are acquainted with Dr. May's close relatives advised they are reputable individuals.

Credit and Arrest Checks

Information has been received from appropriate credit reporting agencies indicating their files contain either no record or no additional pertinent information concerning Dr. May.

Information has been received from appropriate law enforcement agencies indicating their files contain no record concerning Dr. May or his close relatives.

Ernest Richard May

Security Clearance

Dr. May continues to hold an active top secret clearance granted to him by the Office of the Secretary of Defense.

Agency Checks

Information has been received from the following governmental agencies indicating their files contain either no record or no additional pertinent information concerning Dr. May:

Bureau of Personnel Investigations, Civil Service Commission; Central Intelligence Agency; National Security Council; Security Division, Office of the Secretary of Defense; United States Secret Service; and The White House Office.

The central files of the FBI, including the files of the Identification Division, contain no additional pertinent information concerning Dr. May.

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

WASHINGTON, D.C. 20535

January 11, 1978

ERNEST RICHARD MAY

The information contained in this summary memorandum supplements the information contained in a summary memorandum dated January 6, 1978.

Interviews

Fourteen additional persons, consisting of co-workers, professional associates, and a social acquaintance of Dr. May's, were interviewed and they advised he is a loyal American whose character, reputation, and associates are above reproach. He was described as intelligent, fair, talented, creative, and thorough. It was stated that Dr. May has an extremely high regard for the confidentiality of matters entrusted to him. They highly recommended him for a position of trust and responsibility.

Among those interviewed are the following:

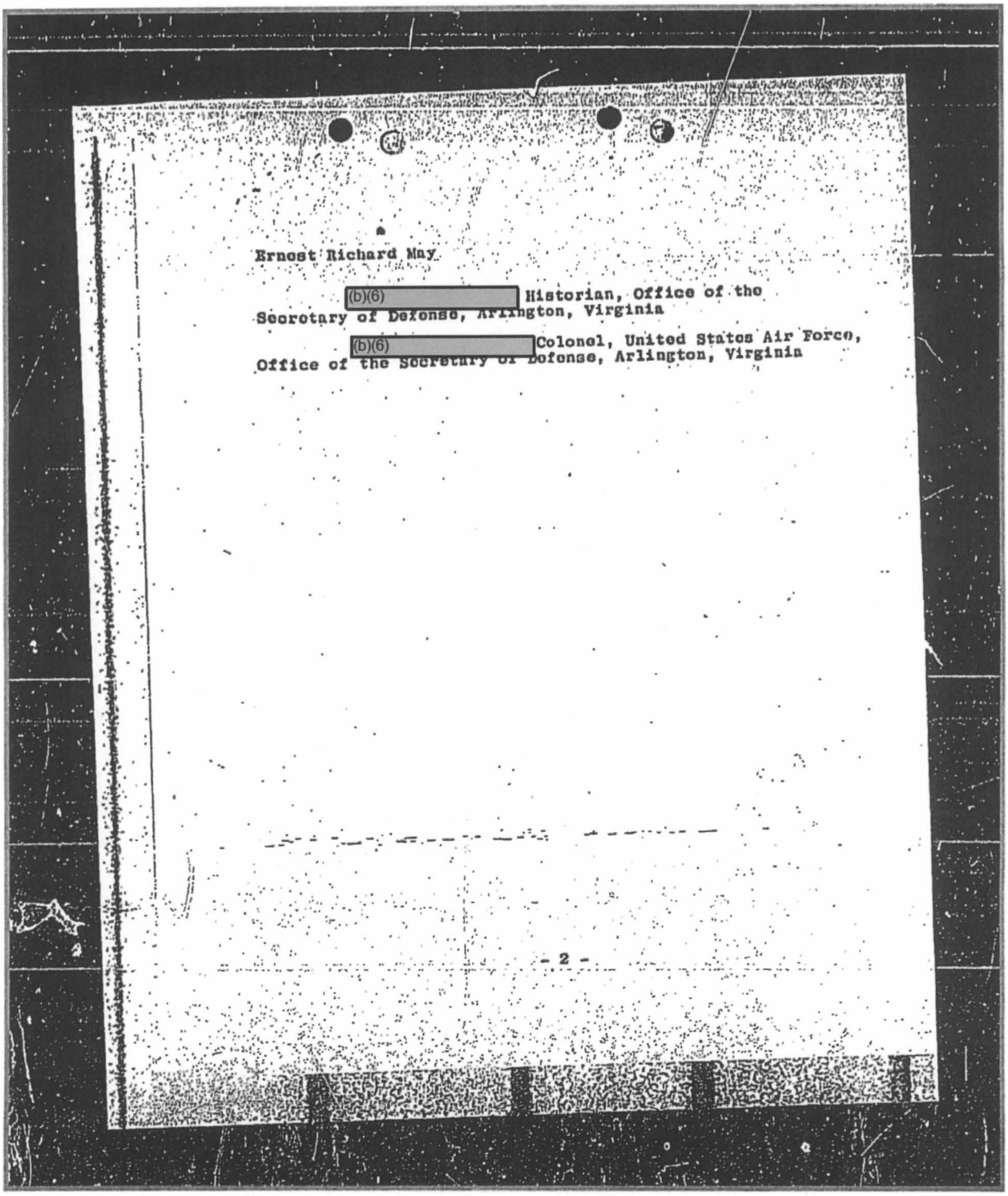
(b)(6) Dean of Faculty Arts and Sciences, Harvard University, Cambridge, Massachusetts

(b)(6) Dean of Public Administration, Harvard University, Cambridge, Massachusetts

(b)(6) Professor, Harvard University, Cambridge, Massachusetts

(b)(6) Professor, Harvard University, Cambridge, Massachusetts

(b)(6) Director, Institute of Politics, John Fitzgerald Kennedy School of Government, Harvard University, Cambridge, Massachusetts

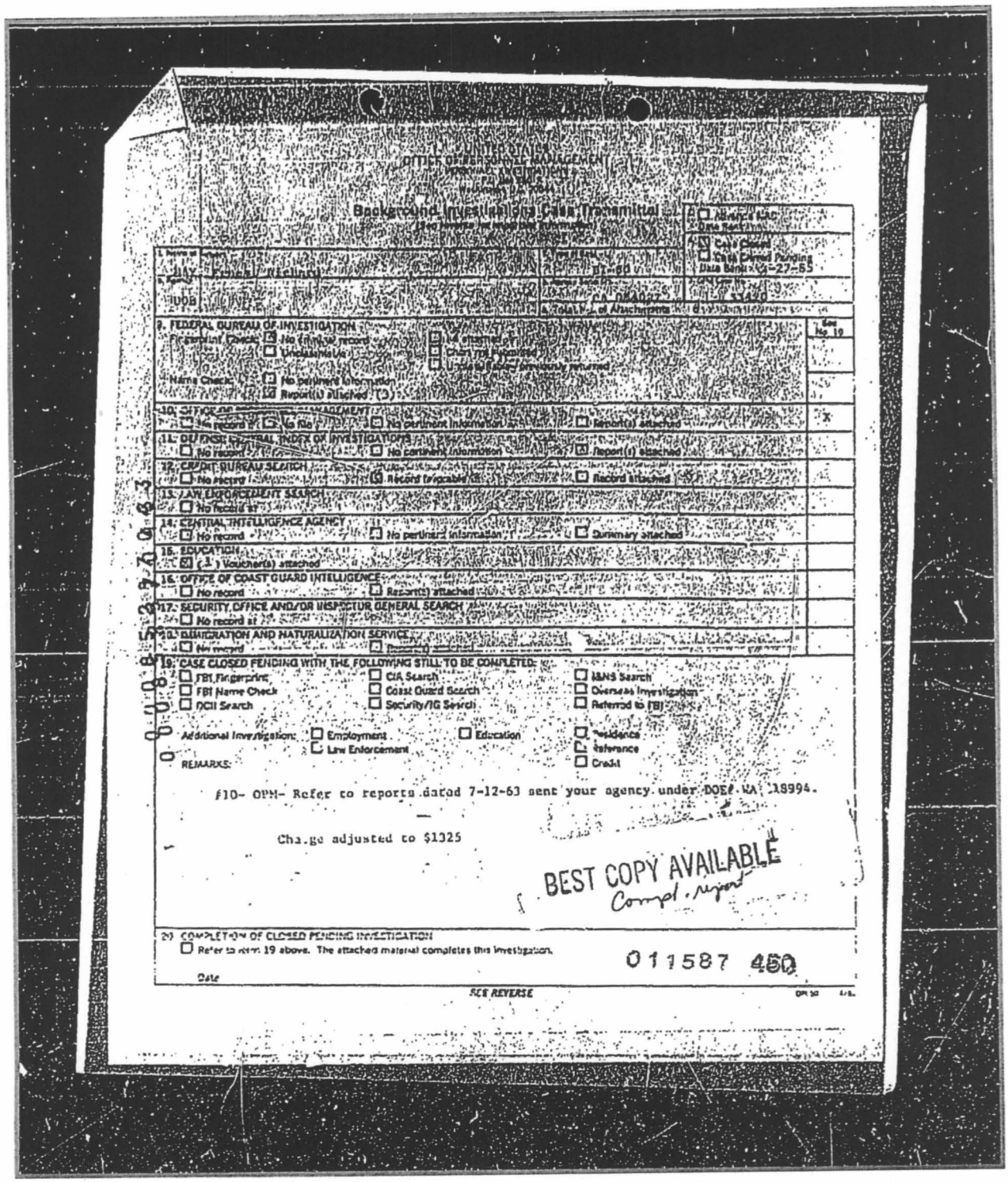


Ernest Richard May

(b)(6) Historian, Office of the Secretary of Defense, Arlington, Virginia

(b)(6) Colonel, United States Air Force, Office of the Secretary of Defense, Arlington, Virginia

- 2 -



UNITED STATES
OFFICE OF PERSONNEL MANAGEMENT

Background Investigations Case Transmittal

[illegible] Name Check
[illegible] Case Closed
[illegible] Case Closed Pending
Date Sent: 3-27-65

NAME: MAY, Ernest Richard
DOB: [illegible]
BI-60
CA 0[illegible]
534/0
Total No. of Attachments: [illegible]

9. FEDERAL BUREAU OF INVESTIGATION
Fingerprint Checks: [X] No criminal record [illegible] Attached
[illegible] Unclassifiable [illegible] Charts not submitted
[illegible] Date of Report awaited/returned
Name Check: [X] No pertinent information
[X] Report(s) attached (3)
Case No. 19

10. OFFICE OF PERSONNEL MANAGEMENT
[illegible] No record [X] No file [illegible] No pertinent information [illegible] Report(s) attached X

11. DEPARTMENTAL INDEX OF INVESTIGATIONS
[illegible] No record [illegible] No pertinent information [X] Report(s) attached

12. CREDIT BUREAU SEARCH
[illegible] No record [X] Record traceable [illegible] Record attached

13. LAW ENFORCEMENT SEARCH
[illegible] No record at

14. CENTRAL INTELLIGENCE AGENCY
[illegible] No record [illegible] No pertinent information [illegible] Summary attached

15. EDUCATION
[X] (1) Voucher(s) attached

16. OFFICE OF COAST GUARD INTELLIGENCE
[illegible] No record [illegible] Report(s) attached

17. SECURITY OFFICE AND/OR INSPECTOR GENERAL SEARCH
[illegible] No record at

18. IMMIGRATION AND NATURALIZATION SERVICE
[illegible] No record [illegible] Report(s)

19. CASE CLOSED PENDING WITH THE FOLLOWING STILL TO BE COMPLETED:
[illegible] FBI Fingerprint [illegible] CIA Search [illegible] I&NS Search
[illegible] FBI Name Check [illegible] Coast Guard Search [illegible] Overseas Investigation
[illegible] IRS Search [illegible] Security/IG Search [illegible] Referred to FBI

Additional Investigation: [illegible] Employment [illegible] Education [illegible] Residence
[illegible] Law Enforcement [illegible] Reference
[illegible] Credit

REMARKS:

#10- OPM- Refer to reports dated 7-12-63 sent your agency under DOE# WA 18994.

Charge adjusted to $1325

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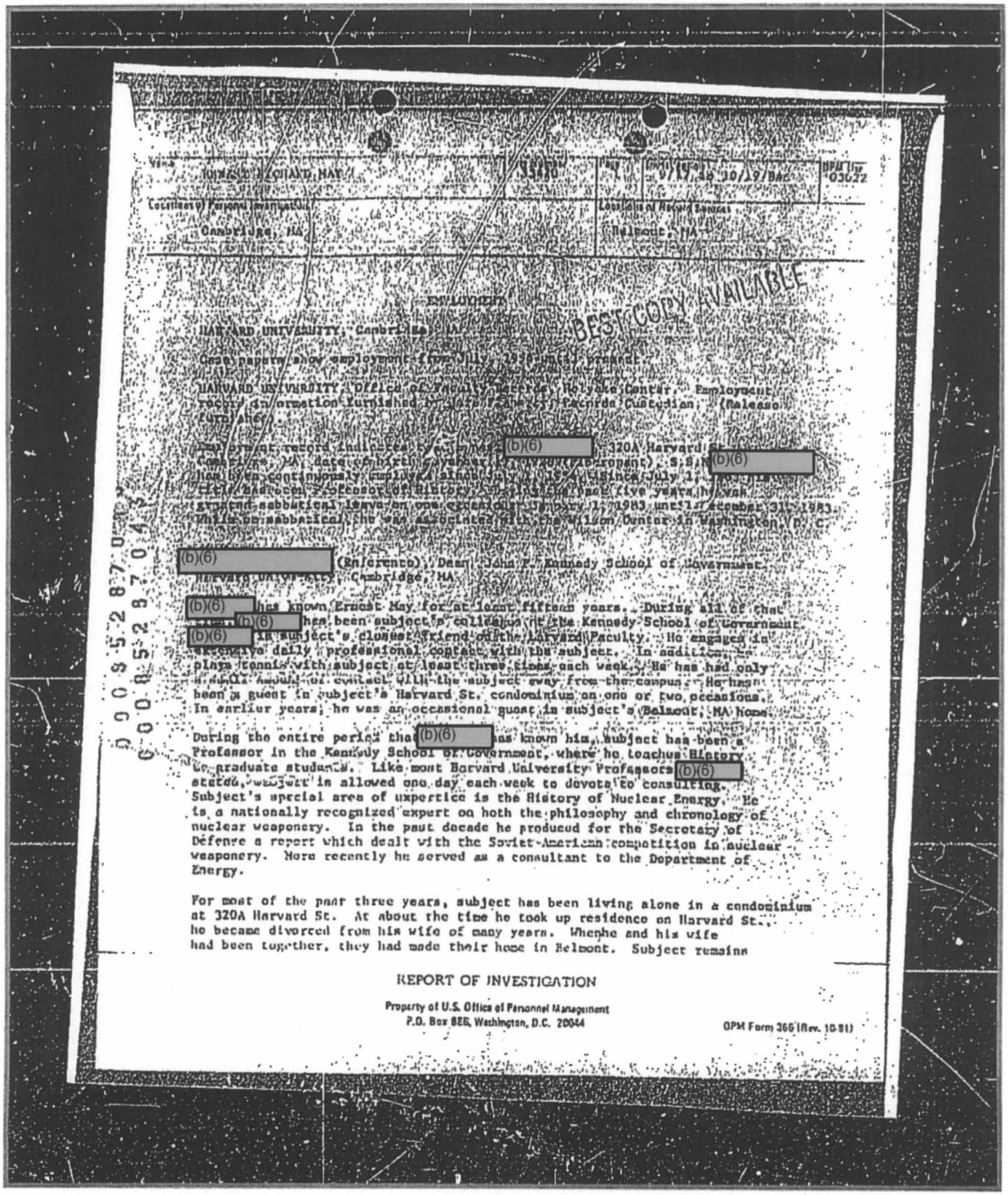
Compl. report

20) COMPLETION OF CLOSED PENDING INVESTIGATION
[illegible] Refer to item 19 above. The attached material completes this investigation.
Date

011587 450

SEE REVERSE



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Case(s) Personal Investigated: Cambridge, MA

Location of Record Sources: Belmont, MA

EXPLANATION

HARVARD UNIVERSITY, Cambridge, MA

Case papers show employment from July 1978 until present.

HARVARD UNIVERSITY, Office of Faculty Records, Holyoke Center. Employment record information furnished by [redacted] (b)(6) Faculty Records Custodian. (Release [illegible])

Personnel record indicates [redacted] (b)(6) 320A Harvard Street, Cambridge, MA, date of birth [redacted], was employed (Subject) S.S.N. [redacted] (b)(6) [illegible] continuously employed since July 1, [illegible] and became a professor of History on that date. Since five years he was granted sabbatical leave on one occasion, January 1, 1983 until December 31, 1983. While on sabbatical, he was associated with the Wilson Center in Washington, D.C.

[redacted] (b)(6) (Enforcer), Dean, John F. Kennedy School of Government, Harvard University, Cambridge, MA

[redacted] (b)(6) has known Ernest May for at least fifteen years. During all of that period [redacted] (b)(6) has been subject's colleague at the Kennedy School of Government. [redacted] (b)(6) is subject's closest friend on the Harvard faculty. He engages in extensive daily professional contact with the subject. In addition, he plays tennis with subject at least three times each week. He has had only a small amount of contact with the subject away from the campus. He has been a guest in subject's Harvard St. condominium on one or two occasions. In earlier years, he was an occasional guest in subject's Belmont, MA home.

During the entire period that [redacted] (b)(6) has known him, subject has been a Professor in the Kennedy School of Government, where he teaches History to graduate students. Like most Harvard University Professors [redacted] (b)(6) stated, subject is allowed one day each week to devote to consulting. Subject's special area of expertise is the History of Nuclear Energy. He is a nationally recognized expert on both the philosophy and chronology of nuclear weaponery. In the past decade he produced for the Secretary of Defense a report which dealt with the Soviet-American competition in nuclear weaponery. More recently he served as a consultant to the Department of Energy.

For most of the past three years, subject has been living alone in a condominium at 320A Harvard St. At about the time he took up residence on Harvard St., he became divorced from his wife of many years. When he and his wife had been together, they had made their home in Belmont. Subject remains

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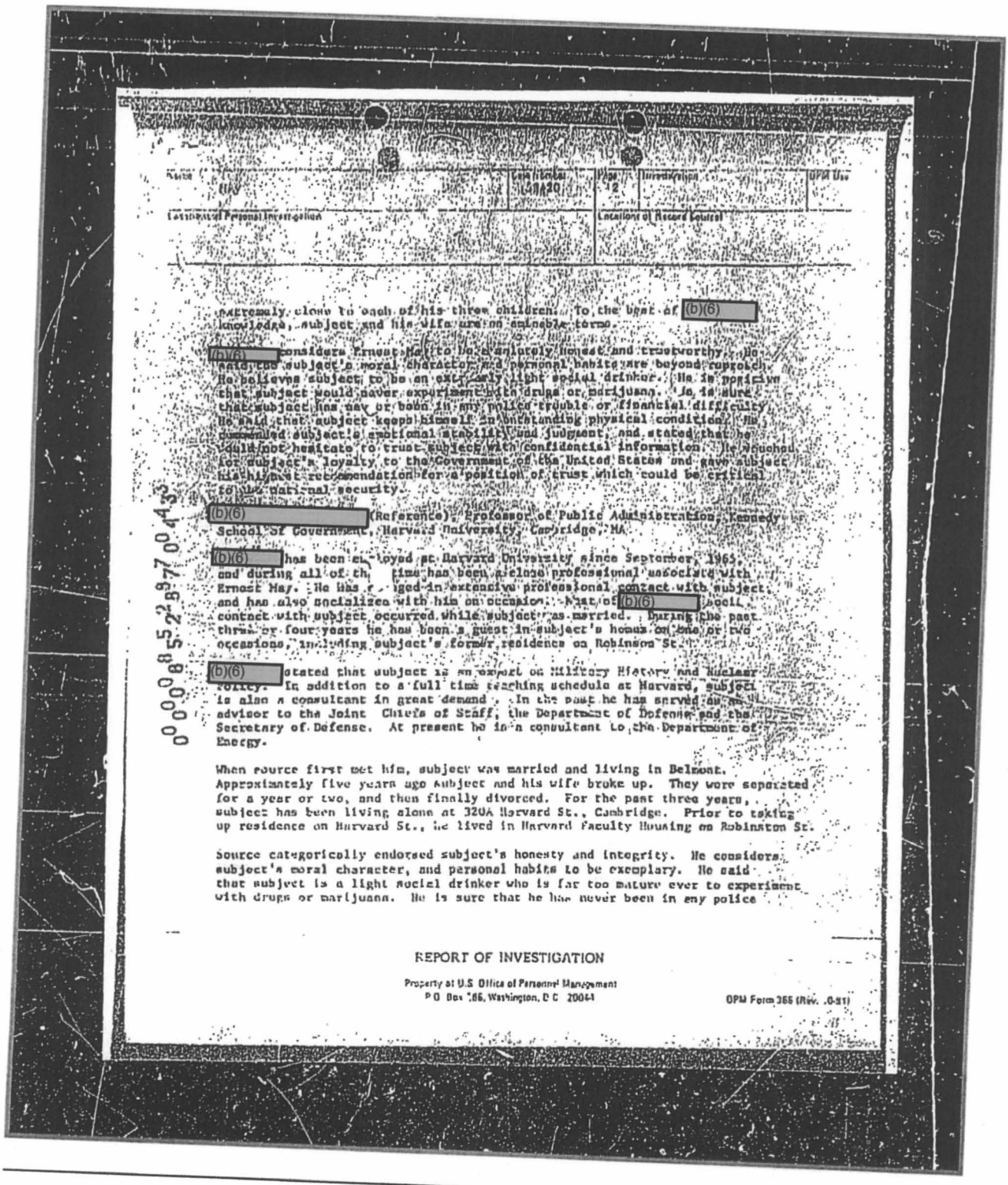

Name | Case Number | Page | Interviewer | OPM Use
Kay | L48A20 | 12

Department of Personal Investigation | Location of Record Control

extremely close to each of his three children. To the best of (b)(6) knowledge, subject and his wife are on amicable terms.

(b)(6) considers Ernest Kay to be entirely honest and trustworthy. He said the subject's moral character and personal habits are beyond reproach. He believes subject to be an extremely light social drinker. He is positive that subject would never experiment with drugs or marijuana. He is sure that subject has nev or been in any police trouble or financial difficulty. He said that subject keeps himself in outstanding physical condition. He commended subject's emotional stability and judgment, and stated that he would not hesitate to trust subject with confidential information. He vouched for subject's loyalty to the Government of the United States and gave subject his highest recommendation for a position of trust which could be critical to the national security.

(b)(6) (Reference), Professor of Public Administration, Kennedy School of Government, Harvard University, Cambridge, MA.

(b)(6) has been acquainted at Harvard University since September, 1965, and during all of th time has been a close professional associate with Ernest May. He has engaged in extensive professional contact with subject, and has also socialized with him on occasion. Most of (b)(6) social contact with subject occurred while subject was married. During the past three or four years he has been a guest in subject's home on one or two occasions, including subject's former residence on Robinson St.

(b)(6) stated that subject is an expert on Military History and Nuclear Policy. In addition to a full time teaching schedule at Harvard, subject is also a consultant in great demand. In the past he has served as an advisor to the Joint Chiefs of Staff, the Department of Defense and the Secretary of Defense. At present he is a consultant to the Department of Energy.

When source first met him, subject was married and living in Belmont. Approximately five years ago subject and his wife broke up. They were separated for a year or two, and then finally divorced. For the past three years, subject has been living alone at 320A Harvard St., Cambridge. Prior to taking up residence on Harvard St., he lived in Harvard Faculty Housing on Robinston St.

Source categorically endorsed subject's honesty and integrity. He considers subject's moral character, and personal habits to be exemplary. He said that subjvct is a light social drinker who is far too mature ever to experiment with drugs or marijuana. He is sure that he has never been in any police

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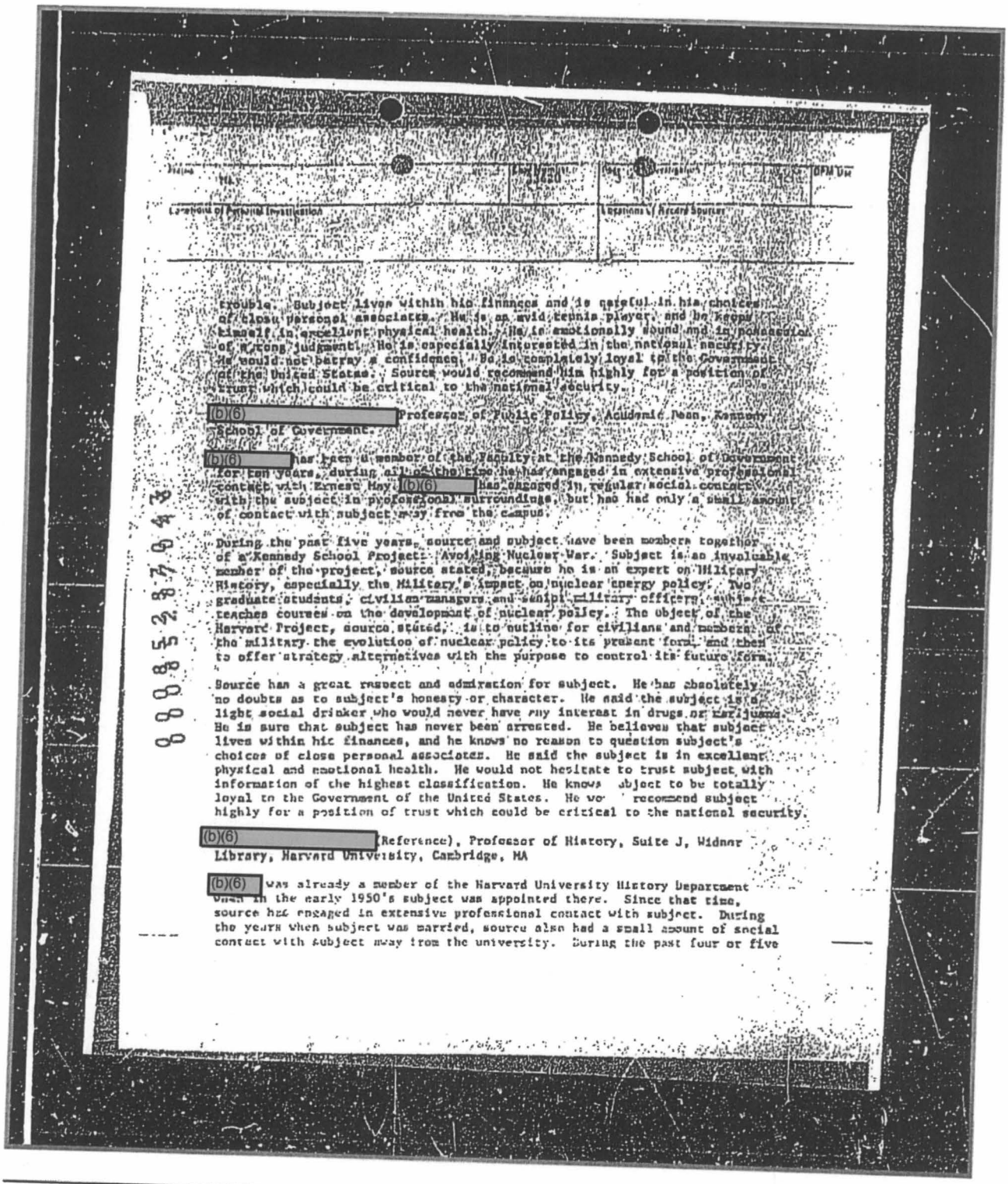


trouble. Subject lives within his finances and is careful in his choices of close personal associates. He is an avid tennis player, and he keeps himself in excellent physical health. He is emotionally sound and in possession of strong judgment. He is especially interested in the national security. He would not betray a confidence. He is completely loyal to the Government of the United States. Source would recommend him highly for a position of trust which could be critical to the national security.

(b)(6) Professor of Public Policy, Academic Dean, Kennedy School of Government.

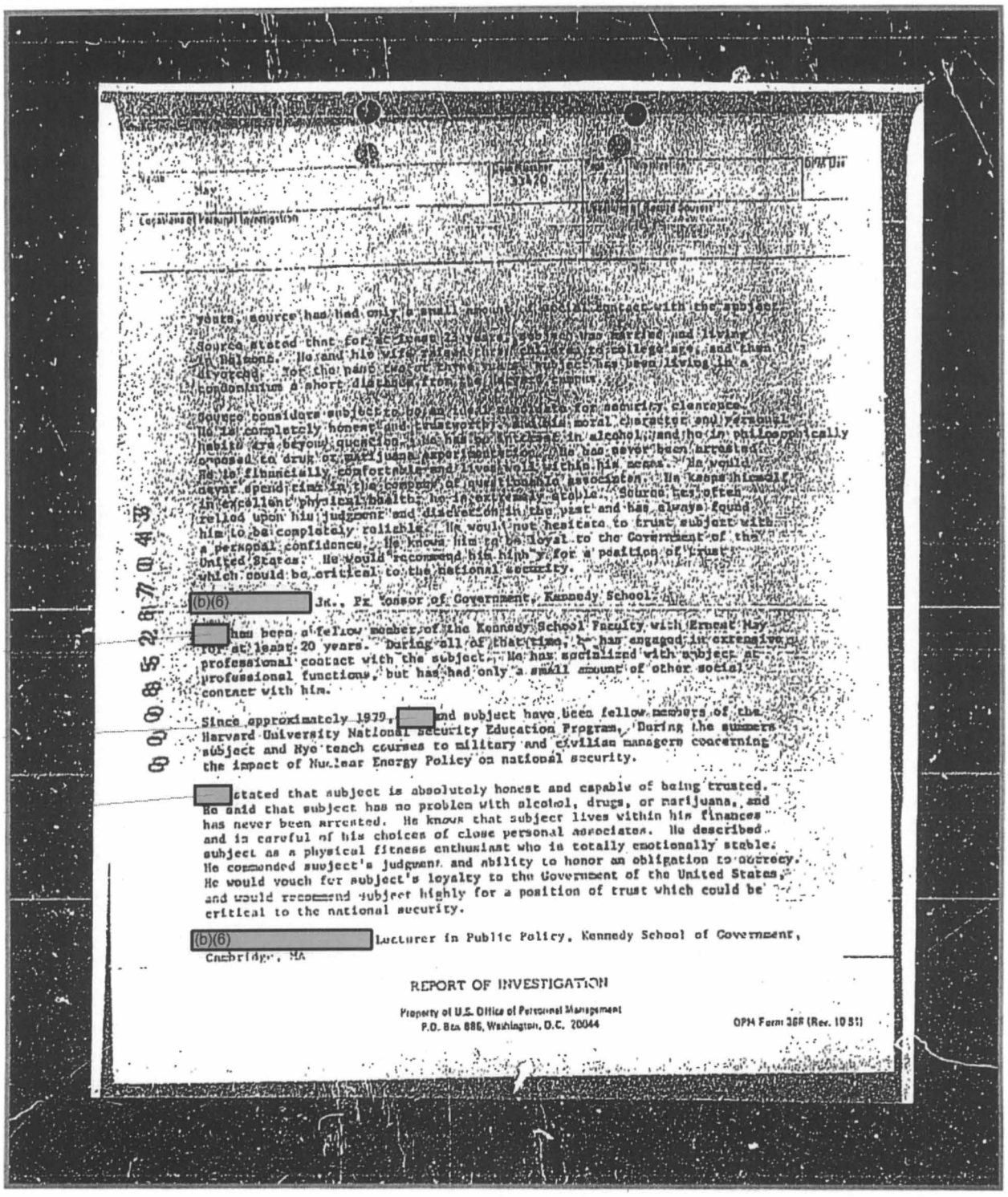
(b)(6) has been a member of the Faculty at the Kennedy School of Government for ten years, during all of the time he has engaged in extensive professional contact with Ernest May. (b)(6) has engaged in regular social contact with the subject in professional surroundings, but has had only a small amount of contact with subject away from the campus.

During the past five years, source and subject have been members together of a Kennedy School Project: Avoiding Nuclear War. Subject is an invaluable member of the project, source stated, because he is an expert on Military History, especially the Military's impact on nuclear energy policy. Two graduate students, civilian managers and senior military officers, subject teaches courses on the development of nuclear policy. The object of the Harvard Project, source stated, is to outline for civilians and members of the military the evolution of nuclear policy to its present form, and then to offer strategy alternatives with the purpose to control its future form.

Source has a great respect and admiration for subject. He has absolutely no doubts as to subject's honesty or character. He said the subject is a light social drinker who would never have any interest in drugs or marijuana. He is sure that subject has never been arrested. He believes that subject lives within his finances, and he knows no reason to question subject's choices of close personal associates. He said the subject is in excellent physical and emotional health. He would not hesitate to trust subject with information of the highest classification. He knows subject to be totally loyal to the Government of the United States. He would recommend subject highly for a position of trust which could be critical to the national security.

(b)(6) (Reference), Professor of History, Suite J, Widner Library, Harvard University, Cambridge, MA

(b)(6) was already a member of the Harvard University History Department when in the early 1950's subject was appointed there. Since that time, source has engaged in extensive professional contact with subject. During the years when subject was married, source also had a small amount of social contact with subject away from the university. During the past four or five



May

Case Number 33470

Copyright of Personal Information

Source considers subject to be an ideal associate for security clearance. He is completely honest and trustworthy. Within moral character and personal habits are beyond question. He has no interest in alcohol, and he is philosophically opposed to drug or marijuana experimentation. He has never been arrested. He is financially comfortable and lives well within his means. He would never spend time in the company of questionable associates. He keeps himself in excellent physical health. He is extremely stable. Source has often relied upon his judgment and discretion in the past and has always found him to be completely reliable. He would not hesitate to trust subject with a personal confidence. He knows him to be loyal to the Government of the United States. He would recommend him highly for a position of trust which could be critical to the national security.

(b)(6) Jr., Professor of Government, Kennedy School.

(b)(6) has been a fellow member of the Kennedy School Faculty with Ernest May for at least 20 years. During all of that time, he has engaged in extensive professional contact with the subject. He has socialized with subject at professional functions, but has had only a small amount of other social contact with him.

(b)(6) Since approximately 1979, and subject have been fellow members of the Harvard University National Security Education Program. During the summers subject and Nye teach courses to military and civilian managers concerning the impact of Nuclear Energy Policy on national security.

(b)(6) stated that subject is absolutely honest and capable of being trusted. He said that subject has no problem with alcohol, drugs, or marijuana, and has never been arrested. He knows that subject lives within his finances and is careful of his choices of close personal associates. He described subject as a physical fitness enthusiast who is totally emotionally stable. He commended subject's judgment and ability to honor an obligation to secrecy. He would vouch for subject's loyalty to the Government of the United States, and would recommend subject highly for a position of trust which could be critical to the national security.

(b)(6) Lecturer in Public Policy, Kennedy School of Government, Cambridge, MA

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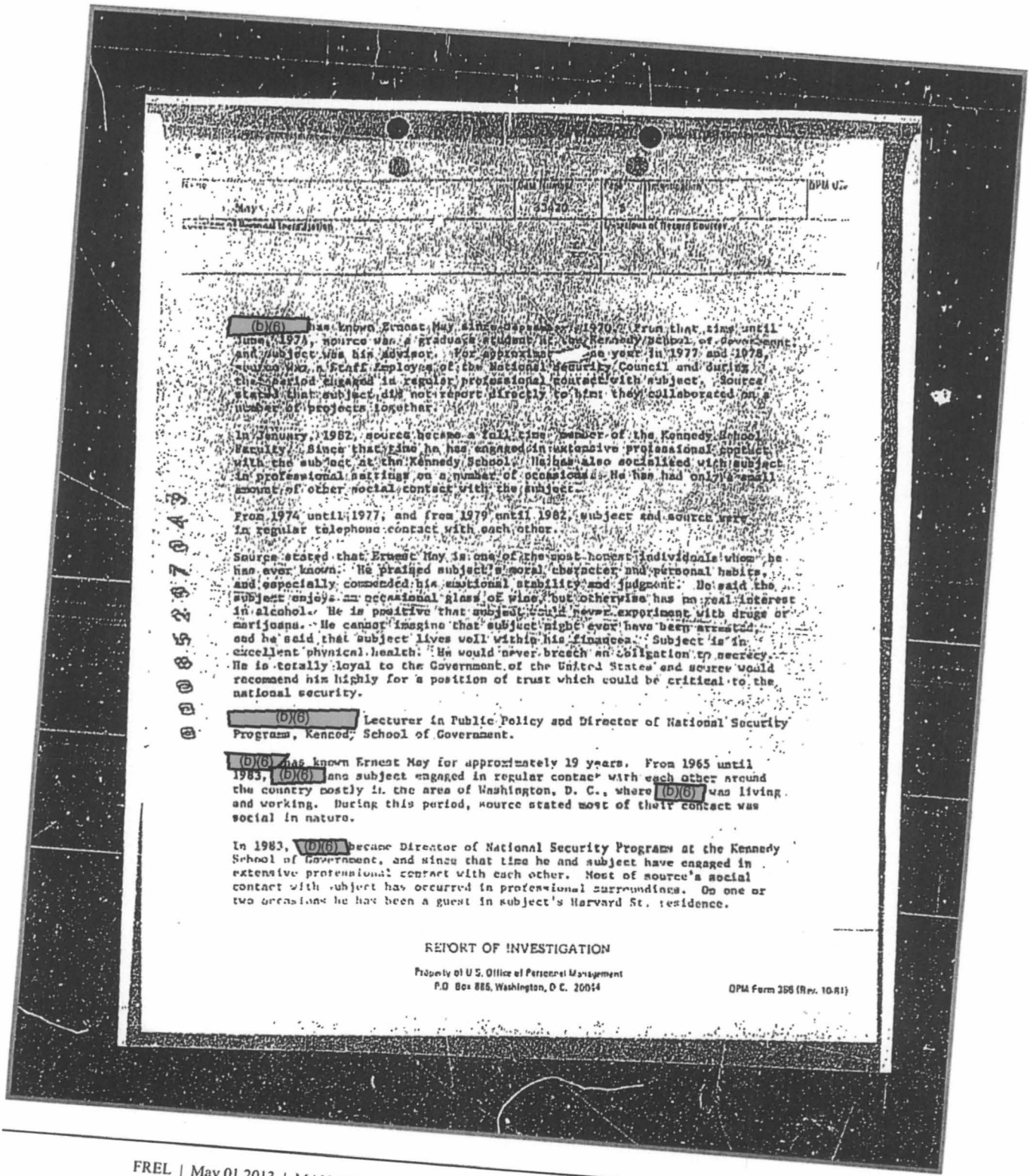

Name: May, [illegible] | Date Dictated: 3/4/20 | File: [illegible] | Investigation: [illegible] | OPM Use

Location of Personal Interview | Location of Record Source

(b)(6) has known Ernest May since [illegible] 1970. From that time until June, 1977, source was a graduate student at the Kennedy School of Government and subject was his advisor. For approximately one year in 1977 and 1978, source was a staff employee of the National Security Council and during the period engaged in regular professional contact with subject. Source stated that subject did not report directly to him; they collaborated on a number of projects together.

In January, 1982, source became a full time member of the Kennedy School Faculty. Since that time he has engaged in extensive professional contact with the subject at the Kennedy School. He has also socialized with subject in professional settings on a number of occasions. He has had only a small amount of other social contact with the subject.

From 1974 until 1977, and from 1979 until 1982, subject and source were in regular telephone contact with each other.

Source stated that Ernest May is one of the most honest individuals whom he has ever known. He praised subject's moral character and personal habits, and especially commended his emotional stability and judgment. He said the subject enjoys an occasional glass of wine, but otherwise has no real interest in alcohol. He is positive that subject could never experiment with drugs or marijuana. He cannot imagine that subject might ever have been arrested, and he said that subject lives well within his finances. Subject is in excellent physical health. He would never breech an obligation to secrecy. He is totally loyal to the Government of the United States and source would recomend him highly for a position of trust which could be critical to the national security.

(b)(6) Lecturer in Public Policy and Director of National Security Programs, Kenedy School of Government.

(b)(6) has known Ernest May for approximately 19 years. From 1965 until 1983, (b)(6) and subject engaged in regular contact with each other around the country mostly in the area of Washington, D. C., where (b)(6) was living and working. During this period, source stated most of their contact was social in nature.

In 1983, (b)(6) became Director of National Security Programs at the Kennedy School of Government, and since that time he and subject have engaged in extensive professional contact with each other. Most of source's social contact with subject has occurred in professional surroundings. On one or two occasions he has been a guest in subject's Harvard St. residence.

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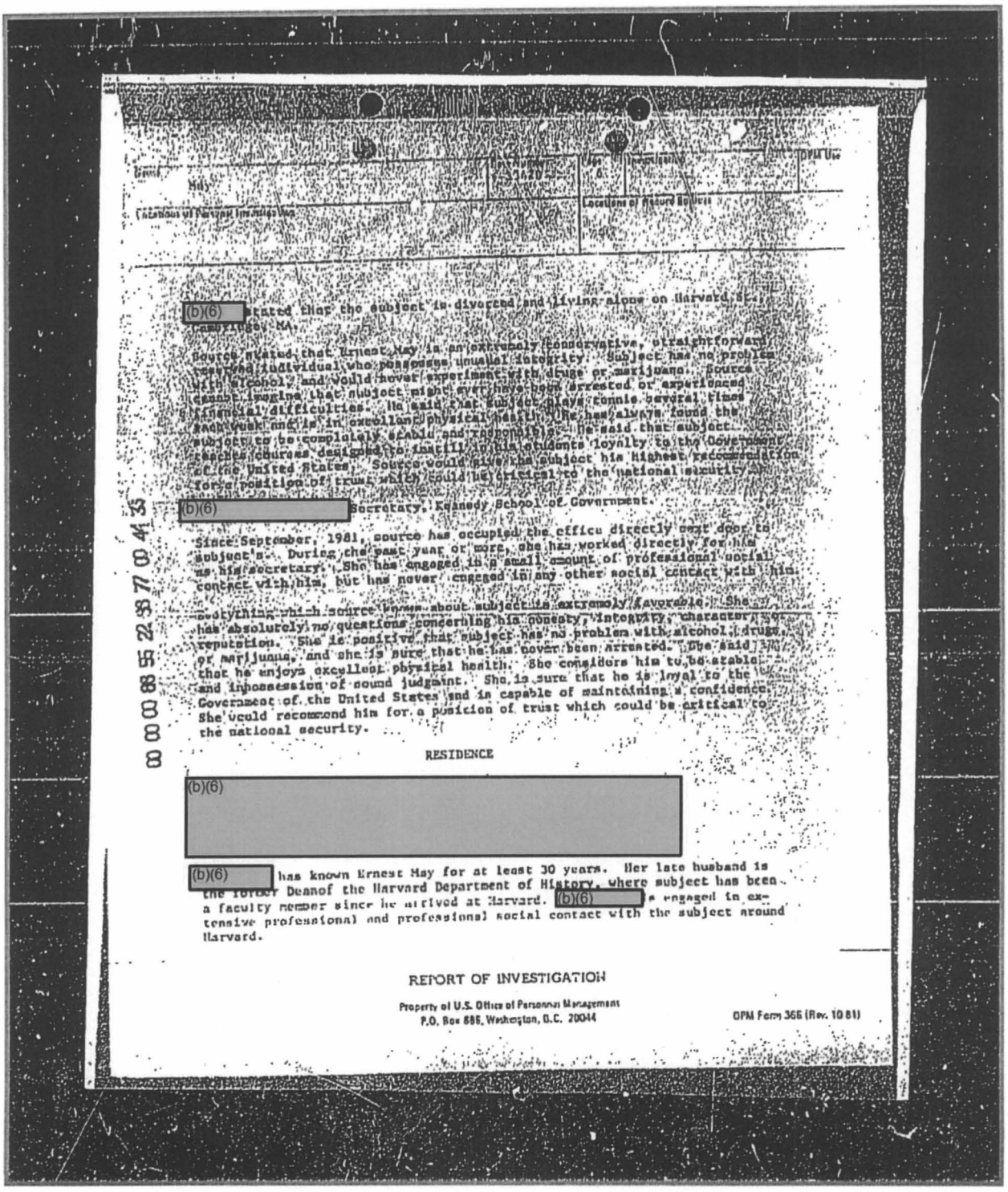

(b)(6) stated that the subject is divorced and living alone on Harvard St., Cambridge, MA.

Source stated that Ernest May is an extremely conservative, straightforward, reserved individual who possesses unusual integrity. Subject has no problem with alcohol, and would never experiment with drugs or marijuana. Source cannot imagine that subject might ever have been arrested or experienced financial difficulties. He said that subject plays tennis several times each week and is in excellent physical health. He has always found the subject to be completely stable and responsible. He said that subject teaches courses designed to instill in his students loyalty to the Government of the United States. Source would give the subject his highest recommendation for a position of trust which could be critical to the national security.

(b)(6) Secretary, Kennedy School of Government.

Since September, 1981, source has occupied the office directly next door to subject's. During the past year or more, she has worked directly for him as his secretary. She has engaged in a small amount of professional social contact with him, but has never engaged in any other social contact with him.

Everything which source knows about subject is extremely favorable. She has absolutely no questions concerning his honesty, integrity, character, or reputation. She is positive that subject has no problem with alcohol, drugs, or marijuana, and she is sure that he has never been arrested. She said that he enjoys excellent physical health. She considers him to be stable and in possession of sound judgment. She is sure that he is loyal to the Government of the United States and is capable of maintaining a confidence. She would recommend him for a position of trust which could be critical to the national security.

RESIDENCE

(b)(6)

(b)(6) has known Ernest May for at least 30 years. Her late husband is the former Deanof the Harvard Department of History, where subject has been a faculty member since he arrived at Harvard. (b)(6) is engaged in extensive professional and professional social contact with the subject around Harvard.

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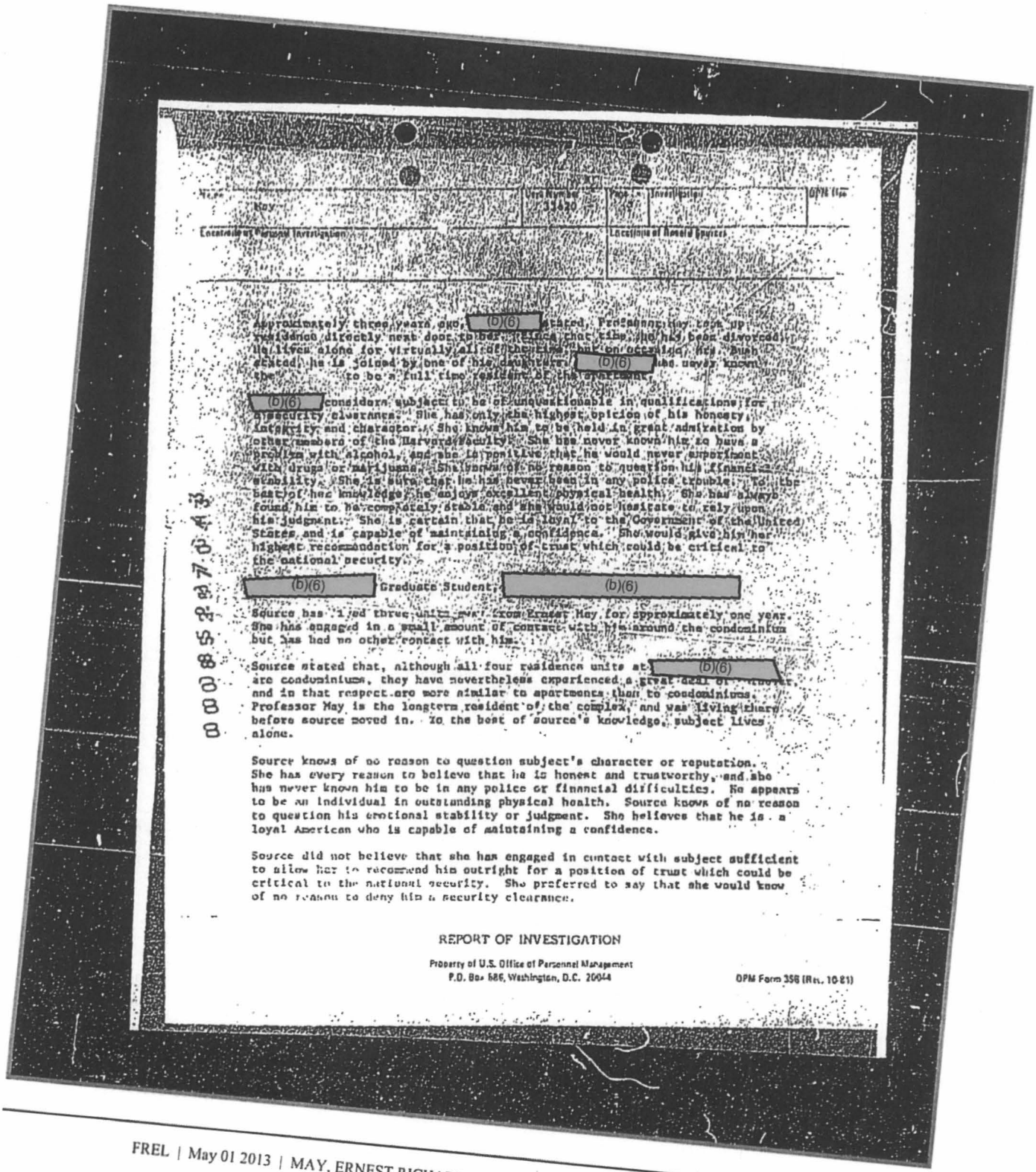

Name: May | Case Number: 31420 | Year: | Investigation: | OPM Use

Location of Personal Investigation | Location of Record Sources

Approximately three years ago, (b)(6) learned, Professor May took up residence directly next door to her. Since that time, he has been divorced, lives alone for virtually all of the time, but on occasion, his [illegible] stated he is joined by one of his daughters, (b)(6) She never known the [illegible] to be a full time resident of the apartment.

(b)(6) considers subject to be of unquestionable in qualifications for a security clearance. She has only the highest opinion of his honesty, integrity and character. She knows him to be held in great admiration by other members of the Harvard faculty. She has never known him to have a problem with alcohol, and she is positive that he would never experiment with drugs or marijuana. She knows of no reason to question his financial stability. She is sure that he has never been in any police trouble. To the best of her knowledge, he enjoys excellent physical health. She has always found him to be completely stable and she would not hesitate to rely upon his judgment. She is certain that he is loyal to the Government of the United States and is capable of maintaining a confidence. She would give him her highest recommendation for a position of trust which could be critical to the national security.

(b)(6) Graduate Student; (b)(6)

Source has lived three units away from Ernest May for approximately one year. She has engaged in a small amount of contact with him around the condominium but has had no other contact with him.

Source stated that, although all four residence units at (b)(6) are condominiums, they have nevertheless experienced a great deal of [illegible] and in that respect are more similar to apartments than to condominiums. Professor May is the longterm resident of the complex, and was living there before source moved in. To the best of source's knowledge, subject lives alone.

Source knows of no reason to question subject's character or reputation. She has every reason to believe that he is honest and trustworthy, and she has never known him to be in any police or financial difficulties. He appears to be an individual in outstanding physical health. Source knows of no reason to question his emotional stability or judgment. She believes that he is a loyal American who is capable of maintaining a confidence.

Source did not believe that she has engaged in contact with subject sufficient to allow her to recommend him outright for a position of trust which could be critical to the national security. She preferred to say that she would know of no reason to deny him a security clearance.

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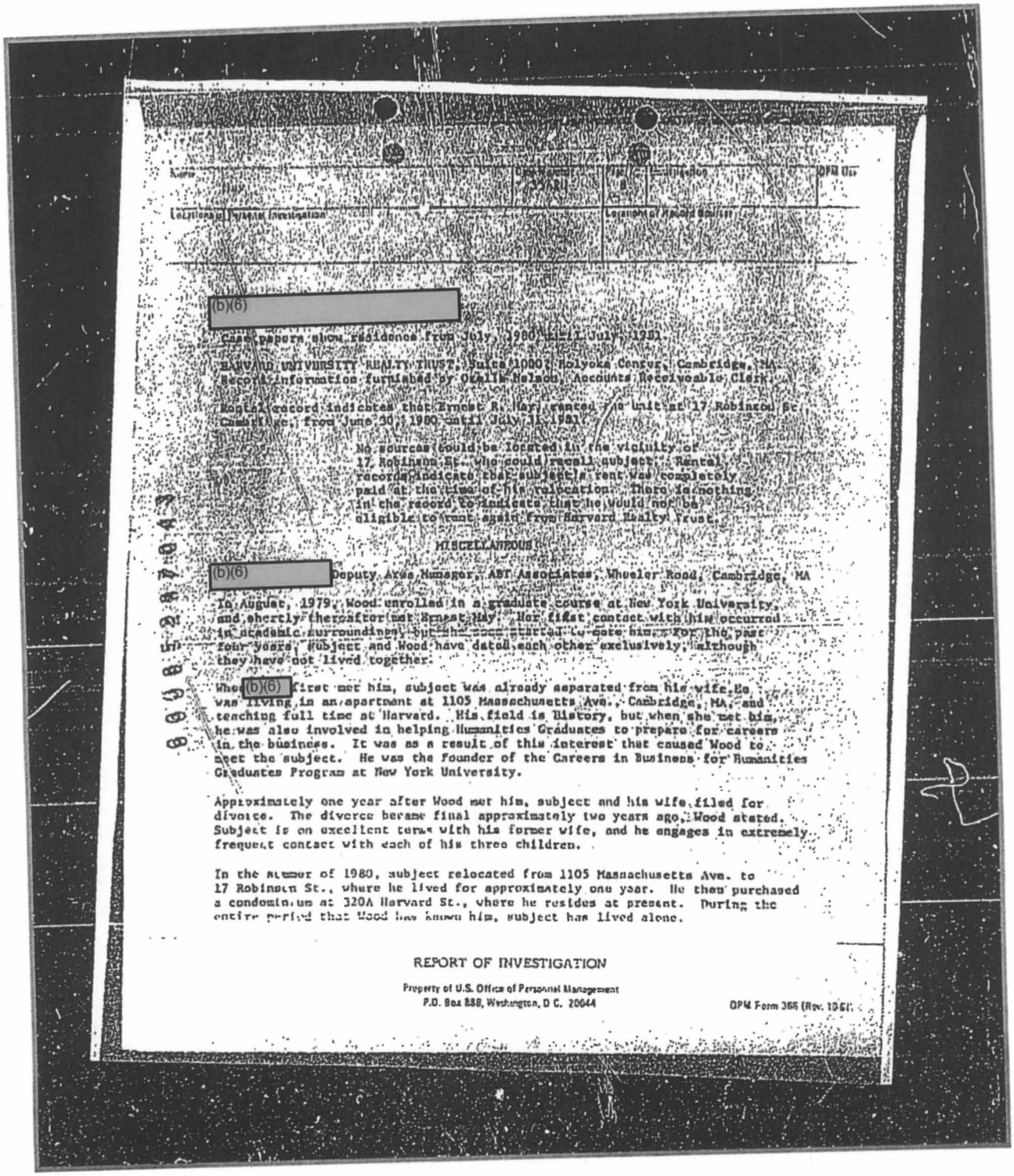

(b)(6)

Case papers show residence from July, 1980 to 1 July, 1981.

HARVARD UNIVERSITY REALTY TRUST, Suite 10000, Holyoke Center, Cambridge, MA. Record information furnished by Orville Nelson, Accounts Receivable Clerk.

Rental record indicates that Ernest R. May rented one unit at 17 Robinson St. Cambridge, from June 30, 1980 until July 31, 1981.

No sources could be located in the vicinity of 17 Robinson St. who could recall subject. Rental records indicate that subject's rent was completely paid at the time of his relocation. There is nothing in the record to indicate that he would not be eligible to rent again from Harvard Realty Trust.

MISCELLANEOUS

(b)(6) Deputy Area Manager, ABT Associates, Wheeler Road, Cambridge, MA

In August, 1979, Wood enrolled in a graduate course at New York University, and shortly thereafter met Ernest May. Her first contact with him occurred in academic surroundings, but he soon started to date her. For the past four years, subject and Wood have dated each other exclusively, although they have not lived together.

When (b)(6) first met him, subject was already separated from his wife. He was living in an apartment at 1105 Massachusetts Ave., Cambridge, MA, and teaching full time at Harvard. His field is History, but when she met him, he was also involved in helping Humanities Graduates to prepare for careers in the business. It was as a result of this interest that caused Wood to meet the subject. He was the founder of the Careers in Business for Humanities Graduates Program at New York University.

Approximately one year after Wood met him, subject and his wife filed for divorce. The divorce became final approximately two years ago, Wood stated. Subject is on excellent terms with his former wife, and he engages in extremely frequent contact with each of his three children.

In the summer of 1980, subject relocated from 1105 Massachusetts Ave. to 17 Robinson St., where he lived for approximately one year. He then purchased a condominium at 320A Harvard St., where he resides at present. During the entire period that Wood has known him, subject has lived alone.

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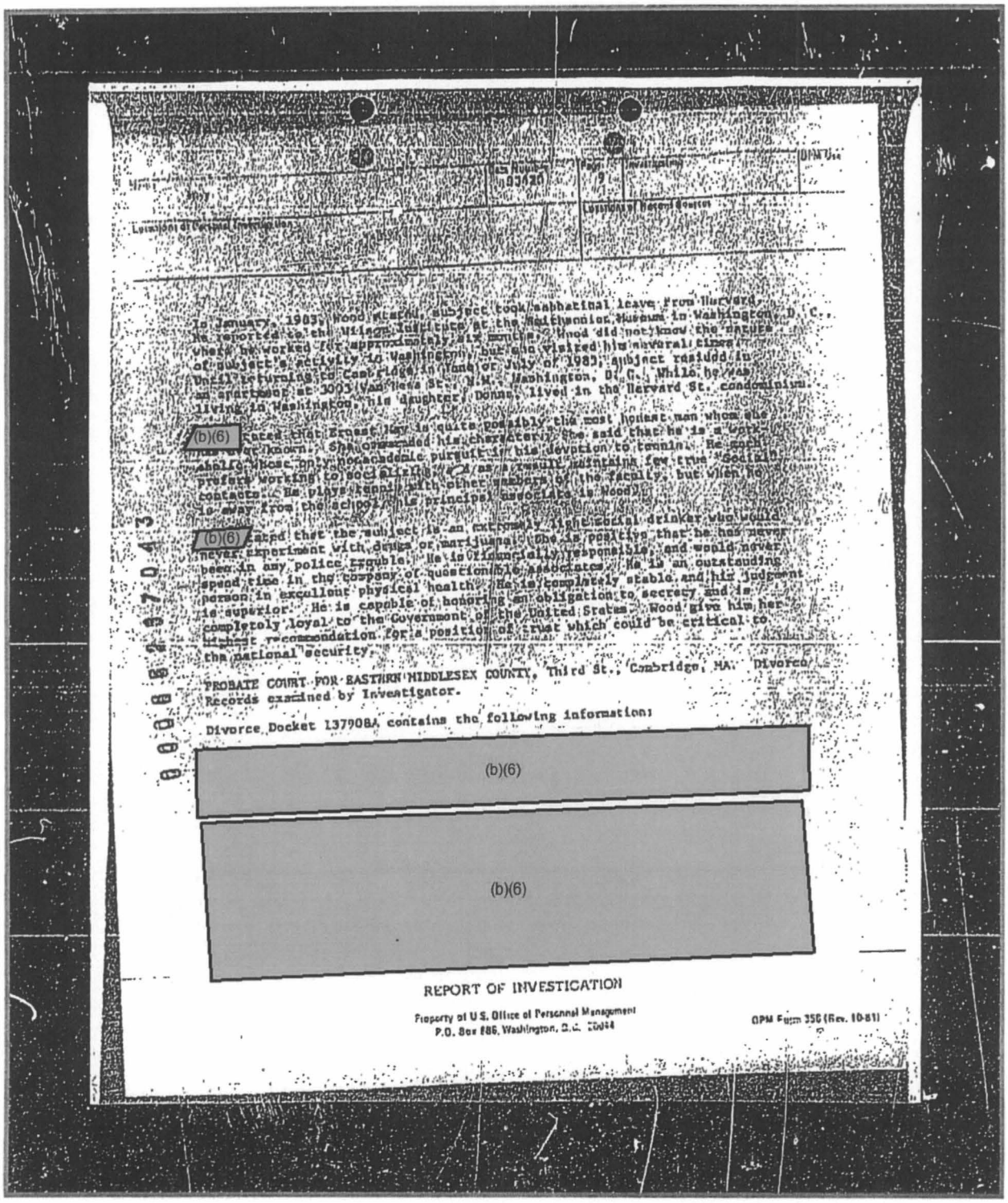

In January, 1983, Wood stated subject took sabbatical leave from Harvard. He reported to the Wilson Institute at the Smithsonian Museum in Washington, D. C., where he worked for approximately six months. Wood did not know the nature of subject's activity in Washington, but she visited him several times. Until returning to Cambridge in June or July of 1983, subject resided in an apartment at 3003 Van Ness St., N.W., Washington, D. C. While he was living in Washington, his daughter, Donna, lived in the Harvard St. condominium.

(b)(6) stated that Ernest May is quite possibly the most honest man whom she has ever known. She commended his character, she said that he is a very [illegible] whose only noncademic pursuit is his devotion to tennis. He seeks [illegible] prefers working to social activities as a result knowing few true "Socials" contacts. He plays tennis with other members of the faculty, but when he is away from the school, his principal associate is Wood.

(b)(6) stated that the subject is an extremely light social drinker who would never experiment with drugs or marijuana. She is positive that he has never been in any police trouble. He is financially responsible, and would never spend time in the company of questionable associates. He is an outstanding person in excellent physical health. He is completely stable and his judgment is superior. He is capable of honoring an obligation to secrecy and is completely loyal to the Government of the United States. Wood give him her highest recommendation for a position of trust which could be critical to the national security.

PROBATE COURT FOR EASTERN MIDDLESEX COUNTY, Third St., Cambridge, MA. Divorce Records examined by Investigator.

Divorce Docket 137908A contains the following information:

(b)(6)

(b)(6)

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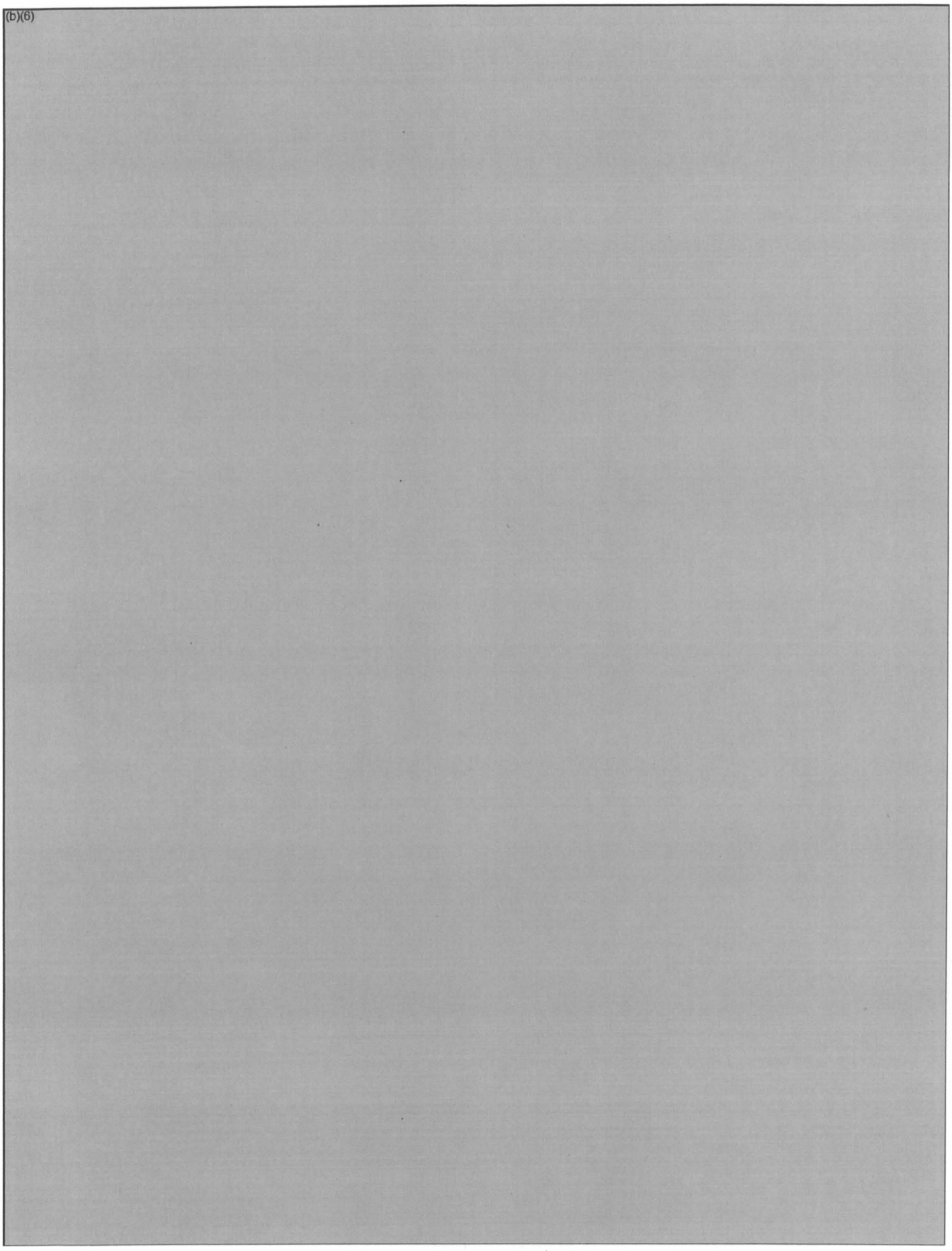
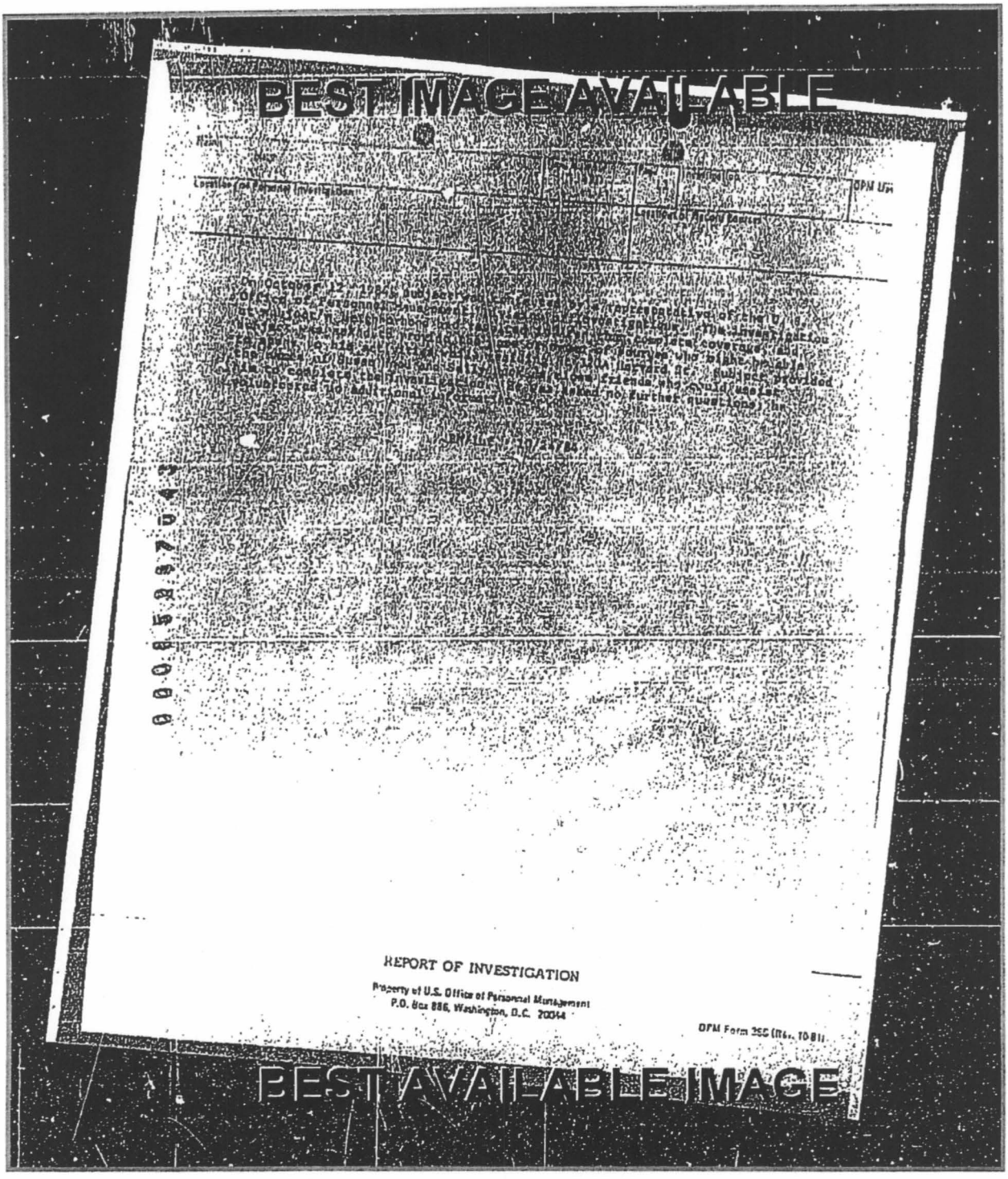

(b)(6)
(b)(6)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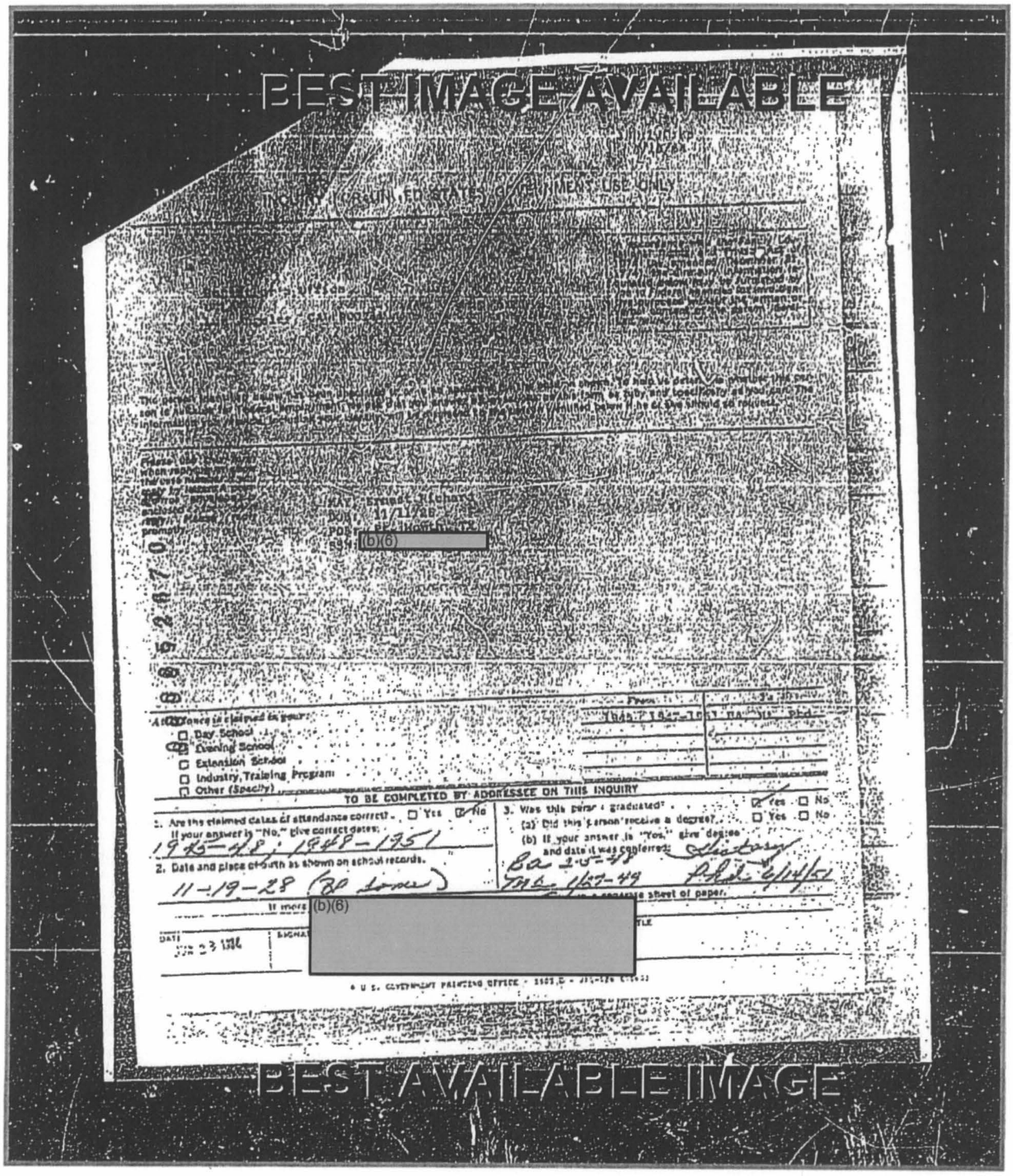
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(b)(6)
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Name	Case Number	Page	Investigation Dates	OPM Use
MAY, ERNEST RICHARD JR.	99420	1	June 11, 1984	09130
Location of Personal Investigation		Location of Record Sources		
		St. Louis, Missouri		

GENERAL SERVICES ADMINISTRATION, National Personnel Records Center, 111 Winnebago Street, St. Louis, Missouri. Official Personnel Folder reviewed by Records Reviewer.

The Official Personnel Folder reflects that Ernest Richard May was employed June 7, 1974 as an Intermittent Consultant (Expert) at $238.48 per day with the Department of Defense, Office of Secretary of Defense, Special Programs, Washington, D.C. Duty Station: Arlington, Virginia. Subject was terminated June 6, 1977 due to expiration of appointment. Intermittent days of employment totaled 298 days.

Subject was employed October 7, 1974 as an Intermittent Consultant-Panelist at $75.00 per day with the National Foundation on Arts and Humanities, Division of Education programs, Cambridge, Massachusetts. Subject was terminated August 12, 1978 due to expiration of appointment. (No days in pay status)

On April 30, 1979 subject was employed as a Consultant with the National Security Council, Executive Office of the President, Washington, D.C. at $150.00 per day. Subject was terminated February 10, 1981 due to completion of assignment. (No days in pay status)

On May 28, 1974 subject was granted Top Secret [redacted] Background Investigation completed March 7, 1968 by USAINTC. Signed by (b)(6)

The record shows subject was born November 11, 1928 in Fort Worth, Texas, and possesses Social Security Number 450-32-7474. Other information in the OPF is consistent with that furnished by subject.

REPORT OF INVESTIGATION

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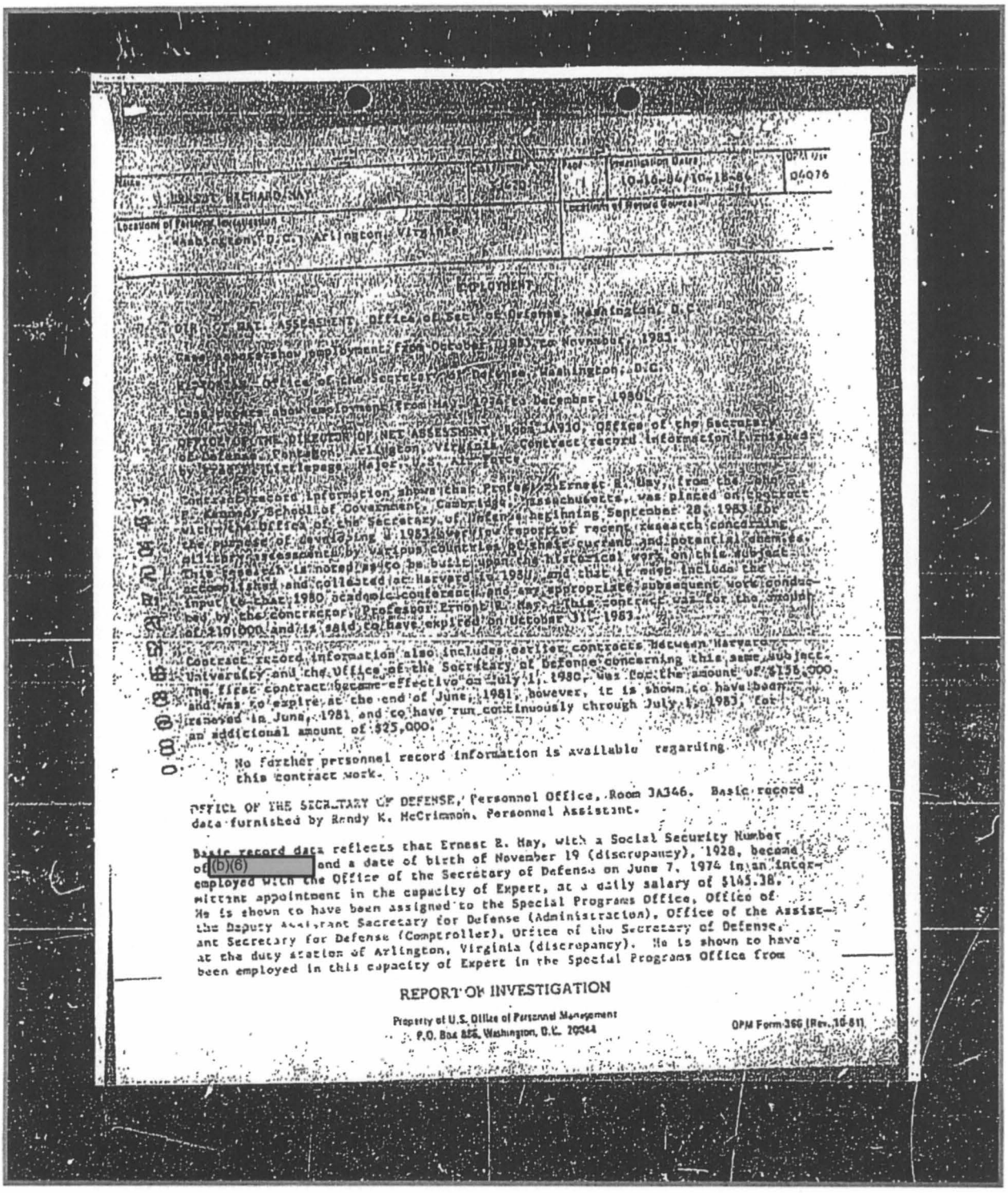

Name	Cat/Grade	Page	Investigation Dates	OPM Use
MAY, ERNEST RICHARD, DR.	GS-15	1	10-16-84/10-18-84	04076

Location of Personnel Investigation	Location of Records Covered
Washington, D.C., Arlington, Virginia	

EMPLOYMENT

OIR - NAT. ASSESSMENT, Office of Sec. of Defense, Washington, D.C.

Case progress show employment from October, 1983 to November, 1983.

Records at Office of the Secretary of Defense, Washington, D.C.

Case progress show employment from May, 1974 to December, 1980.

OFFICE OF THE DIRECTOR OF NET ASSESSMENT, Room 3A930, Office of the Secretary of Defense, Pentagon, Arlington, Virginia. Contract record information furnished by [illegible] Titlepage, Major, U.S. Air Force.

Contract record information shows that Professor Ernest R. May, from the John F. Kennedy School of Government, Cambridge, Massachusetts, was placed on contract with the Office of the Secretary of Defense beginning September 28, 1983 for the purpose of developing a 1983 [illegible] report of recent research concerning military assessments by various countries, [illegible] and potential enemies. This research is noted as to be built upon the historical work on this subject accomplished and collected at Harvard in 1950, and that it was to include the input of that 1950 academic conference and any appropriate subsequent work conducted by the contractor, Professor Ernest R. May. This contract was for the amount of $10,000 and is said to have expired on October 31, 1983.

Contract record information also includes earlier contracts between Harvard University and the Office of the Secretary of Defense concerning this same subject. The first contract became effective on July 1, 1980, was for the amount of $150,000 and was to expire at the end of June, 1981, however, it is shown to have been renewed in June, 1981 and to have run continuously through July 1, 1983, for an additional amount of $25,000.

No further personnel record information is available regarding this contract work.

OFFICE OF THE SECRETARY OF DEFENSE, Personnel Office, Room 3A346. Basic record data furnished by Randy K. McCrimmon, Personnel Assistant.

Basic record data reflects that Ernest R. May, with a Social Security Number of (b)(6) and a date of birth of November 19 (discrepancy), 1928, became employed with the Office of the Secretary of Defense on June 7, 1974 in an intermittent appointment in the capacity of Expert, at a daily salary of $145.38. He is shown to have been assigned to the Special Programs Office, Office of the Deputy Assistant Secretary for Defense (Administration), Office of the Assistant Secretary for Defense (Comptroller), Office of the Secretary of Defense, at the duty station of Arlington, Virginia (discrepancy). He is shown to have been employed in this capacity of Expert in the Special Programs Office from

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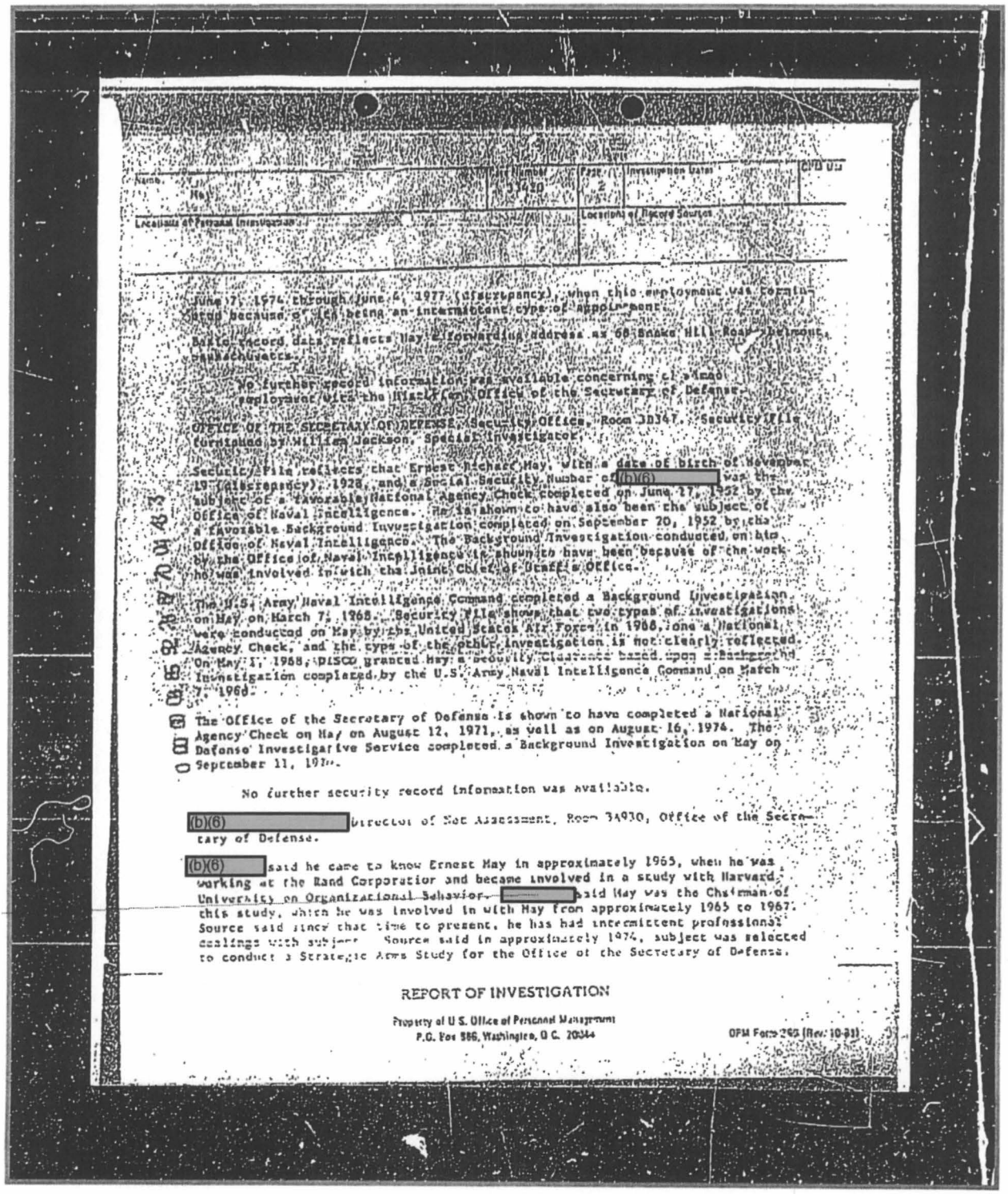

Name	File Number	Page	Investigation Dates	CSC Use
May	93420	2		

Locations of Personal Interviews | Locations of Record Sources

June 7, 1974 through June 4, 1977 (discrepancy), when this employment was terminated because of (illegible) type of appointment.

Basic record data reflects May's forwarding address as 68 Snake Hill Road, Belmont, Massachusetts.

No further record information was available concerning [illegible] employment with the Office of the Secretary of Defense.

OFFICE OF THE SECRETARY OF DEFENSE, Security Office, Room 3D347, Security file furnished by William Jackson, Special Investigator.

Security File reflects that Ernest Richard May, with a date of birth of November 19 (discrepancy), 1928, and a Social Security Number of (b)(6) was the subject of a favorable National Agency Check completed on June 27, 1952 by the Office of Naval Intelligence. He is shown to have also been the subject of a favorable Background Investigation completed on September 20, 1952 by the Office of Naval Intelligence. The Background Investigation conducted on him by the Office of Naval Intelligence is shown to have been because of the work he was involved in with the Joint Chiefs of Staff's Office.

The U.S. Army Naval Intelligence Command completed a Background Investigation on May on March 7, 1960. Security file shows that two types of investigations were conducted on May by the United States Air Force in 1968, one a National Agency Check, and the type of the other investigation is not clearly reflected. On May 1, 1968, DISCO granted May a security clearance based upon a Background Investigation completed by the U.S. Army Naval Intelligence Command on March 7, 1960.

The Office of the Secretary of Defense is shown to have completed a National Agency Check on May on August 12, 1971, as well as on August 16, 1974. The Defense Investigative Service completed a Background Investigation on May on September 11, 1970.

No further security record infomation was available.

(b)(6) Director of Net Assessment, Room 3A930, Office of the Secretary of Defense.

(b)(6) said he came to know Ernest May in approximately 1965, when he was working at the Rand Corporation and became involved in a study with Harvard University on Organizational Behavior. (b)(6) said May was the Chairman of this study, which he was involved in with May from approximately 1965 to 1967. Source said since that time to present, he has had intermittent professional dealings with subject. Source said in approximately 1974, subject was selected to conduct a Strategic Arms Study for the Office of the Secretary of Defense.

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OPM Form 265 (Rev. 10-81)

(b)(6)

Name: MAY, [illegible]

Case Number: [illegible]

Page: 3

Investigation Date: [illegible]

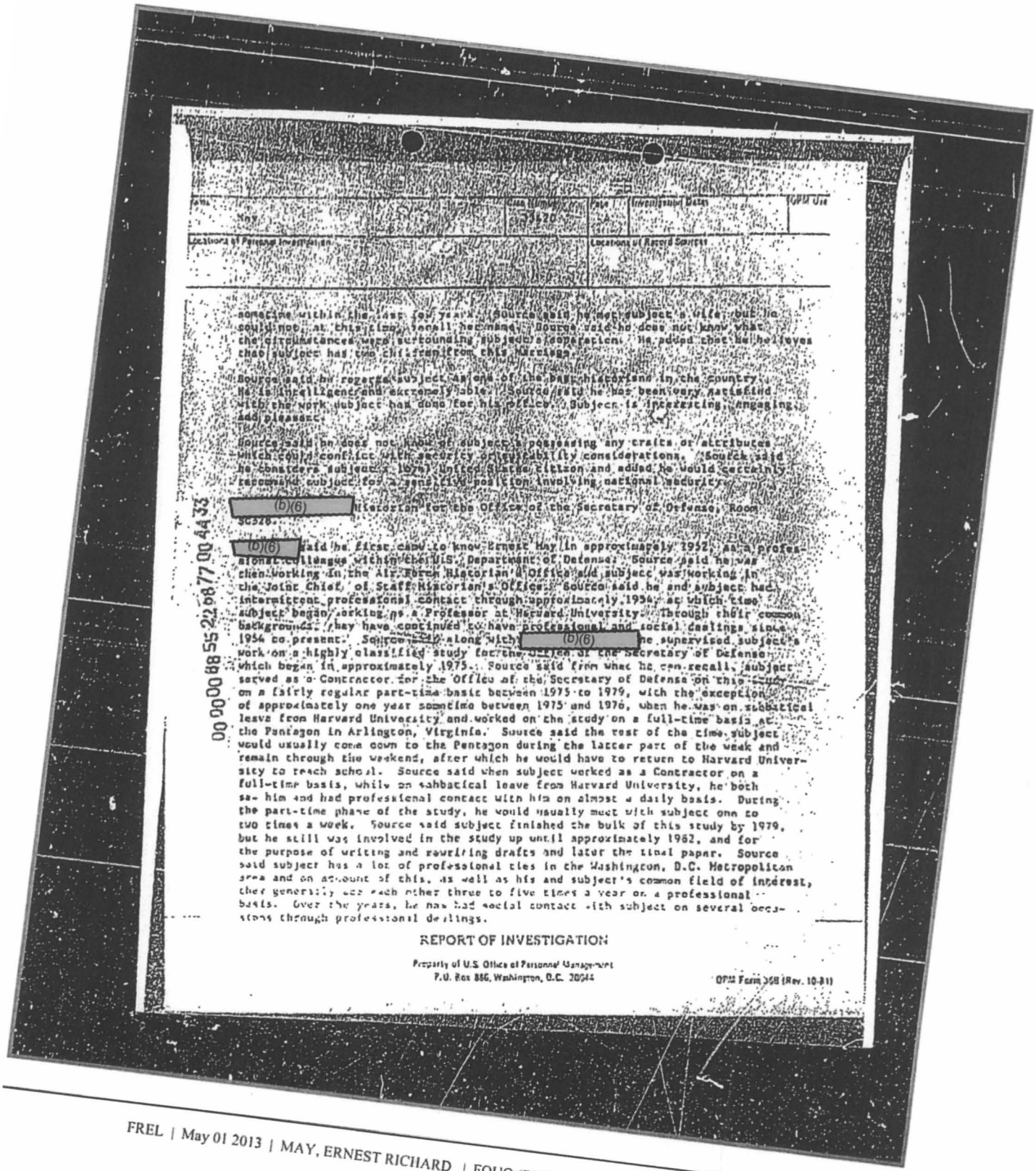
OPM Use

Location of Personal Investigation

Location of Records Reviewed

Source said he oversaw this study which subject worked on part-time, and almost continuously, during the period from approximately 1976 to 1977, with the exception of one year when he was on sabbatical from Harvard University and worked full-time on the study at the Pentagon in Arlington, Virginia (discrepancy). Source said with the exception of this one year when subject was on sabbatical leave, he usually came to Washington, D.C. during the latter part of the week and worked through the weekend, after which (for he would return to Harvard to teach class during the early part of the week). Source said from what he recalled, subject finished the bulk of the project by the end of 1977, however, he was still involved in writing the final paper, which called for several revisions, up until approximately March, 1981. Source said during the period that subject was on sabbatical leave, the specific year of which he does not recall, he would both see subject and have business dealings with him at the Pentagon on an average of once a week, and when he was traveling to the Pentagon on a weekly basis during the majority of the period between 1974 and 1977, he would generally see him and have business dealings with him one to two times a month. Source said after 1977, his professional contact with subject through this study was much less through the time he finished the study in approximately March, 1981, but it would be difficult for him to approximate how often it was he had dealings with subject during that time. Source said after March, 1981, and up until the present, he has continued to have periodic professional contact with subject through professional meetings and conferences, as well as on the telephone. Source said around the time that subject completed his final paper on the Strategic Arms Study, he was selected to lead a conference for another study, which will shortly lead to subject's producing a book on the study. Source said he has been overseeing subject's work on this study, but most of their contact regarding this study has taken place over the telephone. Source said the first few contracts for this study were between Harvard University and the Office of the Secretary of Defense, but the last contract was a personal type of contract and between subject and the Office of the Secretary of Defense. This contract ran between approximately October and November of 1983, and was intended for the purpose of having subject produce the final paper that would lead to the book. Source said over the years he has had social contact with subject through professional meetings, and occasionally outside of work. Source said when subject was a Fellow at the Woodrow Wilson International Center in Washington, D.C. from approximately January through June of 1983, he met with him for dinner on a few occasions. Source said when subject collaborated with him on an organizational study while he was at the Rand Corporation, he had subject over to his home for dinner on a few occasions. Source said he last saw subject some time this year, or sometime in 1984, at a professional meeting at the Woodrow Wilson Center in Washington, D.C.

Source said he does not know where subject resided while he was serving as a Fellow at the Woodrow Wilson International Center in 1983, nor does he recall where he resided while he was on sabbatical leave from Harvard University sometime between 1976 and 1977, and working on a full-time basis at the Pentagon. Source said he heard secondhand that subject became separated from his wife



Name: May | Case Number: 3320 | Page: 4 | Investigative Date:

Location of Personnel Investigation | Location of Record Sources

sometime within the last (illegible) years. Source said he met subject's wife, but he could not, at this time, recall her name. Source said he does not know what the circumstances were surrounding subject's separation. He added that he believes that subject has two children from this marriage.

Source said he regards subject as one of the best historians in the country. He is intelligent and extremely able. Source said he has been very satisfied with the work subject has done for his office. Subject is interesting, engaging, and pleasant.

Source said he does not know of subject's possessing any traits or attributes which could conflict with security or suitability considerations. Source said he considers subject a loyal United States citizen and added he would certainly recommend subject for a sensitive position involving national security.

(b)(6) historian for the Office of the Secretary of Defense, Room 3C526.

(b)(6) said he first came to know Ernest May in approximately 1952, as a professional colleague within the U.S. Department of Defense. Source said he was then working in the Air Force Historian's Office and subject was working in the Joint Chiefs of Staff Historian's Office. Source said he and subject had intermittent professional contact through approximately 1954, at which time subject began working as a Professor at Harvard University. Through their common backgrounds, they have continued to have professional and social dealings since 1954 to present. Source said along with (b)(6) he supervised subject's work on a highly classified study for the Office of the Secretary of Defense which began in approximately 1975. Source said from what he can recall, subject served as a Contractor for the Office of the Secretary of Defense on this study on a fairly regular part-time basis between 1975 to 1979, with the exception of approximately one year sometime between 1975 and 1976, when he was on sabbatical leave from Harvard University and worked on the study on a full-time basis at the Pentagon in Arlington, Virginia. Source said the rest of the time subject would usually come down to the Pentagon during the latter part of the week and remain through the weekend, after which he would have to return to Harvard University to teach school. Source said when subject worked as a Contractor on a full-time basis, while on sabbatical leave from Harvard University, he both saw him and had professional contact with him on almost a daily basis. During the part-time phase of the study, he would usually meet with subject one to two times a week. Source said subject finished the bulk of this study by 1979, but he still was involved in the study up until approximately 1982, and for the purpose of writing and rewriting drafts and later the final paper. Source said subject has a lot of professional ties in the Washington, D.C. Metropolitan area and on account of this, as well as his and subject's common field of interest, they generally see each other three to five times a year on a professional basis. Over the years, he has had social contact with subject on several occasions through professional dealings.

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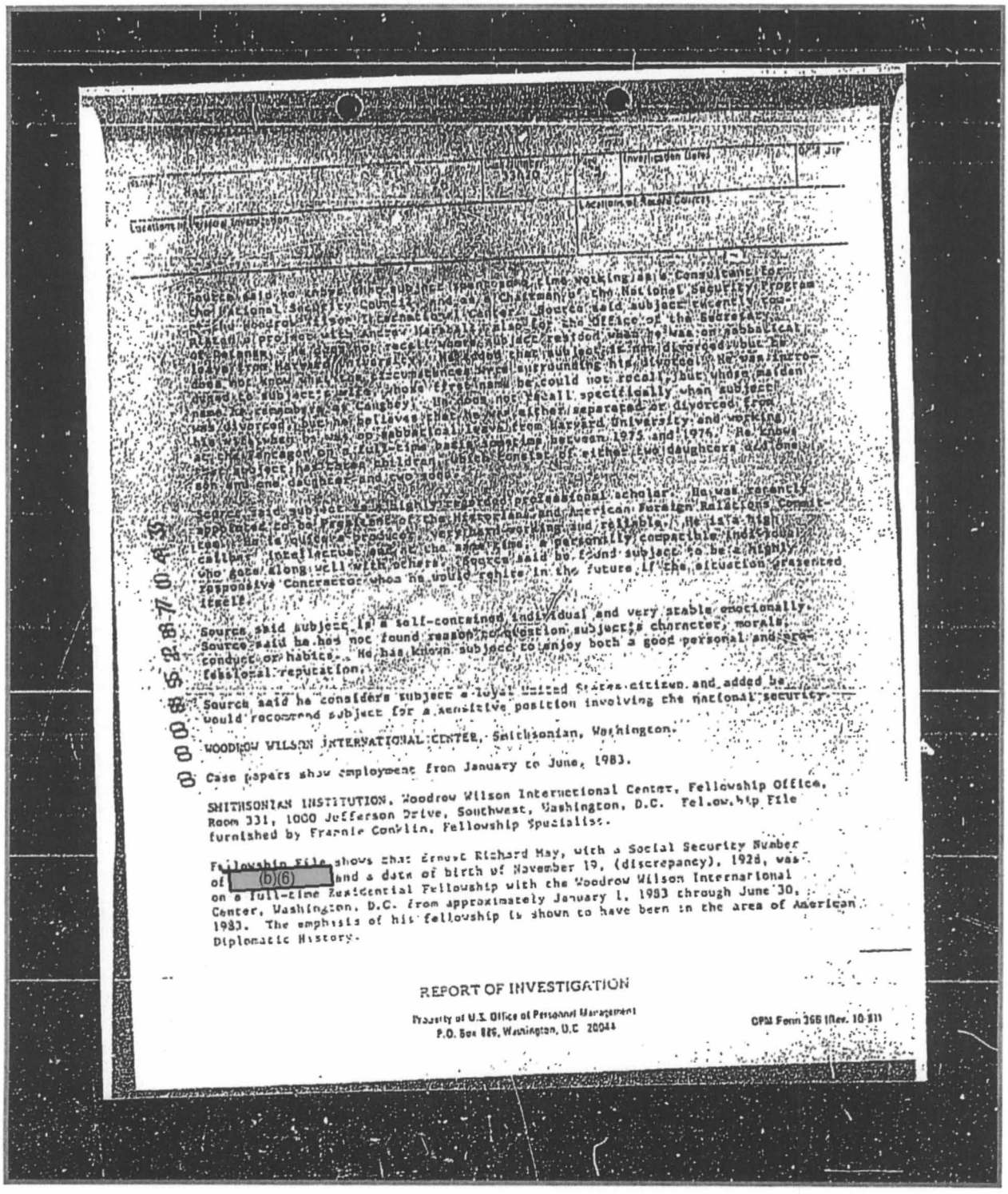

Source said he knows the subject from personal time working as a Consultant for the National Security Council and as a Chairman of the National Security Program at the Woodrow Wilson International Center. Source said subject recently completed a project with Andrew Marshall, relative to the Office of the Secretary of Defense, and is now on sabbatical leave from Harvard University. He knows subject's wife, whose first name he could not recall, but whose maiden name is Kennedy, of Caughey. He does not recall specifically when subject was divorced, but he believes that he was either separated or divorced from his wife when he was on sabbatical leave from Harvard University and working at the Pentagon on a full-time basis sometime between 1975 and 1976. He knows subject has three children, which consist of either two daughters and one son, or one daughter and two sons.

Source said subject is highly regarded professional scholar. He was recently appointed to be President of the Historians and American Foreign Relations Committee. He is quite productive, very hard working and reliable. He is a high caliber intellectual and, at the same time, a personally compatible individual who gets along well with others. Source said he found subject to be a highly responsive Contractor who he would rehire in the future if the situation presented itself.

Source said subject is a self-contained individual and very stable emotionally. Source said he has not found reason to question subject's character, morals, conduct or habits. He has known subject to enjoy both a good personal and professional reputation.

Source said he considers subject a loyal United States citizen and added he would recommend subject for a sensitive position involving the national security.

WOODROW WILSON INTERNATIONAL CENTER, Smithsonian, Washington.

Case papers show employment from January to June, 1983.

SMITHSONIAN INSTITUTION, Woodrow Wilson International Center, Fellowship Office, Room 331, 1000 Jefferson Drive, Southwest, Washington, D.C. Fellowship File furnished by Frannie Conklin, Fellowship Specialist.

Fellowship File shows that Ernest Richard May, with a Social Security Number of (b)(6) and a date of birth of November 19, (discrepancy), 1928, was on a full-time Residential Fellowship with the Woodrow Wilson International Center, Washington, D.C. from approximately January 1, 1983 through June 30, 1983. The emphasis of his fellowship is shown to have been in the area of American Diplomatic History.

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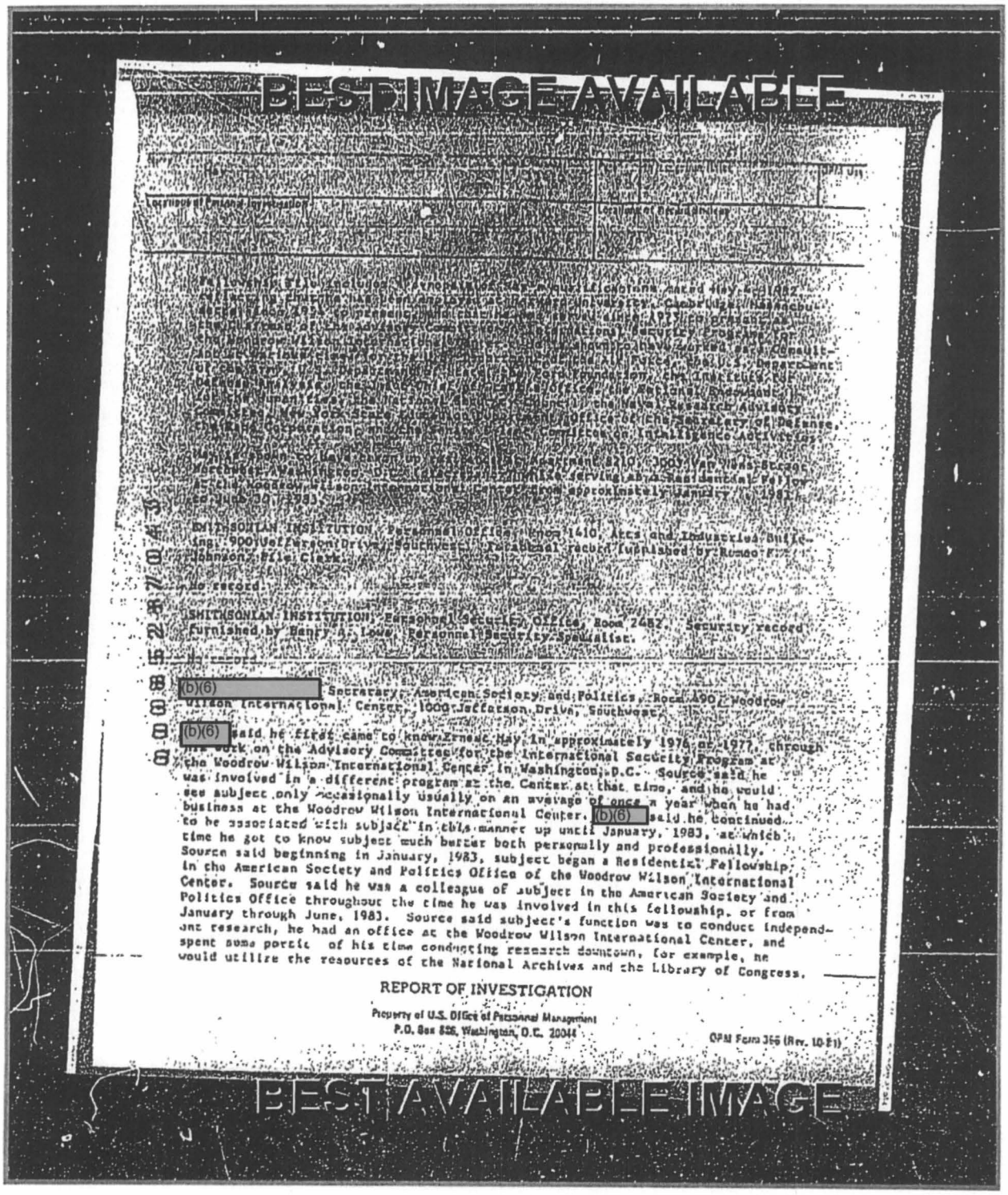

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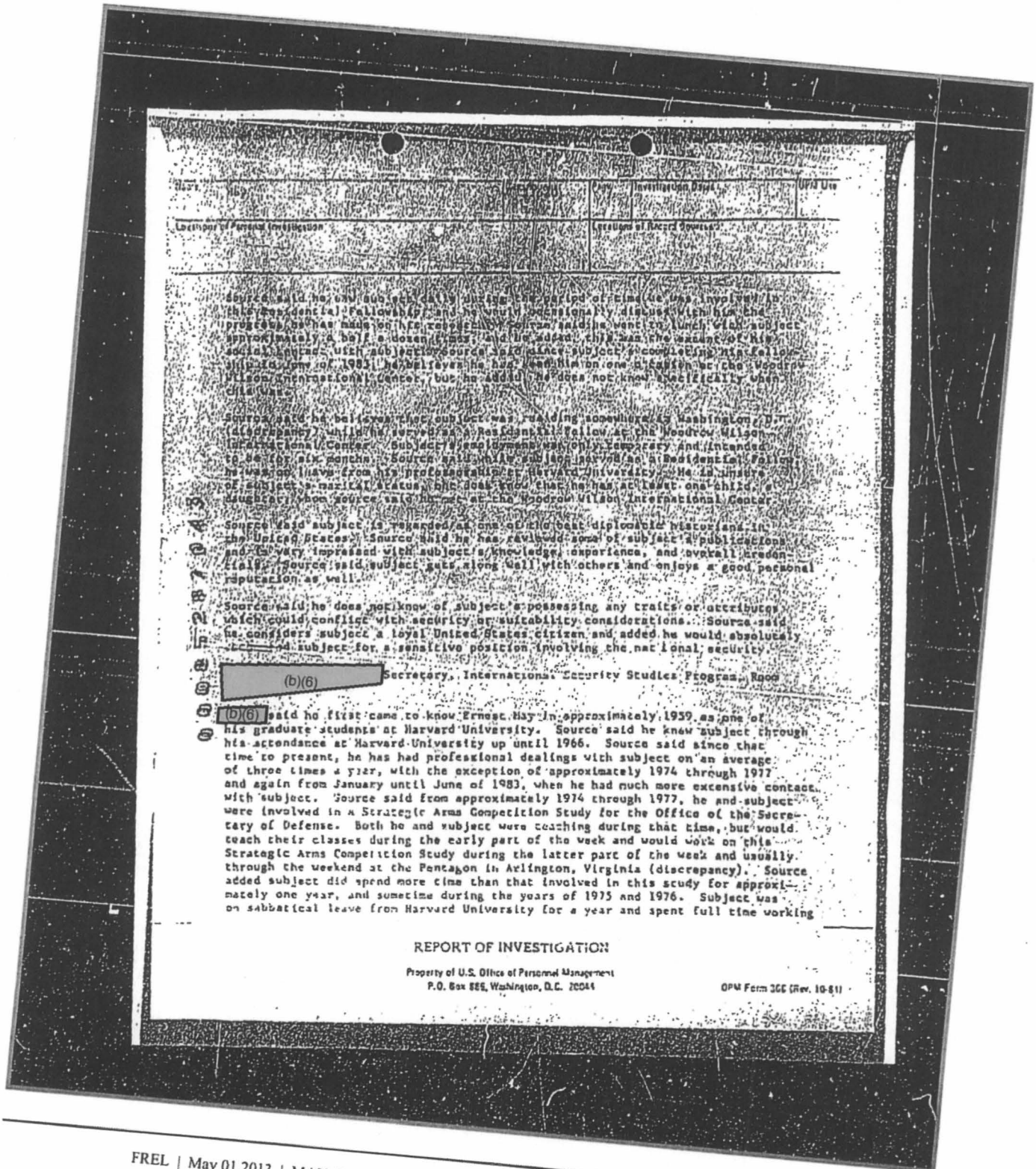

Source said he only subject daily during the period of time he was involved in the Presidential Fellowship and he would occasionally discuss with him the progress he had on his research. Source said he went to lunch with subject approximately a half a dozen times, and he added, this was the extent of his social contact with subject. Source said since subject's completing his fellowship in June of 1983, he believes he has seen him on one occasion at the Woodrow Wilson International Center, but he added he does not know exactly when this was.

Source said he believes that subject was residing somewhere in Washington, D.C. (discrepancy) while he served as a Residential Fellow at the Woodrow Wilson International Center. Subject's employment was only temporary and intended to be for six months. Source said while subject served as a Residential Fellow he was on leave from his professorship at Harvard University. He is unsure of subject's marital status, but does know that he has at least one child, a daughter, whom source said he met at the Woodrow Wilson International Center.

Source said subject is regarded as one of the best diplomatic historians in the United States. Source said he has reviewed some of subject's publications and is very impressed with subject's knowledge, experience, and overall credentials. Source said subject gets along well with others and enjoys a good personal reputation as well.

Source said he does not know of subject's possessing any traits or attributes which could conflict with security or suitability considerations. Source said he considers subject a loyal United States citizen and added he would absolutely [illegible] subject for a sensitive position involving the national security.

(b)(6) Secretary, International Security Studies Program, Room

(b)(6) said he first came to know Ernest May in approximately 1959 as one of his graduate students at Harvard University. Source said he knew subject through his attendance at Harvard University up until 1966. Source said since that time to present, he has had professional dealings with subject on an average of three times a year, with the exception of approximately 1974 through 1977 and again from January until June of 1983, when he had much more excensive contact with subject. Source said from approximately 1974 through 1977, he and subject were involved in a Strategic Arms Competition Study for the Office of the Secretary of Defense. Both he and subject were teaching during that time, but would teach their classes during the early part of the week and would work on this Strategic Arms Competition Study during the latter part of the week and usually through the weekend at the Pentagon in Arlington, Virginia (discrepancy). Source added subject did spend more time than that involved in this study for approximately one year, and sometime during the years of 1975 and 1976. Subject was on sabbatical leave from Harvard University for a year and spent full time working

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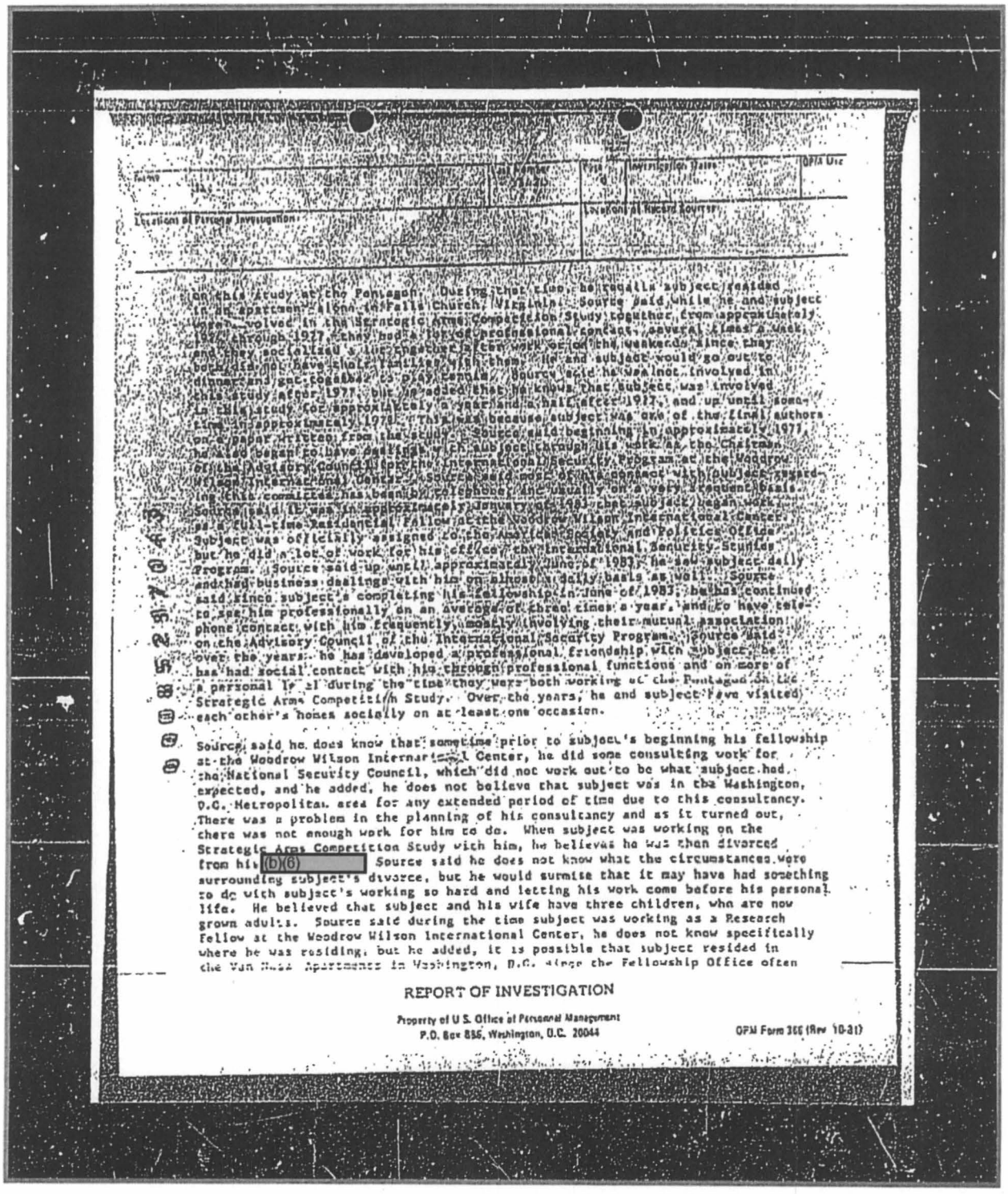

on this study at the Pentagon. During that time, he recalls subject resided in an apartment [redacted] Falls Church, Virginia. Source said while he and subject were involved in the Strategic Arms Competition Study together, from approximately 1976 through 1977, they had a lot of professional contact, several times a week, and they socialized a lot together as they worked on the weekends since they both did not have their families with them. He and subject would go out to dinner and get together to play tennis. Source said he was not involved in this study after 1977, but he added that he knows that subject was involved in this study for approximately a year and a half after 1977, and up until sometime in approximately 1978. The reason subject was one of the final authors on a paper written from the study. Source said beginning in approximately 1977, he also began to have contact with subject through his work as the Chairman of the Advisory Council for the International Security Program at the Woodrow Wilson International Center. Source said most of his contact with subject regarding this committee has been by telephone, usually on a very frequent basis.

Source said it was in approximately January 1981 that subject began work as a full-time Residential Fellow at the Woodrow Wilson International Center. Subject was officially assigned to the American Society and Politics Office, but he did a lot of work for his office, the International Security Studies Program. Source said up until approximately June of 1983, he saw subject daily and had business dealings with him on almost a daily basis as well. Source said since subject's completing his fellowship in June of 1983, he has continued to see him professionally on an average of three times a year, and to have telephone contact with him frequently, mostly involving their mutual association on the Advisory Council of the International Security Program. Source said over the years, he has developed a professional friendship with subject. He has had social contact with him through professional functions and on more of a personal level during the time they were both working at the Pentagon on the Strategic Arms Competition Study. Over the years, he and subject have visited each other's homes socially on at least one occasion.

Source said he does know that sometime prior to subject's beginning his fellowship at the Woodrow Wilson International Center, he did some consulting work for the National Security Council, which did not work out to be what subject had expected, and he added, he does not believe that subject was in the Washington, D.C. Metropolitan area for any extended period of time due to this consultancy. There was a problem in the planning of his consultancy and as it turned out, there was not enough work for him to do. When subject was working on the Strategic Arms Competition Study with him, he believes he was then divorced from his (b)(6) Source said he does not know what the circumstances were surrounding subject's divorce, but he would surmise that it may have had something to do with subject's working so hard and letting his work come before his personal life. He believed that subject and his wife have three children, who are now grown adults. Source said during the time subject was working as a Research Fellow at the Woodrow Wilson International Center, he does not know specifically where he was residing, but he added, it is possible that subject resided in the Van Ness Apartments in Washington, D.C. since the Fellowship Office often

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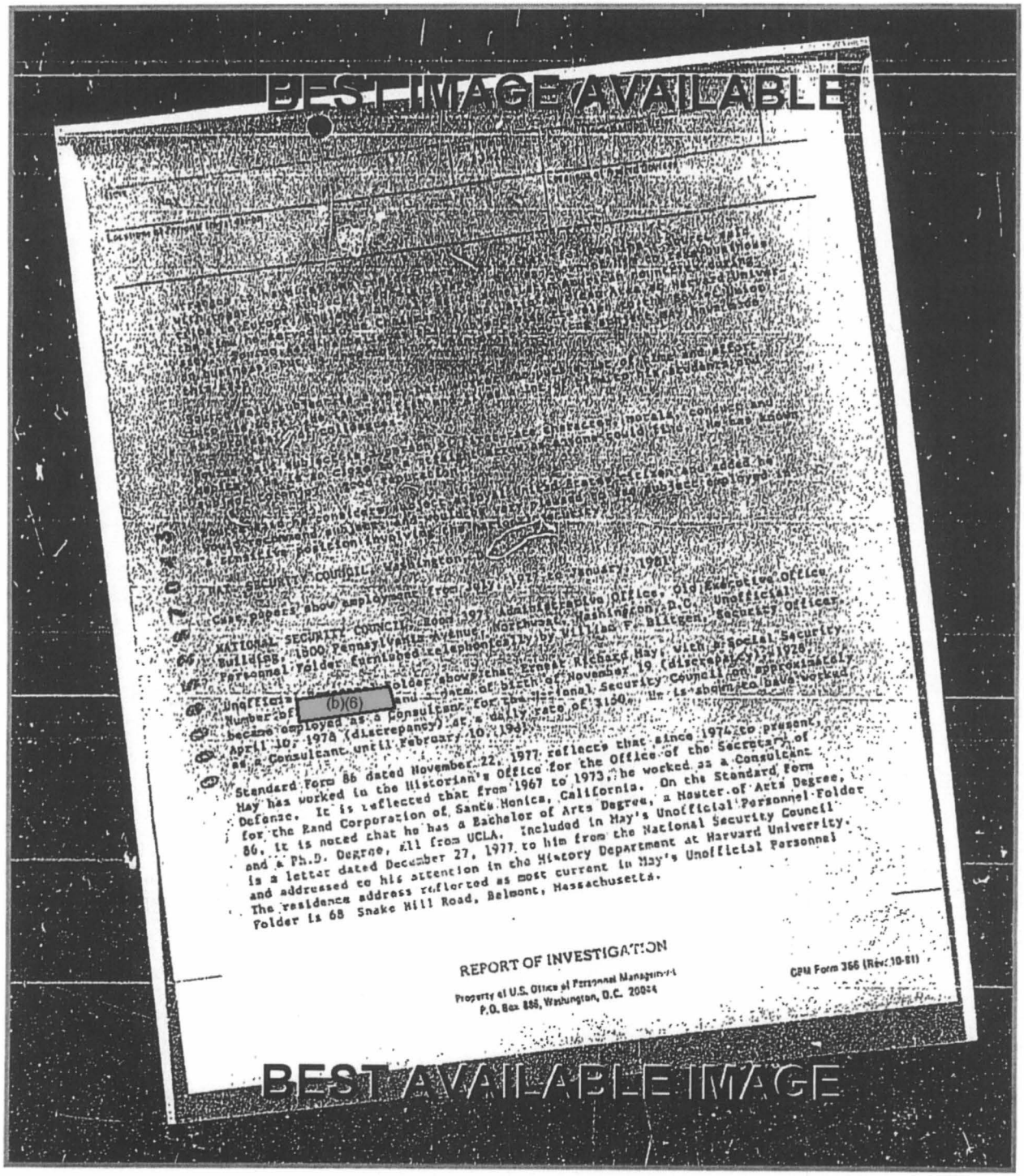
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Case papers show employment from July, 1977 to January, 1981
Standard Form 86 dated November 22, 1977 reflects that since 1974 to present,
Defense. It is reflected that from 1967 to 1973, he worked as a Consultant
for the Rand Corporation of Santa Monica, California. On the Standard Form
86, it is noted that he has a Bachelor of Arts Degree, a Master of Arts Degree,
and a Ph.D. Degree, all from UCLA. Included in May's Unofficial Personnel Folder
is a letter dated December 27, 1977 to him from the National Security Council
and addressed to his attention in the History Department at Harvard University.
The residence address reflected as most current in May's Unofficial Personnel
Folder is 68 Snake Hill Road, Belmont, Massachusetts.
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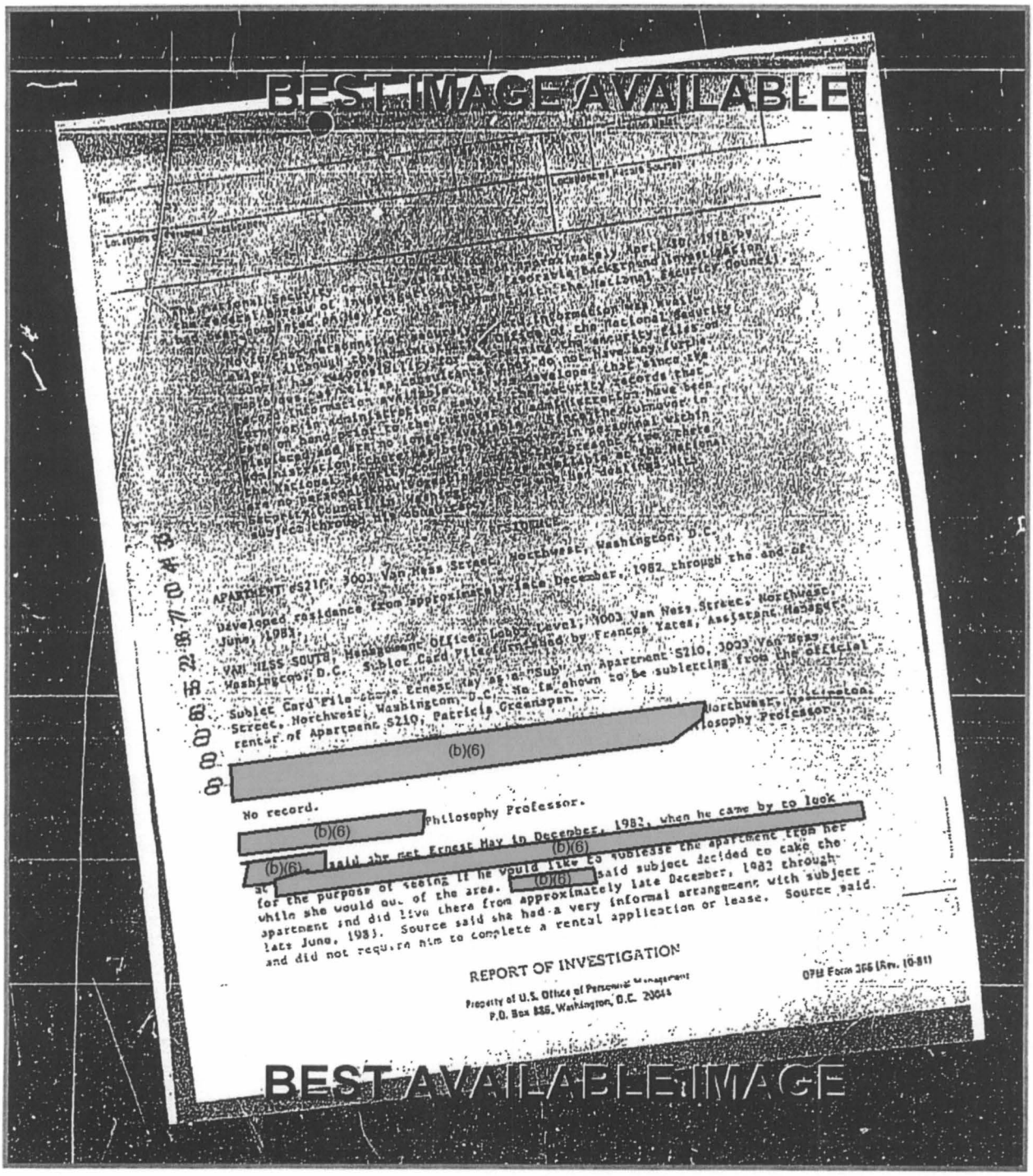
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APARTMENT #S210, 3003 Van Ness Street, Northwest, Washington, D.C.
Developed residence from approximately late December, 1982 through the end of June, 1983.
Subject Card File-Chron, Ernest May "Sub" in Apartment S210, 3003 Van Ness Street, Northwest, Washington, D.C. Sublet Card File/Log Maintained by Frances Yates, Assistant Manager.
Subject Card File-Chron, Ernest May "Sub" in Apartment S210, 3003 Van Ness Street, Northwest, Washington, D.C. No is shown to be subletting from the official renter of Apartment S210, Patricia Greenspan.
(b)(6)
No record.
(b)(6) Philosophy Professor.
(b)(6) said she met Ernest May in December, 1982, when he came by to look at the apartment from her
for the purpose of seeing if he would like to sublease
while she would be out of the area. (b)(6) said subject decided to take the apartment and did live there from approximately late December, 1982 through late June, 1983. Source said she had a very informal arrangement with subject and did not require him to complete a rental application or lease. Source said.
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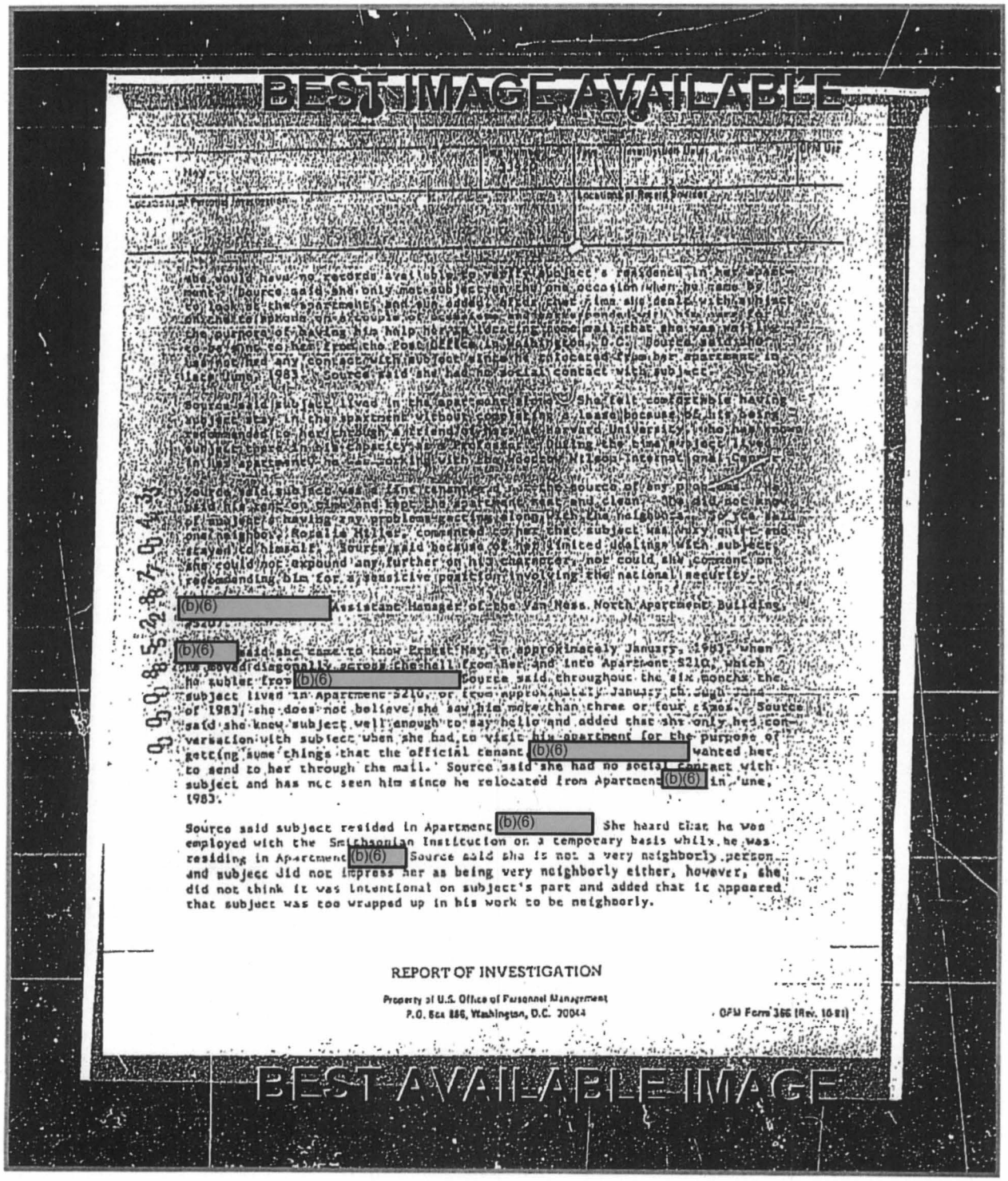

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Name: MAY

would have no records available to verify subject's residence in her apartment. Source said she only met subject on one occasion when he came by to look at the apartment and was added after that time she dealt with subject exclusively on a couple of occasions, and both occasions were for the purpose of having him help her in directing some mail that she was waiting to be sent to her from the Post Office in Washington, D.C. Source said she had not had any contact with subject since he relocated from her apartment in late June 1983. Source said she had no social contact with subject.

Source said subject lived in the apartment alone. She felt comfortable having subject stay in the apartment without complying a lease because he was being recommended to her through a friend of hers at Harvard University, who had known subject years in his capacity as a professor. During the time subject lived in her apartment, he was working with the Woodrow Wilson International Center.

Source said subject was a [redacted] and to the source of any problems. He paid his rent on time and kept the apartment neat and clean. She did not know of subject's having any problems getting along with the neighbors. Source said one neighbor, Rosalie Miller, commented to her that subject was very quiet and stayed to himself. Source said because of her limited dealings with subject, she could not expound any further on his character, nor could she comment on recommending him for a sensitive position involving the national security.

(b)(6) Assistant Manager of the Van Ness North Apartment Building, [redacted]

(b)(6) said she came to know Ernest May in approximately January, 1983, when she moved diagonally across the hall from her and into Apartment 521C, which he sublet from (b)(6) Source said throughout the six months the subject lived in Apartment 521C, or from approximately January through June of 1983, she does not believe she saw him more than three or four times. Source said she knew subject well enough to say hello and added that she only had conversation with subject when she had to visit his apartment for the purpose of getting some things that the official tenant, (b)(6) wanted her to send to her through the mail. Source said she had no social contact with subject and has not seen him since he relocated from Apartment (b)(6) in June, 1983.

Source said subject resided in Apartment (b)(6) She heard that he was employed with the Smithsonian Institution on a temporary basis while he was residing in Apartment (b)(6) Source said she is not a very neighborly person and subject did not impress her as being very neighborly either, however, she did not think it was intentional on subject's part and added that it appeared that subject was too wrapped up in his work to be neighborly.

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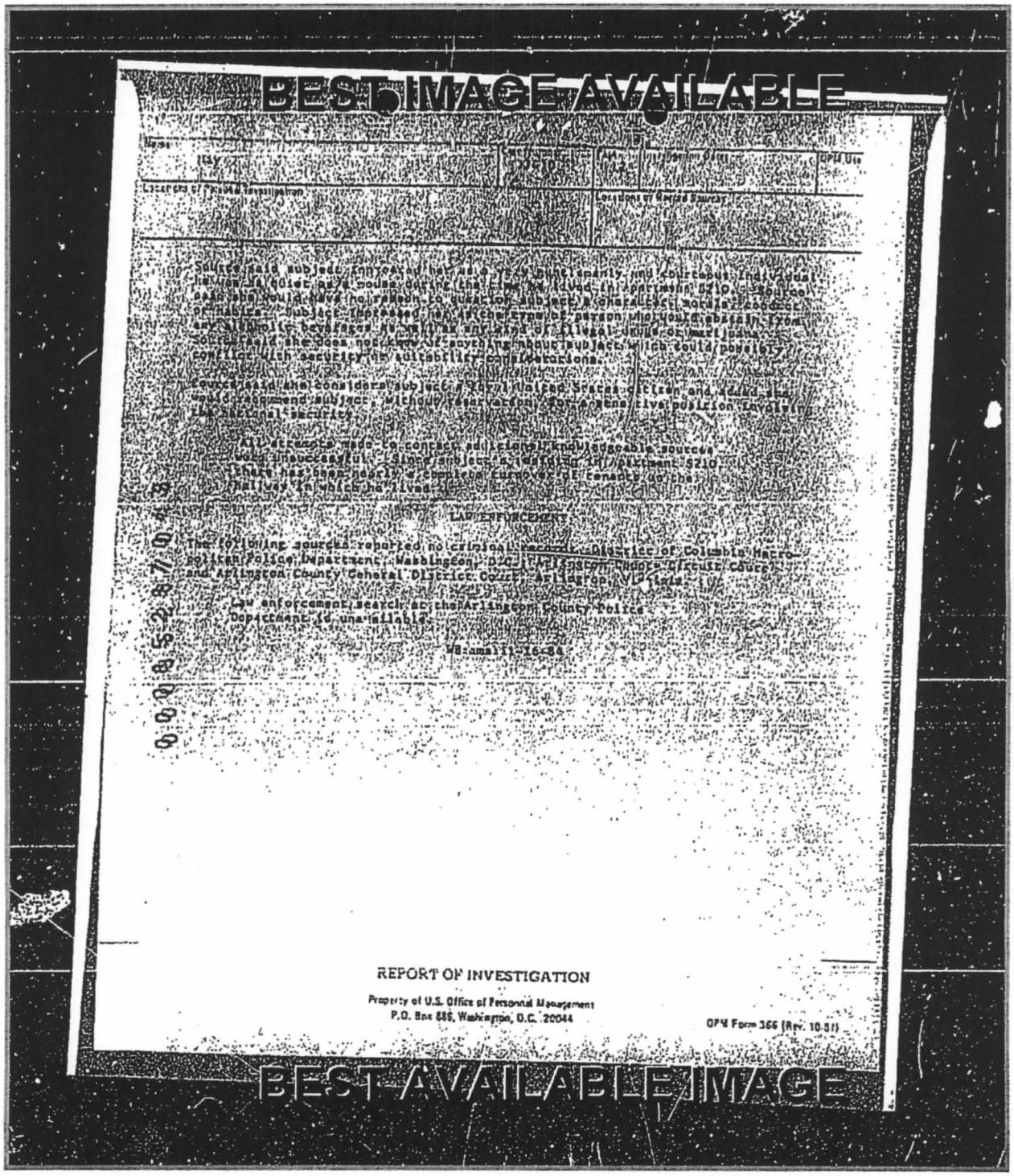
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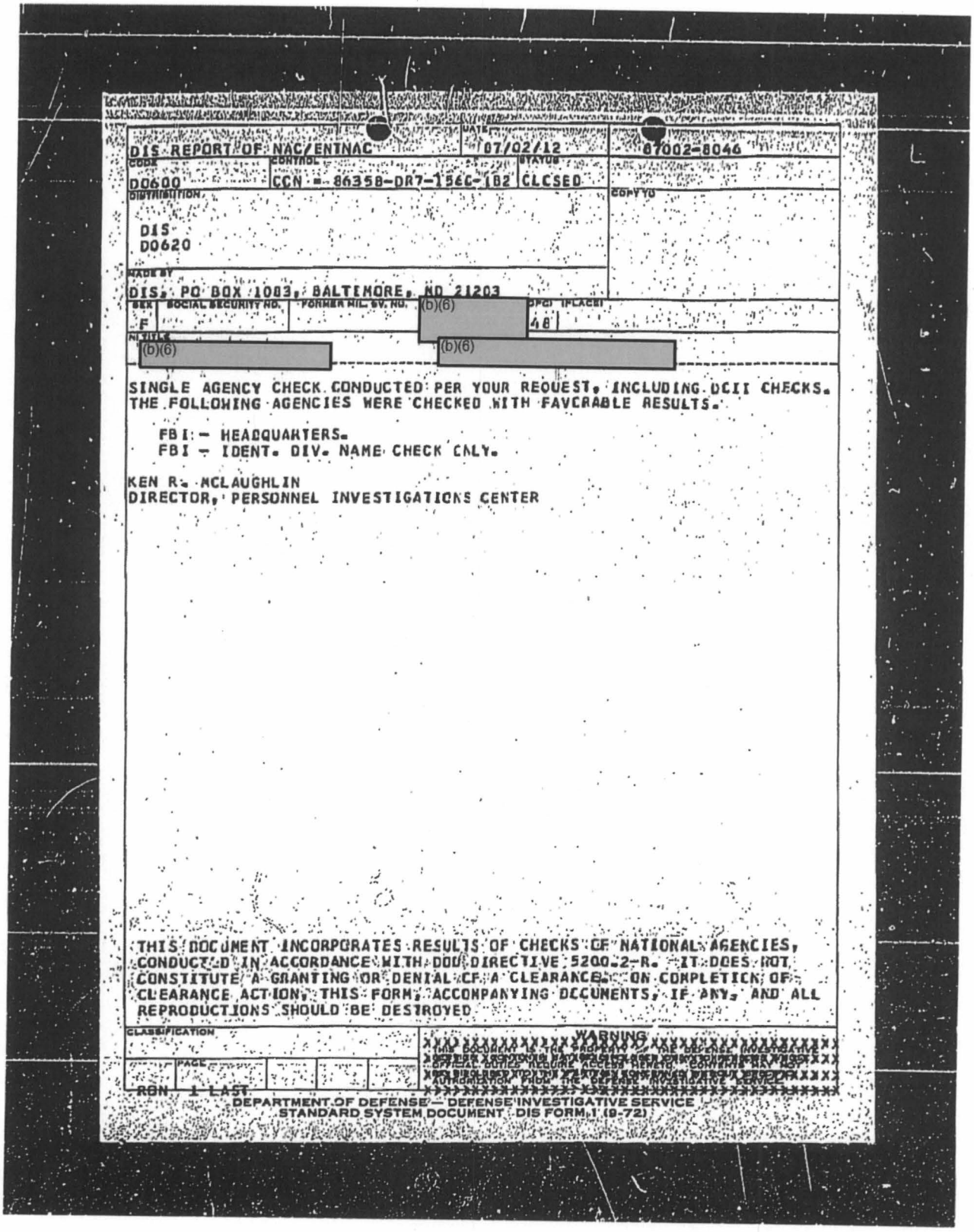
BEST AVAILABLE IMAGE



DIS REPORT OF NAC/ENTNAC | DATE 07/02/12 | 87002-8046

CODE D0600 | CONTROL CCN = 86358-DR7-156C-1B2 | STATUS CLOSED

DISTRIBUTION
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D0620

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SEX	SOCIAL SECURITY NO.	FORMER MIL. SV. NO.	(b)(6)	DPCI IPLACE
F				48

NI TITLE
(b)(6) (b)(6)

SINGLE AGENCY CHECK CONDUCTED PER YOUR REQUEST, INCLUDING DCII CHECKS. THE FOLLOWING AGENCIES WERE CHECKED WITH FAVORABLE RESULTS.

FBI - HEADQUARTERS.
FBI - IDENT. DIV. NAME CHECK ONLY.

KEN R. MCLAUGHLIN
DIRECTOR, PERSONNEL INVESTIGATIONS CENTER

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CLASSIFICATION

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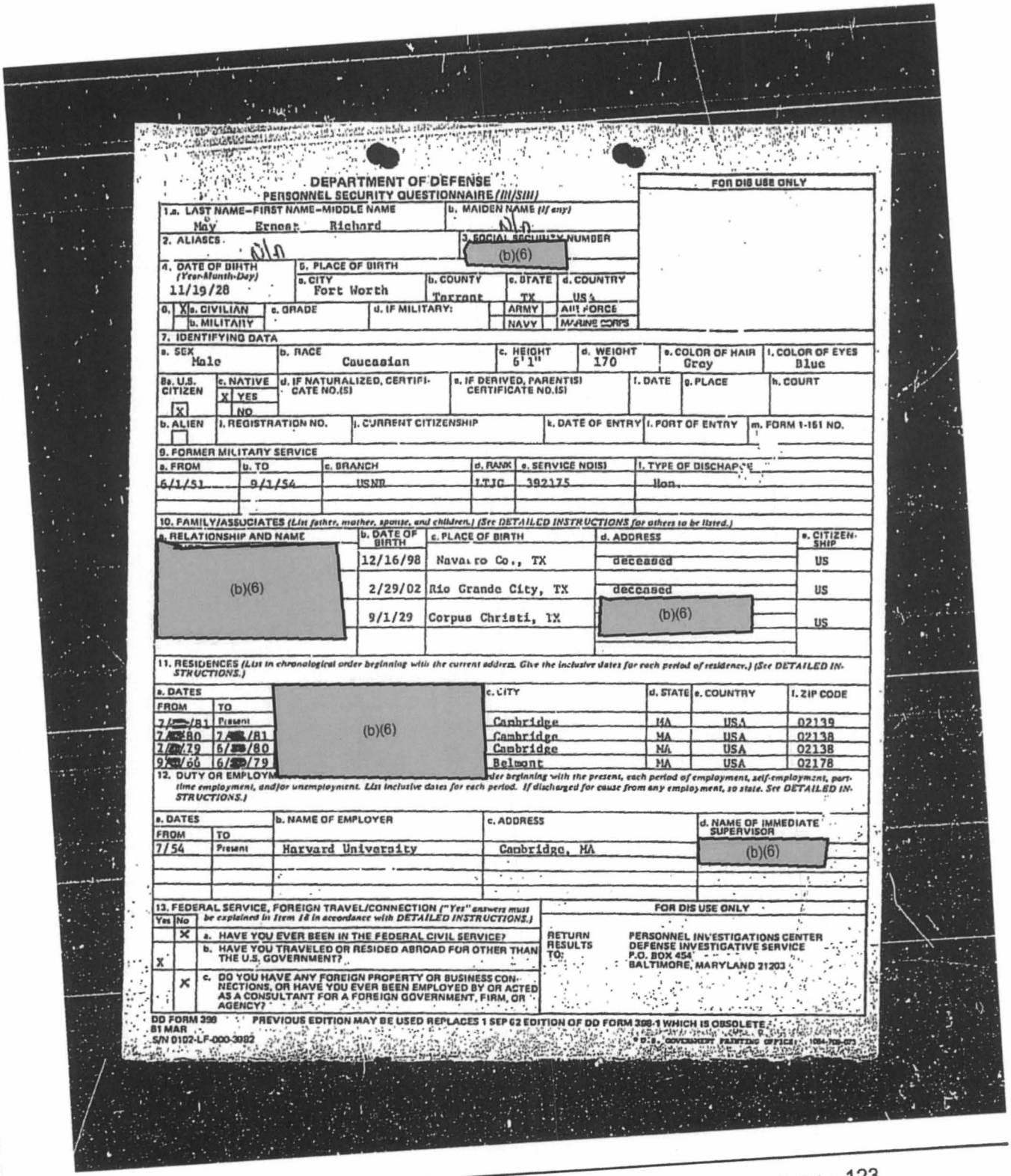
PAGE | RON 1 LAST

DEPARTMENT OF DEFENSE — DEFENSE INVESTIGATIVE SERVICE
STANDARD SYSTEM DOCUMENT DIS FORM 1 (9-72)



DEPARTMENT OF DEFENSE
PERSONNEL SECURITY QUESTIONNAIRE (III/SIII)

FOR DIS USE ONLY

1.a. LAST NAME–FIRST NAME–MIDDLE NAME: May Ernest Richard	b. MAIDEN NAME (If any): N/A					
2. ALIASES: N/A	3. SOCIAL SECURITY NUMBER: (b)(6)					
4. DATE OF BIRTH (Year-Month-Day): 11/19/28	5. PLACE OF BIRTH: a. CITY: Fort Worth	b. COUNTY: Tarrant	c. STATE: TX	d. COUNTRY: US		
6. X a. CIVILIAN / b. MILITARY	c. GRADE	d. IF MILITARY:	ARMY	AIR FORCE		
			NAVY	MARINE CORPS		

7. IDENTIFYING DATA

a. SEX	b. RACE	c. HEIGHT	d. WEIGHT	e. COLOR OF HAIR	f. COLOR OF EYES
Male	Caucasian	6'1"	170	Grey	Blue

8a. U.S. CITIZEN	c. NATIVE	d. IF NATURALIZED, CERTIFICATE NO.(S)	e. IF DERIVED, PARENT(S) CERTIFICATE NO.(S)	f. DATE	g. PLACE	h. COURT
X	X YES / NO					

b. ALIEN	i. REGISTRATION NO.	j. CURRENT CITIZENSHIP	k. DATE OF ENTRY	l. PORT OF ENTRY	m. FORM I-151 NO.

9. FORMER MILITARY SERVICE

a. FROM	b. TO	c. BRANCH	d. RANK	e. SERVICE NO(S)	f. TYPE OF DISCHARGE
6/1/51	9/1/54	USNR	LTJG	392175	Hon.

10. FAMILY/ASSOCIATES *(List father, mother, spouse, and children.) (See DETAILED INSTRUCTIONS for others to be listed.)*

a. RELATIONSHIP AND NAME	b. DATE OF BIRTH	c. PLACE OF BIRTH	d. ADDRESS	e. CITIZENSHIP
(b)(6)	12/16/98	Navarro Co., TX	deceased	US
	2/29/02	Rio Grande City, TX	deceased	US
	9/1/29	Corpus Christi, TX	(b)(6)	US

11. RESIDENCES *(List in chronological order beginning with the current address. Give the inclusive dates for each period of residence.) (See DETAILED INSTRUCTIONS.)*

a. DATES FROM	a. DATES TO	c. CITY	d. STATE	e. COUNTRY	f. ZIP CODE
7/[redacted]/81	Present	Cambridge	MA	USA	02139
7/[redacted]80	7/[redacted]/81	Cambridge	MA	USA	02138
7/[redacted]79	6/[redacted]/80	Cambridge	MA	USA	02138
9/[redacted]66	6/[redacted]/79	Belmont	MA	USA	02178

(b)(6)

12. DUTY OR EMPLOYM[redacted] *...der beginning with the present, each period of employment, self-employment, part-time employment, and/or unemployment. List inclusive dates for each period. If discharged for cause from any employment, so state. See DETAILED INSTRUCTIONS.)*

a. DATES FROM	a. DATES TO	b. NAME OF EMPLOYER	c. ADDRESS	d. NAME OF IMMEDIATE SUPERVISOR
7/54	Present	Harvard University	Cambridge, MA	(b)(6)

13. FEDERAL SERVICE, FOREIGN TRAVEL/CONNECTION *("Yes" answers must be explained in Item 18 in accordance with DETAILED INSTRUCTIONS.)*

Yes	No		
	X	a.	HAVE YOU EVER BEEN IN THE FEDERAL CIVIL SERVICE?
X		b.	HAVE YOU TRAVELED OR RESIDED ABROAD FOR OTHER THAN THE U.S. GOVERNMENT?
	X	c.	DO YOU HAVE ANY FOREIGN PROPERTY OR BUSINESS CONNECTIONS, OR HAVE YOU EVER BEEN EMPLOYED BY OR ACTED AS A CONSULTANT FOR A FOREIGN GOVERNMENT, FIRM, OR AGENCY?

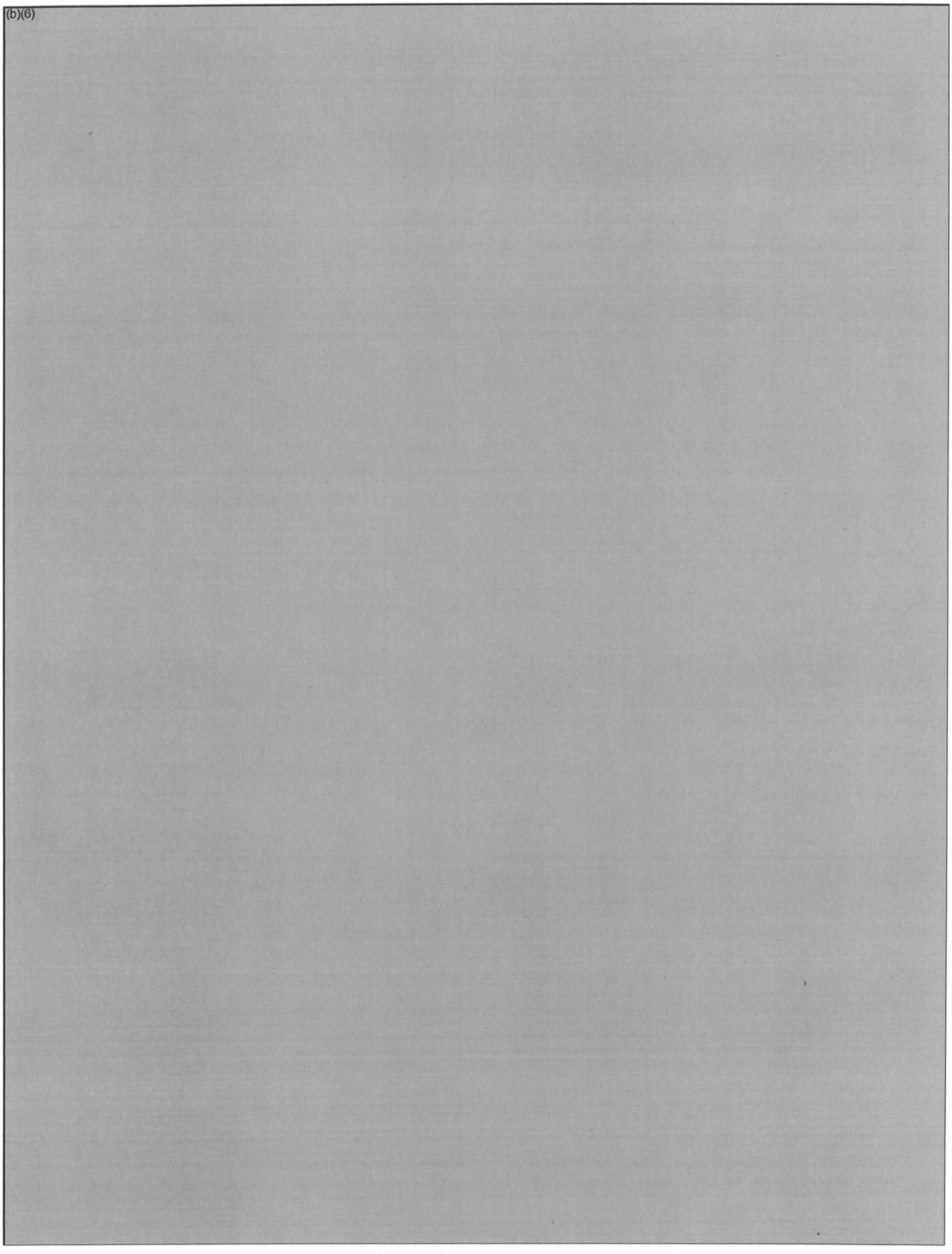
FOR DIS USE ONLY

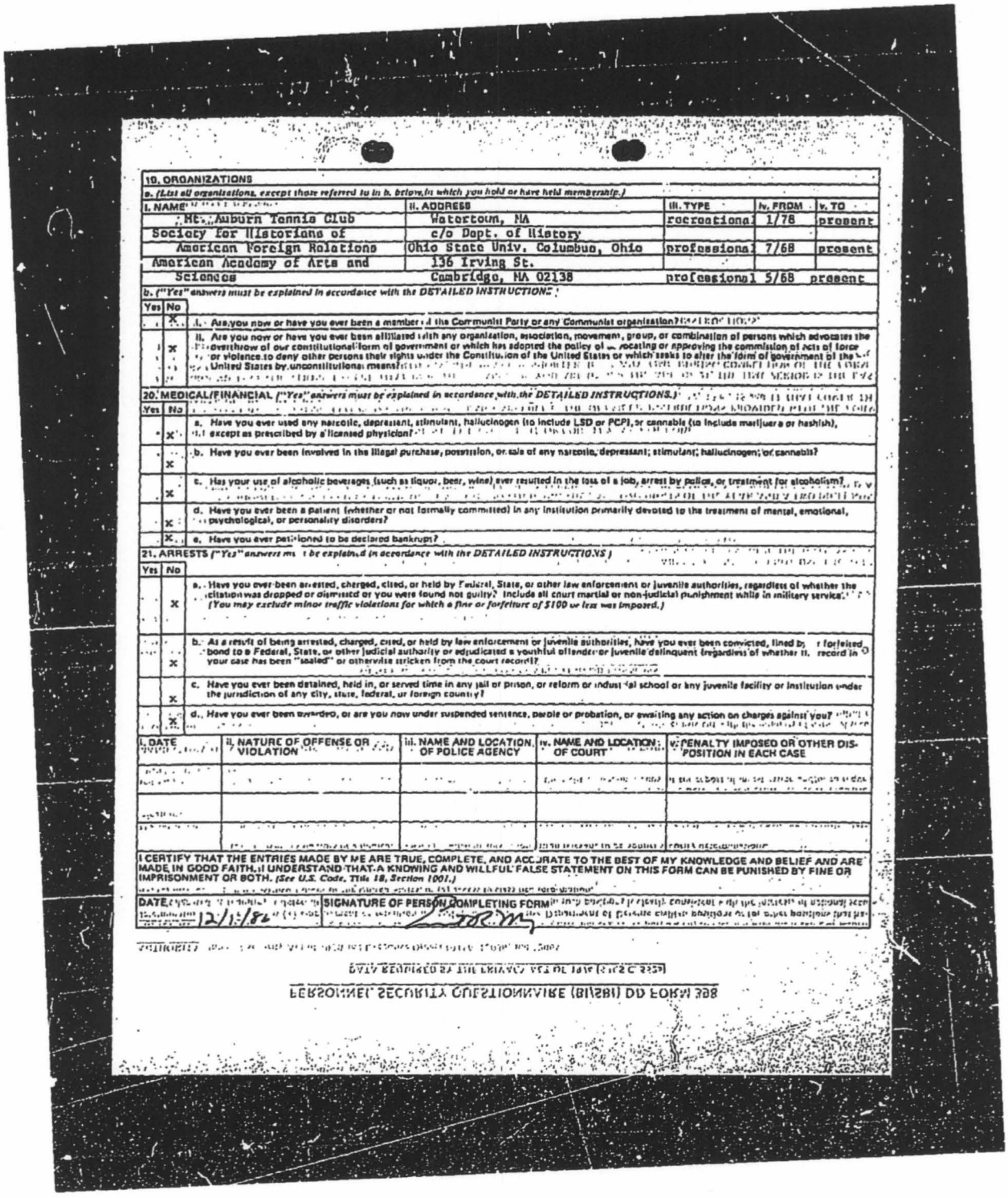
RETURN RESULTS TO: PERSONNEL INVESTIGATIONS CENTER
DEFENSE INVESTIGATIVE SERVICE
P.O. BOX 454
BALTIMORE, MARYLAND 21203

DD FORM 398 81 MAR
S/N 0102-LF-000-3982

PREVIOUS EDITION MAY BE USED REPLACES 1 SEP 62 EDITION OF DD FORM 398-1 WHICH IS OBSOLETE

U.S. GOVERNMENT PRINTING OFFICE: 1984-765-073


(b)(6)



19. ORGANIZATIONS

a. (List all organizations, except those referred to in b. below, in which you hold or have held membership.)

I. NAME	II. ADDRESS	III. TYPE	IV. FROM	V. TO
Mt. Auburn Tennis Club	Watertown, MA	recreational	1/78	present
Society for Historians of American Foreign Relations	c/o Dept. of History Ohio State Univ. Columbus, Ohio	professional	7/68	present
American Academy of Arts and Sciences	136 Irving St. Cambridge, MA 02138	professional	5/68	present

b. ("Yes" answers must be explained in accordance with the DETAILED INSTRUCTIONS.)

Yes	No	
	X	i. Are you now or have you ever been a member of the Communist Party or any Communist organization?
	X	ii. Are you now or have you ever been affiliated with any organization, association, movement, group, or combination of persons which advocates the overthrow of our constitutional form of government or which has adopted the policy of advocating or approving the commission of acts of force or violence to deny other persons their rights under the Constitution of the United States or which seeks to alter the form of government of the United States by unconstitutional means?

20. MEDICAL/FINANCIAL *("Yes" answers must be explained in accordance with the DETAILED INSTRUCTIONS.)*

Yes	No	
	X	a. Have you ever used any narcotic, depressant, stimulant, hallucinogen (to include LSD or PCP), or cannabis (to include marijuana or hashish), except as prescribed by a licensed physician?
	X	b. Have you ever been involved in the illegal purchase, possession, or sale of any narcotic, depressant; stimulant; hallucinogen; or cannabis?
	X	c. Has your use of alcoholic beverages (such as liquor, beer, wine) ever resulted in the loss of a job, arrest by police, or treatment for alcoholism?
	X	d. Have you ever been a patient (whether or not formally committed) in any institution primarily devoted to the treatment of mental, emotional, psychological, or personality disorders?
	X	e. Have you ever petitioned to be declared bankrupt?

21. ARRESTS *("Yes" answers must be explained in accordance with the DETAILED INSTRUCTIONS.)*

Yes	No	
	X	a. Have you ever been arrested, charged, cited, or held by Federal, State, or other law enforcement or juvenile authorities, regardless of whether the citation was dropped or dismissed or you were found not guilty? Include all court martial or non-judicial punishment while in military service. *(You may exclude minor traffic violations for which a fine or forfeiture of $100 or less was imposed.)*
	X	b. As a result of being arrested, charged, cited, or held by law enforcement or juvenile authorities, have you ever been convicted, fined, forfeited bond to a Federal, State, or other judicial authority or adjudicated a youthful offender or juvenile delinquent (regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record)?
	X	c. Have you ever been detained, held in, or served time in any jail or prison, or reform or industrial school or any juvenile facility or institution under the jurisdiction of any city, state, federal, or foreign country?
	X	d. Have you ever been convicted, or are you now under suspended sentence, parole or probation, or awaiting any action on charges against you?

I. DATE	II. NATURE OF OFFENSE OR VIOLATION	III. NAME AND LOCATION OF POLICE AGENCY	IV. NAME AND LOCATION OF COURT	V. PENALTY IMPOSED OR OTHER DISPOSITION IN EACH CASE

I CERTIFY THAT THE ENTRIES MADE BY ME ARE TRUE, COMPLETE, AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF AND ARE MADE IN GOOD FAITH. I UNDERSTAND THAT A KNOWING AND WILLFUL FALSE STATEMENT ON THIS FORM CAN BE PUNISHED BY FINE OR IMPRISONMENT OR BOTH. *(See U.S. Code, Title 18, Section 1001.)*

DATE	SIGNATURE OF PERSON COMPLETING FORM
12/1/82	

PERSONNEL SECURITY QUESTIONNAIRE (BI/SBI) DD FORM 398

DATA REQUIRED BY THE PRIVACY ACT OF 1974 (5 U.S.C. 552a)

xGEP May, Ernest Richard [redacted] 450-32-7474

12a. (Employment)

(b)(6) [redacted]

10/84-present	Lawrence Livermore National Lab	Livermore, CA	consultant
10/83-11/83	Dir., Net Assessment, Office of the Secretary of Defense	Washington	consultant
1/83 -6/83	Woodrow Wilson Internat'l Center	Washington	Fellow
7/77 -1/81	National Security Council	Washington	consultant
7/77 -1/80 contractor	Naval Research Adviser	Washington	consultant
8/67 -11/73	Social Science Dept., RAND Corp.	Santa Monica, CA	consultant

13b. (Travel)

Country	Purpose	Date left	Date returned
Berlin	Aspen Berlin Conference	11/6/86	11/10/86
UK & FRG	Conferences as Oxford & SWP-Ebenhausen	6/22/86	6/29/86
FRG & Berlin	Aspen Berlin Conference	5/11/85	5/15/85
East Germany	" " "	5/14/85	5/14/85
FRG	SWP-Ebenhausen Conference	4/18/85	4/21/85
France, UK	tourism	3/22/85	3/29/85
France, FRG, Luxembourg	"	9/4/84	9/1/84
FRG & Berlin	Aspen Berlin Conference	5/4/81	5/9/81
FRG & Berlin	Aspen Berlin Conference	1/25/80	2/3/80

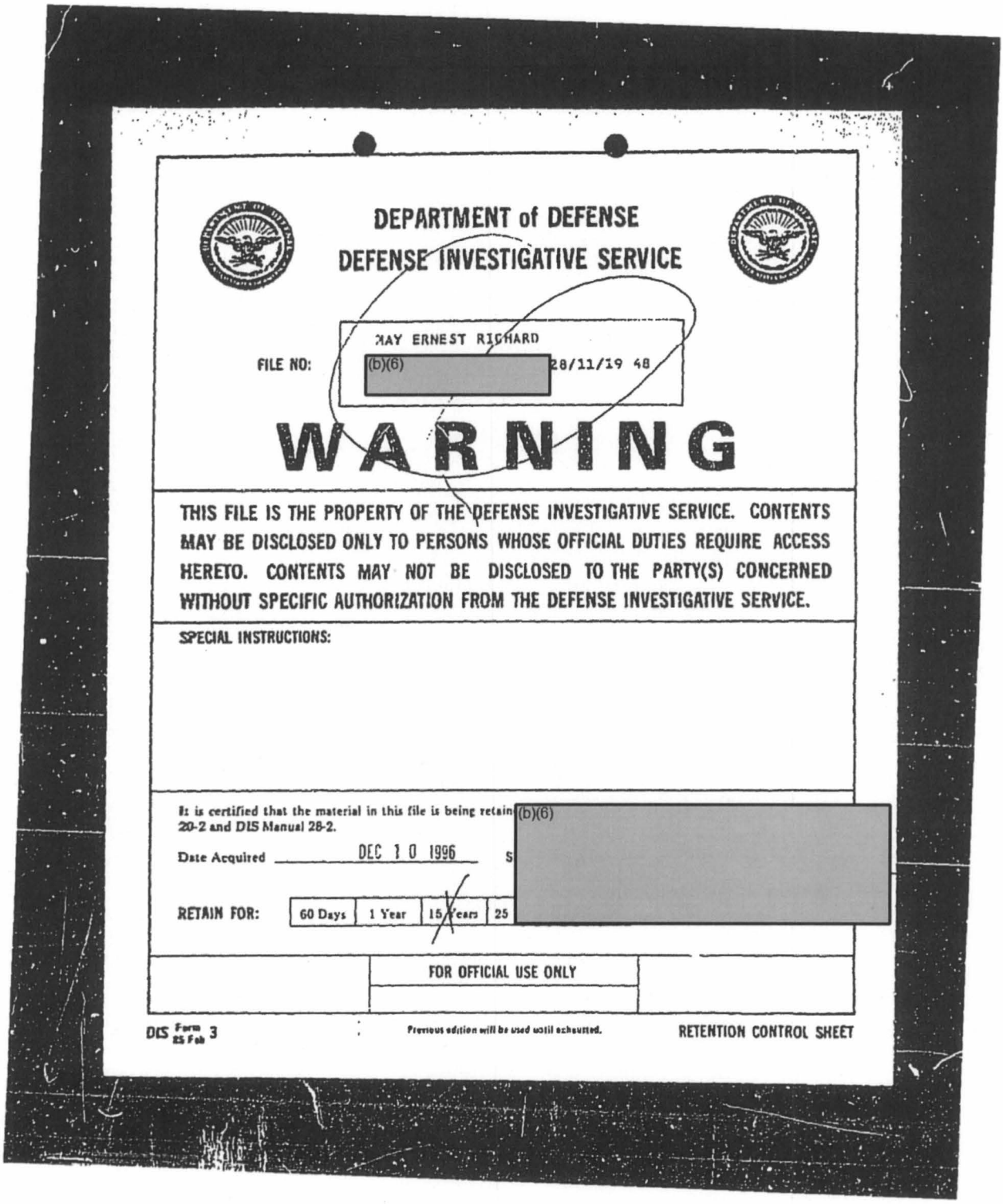
May, Ernest Richard SS # 450-32-7474

13b. (Travel) [cont'd]

Israel	guest of Israeli gov't	6/10/74	6/24/74
Peru	Instituto de Estudios Peruanos Conference	11/4/73	11/11/73

19 (Organizations)

National Intelligence Study Center	Washington, DC	prof'l	6/80-present
American Ass'n for the of Science	Washington, DC	prof'l	6/67-present
Belmont Hill Club	Belmont, MA	social	9/66-present
Council on Foreign Relations	New York, NY	prof'l	6/65-present
Massachusetts Histo-	Boston, MA	prof'l	7/64-present
American Civil Liberties Union	Boston, MA	political	1/60-1/78
Pan American Society of New England	Boston, MA	prof'l	1/61-present
American Association of University Professors	Washington, DC	prof'l	9/60-9/77
American Historical Association	Washington, DC	prof'l	6/50-present
Organization of American Historians	St. Louis, Mo.	prof'l	6/30-present



DEPARTMENT of DEFENSE
DEFENSE INVESTIGATIVE SERVICE

MAY ERNEST RICHARD
FILE NO: (b)(6) 28/11/19 48

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SPECIAL INSTRUCTIONS:

It is certified that the material in this file is being retain
20-2 and DIS Manual 28-2.

Date Acquired DEC 10 1996 S (b)(6)

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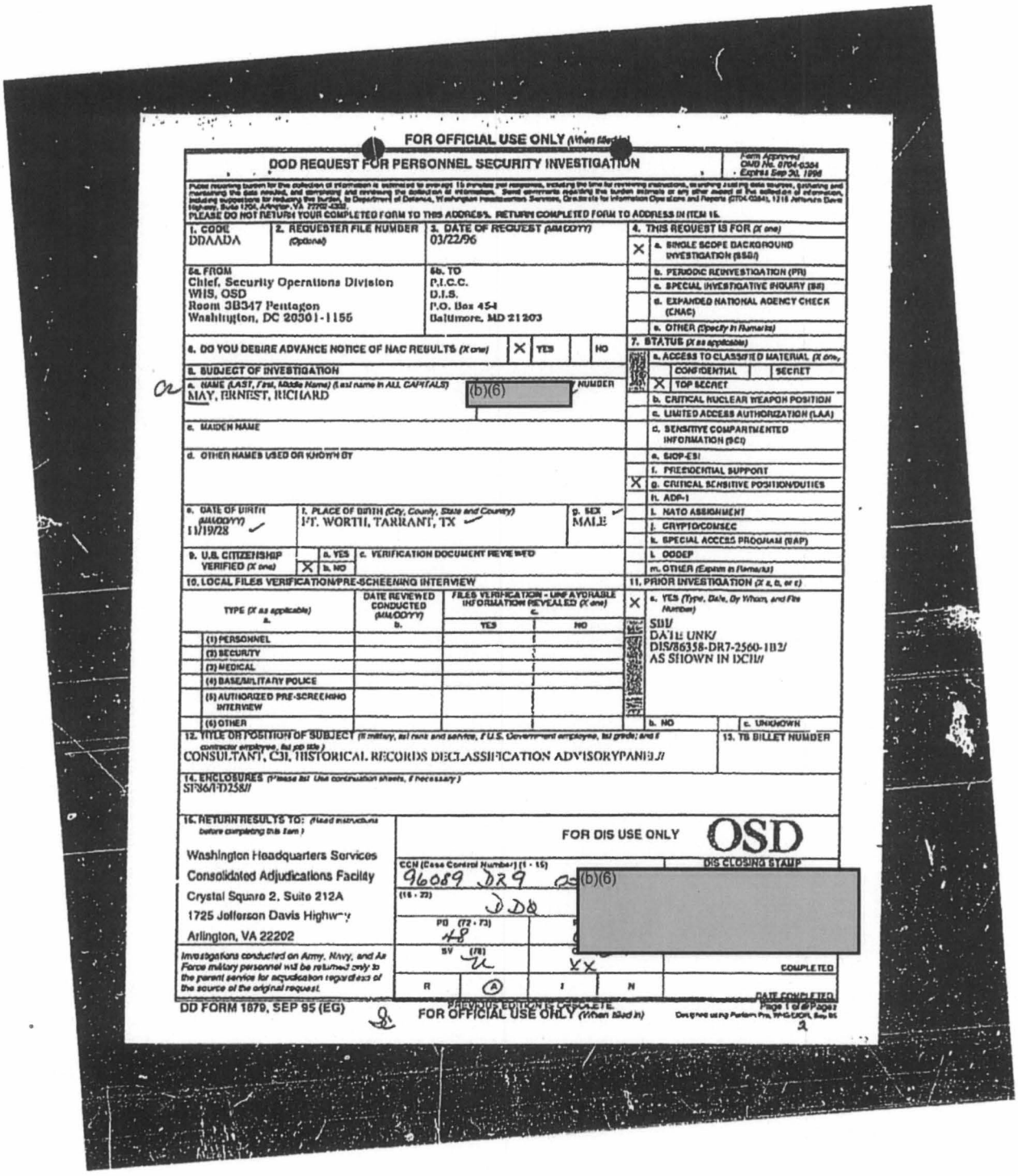
DIS Form 25 Feb 3 Previous edition will be used until exhausted. RETENTION CONTROL SHEET



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DOD REQUEST FOR PERSONNEL SECURITY INVESTIGATION

Form Approved OMB No. 0704-0384 Expires Sep 30, 1998

Public reporting burden for the collection of information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0384), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THIS ADDRESS. RETURN COMPLETED FORM TO ADDRESS IN ITEM 16.

1. CODE	2. REQUESTER FILE NUMBER (Optional)	3. DATE OF REQUEST (MM/DD/YY)
DDAADA		03/22/96

4. THIS REQUEST IS FOR (X one)
- X a. SINGLE SCOPE BACKGROUND INVESTIGATION (SSBI)
- b. PERIODIC REINVESTIGATION (PR)
- c. SPECIAL INVESTIGATIVE INQUIRY (SII)
- d. EXPANDED NATIONAL AGENCY CHECK (ENAC)
- e. OTHER (Specify in Remarks)

5a. FROM	5b. TO
Chief, Security Operations Division WHS, OSD Room 3B347 Pentagon Washington, DC 20301-1155	P.I.C.C. D.I.S. P.O. Box 454 Baltimore, MD 21203

6. DO YOU DESIRE ADVANCE NOTICE OF NAC RESULTS (X one) — X YES — NO

7. STATUS (X as applicable)
- a. ACCESS TO CLASSIFIED MATERIAL (X one): CONFIDENTIAL — SECRET — X TOP SECRET
- b. CRITICAL NUCLEAR WEAPON POSITION
- c. LIMITED ACCESS AUTHORIZATION (LAA)
- d. SENSITIVE COMPARTMENTED INFORMATION (SCI)
- e. SIOP-ESI
- f. PRESIDENTIAL SUPPORT
- X g. CRITICAL SENSITIVE POSITION/DUTIES
- h. ADP-I
- i. NATO ASSIGNMENT
- j. CRYPTO/COMSEC
- k. SPECIAL ACCESS PROGRAM (SAP)
- l. ODDEP
- m. OTHER (Explain in Remarks)

8. SUBJECT OF INVESTIGATION

a. NAME (LAST, First, Middle Name) (Last name in ALL CAPITALS): MAY, ERNEST, RICHARD — (b)(6) — NUMBER

b. MAIDEN NAME

c. OTHER NAMES USED OR KNOWN BY

d. DATE OF BIRTH (MM/DD/YY)	e. PLACE OF BIRTH (City, County, State and Country)	f. SEX
11/19/28	FT. WORTH, TARRANT, TX	MALE

9. U.S. CITIZENSHIP VERIFIED (X one): a. YES — X b. NO — c. VERIFICATION DOCUMENT REVIEWED

10. LOCAL FILES VERIFICATION/PRE-SCREENING INTERVIEW

TYPE (X as applicable) a.	DATE REVIEWED CONDUCTED (MM/DD/YY) b.	FILES VERIFICATION - UNFAVORABLE INFORMATION REVEALED (X one) c. YES	c. NO
(1) PERSONNEL			
(2) SECURITY			
(3) MEDICAL			
(4) BASE/MILITARY POLICE			
(5) AUTHORIZED PRE-SCREENING INTERVIEW			
(6) OTHER			

11. PRIOR INVESTIGATION (X a, b, or c)
- X a. YES (Type, Date, By Whom, and File Number): SII/ DATE UNK/ DIS/86358-DR7-2560-1B2/ AS SHOWN IN DCII//
- b. NO — c. UNKNOWN

12. TITLE OR POSITION OF SUBJECT (If military, list rank and service. If U.S. Government employee, list grade; and if contractor employee, list job title.): CONSULTANT, CSI, HISTORICAL RECORDS DECLASSIFICATION ADVISORYPANEL//

13. TS BILLET NUMBER

14. ENCLOSURES (Please list. Use continuation sheets, if necessary): SF86/FD258//

15. RETURN RESULTS TO: (Read instructions before completing this item.)

Washington Headquarters Services
Consolidated Adjudications Facility
Crystal Square 2, Suite 212A
1725 Jefferson Davis Highway
Arlington, VA 22202

Investigations conducted on Army, Navy, and Air Force military personnel will be returned only to the parent service for adjudication regardless of the source of the original request.

FOR DIS USE ONLY — OSD

CCN (Case Control Number) (1 - 15): 96089 DZ9 — (b)(6)

(16 - 22): DDA

PD (72 - 73): 4B

SY (76): U — XX

DIS CLOSING STAMP

COMPLETED

R — A — I — N

DATE COMPLETED

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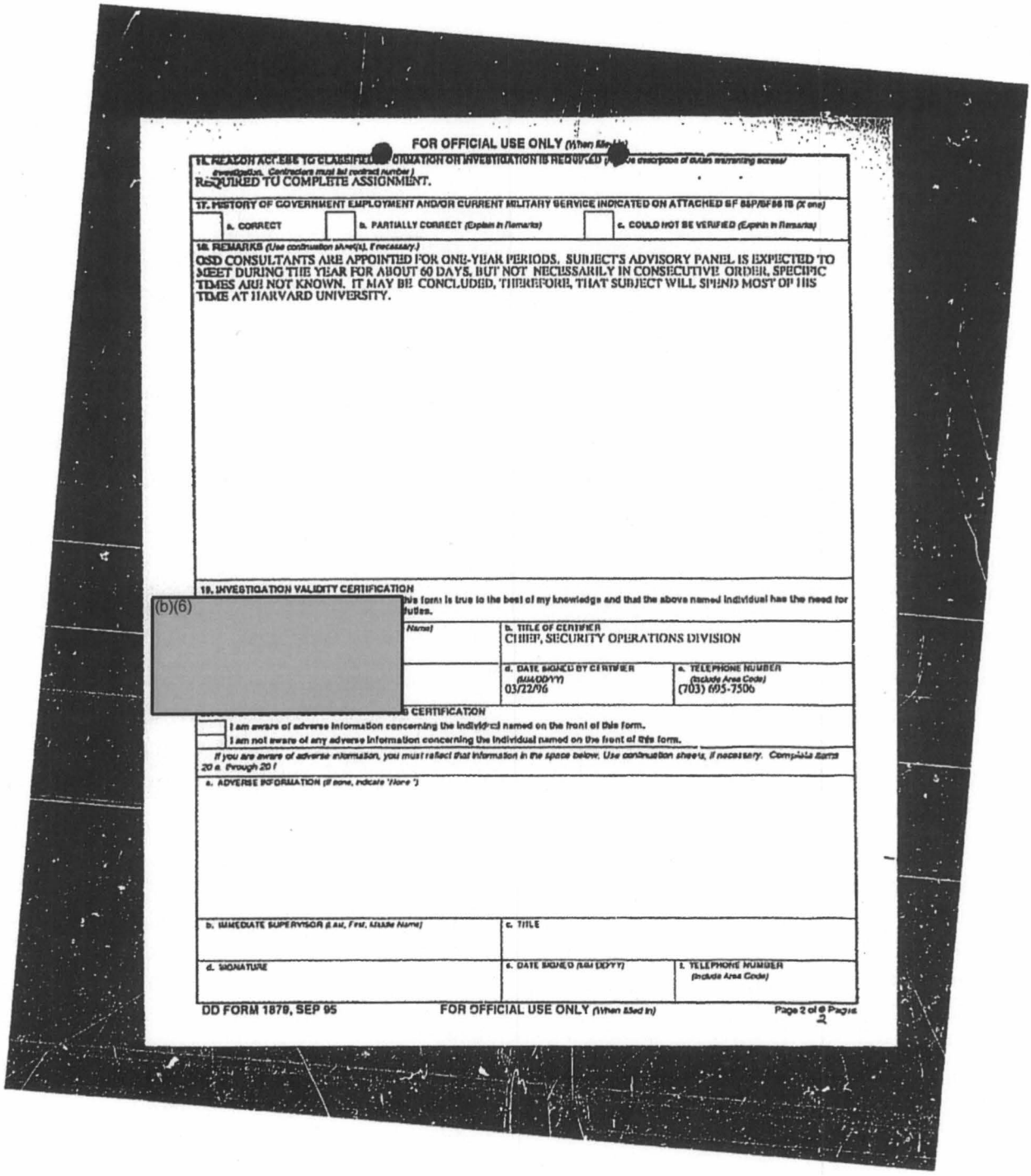

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16. REASON ACCESS TO CLASSIFIED INFORMATION OR INVESTIGATION IS REQUIRED (Provide description of duties warranting access/investigation. Contractors must list contract number.)
REQUIRED TO COMPLETE ASSIGNMENT.

17. HISTORY OF GOVERNMENT EMPLOYMENT AND/OR CURRENT MILITARY SERVICE INDICATED ON ATTACHED SF 86/SF 86 IS (X one)
[ ] a. CORRECT [ ] b. PARTIALLY CORRECT (Explain in Remarks) [ ] c. COULD NOT BE VERIFIED (Explain in Remarks)

18. REMARKS (Use continuation sheet(s), if necessary.)
OSD CONSULTANTS ARE APPOINTED FOR ONE-YEAR PERIODS. SUBJECT'S ADVISORY PANEL IS EXPECTED TO MEET DURING THE YEAR FOR ABOUT 60 DAYS, BUT NOT NECESSARILY IN CONSECUTIVE ORDER. SPECIFIC TIMES ARE NOT KNOWN. IT MAY BE CONCLUDED, THEREFORE, THAT SUBJECT WILL SPEND MOST OF HIS TIME AT HARVARD UNIVERSITY.

19. INVESTIGATION VALIDITY CERTIFICATION
(b)(6)
...this form is true to the best of my knowledge and that the above named individual has the need for ...duties.

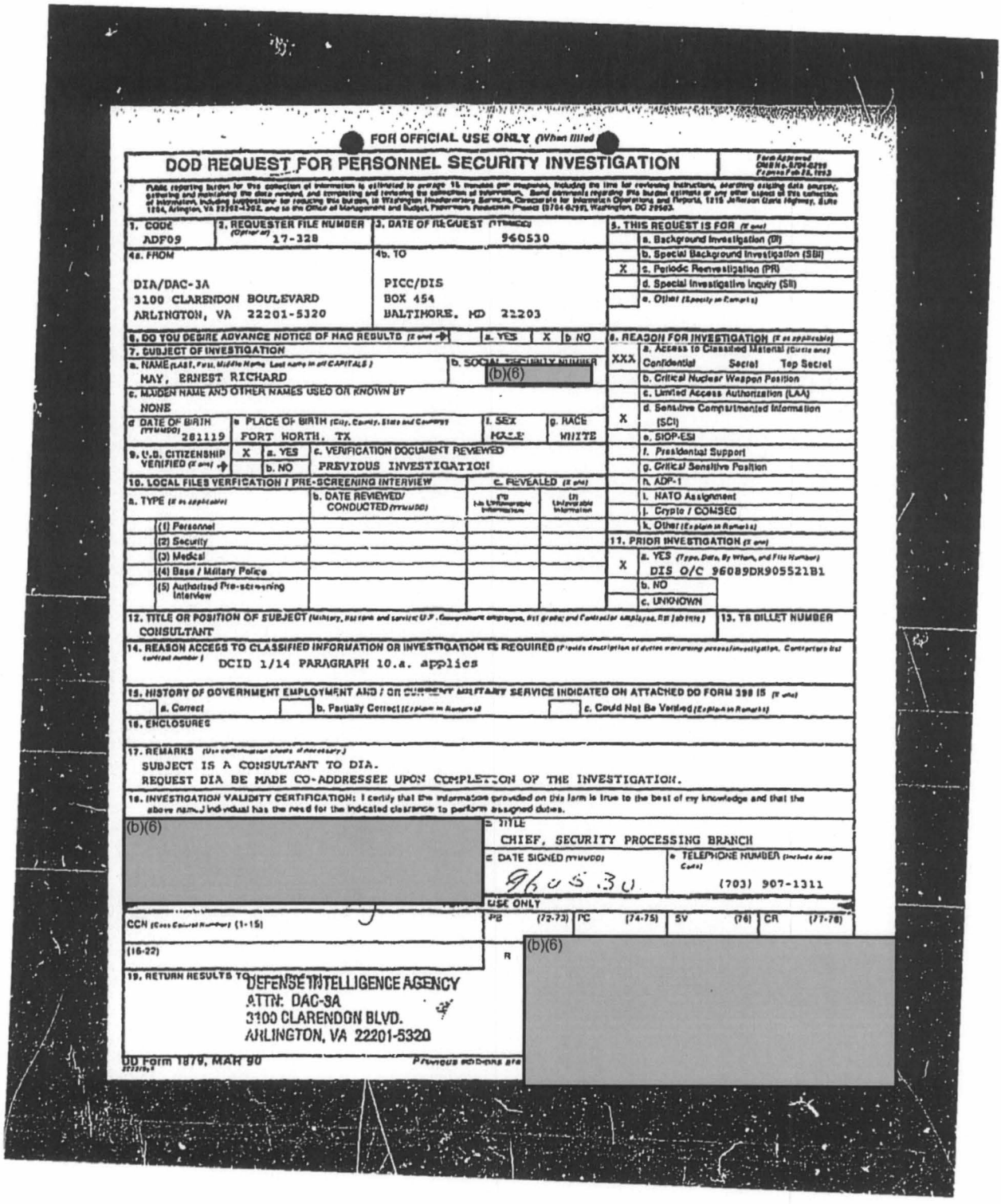
(Name)	b. TITLE OF CERTIFIER: CHIEF, SECURITY OPERATIONS DIVISION	
	d. DATE SIGNED BY CERTIFIER (MM/DD/YY): 03/22/96	e. TELEPHONE NUMBER (Include Area Code): (703) 695-7506

...CERTIFICATION
[ ] I am aware of adverse information concerning the individual named on the front of this form.
[ ] I am not aware of any adverse information concerning the individual named on the front of this form.

If you are aware of adverse information, you must reflect that information in the space below. Use continuation sheets, if necessary. Complete items 20 a. through 20 f.

a. ADVERSE INFORMATION (If none, indicate "None.")		
b. IMMEDIATE SUPERVISOR (Last, First, Middle Name)	c. TITLE	
d. SIGNATURE	e. DATE SIGNED (MM DD YY)	f. TELEPHONE NUMBER (Include Area Code)

DD FORM 1879, SEP 95 FOR OFFICIAL USE ONLY (When filled in) Page 2 of 2 Pages



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DOD REQUEST FOR PERSONNEL SECURITY INVESTIGATION

Form Approved OMB No. 0704-0329 Expires Feb 28, 1993

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1. CODE	2. REQUESTER FILE NUMBER (Optional)	3. DATE OF REQUEST (YYMMDD)
ADF09	17-328	960530

4a. FROM	4b. TO
DIA/DAC-3A 3100 CLARENDON BOULEVARD ARLINGTON, VA 22201-5320	PICC/DIS BOX 454 BALTIMORE, MD 21203

5. THIS REQUEST IS FOR (X one)

	a. Background Investigation (BI)
	b. Special Background Investigation (SBI)
X	c. Periodic Reinvestigation (PR)
	d. Special Investigative Inquiry (SII)
	e. Other (Specify in Remarks)

6. DO YOU DESIRE ADVANCE NOTICE OF NAC RESULTS (X one) → a. YES X b. NO

7. SUBJECT OF INVESTIGATION

a. NAME (LAST, First, Middle Name. Last name in all CAPITALS)	b. SOCIAL SECURITY NUMBER
MAY, ERNEST RICHARD	(b)(6)

c. MAIDEN NAME AND OTHER NAMES USED OR KNOWN BY: NONE

d. DATE OF BIRTH (YYMMDD)	e. PLACE OF BIRTH (City, County, State and Country)	f. SEX	g. RACE
281119	FORT HORTH, TX	MALE	WHITE

9. U.S. CITIZENSHIP VERIFIED (X one)		c. VERIFICATION DOCUMENT REVIEWED
X	a. YES	PREVIOUS INVESTIGATION
	b. NO	

10. LOCAL FILES VERIFICATION / PRE-SCREENING INTERVIEW

a. TYPE (X as applicable)	b. DATE REVIEWED/ CONDUCTED (YYMMDD)	c. REVEALED (X one) (1) No Unfavorable Information	(2) Unfavorable Information
(1) Personnel			
(2) Security			
(3) Medical			
(4) Base / Military Police			
(5) Authorized Pre-screening Interview			

8. REASON FOR INVESTIGATION (X as applicable)

XXX	a. Access to Classified Material (Circle one) Confidential Secret Top Secret
	b. Critical Nuclear Weapon Position
	c. Limited Access Authorization (LAA)
X	d. Sensitive Compartmented Information (SCI)
	e. SIOP-ESI
	f. Presidential Support
	g. Critical Sensitive Position
	h. ADP-1
	i. NATO Assignment
	j. Crypto / COMSEC
	k. Other (Explain in Remarks)

11. PRIOR INVESTIGATION (X one)

X	a. YES (Type, Date, By Whom, and File Number) DIS O/C 96089DR905521B1
	b. NO
	c. UNKNOWN

12. TITLE OR POSITION OF SUBJECT (Military, Rank and Service U.S. Government employee, list grade; and Contractor employee, list title): CONSULTANT

13. TS BILLET NUMBER

14. REASON ACCESS TO CLASSIFIED INFORMATION OR INVESTIGATION IS REQUIRED (Provide description of duties warranting access/investigation. Contractors list contract number.): DCID 1/14 PARAGRAPH 10.a. applies

15. HISTORY OF GOVERNMENT EMPLOYMENT AND / OR CURRENT MILITARY SERVICE INDICATED ON ATTACHED DD FORM 398 IS (X one)

[ ] a. Correct [ ] b. Partially Correct (Explain in Remarks) [ ] c. Could Not Be Verified (Explain in Remarks)

16. ENCLOSURES

17. REMARKS (Use continuation sheets if necessary.)

SUBJECT IS A CONSULTANT TO DIA.
REQUEST DIA BE MADE CO-ADDRESSEE UPON COMPLETION OF THE INVESTIGATION.

18. INVESTIGATION VALIDITY CERTIFICATION: I certify that the information provided on this form is true to the best of my knowledge and that the above named individual has the need for the indicated clearance to perform assigned duties.

(b)(6)	c. TITLE: CHIEF, SECURITY PROCESSING BRANCH
	d. DATE SIGNED (YYMMDD): 960530
	e. TELEPHONE NUMBER (Include Area Code): (703) 907-1311

USE ONLY

CCN (Case Control Number) (1-15)	PB (72-73)	PC (74-75)	SV (76)	CR (77-78)
(16-22)	R			

19. RETURN RESULTS TO: DEFENSE INTELLIGENCE AGENCY
ATTN: DAC-3A
3100 CLARENDON BLVD.
ARLINGTON, VA 22201-5320

(b)(6)

DD Form 1879, MAR 90 Previous editions are

FROM	DATE
Defense Investigative Service Personnel Investigations Center P.O. Box 18585 Baltimore, Maryland 21240-8585	10 DECEMBER 1996

TO

MAY ERNEST RICHARD

(b)(6) 28/11/19 48

NOTICE

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ITEMS CHECKED ARE APPLICABLE

X	Results of Investigation are attached. This matter is ☐ pending ☐ closed.
	Results of prior investigation, copy attached, should satisfy current requirements.
	DIS/DCII records reflect NAC/ENTNAC completed favorably on
	OPI Form 79 was submitted to OPM.
X	Prior File no. 86358DR715601B2F completed by DDIS attached.
	Prior file no. completed by attached.
	Reply received reference file no. indicated: ___ No information pertinent to your inquiry. ___ File destroyed/missing. ___ File does not pertain to Subject. ___ Adjudicative material only.

REMARKS:

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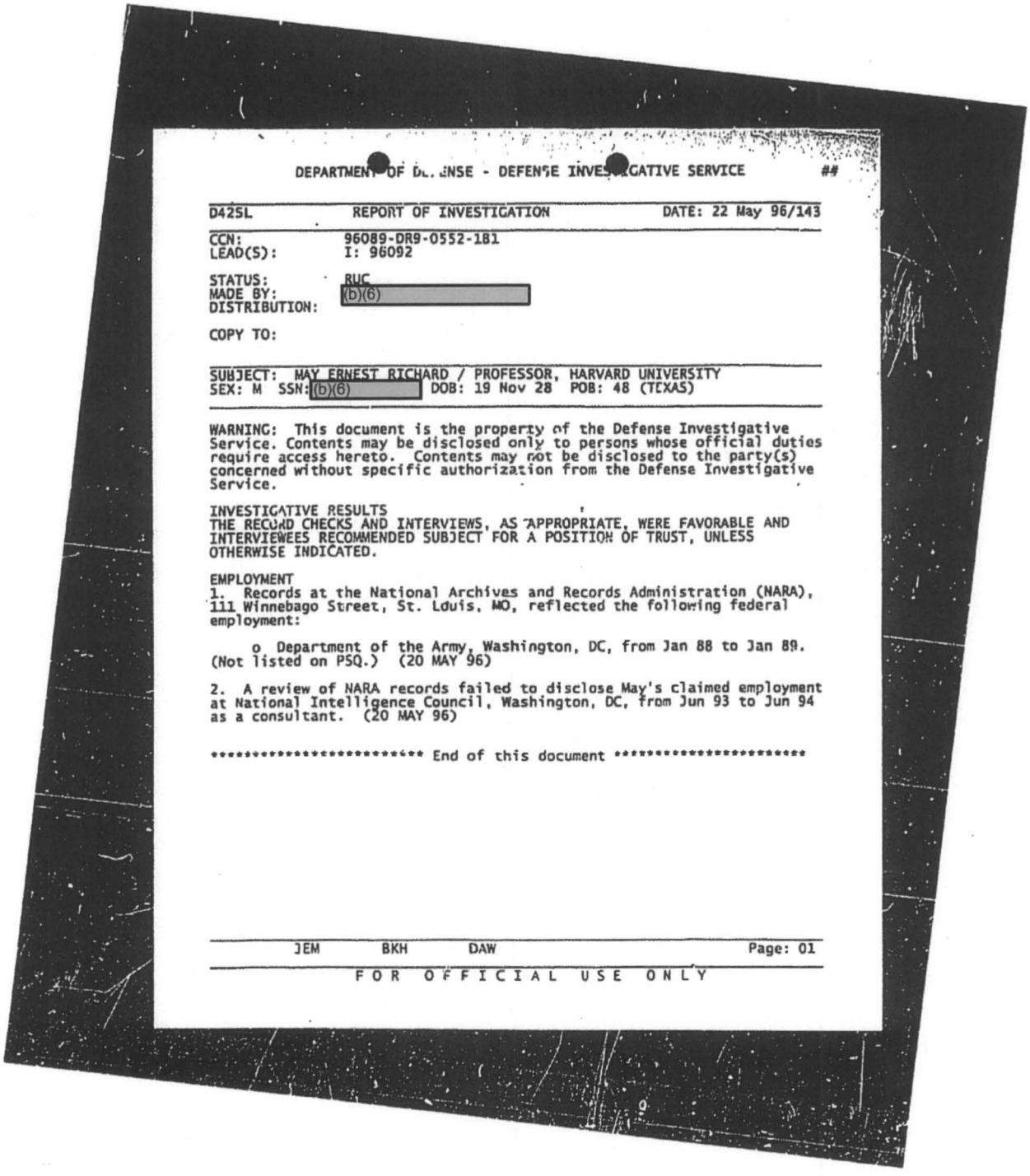
PIC Form 13 86 Apr Replaces PIC Form 13, Dec 84 which will be used until exhausted



DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE ##

D42SL REPORT OF INVESTIGATION DATE: 22 May 96/143

CCN: 96089-DR9-0552-181
LEAD(S): I: 96092

STATUS: RUC
MADE BY: (b)(6)
DISTRIBUTION:

COPY TO:

SUBJECT: MAY ERNEST RICHARD / PROFESSOR, HARVARD UNIVERSITY
SEX: M SSN: (b)(6) DOB: 19 Nov 28 POB: 48 (TEXAS)

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INVESTIGATIVE RESULTS
THE RECORD CHECKS AND INTERVIEWS, AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEES RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

EMPLOYMENT
1. Records at the National Archives and Records Administration (NARA), 111 Winnebago Street, St. Louis, MO, reflected the following federal employment:

o Department of the Army, Washington, DC, from Jan 88 to Jan 89. (Not listed on PSQ.) (20 MAY 96)

2. A review of NARA records failed to disclose May's claimed employment at National Intelligence Council, Washington, DC, from Jun 93 to Jun 94 as a consultant. (20 MAY 96)

********** End of this document **********

JEM BKH DAW Page: 01

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DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE ##

D11BN REPORT OF INVESTIGATION DATE: 04 Dec 96/339

CCN: 96089-DR9-0552-1B1
LEAD(S): I:96092; L:96157

STATUS: RUC
MADE BY: (b)(6)
DISTRIBUTION:

COPY TO:

SUBJECT: MAY ERNEST RICHARD /CONSULTANT, OSD
SEX: M SSN: (b)(6) DOB: 19 Nov 28 POB: 48 (TEXAS)

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INVESTIGATIVE RESULTS
The record checks and interviews, as appropriate, were favorable and interviewees recommended Subject for a position of trust, unless otherwise indicated.

EMPLOYMENT
1. Harvard University (HU), Cambridge, MA, from Jul 54 to present, with sabbaticals with salary from Jul 74 to Jun 75, Jan 83 to Dec 83, and Sep 93 to Jun 94. (7 Nov 96)

EMPLOYMENT REFERENCES
2. (b)(6) department chairman, HU, with monthly contact as professional associate from approximately 1970 to 1980, and at least once to twice monthly contact as supervisor from 1992 to present. (2 Dec 96)

3. (b)(6) associate academic dean, HU, with two to three times weekly contact as colleague and friend, excluding sabbatical periods, from 1978 to present. (3 Dec 96)

EMPLOYMENT
4. Efforts to confirm Subject's employment at Bay Funds (BF), Cambridge, MA, from Nov 92 to present, through records, were unsuccessful because Subject is an independent board trustee and no personnel files are maintained. (2 Dec 96)

EMPLOYMENT REFERENCES
5. (b)(6) vice president of financial affairs & former

FIMS2 JMF JMF SAY Page: 01

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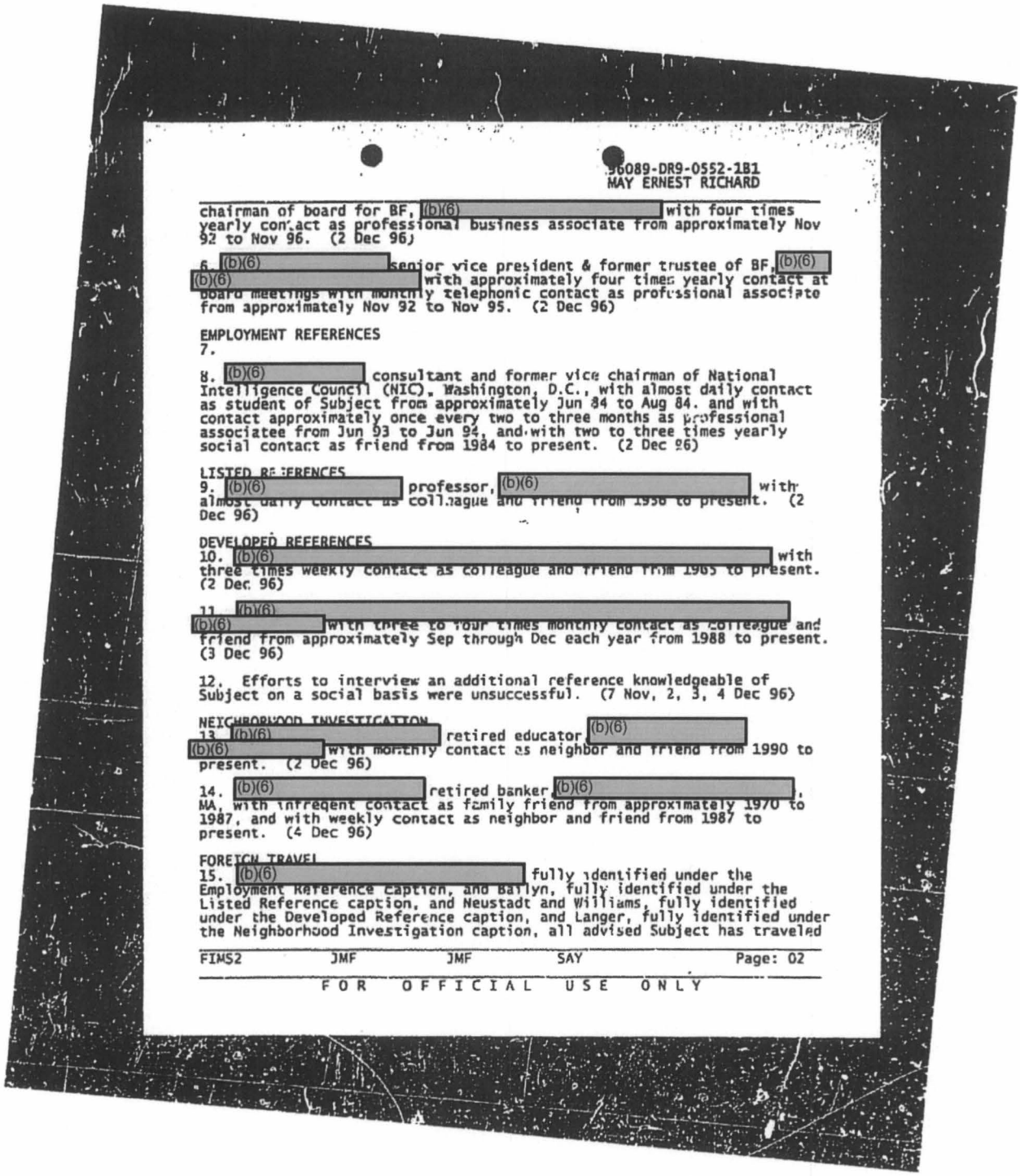

chairman of board for BF, (b)(6) with four times yearly contact as professional business associate from approximately Nov 92 to Nov 96. (2 Dec 96)

6. (b)(6) senior vice president & former trustee of BF, (b)(6) (b)(6) with approximately four times yearly contact at board meetings with monthly telephonic contact as professional associate from approximately Nov 92 to Nov 95. (2 Dec 96)

EMPLOYMENT REFERENCES
7.

8. (b)(6) consultant and former vice chairman of National Intelligence Council (NIC), Washington, D.C., with almost daily contact as student of Subject from approximately Jun 84 to Aug 84, and with contact approximately once every two to three months as professional associatee from Jun 93 to Jun 94, and with two to three times yearly social contact as friend from 1984 to present. (2 Dec 96)

LISTED REFERENCES
9. (b)(6) professor, (b)(6) with almost daily contact as colleague and friend from 1956 to present. (2 Dec 96)

DEVELOPED REFERENCES
10. (b)(6) with three times weekly contact as colleague and friend from 1965 to present. (2 Dec 96)

11. (b)(6) (b)(6) with three to four times monthly contact as colleague and friend from approximately Sep through Dec each year from 1988 to present. (3 Dec 96)

12. Efforts to interview an additional reference knowledgeable of Subject on a social basis were unsuccessful. (7 Nov, 2, 3, 4 Dec 96)

NEIGHBORHOOD INVESTIGATION
13. (b)(6) retired educator, (b)(6) (b)(6) with monthly contact as neighbor and friend from 1990 to present. (2 Dec 96)

14. (b)(6) retired banker, (b)(6) , MA, with infrequent contact as family friend from approximately 1970 to 1987, and with weekly contact as neighbor and friend from 1987 to present. (4 Dec 96)

FOREIGN TRAVEL
15. (b)(6) fully identified under the Employment Reference caption, and Barlyn, fully identified under the Listed Reference caption, and Neustadt and Williams, fully identified under the Developed Reference caption, and Langer, fully identified under the Neighborhood Investigation caption, all advised Subject has traveled

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extensively for academic and business purposes. References stated Subject traveled to England, France, Germany, as well as other unrecalled locations throughout Europe and Asia. References were unable to provide specific dates for travel and further questioning disclosed no information of a security significance.

FOREIGN CONNECTIONS
16. (b)(6) fully identified under the Employment Reference caption, (b)(6) fully identified under the Listed Reference caption, and (b)(6) fully identified under the Developed Reference caption, and (b)(6) fully identified under the Neighborhood Investigation caption, advised Subject has collaborated on numerous international intelligence projects and has been involved in many academic conferences due to his well regard status as a historian and political scientist and consultant. (b)(6) advised she is a U.K. citizen. References were otherwise unable to identify Subject's foreign connections by name but stated he has had extensive contact with foreign citizens. Questioning disclosed no information of a security significance.

SUBJECT INTERVIEW
17. An interview of Subject was conducted on 7 Nov 96 as part of a Single Scope Background Investigation. The interview required 95 minutes. Subject is currently a professor at HU and a consultant to the Security Operations Division, Washington, D.C.. The interview focused on foreign travel and foreign connections.

FOREIGN TRAVEL
Subject confirmed all listed foreign travel and advised he subsequently attended an academic conference in Talloires, France in Jun 96 and was then joined by his wife and vacationed in Geneva, Switzerland for ten days. Subject further advised he is planning to attend a conference at Ditchley in England for three days in Dec 96. Questioning disclosed no information of a security significance.

FOREIGN CONNECTIONS
Subject advised he has frequent contact with (b)(6) who is a U.K. citizen and is the Baroness of Crosby. Subject met Williams approximately ten years ago when (b)(6) began teaching at HU. Subject stated (b)(6) teaches at HU for the Fall semester and then returns to the the U.K. for the remainder of each year. Subject socializes with (b)(6) during the time periods spent in the local area. Subject further advised he met (b)(6) in the early 1980's when he spent one year at HU as a visiting professor. (b)(6) was a professor at the University of Reims and is currently the Chief of Defense History Program for the French government. His wife is also a French citizen and her name is (b)(6) They are both in their 50's. Subject considered (b)(6) to be a good friend and advised they maintain contact through electronic mail and see each other approximately once a year. They have a son, (b)(6) who currently attends HU. In Germany, (b)(6) is Subject's counterpart. (b)(6) is the director of a research center located in Zesiabg and was formerly the director of the Institute at Havenhausen. Subject became knowledgeable of (b)(6) in the early 1980's

and they collaborated on projects together approximately six times yearly. The project terminated in the summer of 1995 and Subject has seen (b)(6) only once since then for follow-up purposes. Additionally, Subject advised he has met numerous scholars from all over the world but no one that Subject maintains regular or frequent contact with. Subject also has contact with international students at HU but does not maintain social relationships with these individuals, with the exception of a former student from China named (b)(6) is currently vice chairman of the Department of International Politics at Fudan University in Shanghai. [redacted] attended HU for approximately one year in the early 1980's and took several courses under Subject. Subject denied any personal contact with [redacted] since the early 1980's but they have exchanged holiday greetings once a year. Subject was told that [redacted] was in the U.S. several weeks ago to tour American universities and that he does so with some frequency. Further questioning disclosed no information of a security significance.

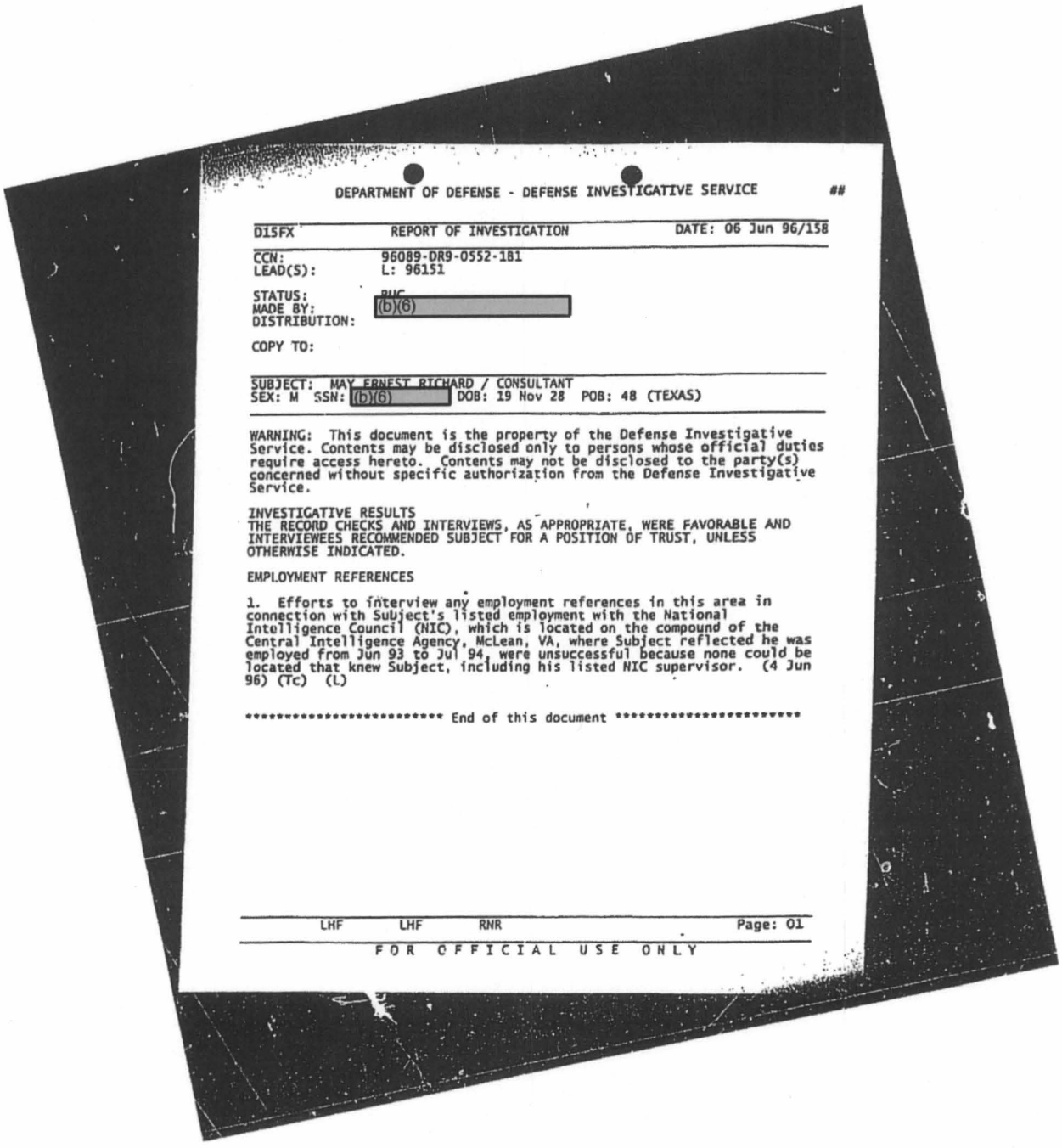
(b)(6)
(b)(6)

OTHER AREAS COVERED IN THE INTERVIEW

Subject otherwise responded with only favorable information regarding questions posed about his conduct (including use of alcohol and drugs), employment, school attendance, activities, associates, and personal finances. The questioning developed no criminal conduct, nor any mental problems or treatment, and the responses raised no questions of judgment or reliability. Subject's comments indicated he is loyal to the United States; and he reported for himself, family, and associates, no involvement in or advocacy of force to overthrow the U.S. Government, or the use of force or violence to deny others their constitutional/legal rights. Subject denied any personal knowledge of the unauthorized disclosure of classified information, the illegal transfer of U.S. technology, or involvement with any hostile intelligence activity directed against the United States. Subject provided information about his family, activities, associates and personal life, none of which disclosed any adverse or questionable areas indicative of his susceptibility to coercion, pressure, or blackmail to act against the United States.

Subject was completely cooperative, responded to all questions without hesitation, and expressed no reservations about the holding of a security clearance.

********** E N D O F D O C U M E N T **********



DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE ##

DI5FX REPORT OF INVESTIGATION DATE: 06 Jun 96/158

CCN: 96089-DR9-0552-1B1
LEAD(S): L: 96151

STATUS: RUC
MADE BY: (b)(6)
DISTRIBUTION:

COPY TO:

SUBJECT: MAY ERNEST RICHARD / CONSULTANT
SEX: M SSN: (b)(6) DOB: 19 Nov 28 POB: 48 (TEXAS)

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INVESTIGATIVE RESULTS
THE RECORD CHECKS AND INTERVIEWS, AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEES RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

EMPLOYMENT REFERENCES

1. Efforts to interview any employment references in this area in connection with Subject's listed employment with the National Intelligence Council (NIC), which is located on the compound of the Central Intelligence Agency, McLean, VA, where Subject reflected he was employed from Jun 93 to Jul 94, were unsuccessful because none could be located that knew Subject, including his listed NIC supervisor. (4 Jun 96) (Tc) (L)

********** End of this document **********

LHF LHF RNR Page: 01

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DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE

D42DF REPORT OF INVESTIGATION DATE: 16 Apr 96/107

CCN: 96089-DR9-0552-1B1
LEAD(S): I: 96092

STATUS: RUC
MADE BY: (b)(6)
DISTRIBUTION:

COPY TO:

SUBJECT: MAY ERNEST RICHARD / CIVILIAN
SEX: M SSN: (b)(6) DOB: 19 Nov 28 POB: 48 (TEXAS)

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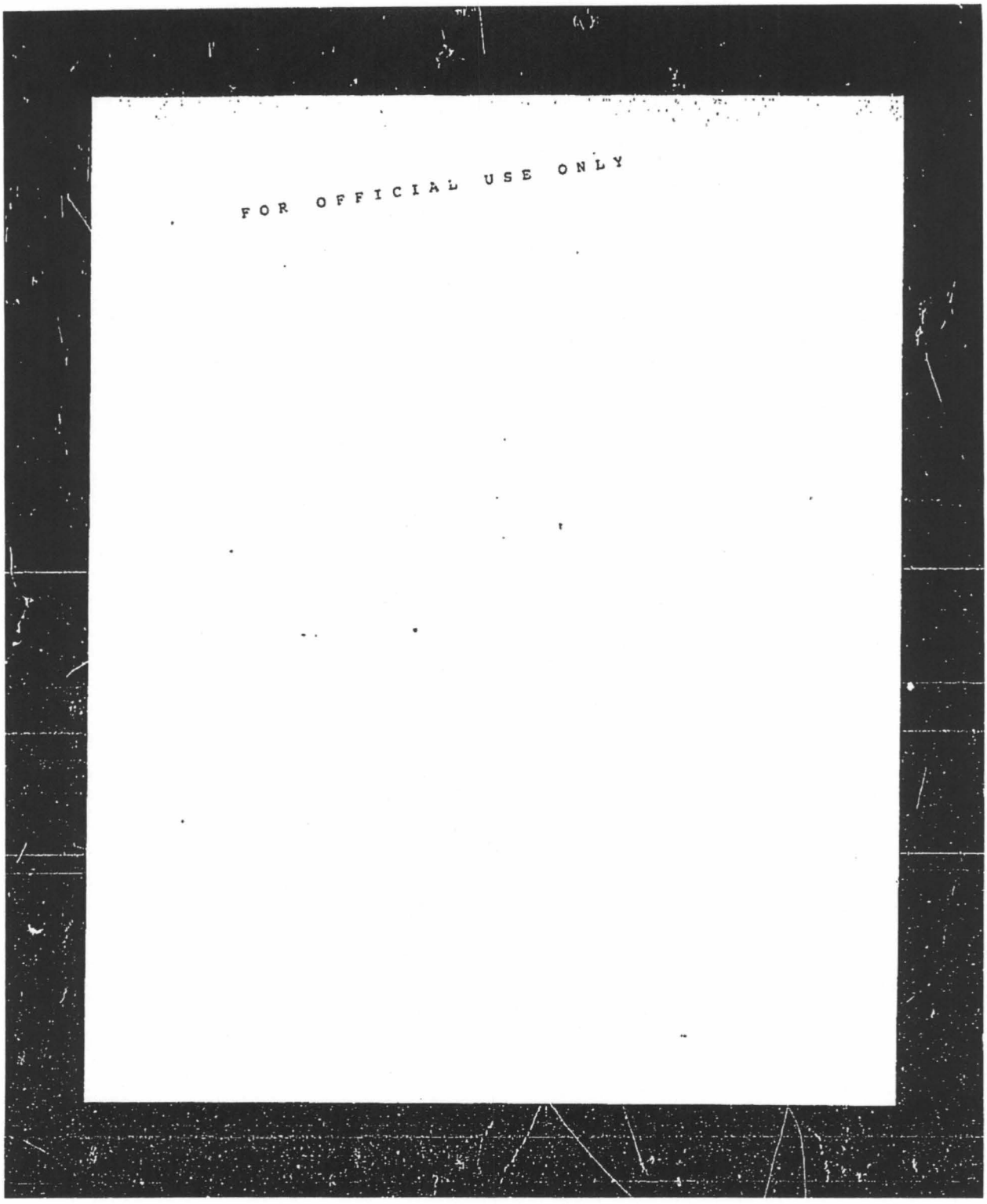
INVESTIGATIVE RESULTS
THE RECORD CHECKS AND INTERVIEWS, AS APPROPRIATE, WERE FAVORABLE AND THOSE INTERVIEWED RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

BIRTH
1. Records of the Public Health Department, Bureau of Vital Statistics, Fort Worth, TX, reflected May, Ernest Thomas, was born 19 Nov 28, in Fort Worth, Texas, with Register Number 2572. A copy of May's birth certificate is appended as Attachment 1. (12 Apr 96)

ATTACHMENT
(1) Copy of May's Birth Certificate.

********** End of this document **********

LT SPR PJM Page: 01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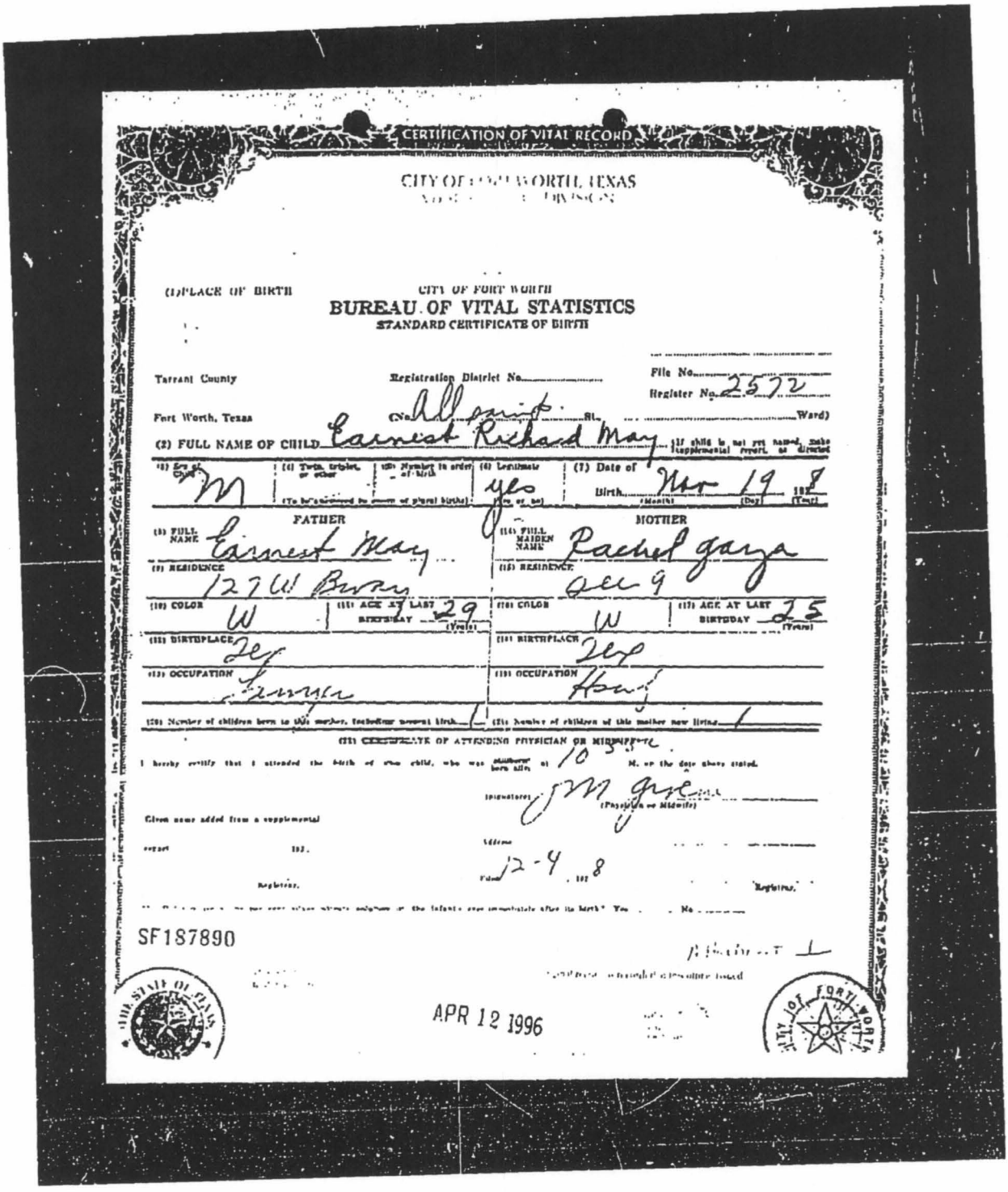


CERTIFICATION OF VITAL RECORD

CITY OF FORT WORTH, TEXAS

(1) PLACE OF BIRTH

CITY OF FORT WORTH

BUREAU OF VITAL STATISTICS

STANDARD CERTIFICATE OF BIRTH

Tarrant County — Registration District No. — File No. — Register No. 2572

Fort Worth, Texas — (No. Allsaints St. — Ward)

(2) FULL NAME OF CHILD: Earnest Richard May (If child is not yet named, make supplemental report as directed)

(3) Sex of Child	(4) Twin, triplet, or other (To be determined by census of plural births)	(5) Number in order of birth	(6) Legitimate (yes or no)	(7) Date of Birth (Month) (Day) (Year)
M			yes	Mar 19 18

FATHER	MOTHER
(8) FULL NAME: Earnest May	(14) FULL MAIDEN NAME: Rachel garza
(9) RESIDENCE: 127 W Berry	(15) RESIDENCE: dec'd
(10) COLOR: W	(11) AGE AT LAST BIRTHDAY: 29 (Years)
(12) COLOR: W	(13) AGE AT LAST BIRTHDAY: 25 (Years)
(12) BIRTHPLACE: Tex	(16) BIRTHPLACE: Tex
(13) OCCUPATION: Farmer	(17) OCCUPATION: Hswf

(18) Number of children born to this mother, including present birth: 1 — (19) Number of children of this mother now living: 1

(20) CERTIFICATE OF ATTENDING PHYSICIAN OR MIDWIFE

I hereby certify that I attended the birth of this child, who was (stillborn or born alive) at 10 30 M. on the date above stated.

Signature: J M Gage (Physician or Midwife)

Given name added from a supplemental report (21).

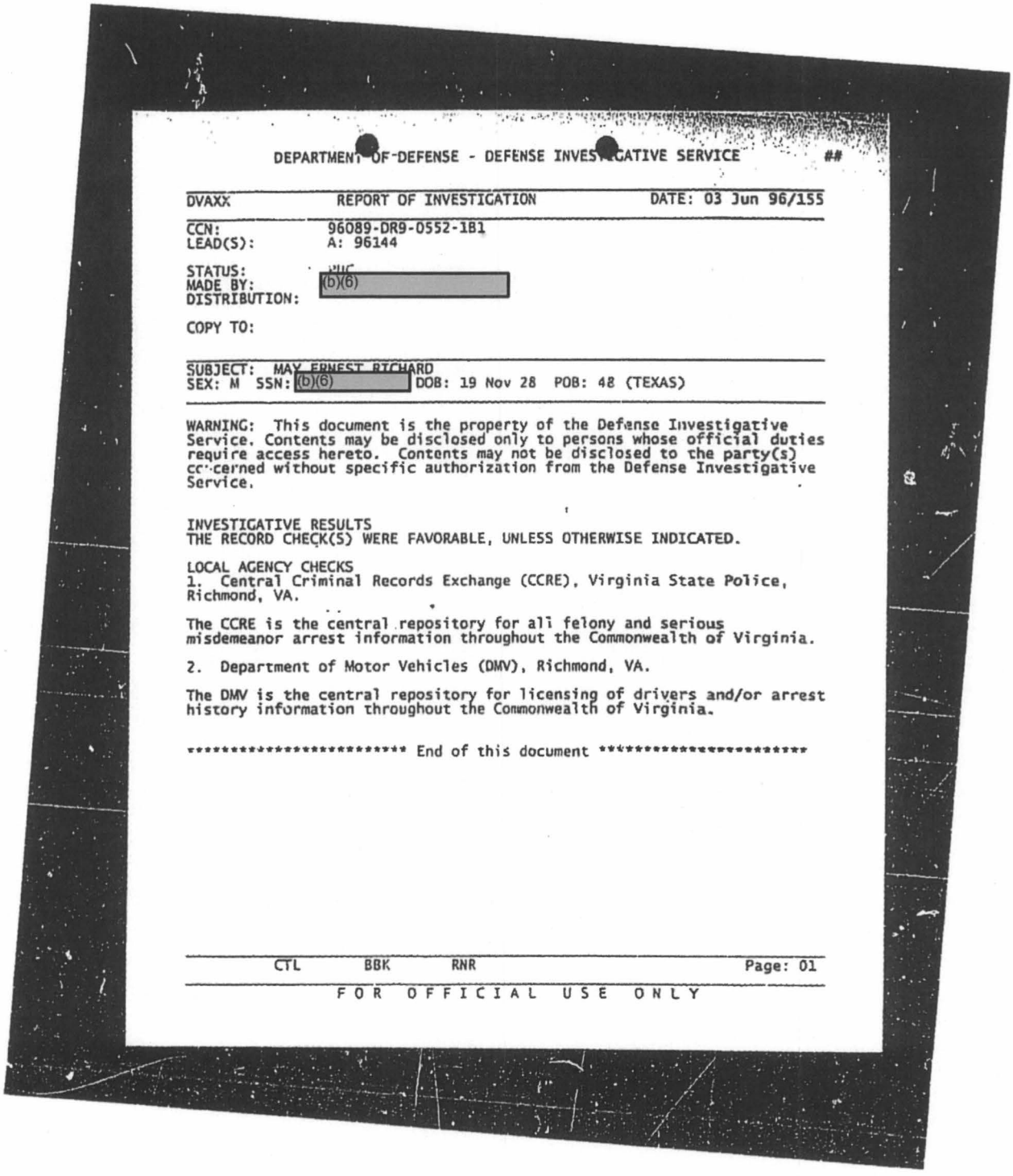
Address

Filed 12-4 18 (22) Registrar.

Registrar.

SF187890

APR 12 1996



DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE ##

DVAXX REPORT OF INVESTIGATION DATE: 03 Jun 96/155

CCN: 96089-DR9-0552-1B1
LEAD(S): A: 96144

STATUS: UNC
MADE BY: (b)(6)
DISTRIBUTION:

COPY TO:

SUBJECT: MAY ERNEST RICHARD
SEX: M SSN: (b)(6) DOB: 19 Nov 28 POB: 48 (TEXAS)

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INVESTIGATIVE RESULTS
THE RECORD CHECK(S) WERE FAVORABLE, UNLESS OTHERWISE INDICATED.

LOCAL AGENCY CHECKS
1. Central Criminal Records Exchange (CCRE), Virginia State Police, Richmond, VA.

The CCRE is the central repository for all felony and serious misdemeanor arrest information throughout the Commonwealth of Virginia.

2. Department of Motor Vehicles (DMV), Richmond, VA.

The DMV is the central repository for licensing of drivers and/or arrest history information throughout the Commonwealth of Virginia.

********** End of this document **********

CTL BBK RNR Page: 01

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DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE ##

DMAXX REPORT OF INVESTIGATION DATE: 12 Apr 96/103

CCN: 96089-DR9-0552-1B1
LEAD(S): I: 96092

STATUS: RUC
MADE BY: (b)(6)
DISTRIBUTION:

COPY TO:

SUBJECT: MAY ERNEST RICHARD / IND
SEX: M SSN: (b)(6) DOB: 19 Nov 28 POB: 48 (TEXAS)

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INVESTIGATIVE RESULTS
THE RECORD CHECKS AND INTERVIEWS, AS APPROPRIATE, WERE FAVORABLE AND INTERVIEWEES RECOMMENDED SUBJECT FOR A POSITION OF TRUST, UNLESS OTHERWISE INDICATED.

LOCAL AGENCY CHECK
1. Criminal History Systems Board, Boston, MA, the central repository for all criminal-court appearances in the Commonwealth of MA.

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DRP LJA EFD Page: 01

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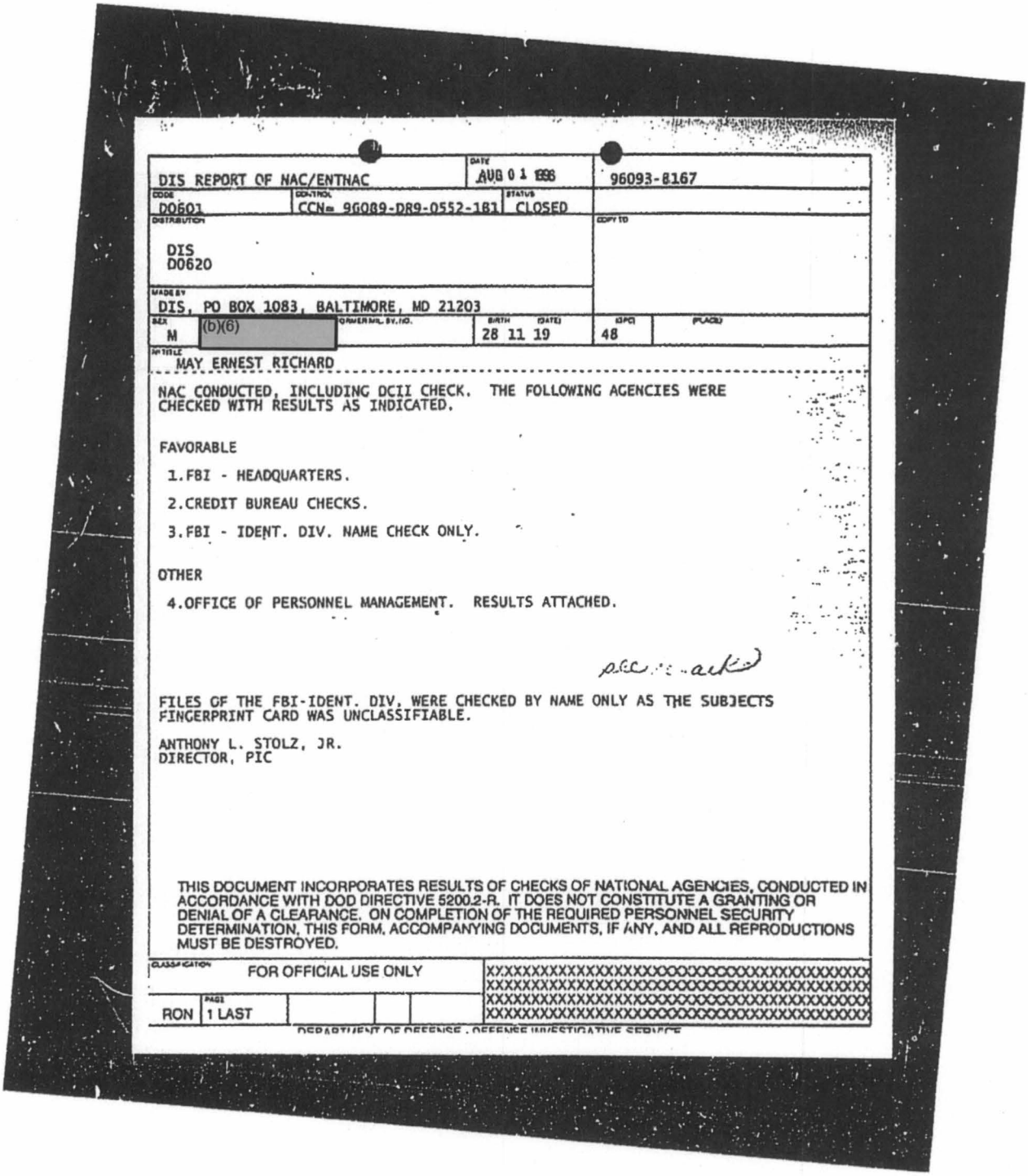

DIS REPORT OF NAC/ENTNAC | DATE: AUG 0 1 1996 | 96093-8167

CODE: D0601 | CONTROL: CCN= 96089-DR9-0552-181 | STATUS: CLOSED

DISTRIBUTION:
DIS
D0620

COPY TO:

MADE BY:
DIS, PO BOX 1083, BALTIMORE, MD 21203

SEX: M | (b)(6) | BIRTH (DATE): 28 11 19 | (SPC): 48 | (PLACE)

APTITLE: MAY ERNEST RICHARD

NAC CONDUCTED, INCLUDING DCII CHECK. THE FOLLOWING AGENCIES WERE CHECKED WITH RESULTS AS INDICATED.

FAVORABLE

1. FBI - HEADQUARTERS.

2. CREDIT BUREAU CHECKS.

3. FBI - IDENT. DIV. NAME CHECK ONLY.

OTHER

4. OFFICE OF PERSONNEL MANAGEMENT. RESULTS ATTACHED.

FILES OF THE FBI-IDENT. DIV. WERE CHECKED BY NAME ONLY AS THE SUBJECTS FINGERPRINT CARD WAS UNCLASSIFIABLE.

ANTHONY L. STOLZ, JR.
DIRECTOR, PIC

THIS DOCUMENT INCORPORATES RESULTS OF CHECKS OF NATIONAL AGENCIES, CONDUCTED IN ACCORDANCE WITH DOD DIRECTIVE 5200.2-R. IT DOES NOT CONSTITUTE A GRANTING OR DENIAL OF A CLEARANCE. ON COMPLETION OF THE REQUIRED PERSONNEL SECURITY DETERMINATION, THIS FORM, ACCOMPANYING DOCUMENTS, IF ANY, AND ALL REPRODUCTIONS MUST BE DESTROYED.

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DEPARTMENT OF DEFENSE, DEFENSE INVESTIGATIVE SERVICE

Defense Investigative Service
Investigations Control & Automation Directorate
Report of NAC/ENTNAC Addendum Sheet

Note 1 ☐ Records were temporarily unavailable for review. If a review is required, resubmit in 60 days for a recheck.

Note 2 ☐ Records could not be located for review. Experience has shown that a recheck is not likely to produce a different result.

Note 3 ☐ Records of another U.S. Government agency disclosed an investigative file number_____ regarding_____, _____, _____, _____. A copy of the file is attached.

Note 4 ☐ Investigations Division case cancelled/closed. NAC leads closed short.

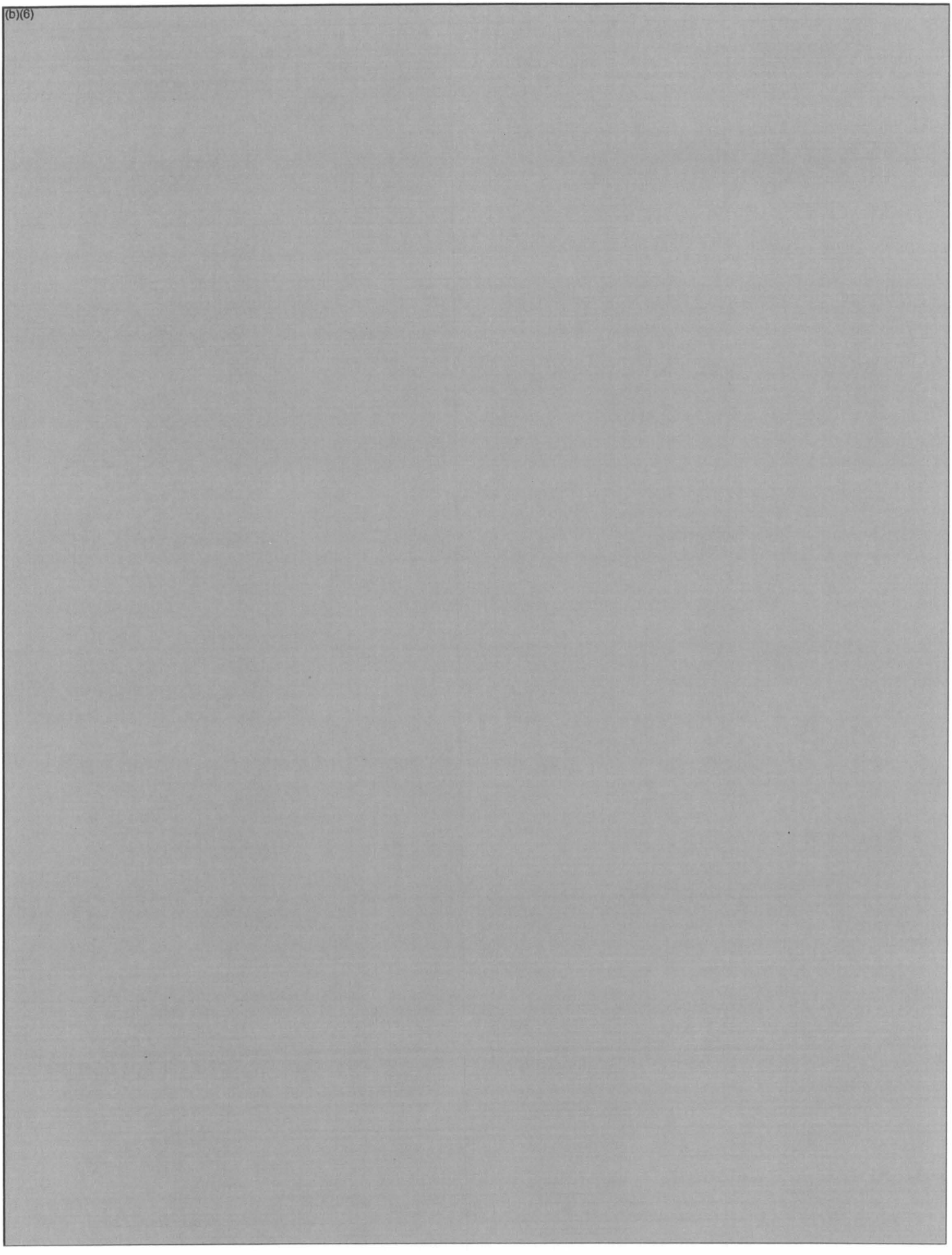
Note 5 ☐ NAC/ENTNAC is closed without verification of alien or citizenship status as the check has been pending in excess of 50 days. If required, resubmit and another attempt will be made.

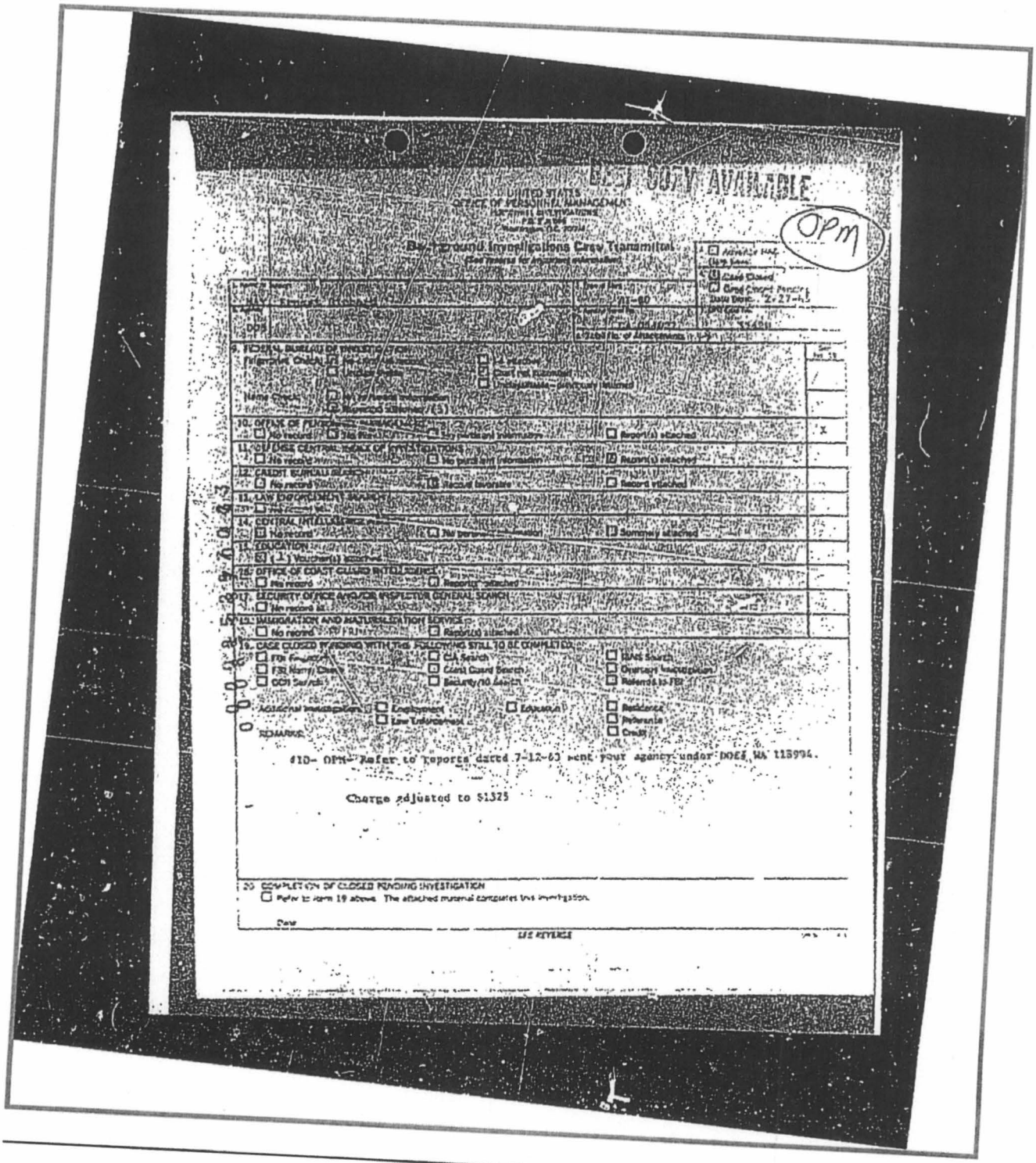
REMARKS:

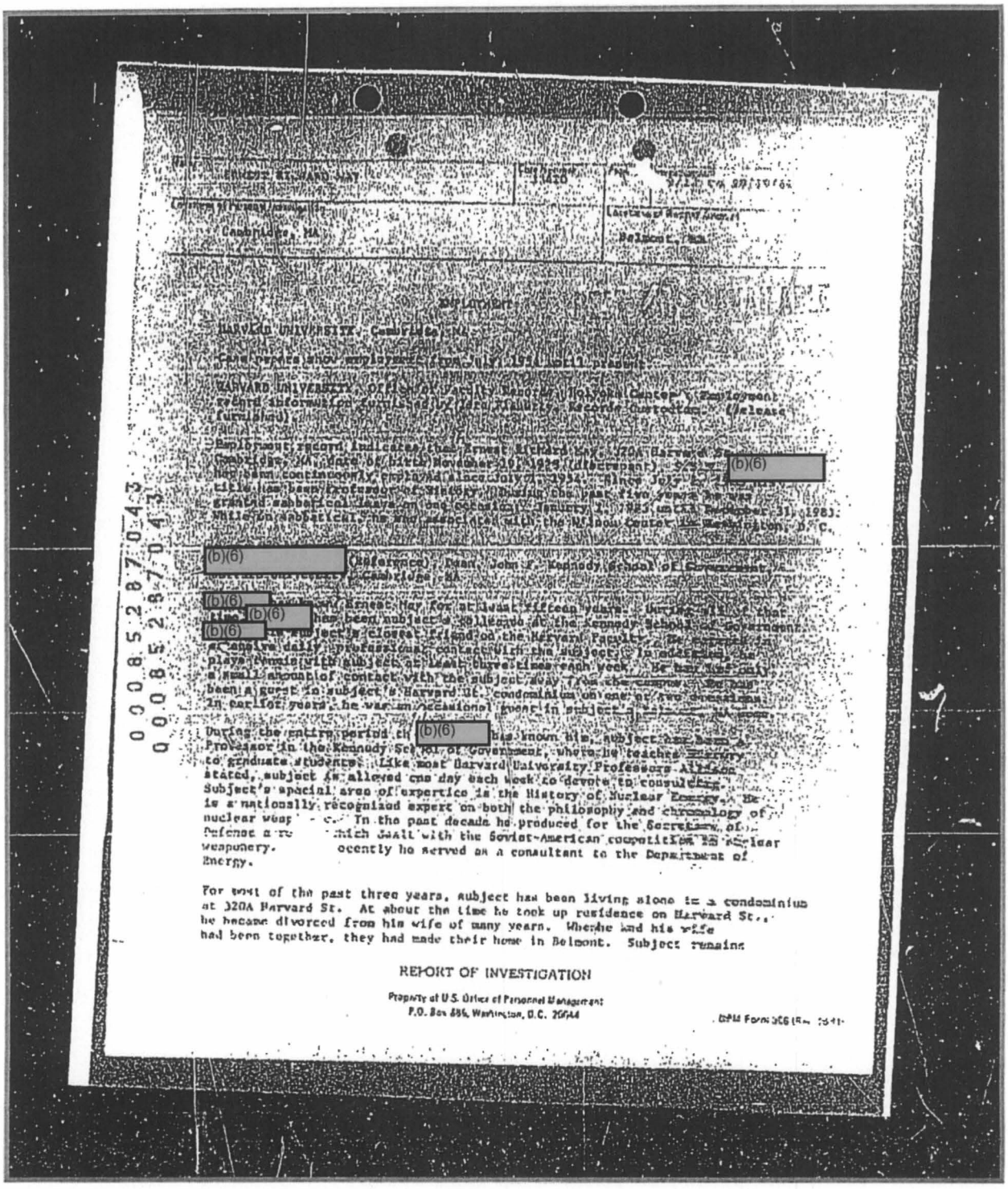
Another government agency refer to FBI-HQ.

This sheet contains explanatory remarks pertaining to entries on the Report of NAC/ENTNAC. If further guidance is required please contact DIS NAC Division at DSN 283-9670 or Commercial 410-631-0670.

Addendum to DIS Form 1 (Report of NAC/ENTNAC) **(22 January 1996)**


(b)(6)


BEST COPY AVAILABLE
OPM
Background Investigations Case Transmittal
#10- OPM- Refer to reports dated 7-12-83 sent your agency under DOES WA 113994.
Charge adjusted to $1325



Cambridge, MA

Belmont, MA

DIPLOMATIC

HARVARD UNIVERSITY - Cambridge, MA

Case covers show employment from July 1951 to present.

HARVARD UNIVERSITY - Office of Faculty Records (Mollyann Conger) Employment record information furnished by Faculty Records Custodian. (Release furnished)

Employment record indicates that Ernest Richard May, 320A Harvard St., Cambridge, MA, date of birth November 30, 1928 (discrepant) [redacted] has been continuously employed since July 1, 1952. Since July [redacted] title has been Professor of History. During the past five years he was granted sabbatical leave on one occasion, January 1, 1983 to August 31, 1983. While on sabbatical he was associated with the Wilson Center, Washington, D.C.

[redacted] (reference), Dean, John F. Kennedy School of Government, Cambridge, MA

[redacted] Ernest May for at least fifteen years. During this time [redacted] has been subject's colleague at the Kennedy School of Government, a [redacted] subject's closest friend on the Harvard Faculty. He reports [redacted] active daily professional contact with the subject; [redacted] plays tennis with subject at least three times each week. He has only a small amount of contact with the subject away from the campus. He has been a guest in subject's Harvard St. condominium on one or two occasions in earlier years. He was an occasional guest in subject's [redacted]

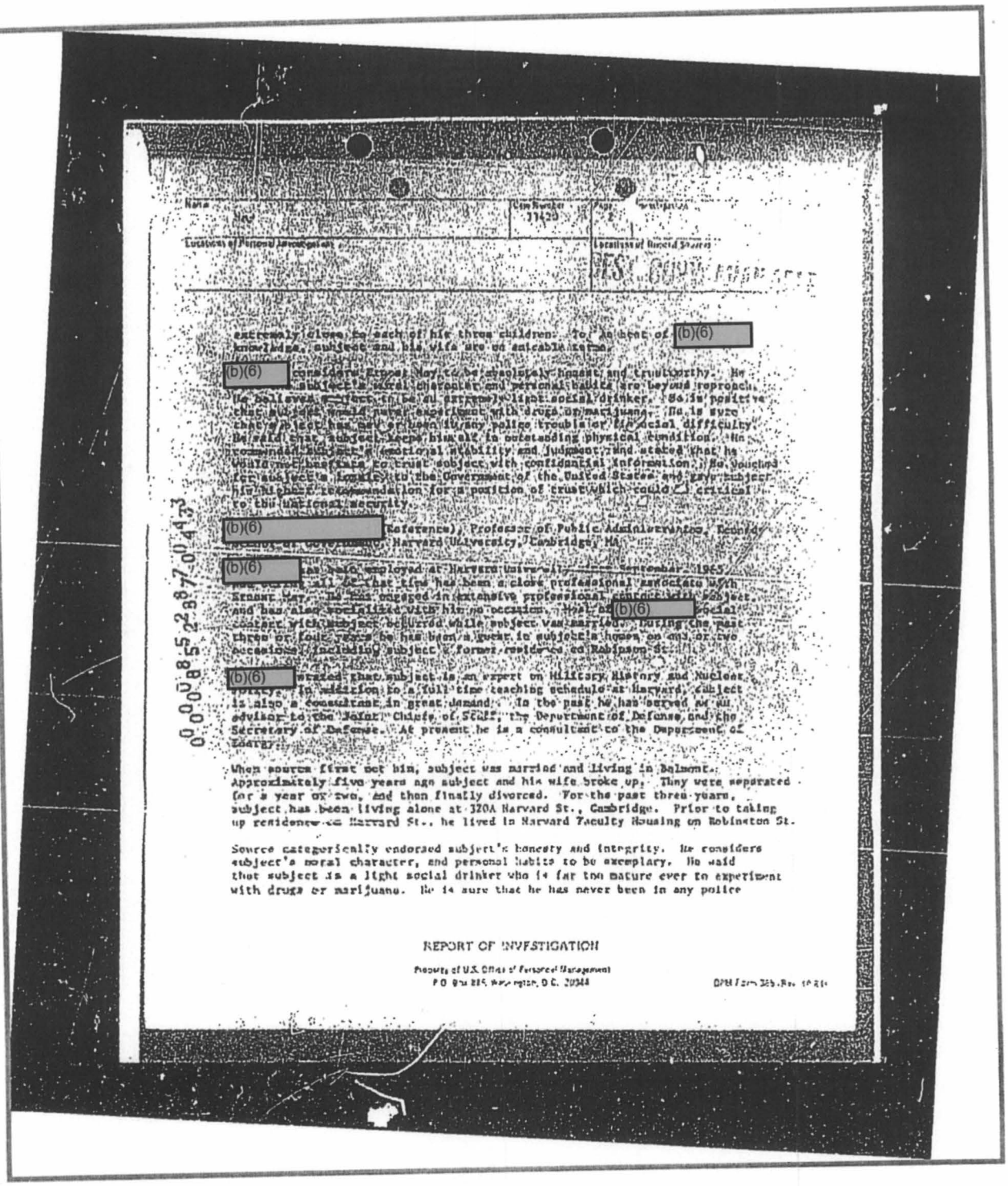
During the entire period that [redacted] has known him, subject has been a Professor in the Kennedy School of Government, where he teaches history to graduate students. Like most Harvard University Professors Allison stated, subject is allowed one day each week to devote to consulting. Subject's special area of expertise is the History of Nuclear Energy. He is a nationally recognized expert on both the philosophy and chronology of nuclear weapons. In the past decade he produced for the Secretary of Defense a report which dealt with the Soviet-American competition in nuclear weaponery. Recently he served as a consultant to the Department of Energy.

For most of the past three years, subject has been living alone in a condominium at 320A Harvard St. At about the time he took up residence on Harvard St., he became divorced from his wife of many years. When he and his wife had been together, they had made their home in Belmont. Subject remains

REPORT OF INVESTIGATION

Property of U.S. Office of Personnel Management
P.O. Box 886, Washington, D.C. 20044

OPM Form 306 (Rev. 7-91)



Name: May, E. | Case Number: 37429 | Type: Background

Location of Personal Investigation: | Location of Record Sources: FBI, Court House

extremely close to each of his three children. To the best of (b)(6) knowledge, subject and his wife are on amicable terms.

(b)(6) considers Ernest May to be completely honest and trustworthy. He subject's moral character and personal habits are beyond reproach. He believes subject to be an extremely light social drinker. He is positive that subject would have experience with drugs or marijuana. He is sure that subject has not or been in any police trouble or financial difficulty. He said that subject keeps himself in outstanding physical condition. He recommended subject's emotional stability and judgment and stated that he would have no hesitation to trust subject with confidential information. He vouched for subject's loyalty to the Government of the United States and gave subject his highest recommendation for a position of trust which could be critical to the national security.

(b)(6) (Reference), Professor of Public Administration, Kennedy School of Government, Harvard University, Cambridge, MA

(b)(6) has been employed at Harvard Univ[illegible] September 1965. [illegible] all of that time has been a close professional associate with Ernest May. He has engaged in extensive professional contact with subject, and has also socialized with him on occasion. He met (b)(6) Social contact with subject occurred while subject was married. During the past three or four years he has been a guest in subject's house on one or two occasions, including subject's former residence on Robinson St.

(b)(6) stated that subject is an expert on Military History and Nuclear Policy. In addition to a full time teaching schedule at Harvard, subject is also a consultant in great demand. In the past he has served as an advisor to the Joint Chiefs of Staff, the Department of Defense, and the Secretary of Defense. At present he is a consultant to the Department of State.

When source first met him, subject was married and living in Belmont. Approximately five years ago subject and his wife broke up. They were separated for a year or two, and then finally divorced. For the past three years, subject has been living alone at 320A Harvard St., Cambridge. Prior to taking up residence on Harvard St., he lived in Harvard Faculty Housing on Robinston St.

Source categorically endorsed subject's honesty and integrity. He considers subject's moral character, and personal habits to be exemplary. He said that subject is a light social drinker who is far too mature ever to experiment with drugs or marijuana. He is sure that he has never been in any police

REPORT OF INVESTIGATION

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OPM Form 345, Rev. 10-81

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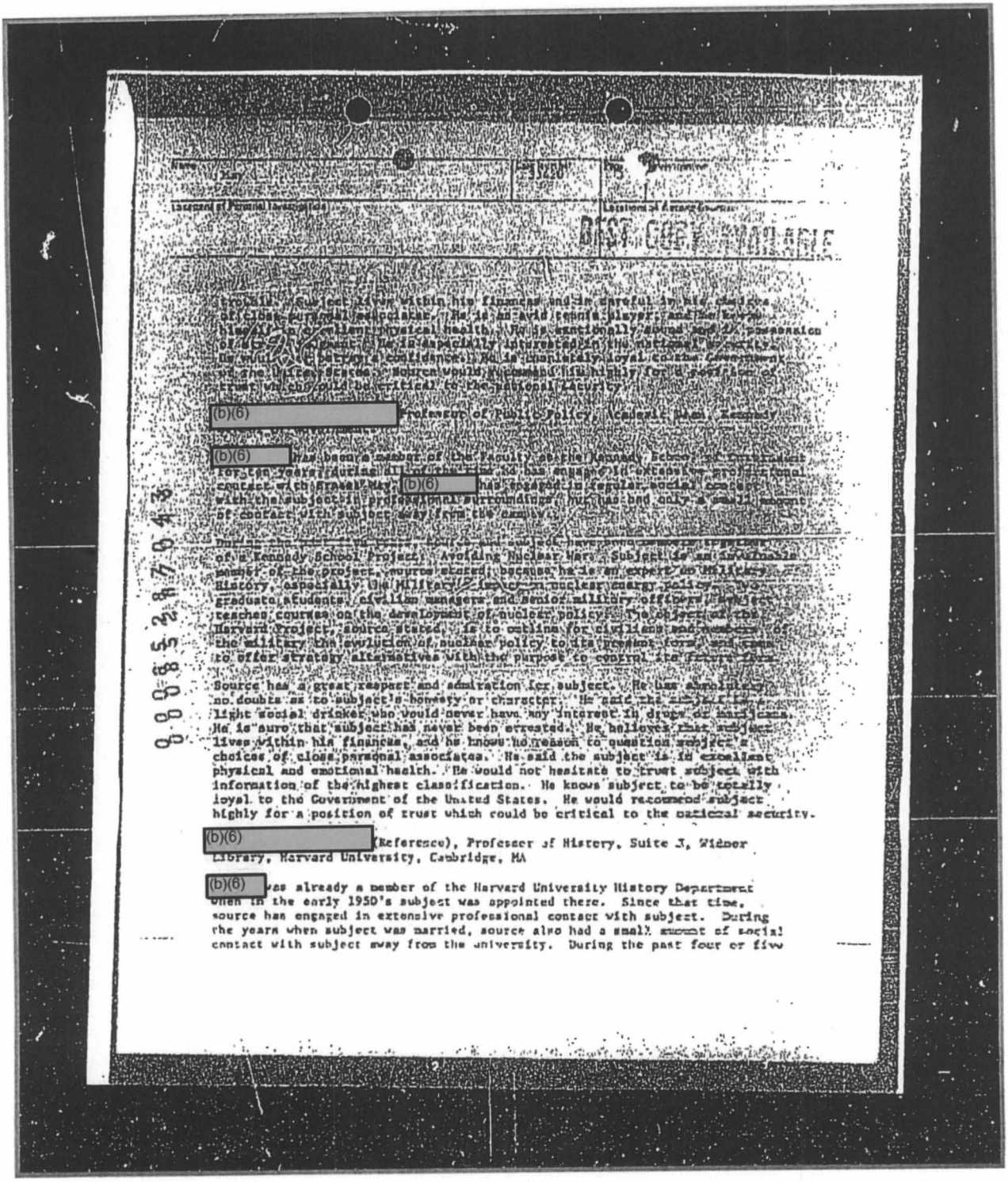

Name: May | SSAN: [redacted]3220 | Date: [redacted]

Interest of Personal Investigation

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trouble. Subject lives within his finances and is careful in his choices of close personal associates. He is an avid tennis player and keeps himself in excellent physical health. He is emotionally sound and of [illegible] character. He is especially interested in the national security. He would not betray a confidence. He is completely loyal to the Government of the United States. Source would recommend him highly for a position of trust which could be critical to the national security.

(b)(6) Professor of Public Policy, Academic Dean, Kennedy School of Government, Harvard University, Cambridge, MA

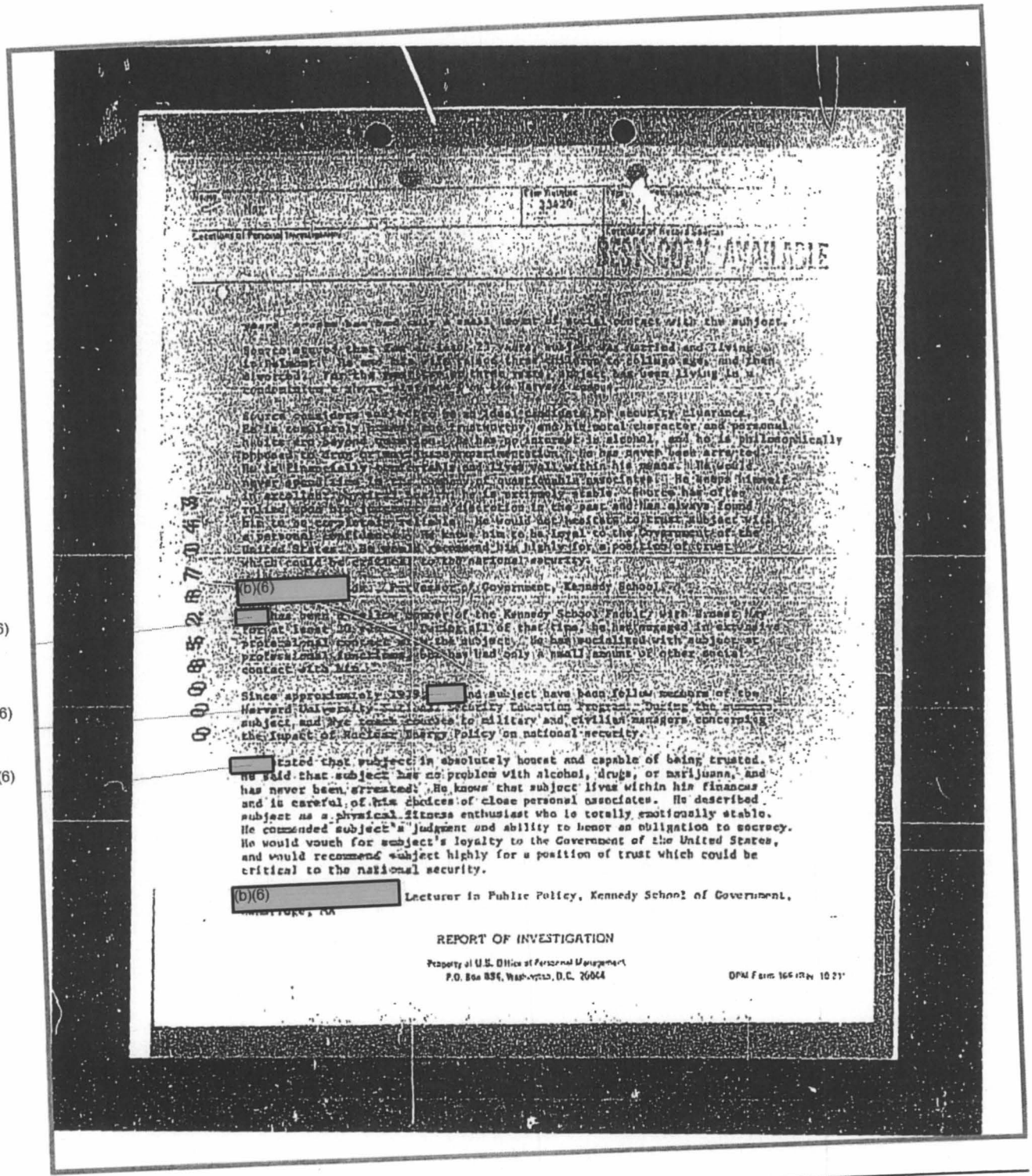
(b)(6) was/was a member of the faculty at the Kennedy School of Government for ten years during which time he has engaged in extensive professional contact with subject. May, (b)(6) has engaged in regular social contact with the subject in professional surroundings but has had only a small amount of contact with subject away from the campus.

During the course of their association, source and subject have [illegible] of the Kennedy School Project, Avoiding Nuclear War. Subject is an invaluable member of the project, source stated, because he is an expert on military History, especially [illegible] military [illegible] on nuclear energy policy. [illegible] graduate students, civilian managers and senior military officers. Subject teaches courses on the development of nuclear policy. The objective of the Harvard Project, source stated, is to outline for civilians and members of the military the evolution of nuclear policy to its present form, and then to offer strategy alternatives with the purpose to control its future [illegible]

Source has a great respect and admiration for subject. He has absolutely no doubts as to subject's honesty or character. He said the subject is a light social drinker who would never have any interest in drugs or marijuana. He is sure that subject has never been arrested. He believes that subject lives within his finances, and he knows no reason to question subject's choices of close personal associates. He said the subject is in excellent physical and emotional health. He would not hesitate to trust subject with information of the highest classification. He knows subject to be totally loyal to the Government of the United States. He would recommend subject highly for a position of trust which could be critical to the national security.

(b)(6) (Reference), Professor of History, Suite J, Widner Library, Harvard University, Cambridge, MA

(b)(6) was already a member of the Harvard University History Department when in the early 1950's subject was appointed there. Since that time, source has engaged in extensive professional contact with subject. During the years when subject was married, source also had a small amount of social contact with subject away from the university. During the past four or five



Item: May
File Number: 33420
Type:
Location of Personal Investigation:
Location of Record Copy:
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[illegible] a small amount of social contact with the subject.

Source advised that [illegible] subject was married and living [illegible] he and his wife have three children, ages [illegible] and then divorced. For the past [illegible] subject has been living in a condominium [illegible] Cambridge.

Source considers subject to be an ideal candidate for security clearance. He is completely [illegible] and trustworthy, and his moral character and personal habits are beyond question. He has no interest in alcohol, and he is philosophically opposed to drug [illegible] experimentation. He has never been arrested. He is financially [illegible] and lives well within his means. He would never [illegible] to anyone of questionable associates. He keeps himself [illegible] and is personally stable. Source has often [illegible] and discretion in the past and has always found him to be completely reliable. He would [illegible] to trust subject with personal confidences. He knows him to be loyal to the Government of the United States. He would recommend him highly for a position of trust which could be critical to the national security.

(b)(6) Lecturer in Public Policy, Kennedy School of Government, Cambridge, MA

(b)(6) has been a fellow member of the Kennedy School faculty with Ernest May for at least 10 years. During all of that time, he has engaged in extensive professional contact with the subject. He has socialized with subject at [illegible] but has had only a small amount of other social contact with him.

(b)(6) Since approximately 1979, [illegible] and subject have been fellow members of the Harvard University [illegible] Security Education Program. During the [illegible] subject, and [illegible] teach courses to military and civilian managers concerning the Impact of Nuclear Energy Policy on national security.

(b)(6) [illegible] stated that subject is absolutely honest and capable of being trusted. He said that subject has no problem with alcohol, drugs, or marijuana, and has never been arrested. He knows that subject lives within his finances and is careful of his choices of close personal associates. He described subject as a physical fitness enthusiast who is totally emotionally stable. He commended subject's judgment and ability to honor an obligation to secrecy. He would vouch for subject's loyalty to the Government of the United States, and would recommend subject highly for a position of trust which could be critical to the national security.

(b)(6) Lecturer in Public Policy, Kennedy School of Government, Cambridge, MA

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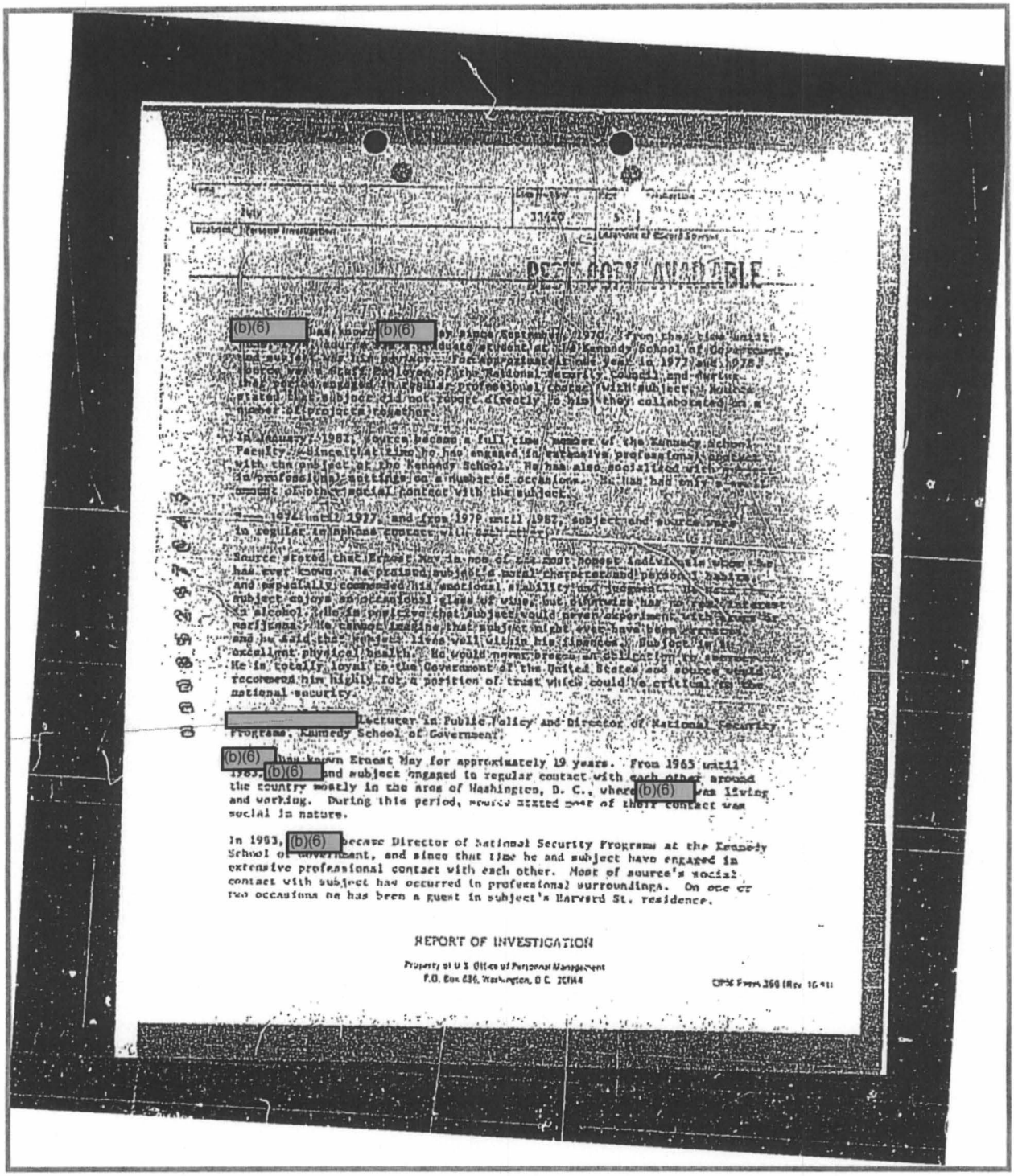

(b)(6)

(b)(6) has known (b)(6) since September 1970. From the time until (b)(6) became a graduate student at the Kennedy School of Government, and subject was his professor. For approximately three years (1977 and 1978) source was a staff employee of the National Security Council and during that period engaged in regular professional change with subject. Source stated that subject did not report directly to him; they collaborated on a number of projects together.

In January 1981, source became a full time member of the Kennedy School Faculty. Since that time he has engaged in extensive professional contact with the subject at the Kennedy School. He has also socialized with subject in professional settings on a number of occasions. He has had only a small amount of other social contact with the subject.

From 1974 until 1977, and from 1979 until 1987, subject and source were in regular telephone contact with each other.

Source stated that Ernest May is one of the most honest individuals he has ever known. He praised subject's moral character and personal habits and especially commended his emotional stability and judgment. He knows subject enjoys an occasional glass of wine, but otherwise has no real interest in alcohol. He is positive that subject would never experiment with drugs like marijuana. He cannot imagine that subject might ever have been involved in and he said the subject lives well within his finances. Subject is in excellent physical health. He would never engage in activities that would be illegal. He is totally loyal to the Government of the United States and source would recommend him highly for a position of trust which could be critical for the national security.

(b)(6) Lecturer in Public Policy and Director of National Security Programs, Kennedy School of Government.

(b)(6) has known Ernest May for approximately 19 years. From 1965 until 1983, (b)(6) and subject engaged in regular contact with each other around the country mostly in the area of Washington, D. C., where (b)(6) was living and working. During this period, source stated most of their contact was social in nature.

In 1983, (b)(6) became Director of National Security Programs at the Kennedy School of Government, and since that time he and subject have engaged in extensive professional contact with each other. Most of source's social contact with subject has occurred in professional surroundings. On one or two occasions he has been a guest in subject's Harvard St. residence.

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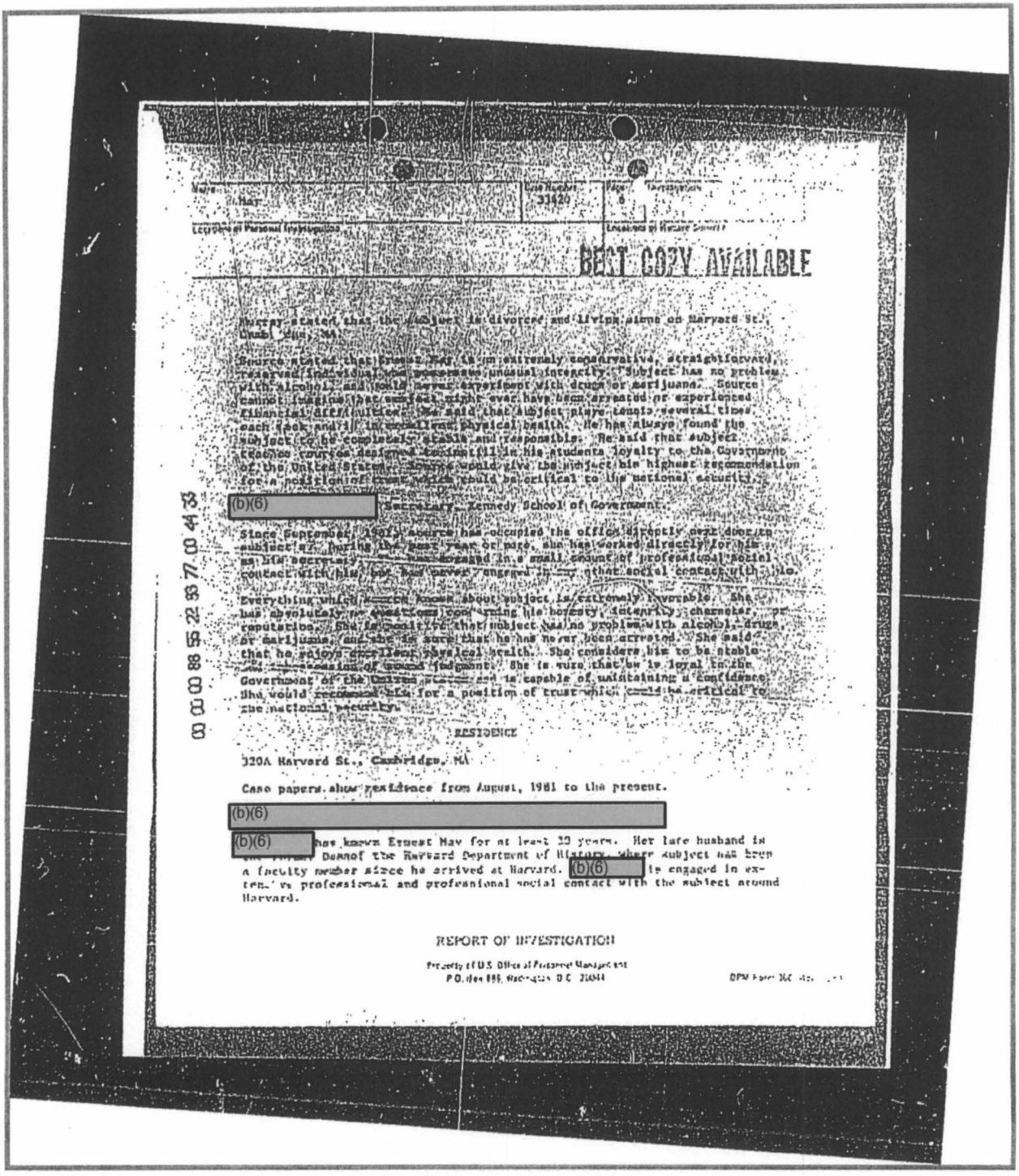

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Name: E. May | Case Number: 31420 | Page: 6

Subject of Personal Investigation | Investigation of Private Concern

Murray stated that the subject is divorced and living alone on Harvard St., Cambridge, MA.

Source stated that Ernest May is an extremely conservative, straightforward, reserved individual who possesses unusual integrity. Subject has no problem with alcohol, and would never experiment with drugs or marijuana. Source cannot imagine that subject might ever have been arrested or experienced financial difficulties. He said that subject plays tennis several times each week and is in excellent physical health. He has always found the subject to be completely stable and responsible. He said that subject [illegible] courses designed to instill in his students loyalty to the Government of the United States. Source would give the subject his highest recommendation for a position of trust, which could be critical to the national security.

(b)(6) Secretary, Kennedy School of Government.

Since September, 1973, source has occupied the office directly next door to subject's. During the past year or more, she has worked directly for him as his secretary. [illegible] engaged in a small amount of professional social contact with him, but has never engaged in [illegible] other social contact with him.

Everything which source knows about subject is extremely favorable. She has absolutely no questions concerning his honesty, integrity, character, or reputation. She is positive that subject has no problem with alcohol, drugs, or marijuana, and she is sure that he has never been arrested. She said that he enjoys excellent physical health. She considers him to be stable [illegible] sound judgment. She is sure that he is loyal to the Government of the United States and is capable of maintaining a confidence. She would recommend him for a position of trust which could be critical to the national security.

RESIDENCE

320A Harvard St., Cambridge, MA

Case papers show residence from August, 1981 to the present.

(b)(6)

(b)(6) has known Ernest May for at least 20 years. Her late husband [illegible] Dean of the Harvard Department of History, where subject was [illegible] a faculty member since he arrived at Harvard. (b)(6) is engaged in extensive professional and professional social contact with the subject around Harvard.

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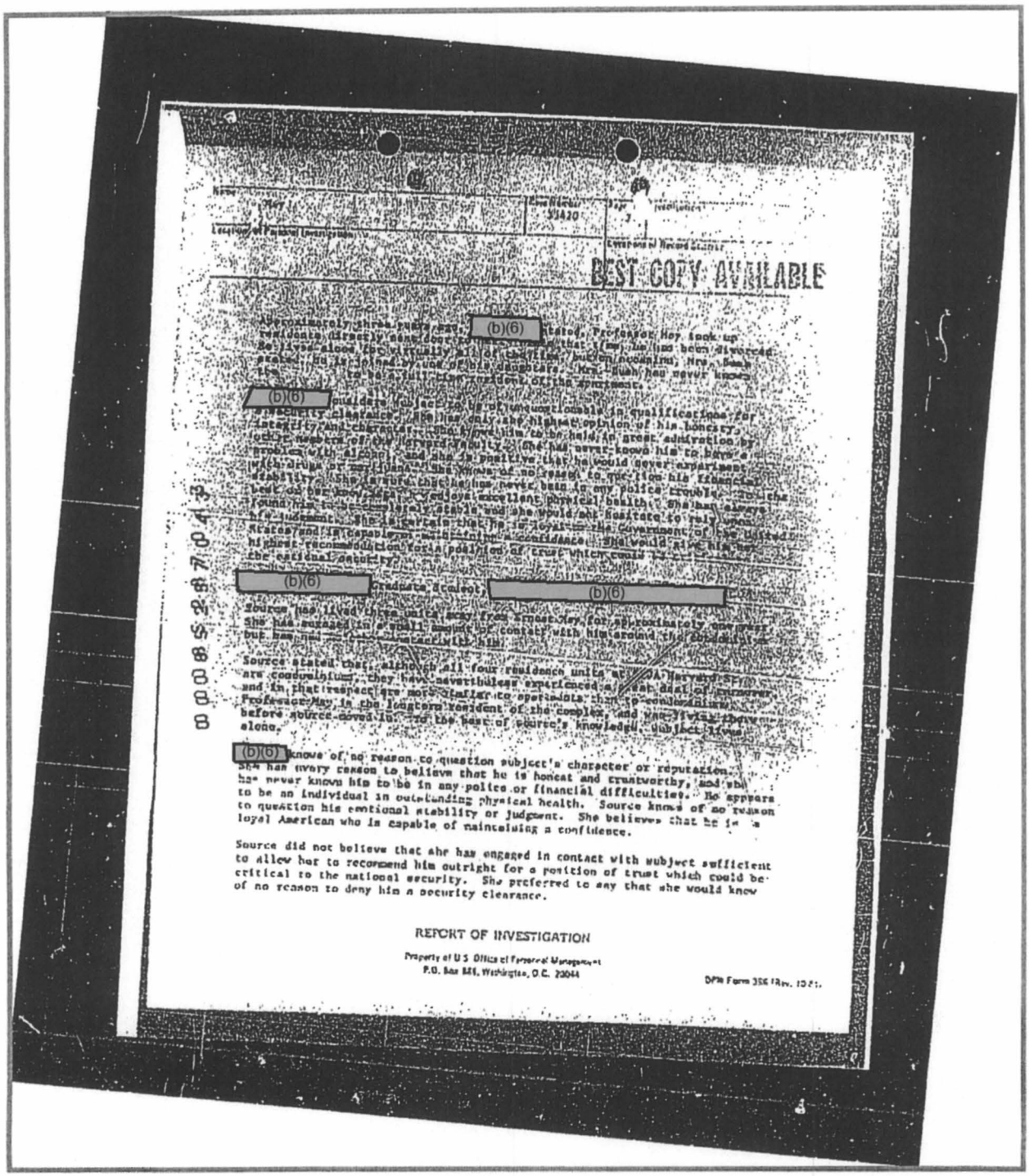

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approximately three years ago. (b)(6) stated, Professor May took up residence directly next door to [illegible] that (he) had been divorced. He lived alone, for virtually all of the time, [illegible] occupying Mrs. Bush's [illegible] stated. He is joined by one of his daughters, Mrs. Bush has never known [illegible] to be full time resident of the apartment.

(b)(6) considers subject to be unsurpassable in qualifications for security clearance. Relies only the highest opinion of his honesty, integrity and character. She knows him to be held in great admiration by [illegible] neighbors of unimpeachable reputation. She has never known him to have a problem with alcohol and she is positive that he would never experiment with drugs or marijuana. She knows of no reason to question his financial stability. She states that he has never been in any police trouble. Source has had [illegible] excellent physical health. She has observed [illegible] found him [illegible] stable and she would not hesitate to rely upon his judgment. She is certain that he is loyal to the Government of the United States and is capable of maintaining confidence. She would give him her highest recommendation for a position of trust which could be critical to the national security.

(b)(6) graduate student, (b)(6)

Source has lived three units away from subject for approximately one year. She has engaged in a small amount of contact with him around the neighborhood but has not [illegible] with him.

Source stated that, although all four residence units at [illegible] are condominiums, they have nevertheless experienced a great deal of turnover, and in that respect are more similar to apartments than to condominiums. Professor May is the longterm resident of the complex, and has lived there before source moved in. To the best of source's knowledge, subject lives alone.

(b)(6) knows of no reason to question subject's character or reputation. She has every reason to believe that he is honest and trustworthy, and she has never known him to be in any police or financial difficulties. He appears to be an individual in outstanding physical health. Source knows of no reason to question his emotional stability or judgment. She believes that he is a loyal American who is capable of maintaining a confidence.

Source did not believe that she has engaged in contact with subject sufficient to allow her to recommend him outright for a position of trust which could be critical to the national security. She preferred to say that she would know of no reason to deny him a security clearance.

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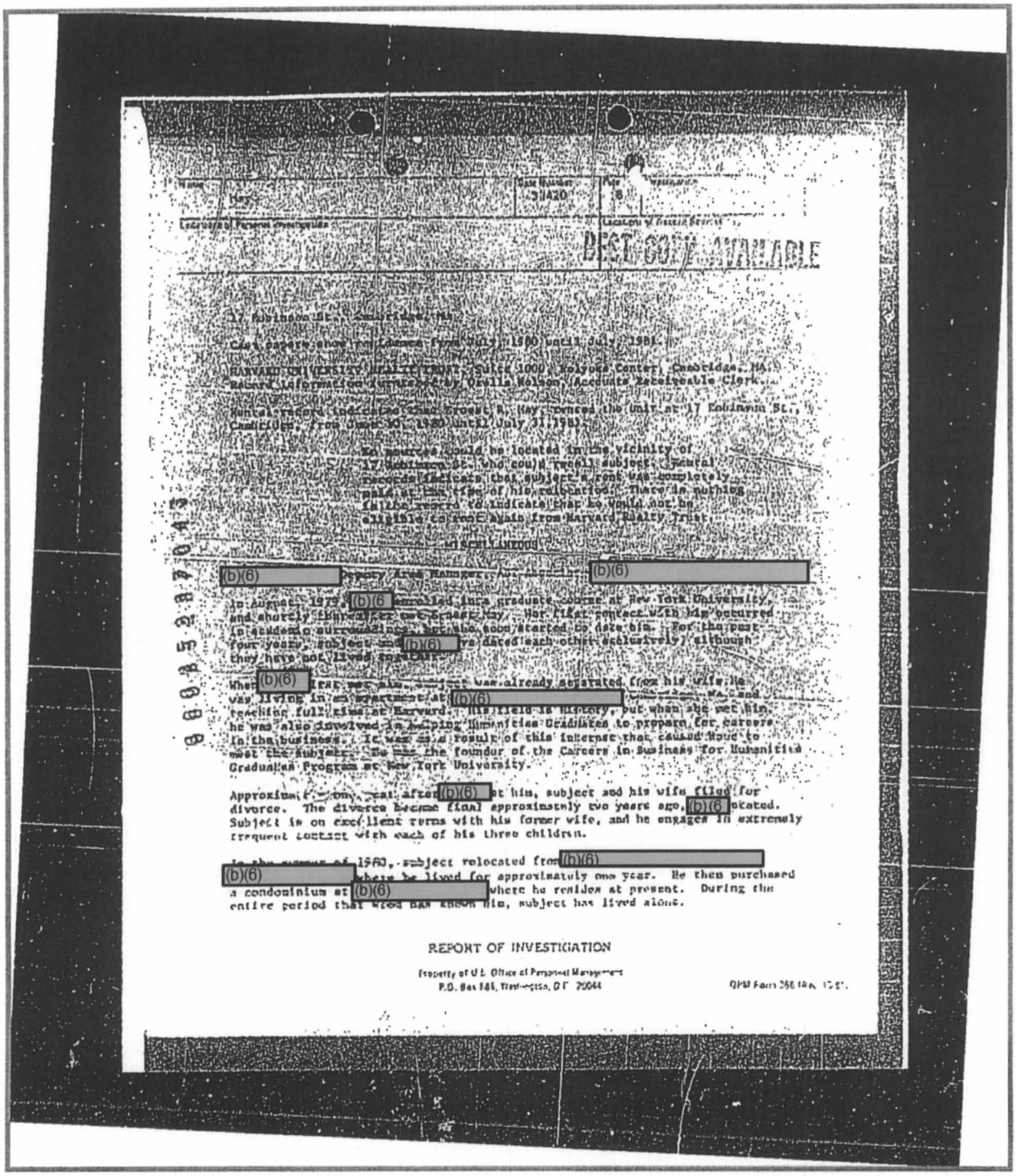

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17 Robinson St., Cambridge, MA.

C/A's search shows no leases from July 1980 until July 1981.

HARVARD UNIVERSITY REALTY TRUST, Suite 1000, Holyoke Center, Cambridge, MA.
Robert Lafferty, Manager, Orville Nelson, Accounts Receivable Clerk.

Nothing record indicating that Ernest R. May occupies the unit at 17 Robinson St., Cambridge, from about 30 June 1980 until July 31, 1981.

No sources could be located in the vicinity of 17 Robinson St. who could recall subject. Neighbors recorded the fact that subject's front was completely [illegible] the time of his relocation. There is nothing [illegible] record to indicate that he would not be eligible for [illegible] again from Harvard Realty Trust.

(b)(6) Deputy Area Manager, [illegible] (b)(6)

In August 1979, (b)(6) enrolled in a graduate course at New York University, and shortly thereafter met Ernest May. Her first contact with him occurred in [illegible] surrounding [illegible] soon started to date him. For the next four years, subject and (b)(6) dated each other exclusively although they were not living together.

When (b)(6) first met him, subject was already separated from his wife. He was living in an apartment at (b)(6) MA, and teaching full time at Harvard. His field is history, but when she met him, he was also involved in helping Humanities Graduates to prepare for careers in the business. It was as a result of this interest that caused Rouc to meet the subject. He was the founder of the Careers in Business for Humanities Graduates Program at New York University.

Approximately one year after (b)(6) met him, subject and his wife filed for divorce. The divorce became final approximately two years ago, (b)(6) located. Subject is on excellent terms with his former wife, and he engages in extremely frequent contact with each of his three children.

In the summer of 1980, subject relocated from (b)(6) (b)(6) where he lived for approximately one year. He then purchased a condominium at (b)(6) where he resides at present. During the entire period that Rouc has known him, subject has lived alone.

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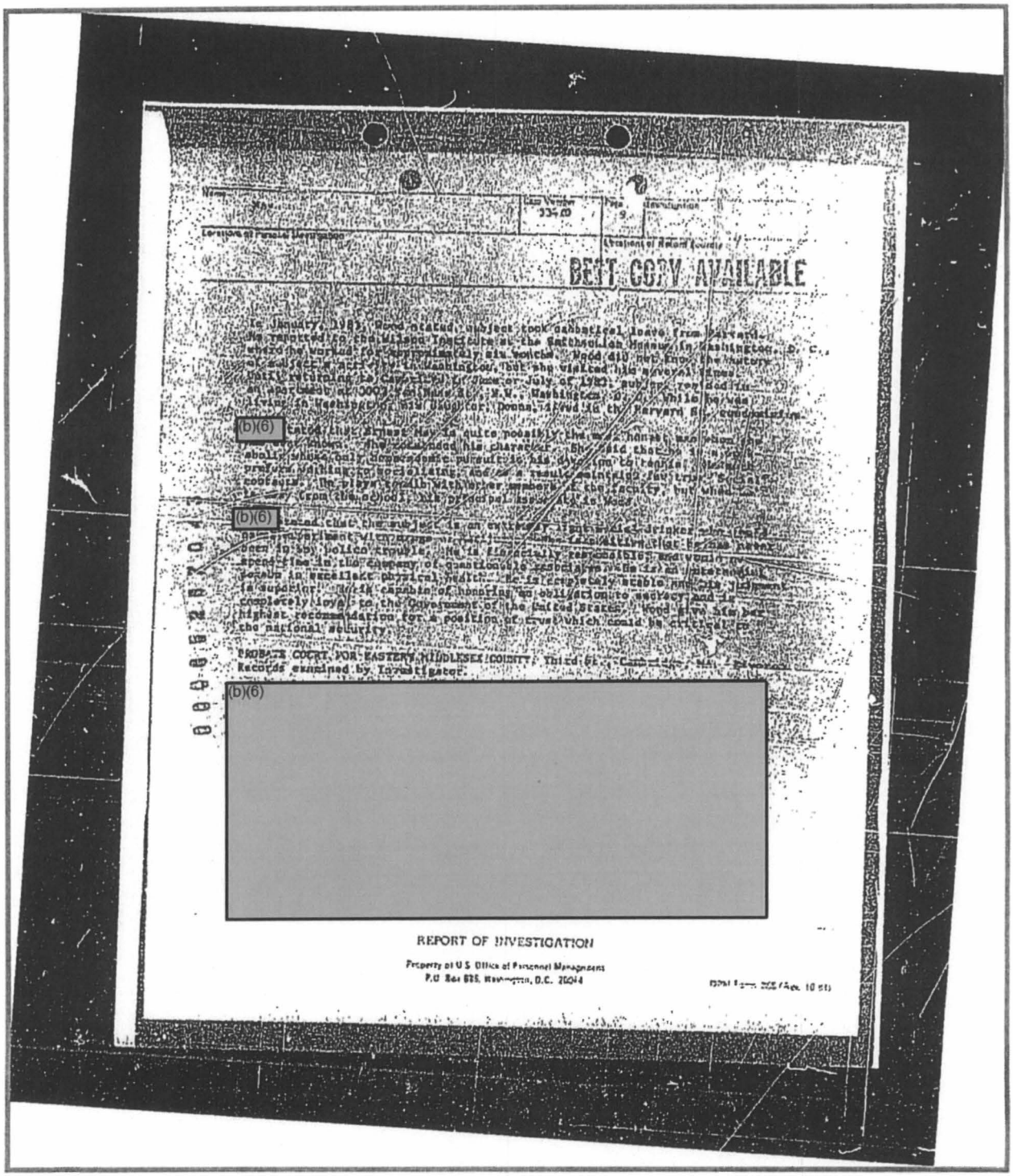
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(b)(6)
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(b)(6)
REPORT OF INVESTIGATION

Name [illegible] | Case Number 33620 | Page 10

Location of Personal Investigation | Location of Record Source

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1. Custody of the minor child, Donna Laten, November 12, 1962, to the mother, agreement by the father to assist in bringing up Donna until she has finished college.

2. Ratification of the distribution of the proceeds of sale for the residence at 68 [illegible] Hill Road, Belmont, $215,000 less costs to be distributed evenly between the plaintiff and defendant.

3. Subject to pay his wife $2000 each month for January, February and March of 1981, and $1600 each month thereafter until such time as plaintiff should remarry, in which case subject would be responsible to provide $300 each month child support until the emancipation or graduation of Donna. If Donna should be in college and if subject is paying for the college, then subject may reduce the amount of child support by $100 each month.

4. Payments to the wife shall be deductable to the husband in taxable to the wife.

5. Plaintiff shall get the $1000 per year incometax exemption for Donna.

6. Subject shall maintain health insurance on Donna until she achieves the age of 19.

7. Subject shall maintain a $160,000 life insurance policy on himself, two-thirds of the proceeds of which should go into a trust for the wife and Donna, no less than $50,000 of that trust to be used for Donna's college education. If either plaintiff should remarry or Donna should achieve the age of 22, then the trust would terminate and the proceeds be distributed to those parties mentioned in subject's will.

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LAW ENFORCEMENT

CAMBRIDGE POLICE DEPARTMENT, Cambridge, MA. Record information furnished by (b)(6) Records Clerk.

No record.

BELMONT POLICE DEPARTMENT, Pleasant St., Belmont, MA. Record information furnished by (b)(6) Desk Officer.

No record.

SUBJECT CONTACT

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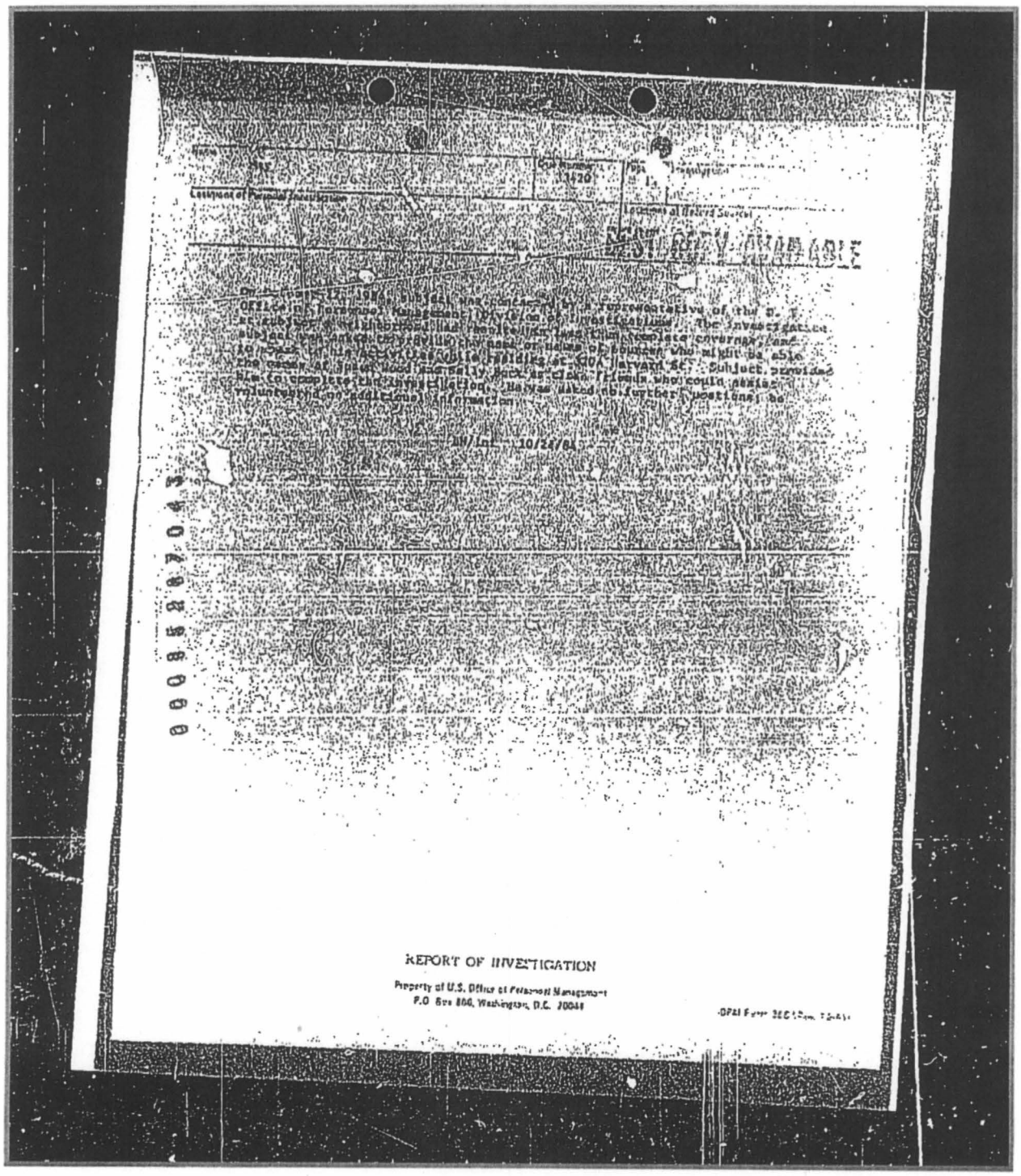
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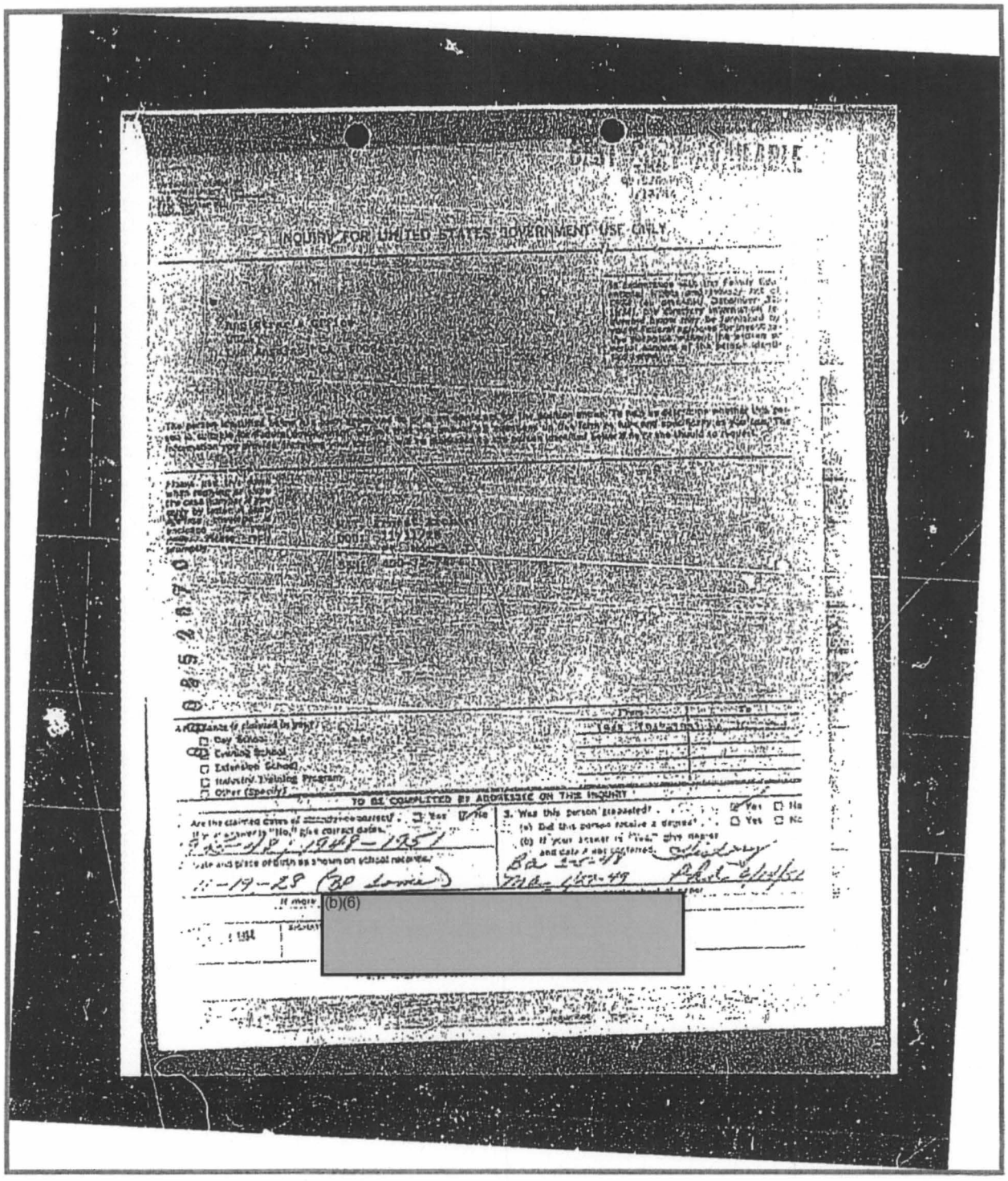
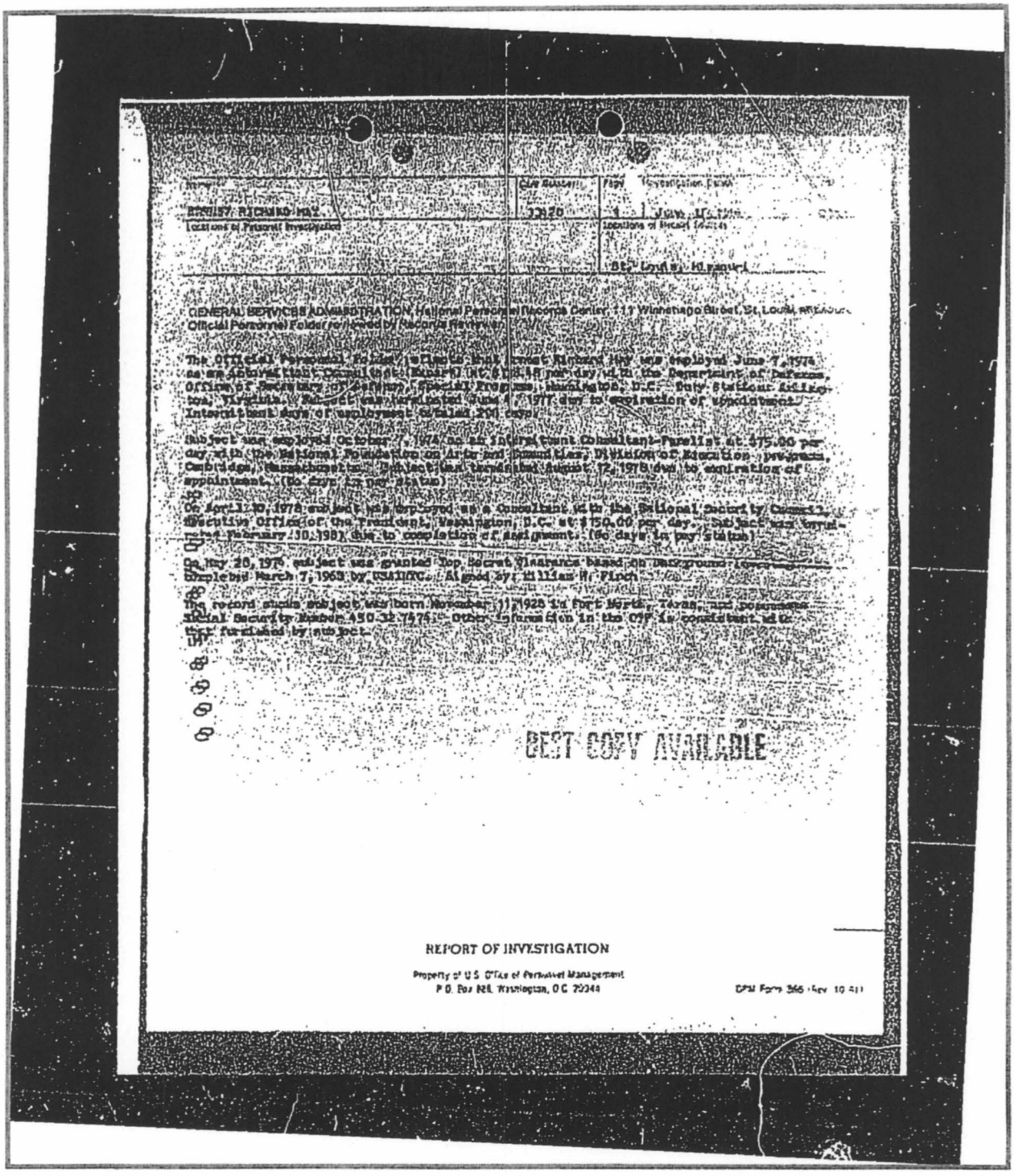

INQUIRY FOR UNITED STATES GOVERNMENT USE ONLY
TO BE COMPLETED BY ADDRESSEE ON THIS INQUIRY
(b)(6)



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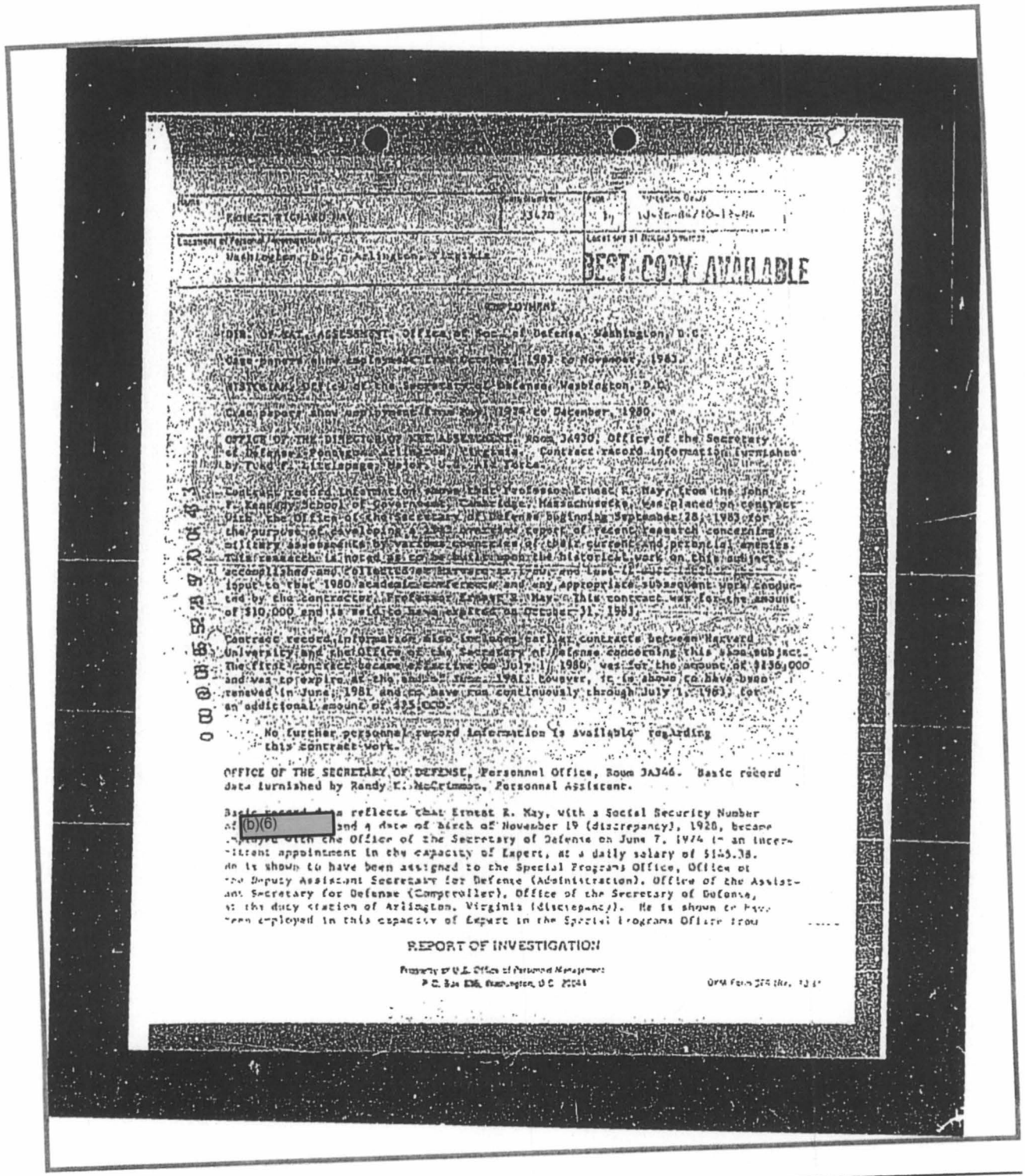

ERNEST RICHARD MAY

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EMPLOYMENT

OFFICE OF DAT. ASSESSMENT, Office of Sec. of Defense, Washington, D.C.

Case papers show employment from December, 1973 to November, 1983.

PENTAGON, Office of the Secretary of Defense, Washington, D.C.

Case papers show employment from May, 1974 to December, 1980.

OFFICE OF THE DIRECTOR OF DAT. ASSESSMENT, Room 3A930, Office of the Secretary of Defense, Pentagon, Arlington, Virginia. Contract record information furnished by Yuko F. Littlepage, Major, U.S. Air Force.

Contract record information shows that Professor Ernest R. May, from the John F. Kennedy School of Government, Cambridge, Massachusetts, was placed on contract with the Office of the Secretary of Defense beginning September 28, 1983 for the purpose of developing a 1980 historical report of recent research concerning military assessments by various countries of their current and potential enemies. This research is noted as to be built upon the historical work on this subject accomplished and collectively harvested from end-use of prior contracts input to that 1980 academic conference and any appropriate subsequent work conducted by the contractor, Professor Ernest R. May. This contract was for the amount of $10,000 and is said to have expired on October 31, 1983.

Contract record information also includes earlier contracts between Harvard University and the Office of the Secretary of Defense concerning this same subject. The first contract became effective on July 1, 1980, was for the amount of $136,000 and was to expire at the end of June, 1981. However, it is shown to have been renewed in June, 1981 and to have run continuously through July 1, 1983, for an additional amount of $35,000.

No further personnel record information is available regarding this contract work.

OFFICE OF THE SECRETARY OF DEFENSE, Personnel Office, Room 3A346. Basic record data furnished by Randy K. McCrimmon, Personnel Assistant.

Basic record data reflects that Ernest R. May, with a Social Security Number of (b)(6) and a date of birth of November 19 (discrepancy), 1928, became employed with the Office of the Secretary of Defense on June 7, 1974 in an intermittent appointment in the capacity of Expert, at a daily salary of $145.38. He is shown to have been assigned to the Special Programs Office, Office of the Deputy Assistant Secretary for Defense (Administration), Office of the Assistant Secretary for Defense (Comptroller), Office of the Secretary of Defense, at the duty station of Arlington, Virginia (discrepancy). He is shown to have been employed in this capacity of Expert in the Special Programs Office from

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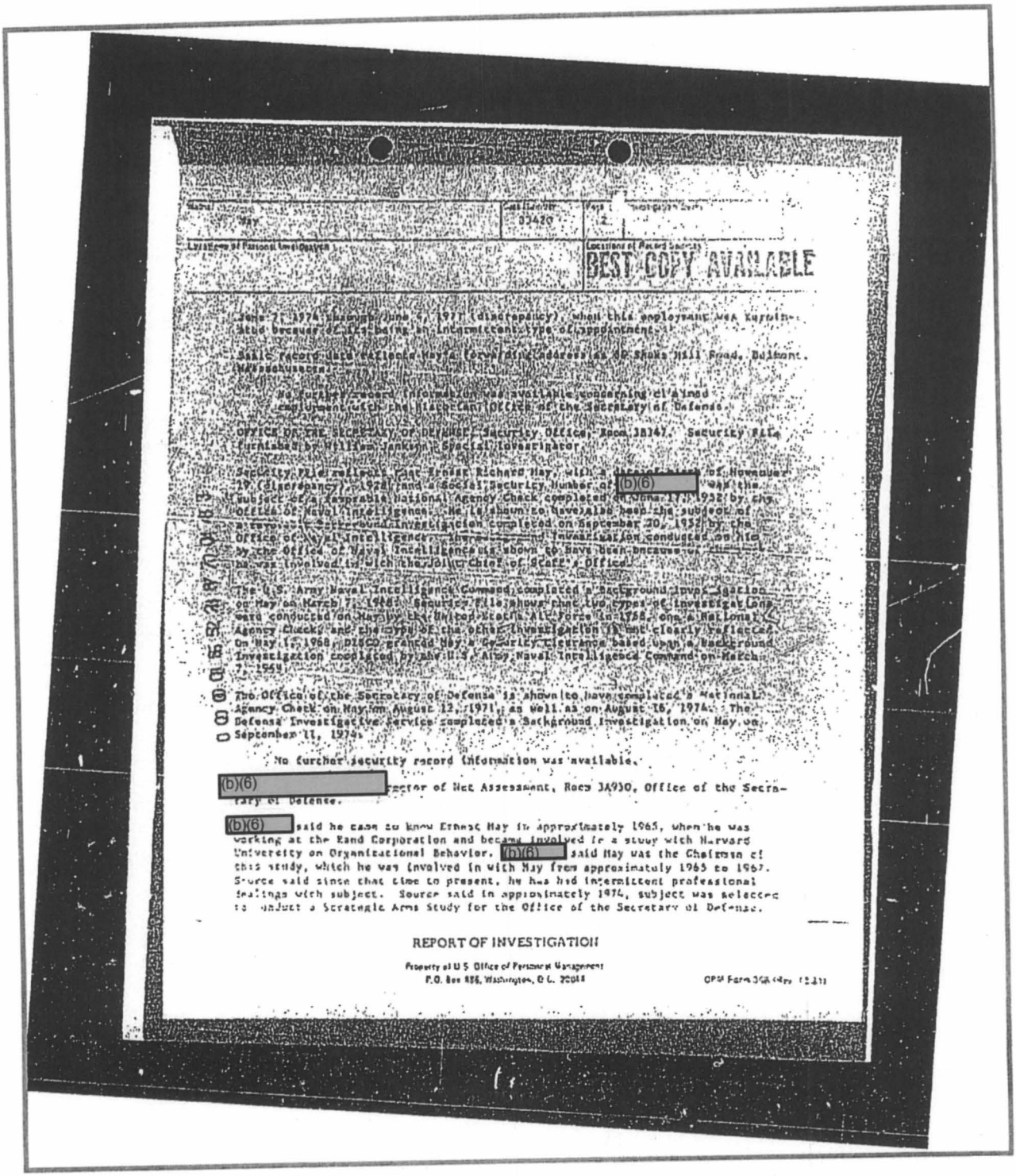

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June 7, 1974 (knowledge/June 6, 1971 (discrepancy), when this employment was terminated because of its having an intermittent type of appointment.

Basic record data reflects Mayer's forwarding address as 80 Shaks Hill Road, Belmont, Massachusetts.

No security record information was available concerning clearance or employment with the Secretary of Defense.

OFFICE OF THE SECRETARY OF DEFENSE, Security Office, Room 3B147, Security File furnished by William Jenkins, Special Investigator

Security file reflects that Ernest Richard May, with a [redacted] of November 19 (discrepancy), 1928 and a Social Security Number of [redacted] was the subject of a favorable National Agency Check completed on June 17, 1952 by the Office of Naval Intelligence. He is shown to have also been the subject of a favorable Letterhead Investigation completed on September 20, 1952 by the Office of Naval Intelligence. There was an investigation conducted on him by the Office of Naval Intelligence as shown to have been because [redacted] he was involved in with the Joint Chiefs of Staff's Office.

The U.S. Army Naval Intelligence Command completed a Background Investigation on May on March 7, 1968. Security file shows that two types of investigations were conducted on May by the United States Air Force in 1958, one a National Agency Check and the type of the other investigation is not clearly reflected. On May 1, 1968, DISCO granted May a Security Clearance based upon a Background Investigation completed by the U.S. Army Naval Intelligence Command on March 7, 1968.

The Office of the Secretary of Defense is shown to have completed a National Agency Check on May on August 12, 1971, as well as on August 16, 1974. The Defense Investigative Service completed a Background Investigation on May on September 11, 1974.

No further security record information was available.

(b)(6) [redacted] Director of Net Assessment, Room 3A930, Office of the Secretary of Defense.

(b)(6) [redacted] said he came to know Ernest May in approximately 1965, when he was working at the Rand Corporation and became involved in a study with Harvard University on Organizational Behavior. (b)(6) [redacted] said May was the Chairman of this study, which he was involved in with May from approximately 1965 to 1967. Source said since that time to present, he has had intermittent professional dealings with subject. Source said in approximately 1974, subject was selected to conduct a Strategic Arms Study for the Office of the Secretary of Defense.

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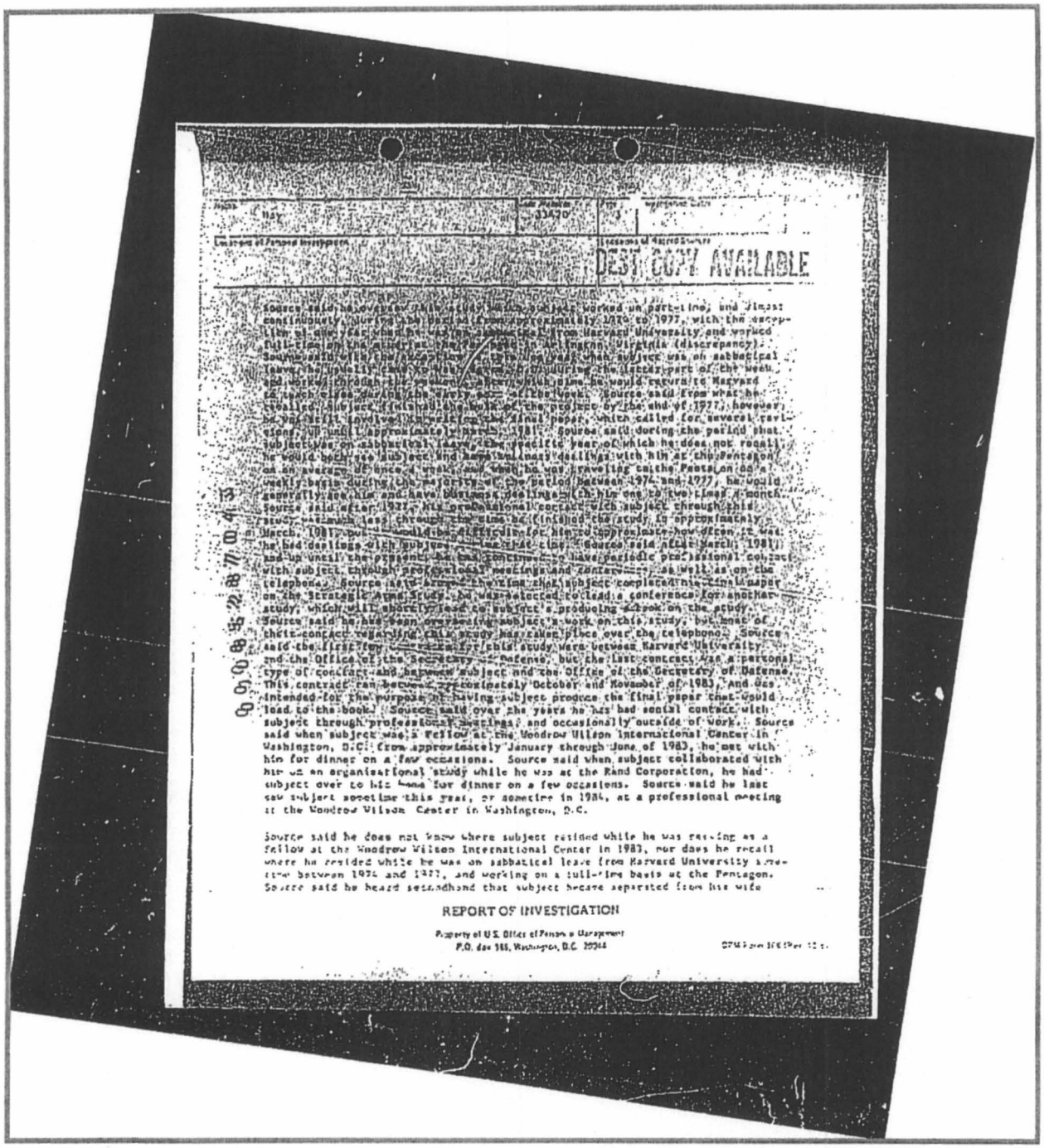

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Source said he/she knew subject while subject worked on part-time, and almost continuously, during the period from approximately 1970 to 1977, with the exception of when he/she was on sabbatical leave from Harvard University and worked full-time on the project at the Pentagon in Arlington, Virginia (discrepancy). Source said that during the years when subject was on sabbatical leave, he usually came to Washington during the latter part of the week and worked through the weekend. After which time he would return to Harvard to teach either Monday the early part of the week. Source said from what he recalled, subject finished the book of the project by the end of 1977, however he/she felt involved in revising the final paper, which called for several revisions, until approximately 1981. Source said during the period that subject was on sabbatical leave, the specific year of which he does not recall, he would both see subject and have business dealings with him at the Pentagon on an average of once a week, and when he was traveling to the Pentagon on a weekly basis during the majority of the period between 1974 and 1977, he would generally see him and have business dealings with him one to two times a month. Source said after 1977, his professional contact with subject through this study became much less frequent. The time at which the study is approximately March, 1981, but would be difficult for him to approximate how often it was he had dealings with subject at that time. Source said after March, 1981, and up until the present he has continued to have periodic professional contact with subject, though professional meetings and conferences as well as on the telephone. Source said after the time the subject completed the final paper on the Strategic Arms Study, he was selected to lead a conference for another study, which will shortly lead to subject's producing a book on the study. Source said he has been overseeing subject's work on this study, but most of their contact regarding this study has taken place over the telephone. Source said the first two contacts for this study were between Harvard University and the Office of the Secretary of Defense, but the last contact was a personal type of contact and between subject and the Office of the Secretary of Defense. This contract ran between approximately October and November of 1983, and was intended for the purpose of having subject produce the final paper that would lead to the book. Source said over the years he has had social contact with subject through professional meetings, and occasionally outside of work. Source said when subject was a Fellow at the Woodrow Wilson International Center in Washington, D.C. from approximately January through June of 1983, he met with him for dinner on a few occasions. Source said when subject collaborated with him on an organizational study while he was at the Rand Corporation, he had subject over to his home for dinner on a few occasions. Source said he last saw subject sometime this year, or sometime in 1984, at a professional meeting at the Woodrow Wilson Center in Washington, D.C.

Source said he does not know where subject resided while he was serving as a fellow at the Woodrow Wilson International Center in 1983, nor does he recall where he resided while he was on sabbatical leave from Harvard University sometime between 1974 and 1977, and working on a full-time basis at the Pentagon. Source said he heard secondhand that subject became separated from his wife

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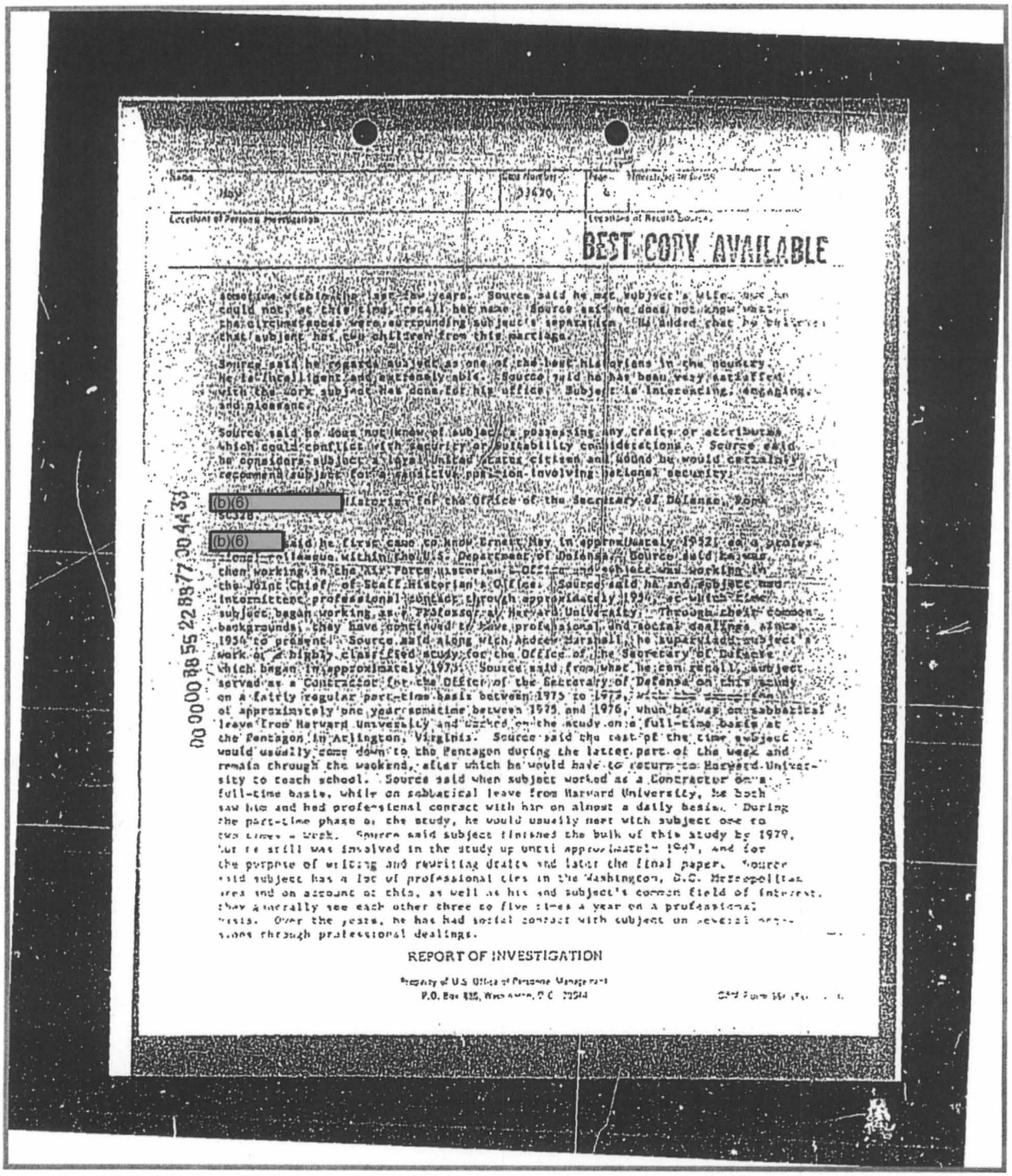

Name: MAY | Case Number: 53420 | Page: 4

Location of Person Investigated | Location of Record Source

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00 00 00 88 55 22 89 77 30 44 33

some time within the last few years. Source said he met subject's wife, but he could not, at this time, recall her name. Source said he does not know what the circumstances were surrounding subject's separation. He added that he believes that subject has children from this marriage.

Source said he regards subject as one of the best historians in the country. He is intelligent and extremely able. Source said he has been very satisfied with the work subject has done for his office. Subject is interesting, engaging, and pleasant.

Source said he does not know of subject's possessing any traits or attributes which could conflict with security or suitability considerations. Source said he considers subject a loyal United States citizen and would be willing to recommend subject for a sensitive position involving national security.

(b)(6) historian for the Office of the Secretary of Defense, Room [redacted]

(b)(6) said he first came to know Ernest May in approximately 1952, as a professional colleague within the U.S. Department of Defense. Source said he was then working in the Air Force Historian's Office and subject was working in the Joint Chiefs of Staff Historian's Office. Source said he and subject had intermittent professional contact through approximately 1956, at which time subject began working as a Professor at Harvard University. Through their common backgrounds, they have continued to have professional and social dealings since 1956 to present. Source said along with Andrew Marshall, he supervised subject's work on a highly classified study for the Office of the Secretary of Defense which began in approximately 1973. Source said from what he can recall, subject served as a Contractor for the Office of the Secretary of Defense on this study on a fairly regular part-time basis between 1975 to 1979, with the exception of approximately one year sometime between 1975 and 1976, when he was on sabbatical leave from Harvard University and worked on the study on a full-time basis at the Pentagon in Arlington, Virginia. Source said the rest of the time subject would usually come down to the Pentagon during the latter part of the week and remain through the weekend, after which he would have to return to Harvard University to teach school. Source said when subject worked as a Contractor on a full-time basis, while on sabbatical leave from Harvard University, he both saw him and had professional contact with him on almost a daily basis. During the part-time phase of the study, he would usually meet with subject one to two times a week. Source said subject finished the bulk of this study by 1979, but he still was involved in the study up until approximately 1981, and for the purpose of writing and rewriting drafts and later the final paper. Source said subject has a lot of professional ties in the Washington, D.C. Metropolitan area and on account of this, as well as his and subject's common field of interest, they generally see each other three to five times a year on a professional basis. Over the years, he has had social contact with subject on several occasions through professional dealings.

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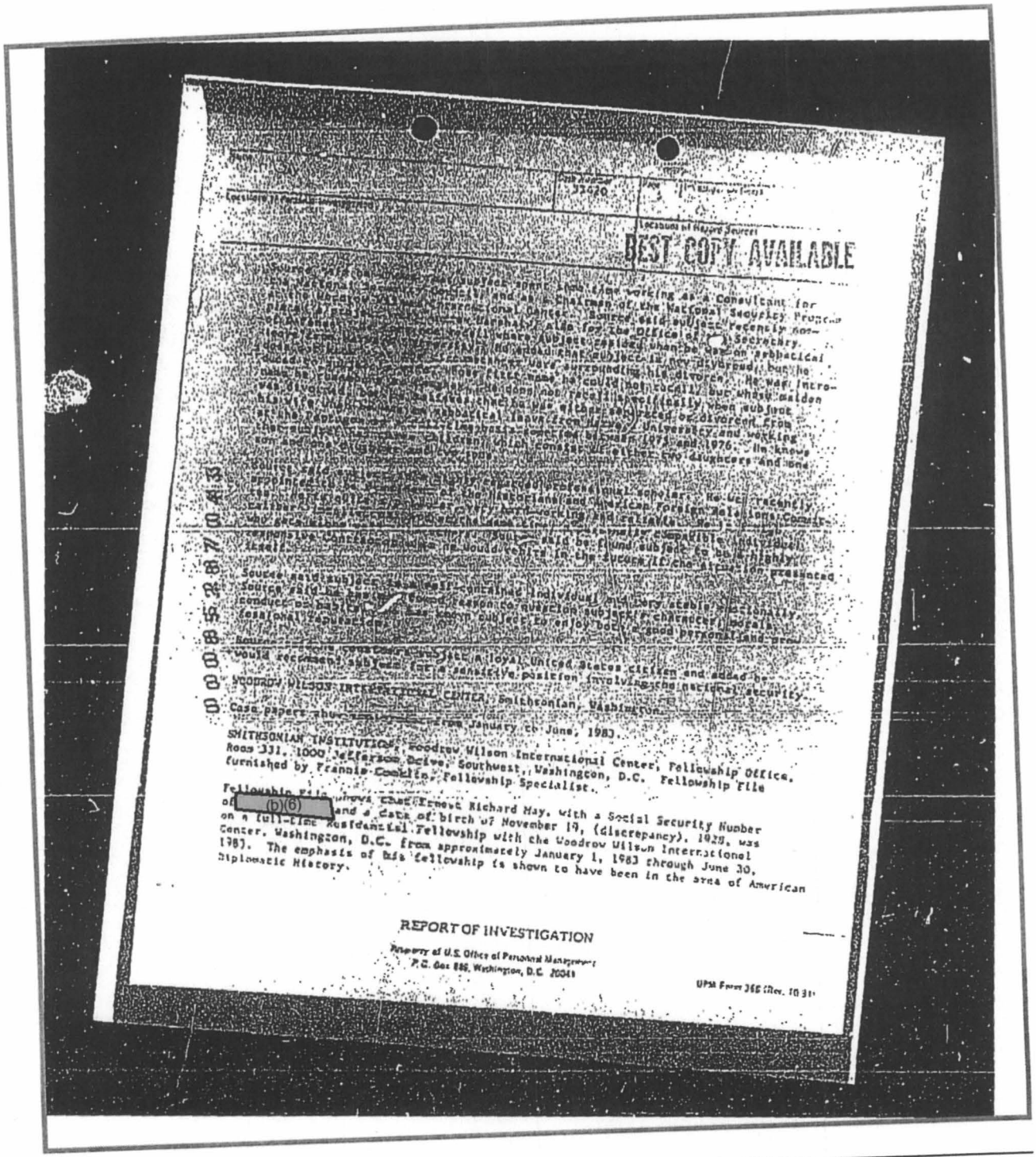

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working as a Consultant for
Chairman of the National Security Council
Source said subject recently
for the Office of the Secretary.
subject's wife divorced, but he
He was intro-
duced to her by her sister, locally but whose maiden
name is unknown. Subject married her legally when subject
was divorced from
Subject's wife is employed by the University and working
on her doctorate degree in history. They have two children
and
professional sphere. He is recently
working and writing
said be found subject to be highly
Source said subject is a loyal United States citizen and added he
would recommend subject for a sensitive position involving the national security.

WOODROW WILSON INTERNATIONAL CENTER, Smithsonian, Washington

Case papers show from January to June, 1983.

SMITHSONIAN INSTITUTION, Woodrow Wilson International Center, Fellowship Office, Room 331, 1000 Jefferson Drive, Southwest, Washington, D.C. Fellowship file furnished by Frannie Cocklin, Fellowship Specialist.

Fellowship file shows that Ernest Richard May, with a Social Security Number of (b)(6) and a date of birth of November 19, (discrepancy), 1928, was on a full-time Residential Fellowship with the Woodrow Wilson International Center, Washington, D.C. from approximately January 1, 1983 through June 30, 1983. The emphasis of his fellowship is shown to have been in the area of American Diplomatic History.

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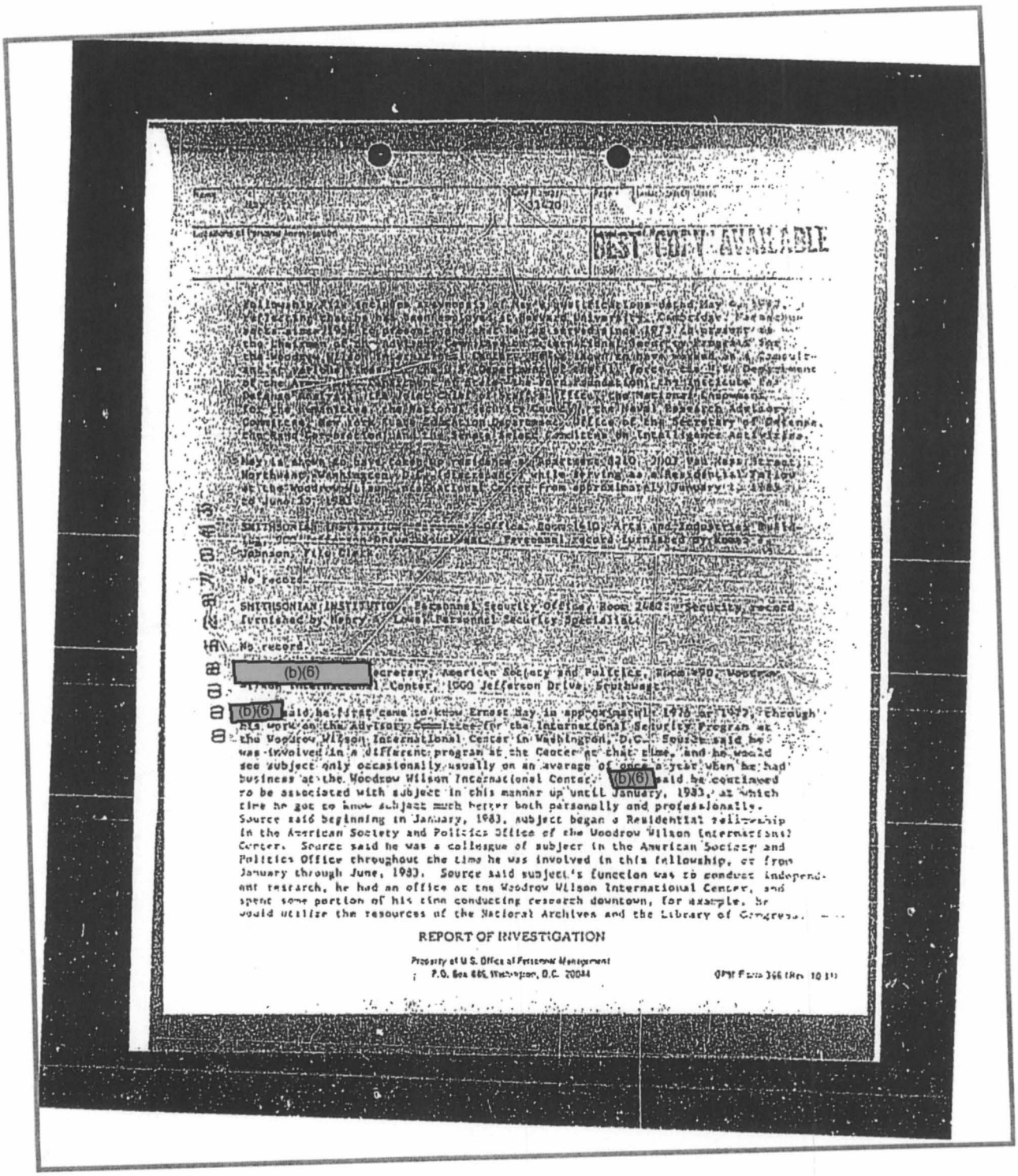
OPM Form 366 (Rev. 10-81



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Fellowship. [illegible] synopsis of May's publications dated May 6, 1983, reflecting that he has been employed at Harvard University, Cambridge, Massachusetts since 1955 [illegible] the [illegible] during 1973. He presently is the Chairman of the Advisory Committee [illegible] Security Program for the Woodrow Wilson International Center [illegible] known to have worked as a consultant [illegible] the Department of the Air Force, the U.S. Department of the Army, the Department of Defense, the Rand Corporation, the Ford Foundation, the Institute for Defense Analyses, the Office of Technology Assessment, the National Endowment for the Humanities, the History Panel (Consultant) for the Naval Research Advisory Committee, New York State Education Department, Office of the Secretary of Defense, Checkmate Corporation, and the Senate Select Committee on Intelligence Activities.

May is known to have had residence addresses at 2107 Van Ness Street, Northwest, Washington, D.C. (Checkmate), while serving as a Residential Fellow at the Woodrow Wilson International Center from approximately January 1, 1983 to June 15, 1983.

SMITHSONIAN Institution [illegible] Office, Room 1010, Arts and Industries Building, [illegible] Independence Avenue, S.W., Washington, D.C. Personnel records furnished by [illegible]

Johnson, Tyler Clark

No Record.

SMITHSONIAN INSTITUTION, Personnel Security Office, Room 2402: Security record furnished by Nancy [illegible], Personnel Security Specialist.

No record.

(b)(6) Secretary, American Society and Politics, Room 720, Woodrow Wilson International Center, 1000 Jefferson Drive, Southwest.

(b)(6) said he first came to know Ernest May in approximately 1970 or 1971, through his work on the Advisory Committee for the International Security Program at the Woodrow Wilson International Center in Washington, D.C. Source said he was involved in a different program at the Center at that time, and he would see subject only occasionally, usually on an average of once a year when he had business at the Woodrow Wilson International Center. (b)(6) said he continued to be associated with subject in this manner up until January, 1983, at which time he got to know subject much better both personally and professionally. Source said beginning in January, 1983, subject began a Residential Fellowship in the American Society and Politics Office of the Woodrow Wilson International Center. Source said he was a colleague of subject in the American Society and Politics Office throughout the time he was involved in this fellowship, or from January through June, 1983. Source said subject's function was to conduct independent research, he had an office at the Woodrow Wilson International Center, and spent some portion of his time conducting research downtown, for example, he would utilize the resources of the National Archives and the Library of Congress.

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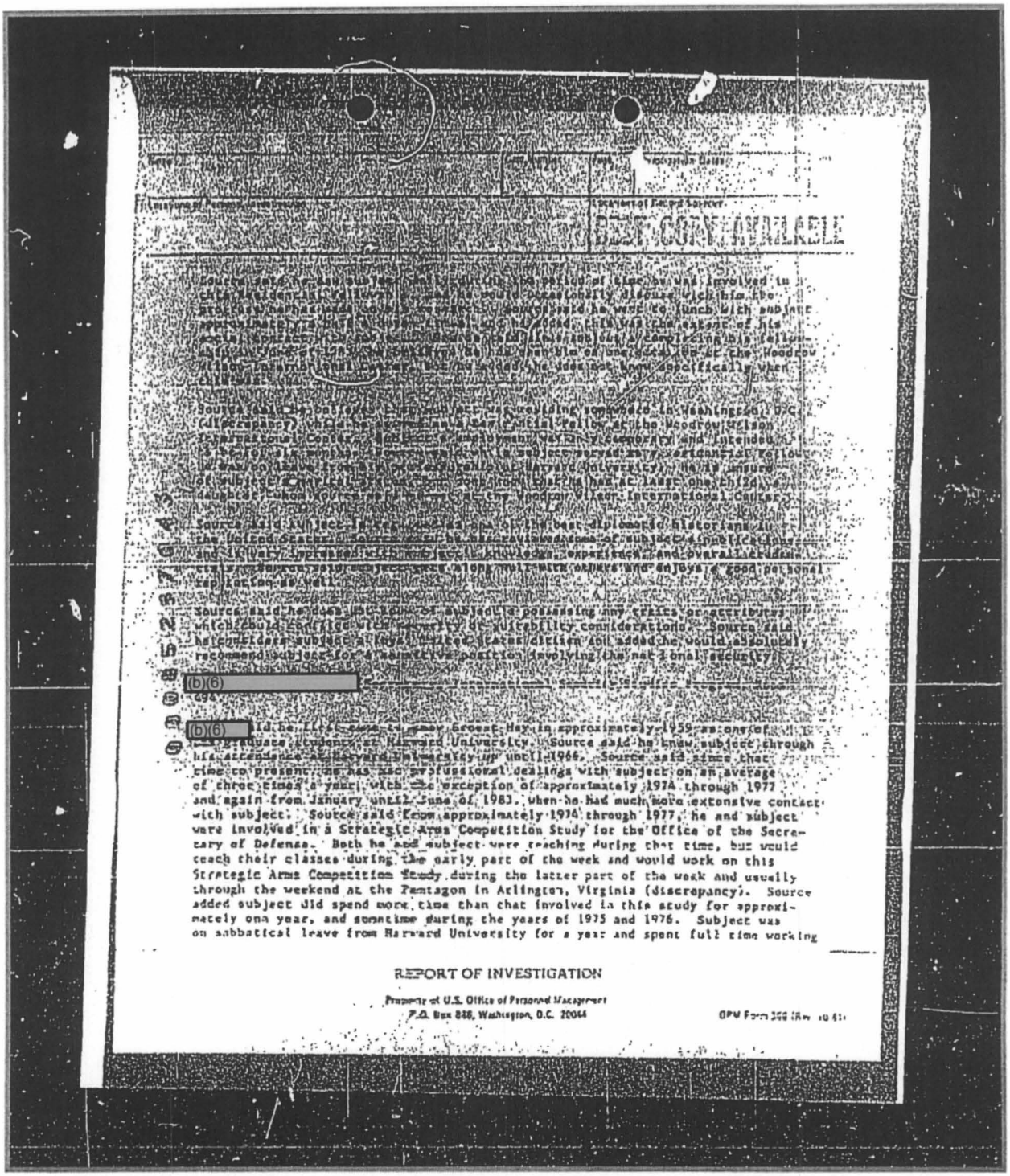

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Source said he does not know of subject's possessing any traits or attributes which could conflict with currently adjudicative suitability considerations. Source said he considers subject a loyal American citizen and added he would absolutely recommend subject for a sensitive position involving the national security.

(b)(6)

(b)(6) said he first came to know Ernest May in approximately 1959 as one of his graduate students at Harvard University. Source said he knew subject through his attendance at Harvard University up until 1966. Source said since that time to present, he has had professional dealings with subject on an average of three times a year, with the exception of approximately 1974 through 1977 and again from January until June of 1983, when he had much more extensive contact with subject. Source said from approximately 1974 through 1977, he and subject were involved in a Strategic Arms Competition Study for the Office of the Secretary of Defense. Both he and subject were teaching during that time, but would teach their classes during the early part of the week and would work on this Strategic Arms Competition Study during the latter part of the week and usually through the weekend at the Pentagon in Arlington, Virginia (discrepancy). Source added subject did spend more time than that involved in this study for approximately one year, and sometime during the years of 1975 and 1976. Subject was on sabbatical leave from Harvard University for a year and spent full time working

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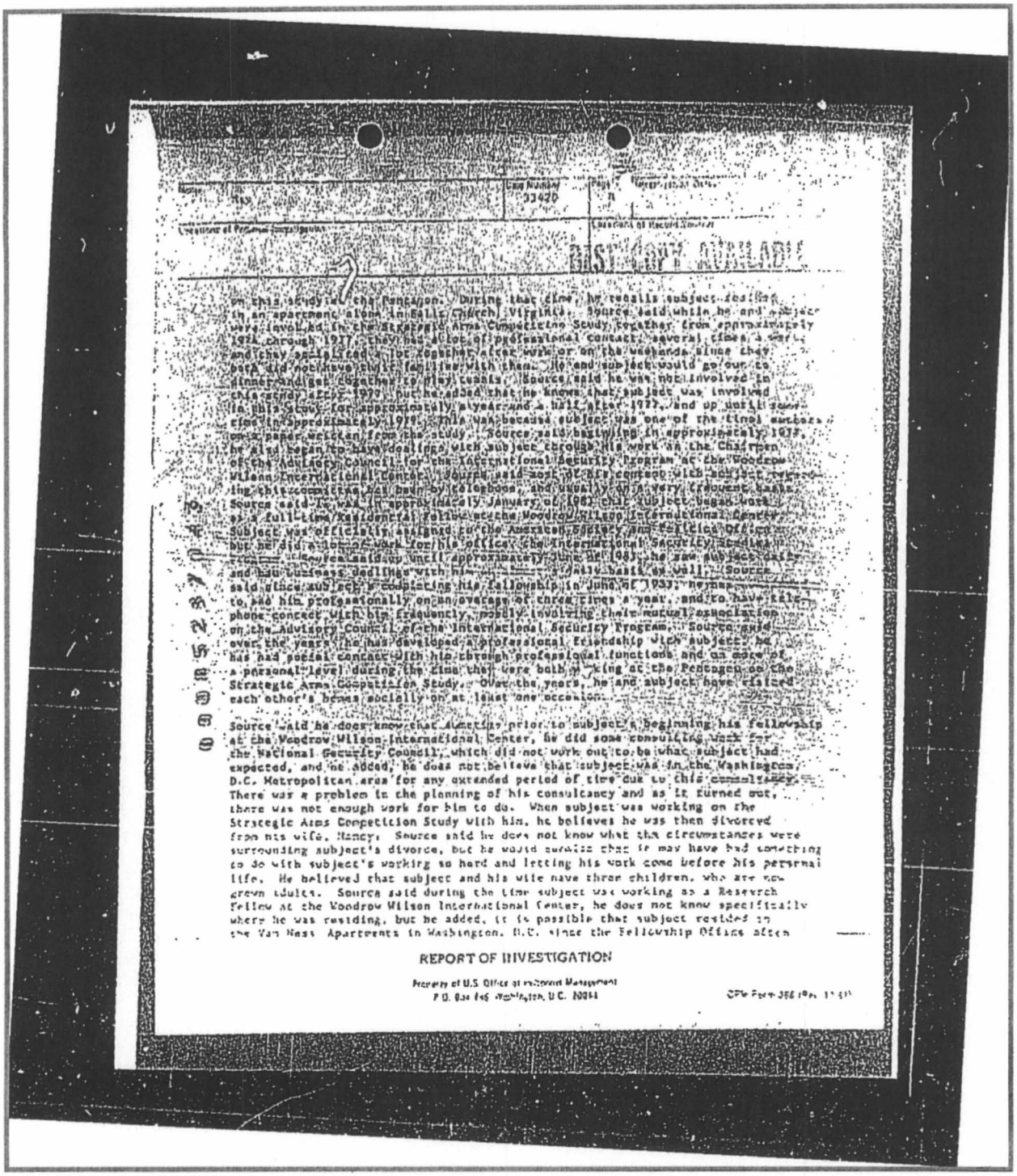

on this study at the Pentagon. During that time, he recalls subject residing in an apartment alone in Falls Church, Virginia. Source said while he and subject were involved in the Strategic Arms Competition Study together from approximately 1974 through 1977, they had a lot of professional contact, several times a week, and they socialized, for together after work or on the weekends since they both did not have children with them. He and subject would go out to dinner and just chat generally, play tennis. Source said he was not involved in this study after 1977, but he added that he knows that subject was involved in this study for approximately a year and a half after 1977, and up until some time in approximately 1979. This was because subject was one of the final authors on a paper written from the study. Source said beginning in approximately 1979, he also began to have dealings with subject through his work at the Chairmen of the Advisory Council for the International Security Program at the Woodrow Wilson International Center. Source said most of his contact with subject regarding this committee has been by telephone, and usually on a very frequent basis. Source said he was in approximately January of 1982 that subject began work as a full-time/Residential Fellow at the Woodrow Wilson International Center. Subject was officially assigned to the American Agency and Policies Office, but he did a lot of work for his office, the International Security Studies Program. Source said that until approximately June of 1983, he saw subject fairly frequently and had business dealings with him on a daily basis as well. Source said after subject completed his fellowship in June of 1983, he began to see him professionally on an average of three times a year, and to have telephone contact with him frequently, mostly involving their mutual association on the Advisory Council of the International Security Program. Source said over the years he has developed a professional friendship with subject, he has had social contact with him through professional functions and on more of a personal level during the time that were both working at the Pentagon on the Strategic Arms Competition Study. Over the years, he and subject have visited each other's homes socially on at least one occasion.

Source said he does know that sometime prior to subject's beginning his fellowship at the Woodrow Wilson International Center, he did some consulting work for the National Security Council, which did not work out to be what subject had expected, and he added, he does not believe that subject was in the Washington, D.C. Metropolitan area for any extended period of time due to this consultancy. There was a problem in the planning of his consultancy and as it turned out, there was not enough work for him to do. When subject was working on the Strategic Arms Competition Study with him, he believes he was then divorced from his wife, Nancy. Source said he does not know what the circumstances were surrounding subject's divorce, but he would surmise that it may have had something to do with subject's working so hard and letting his work come before his personal life. He believed that subject and his wife have three children, who are now grown adults. Source said during the time subject was working as a Research Fellow at the Woodrow Wilson International Center, he does not know specifically where he was residing, but he added, it is possible that subject resided in the Van Ness Apartments in Washington, D.C. since the Fellowship Office often

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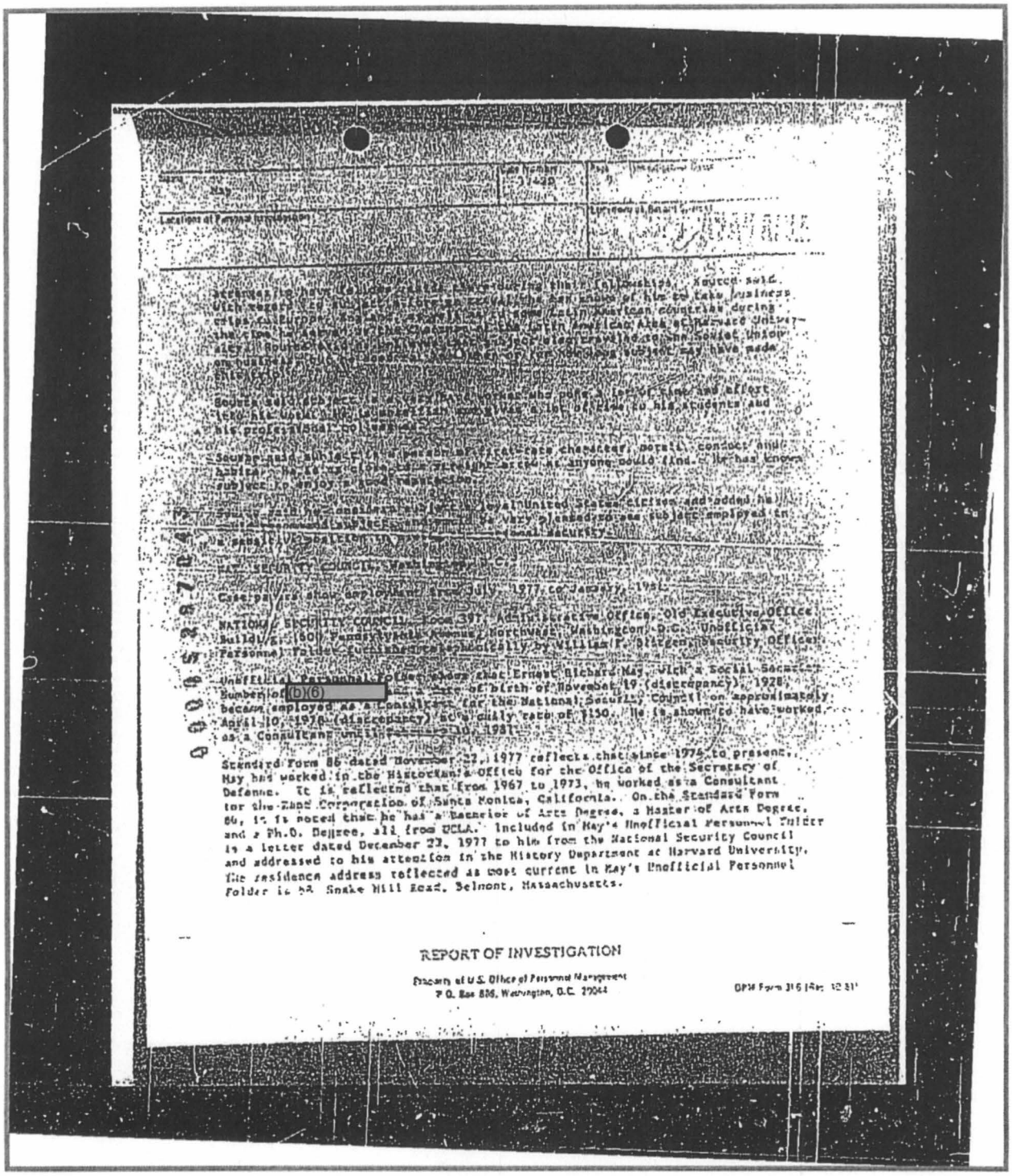
OPM Form 386 (Rev. 11-81)



Case number: 31428

Name: May

Location of Personnel Investigation:

Purpose:

Source said [illegible] Source said he knows of his to take business with regard to [illegible] American countries during the time he was [illegible] Source said he knows of the subject's travel to the Soviet Union [illegible] Source said the subject may have made [illegible]

Source said subject is [illegible] who puts a lot of time and effort into his work. Source said subject was always a lot of time to his students and his professional [illegible]

Source said subject is a personable, first-rate character, moral, conduct and habits. He is well [illegible] as anyone could find. He has known subject to enjoy a good reputation.

[illegible] naturalized United States citizen and added he [illegible] subject employed in [illegible] security.

NAT. SECURITY COUNCIL, Washington, D.C.

Case papers show employment from July 1977 to January, 1981.

NATIONAL SECURITY COUNCIL, Room 397, Administrative Office, Old Executive Office Building, 1650 Pennsylvania Avenue, Northwest, Washington, D.C. Unofficial Personnel Folder furnished voluntarily by William F. Sittgen, Security Officer.

Unofficial Personnel Folder shows that Ernest Richard May, with a Social Security Number of [redacted], date of birth of November 19 (discrepancy), 1928, became employed as a Consultant for the National Security Council on approximately April 10, 1978 (discrepancy) at a daily rate of $150. He is shown to have worked as a Consultant until February 10, 1981.

Standard Form 86 dated November 22, 1977 reflects that since 1976 to present, May had worked in the Historian's Office for the Office of the Secretary of Defense. It is reflected that from 1967 to 1973, he worked as a Consultant for the Rand Corporation of Santa Monica, California. On the Standard Form 86, it is noted that he has a Bachelor of Arts Degree, a Master of Arts Degree, and a Ph.D. Degree, all from UCLA. Included in May's Unofficial Personnel Folder is a letter dated December 23, 1977 to him from the National Security Council and addressed to his attention in the History Department at Harvard University. The residence address reflected as most current in May's Unofficial Personnel Folder is 58 Snake Hill Road, Belmont, Massachusetts.

REPORT OF INVESTIGATION

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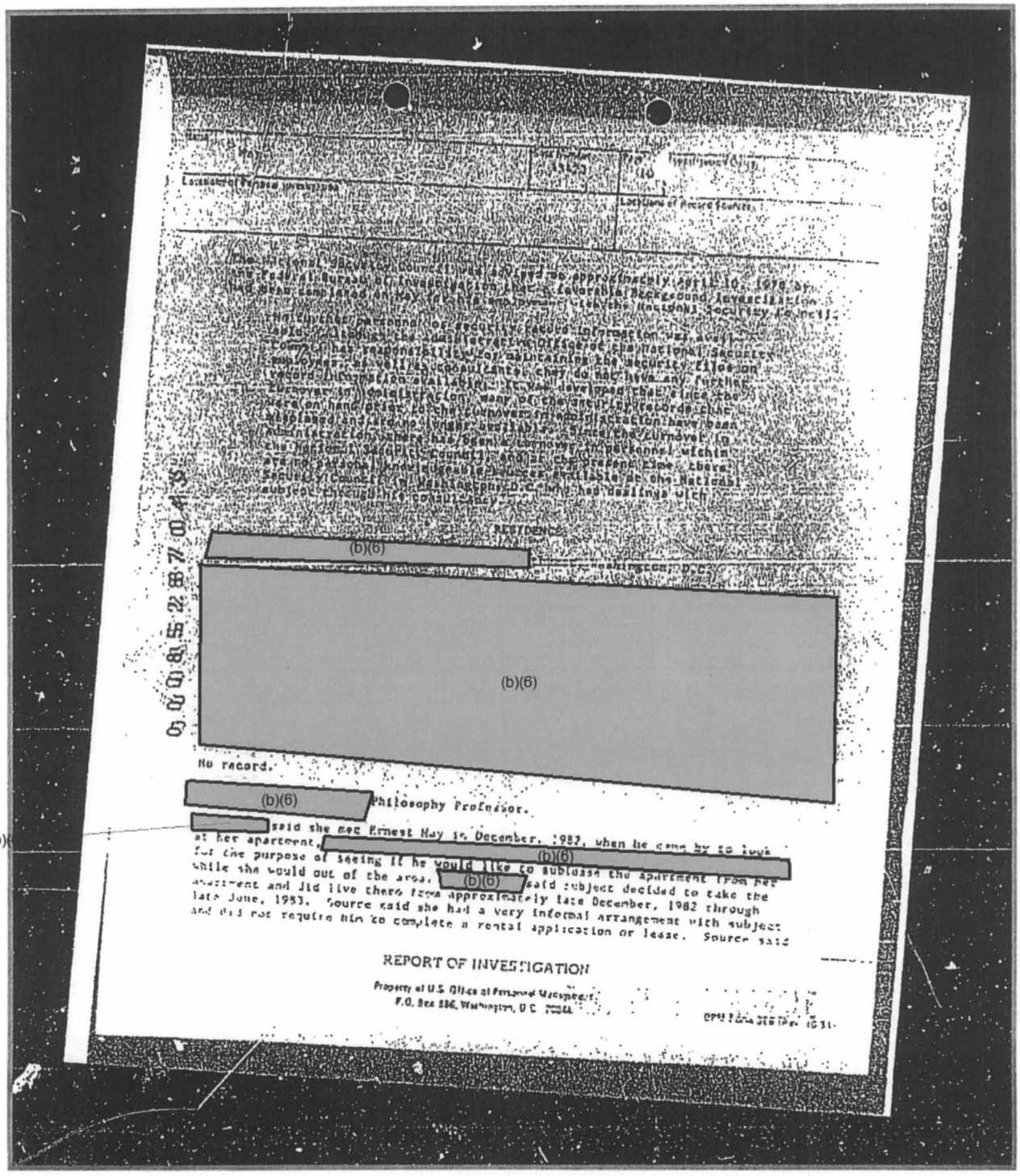
P.O. Box 886, Washington, D.C. 20044

OPM Form 316 (Rev. 12-81)



RESIDENCE

(b)(6)

(b)(6)

No record.

(b)(6) Philosophy Professor.

(b)(6) said she met Ernest May in December, 1982, when he came by to look at her apartment, (b)(6) for the purpose of seeing if he would like to sublease the apartment from her while she would out of the area. (b)(6) said subject decided to take the apartment and did live there from approximately late December, 1982 through late June, 1983. Source said she had a very informal arrangement with subject and did not require him to complete a rental application or lease. Source said

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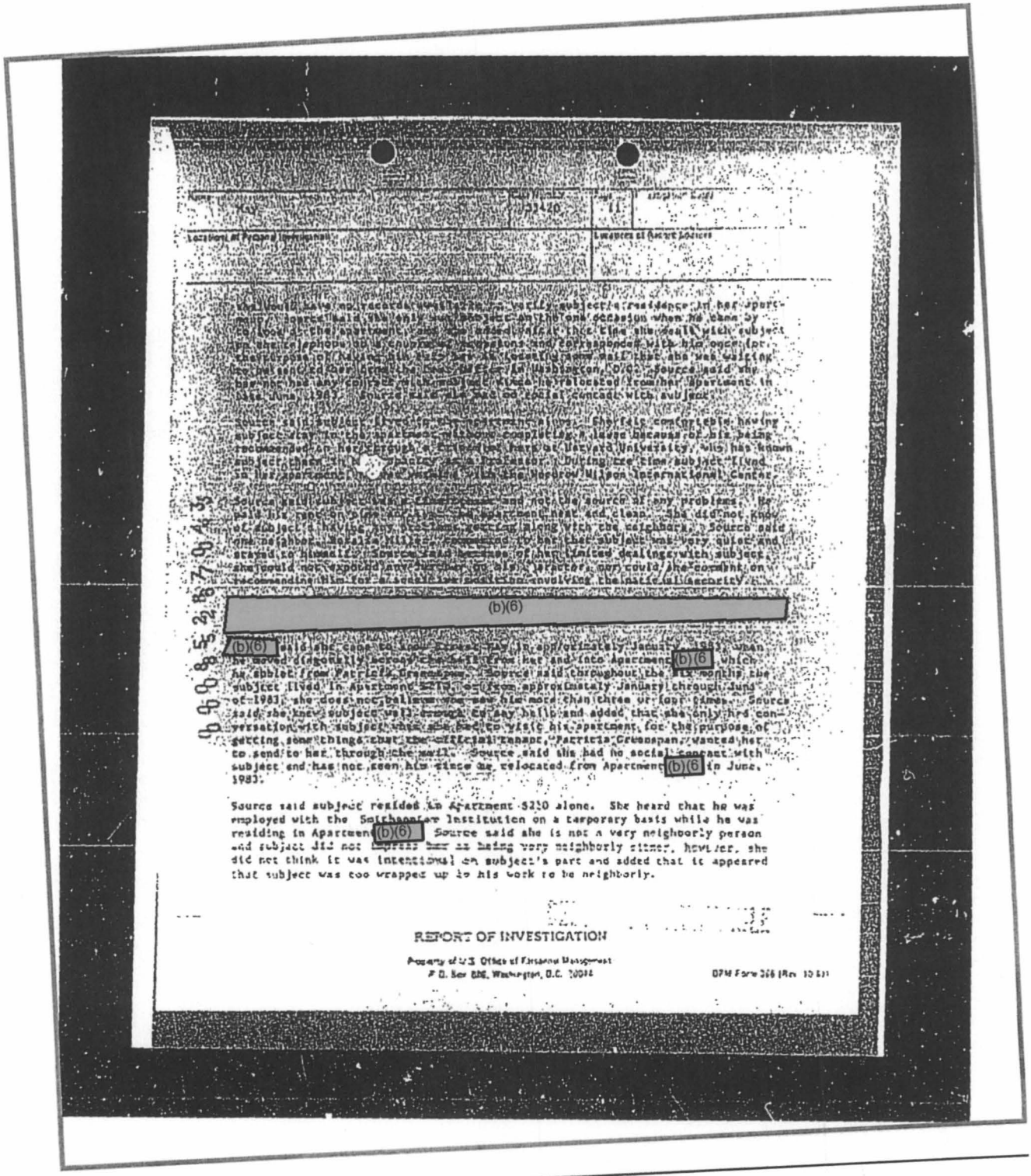
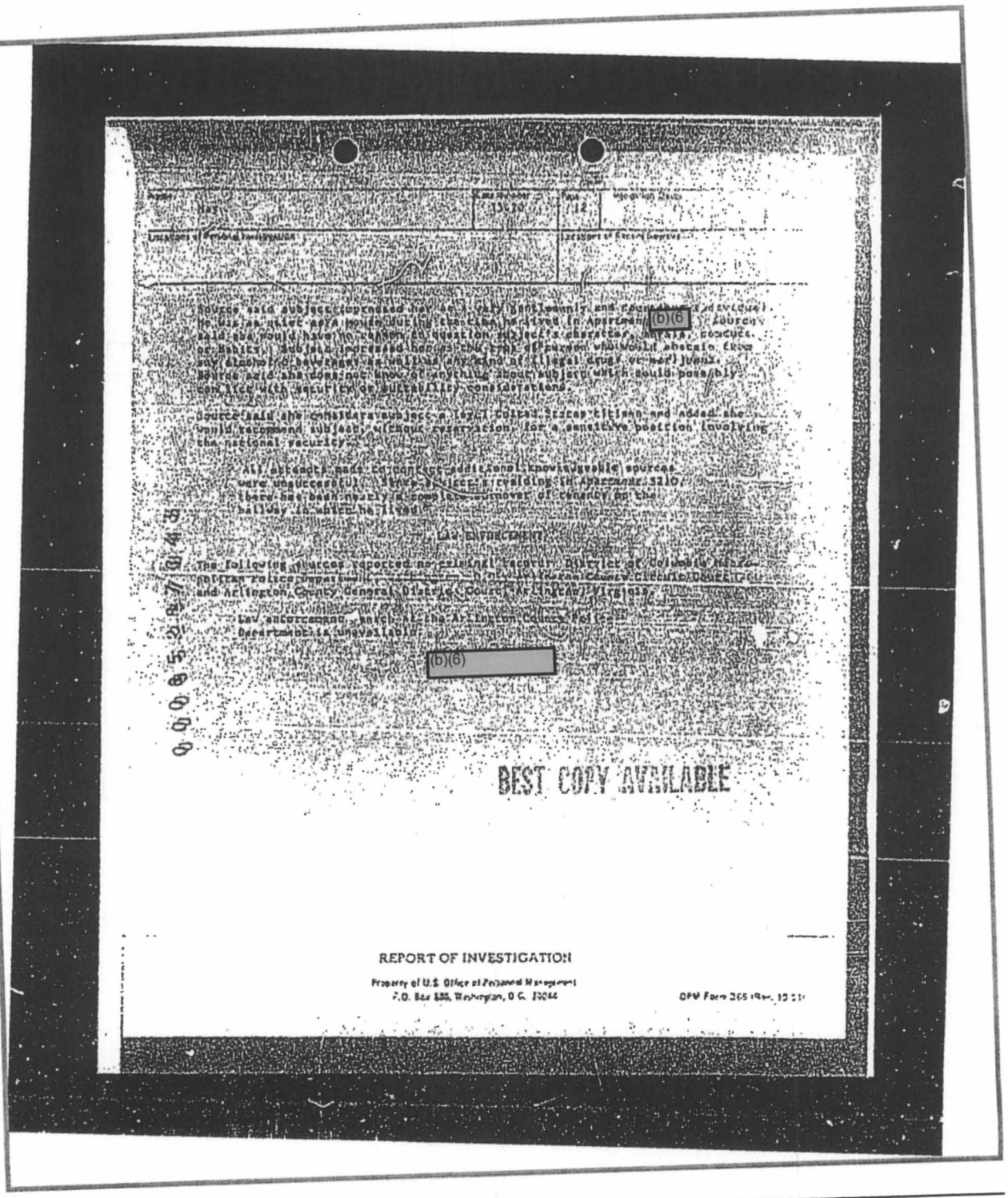


(b)(6)

(b)(6) said she came to know subject MAY in approximately January 1983, when he moved diagonally across the hall from her and into Apartment (b)(6) which is sublet from Patricia Greenspan. Source said throughout the six months the subject lived in Apartment 5210, or from approximately January through June of 1983, she does not believe she saw him more than three or four times. Source said she knew subject well enough to say hello and added that she only had conversation with subject once, when he came to visit his apartment for the purpose of getting some things that the official tenant, Patricia Greenspan, wanted her to send to her through the mail. Source said she had no social contact with subject and has not seen him since he relocated from Apartment (b)(6) in June, 1983.

Source said subject resided in Apartment 5210 alone. She heard that he was employed with the Smithsonian Institution on a temporary basis while he was residing in Apartment (b)(6) Source said she is not a very neighborly person and subject did not consider her as being very neighborly either, however, she did not think it was intentional on subject's part and added that it appeared that subject was too wrapped up in his work to be neighborly.

REPORT OF INVESTIGATION



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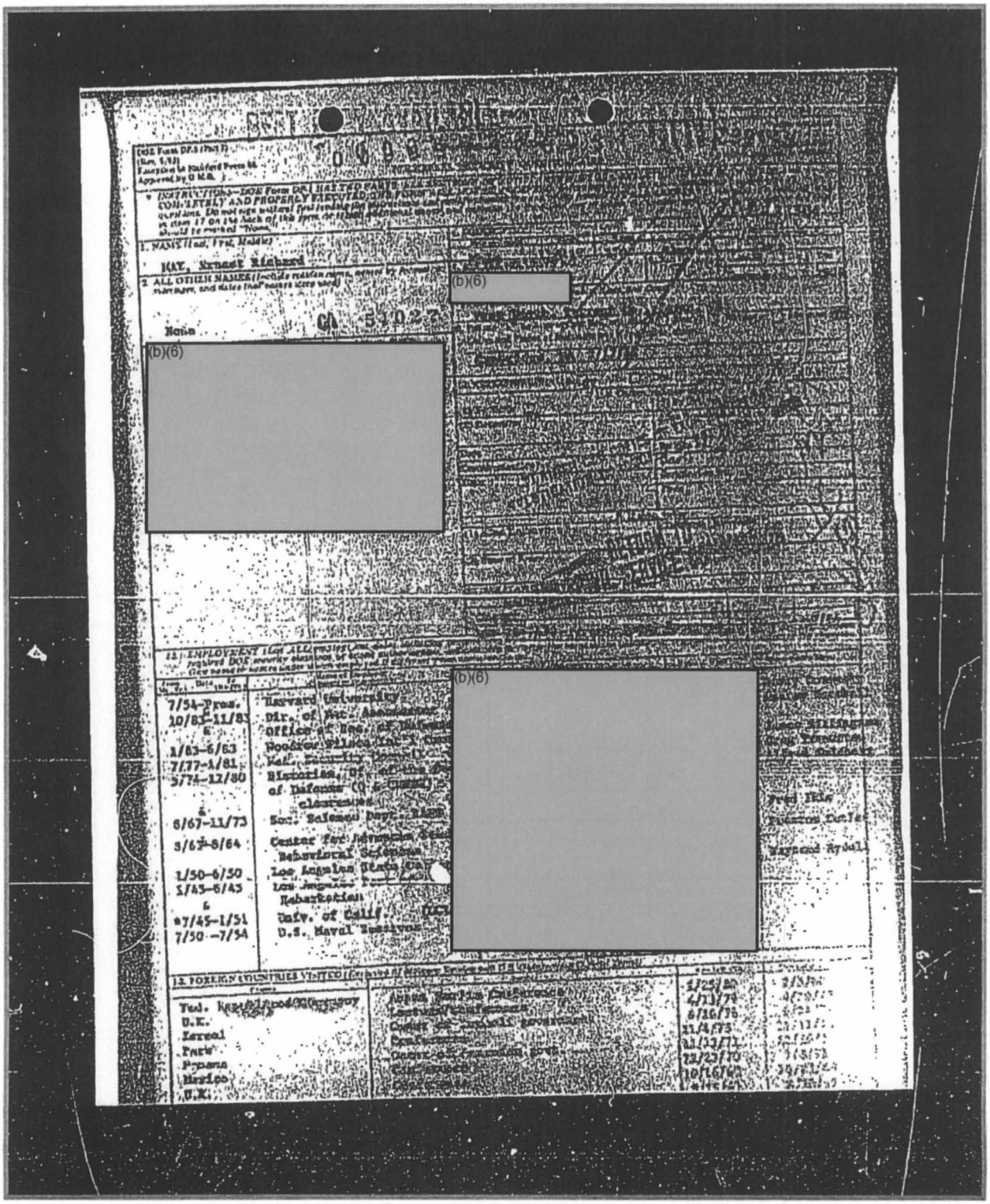
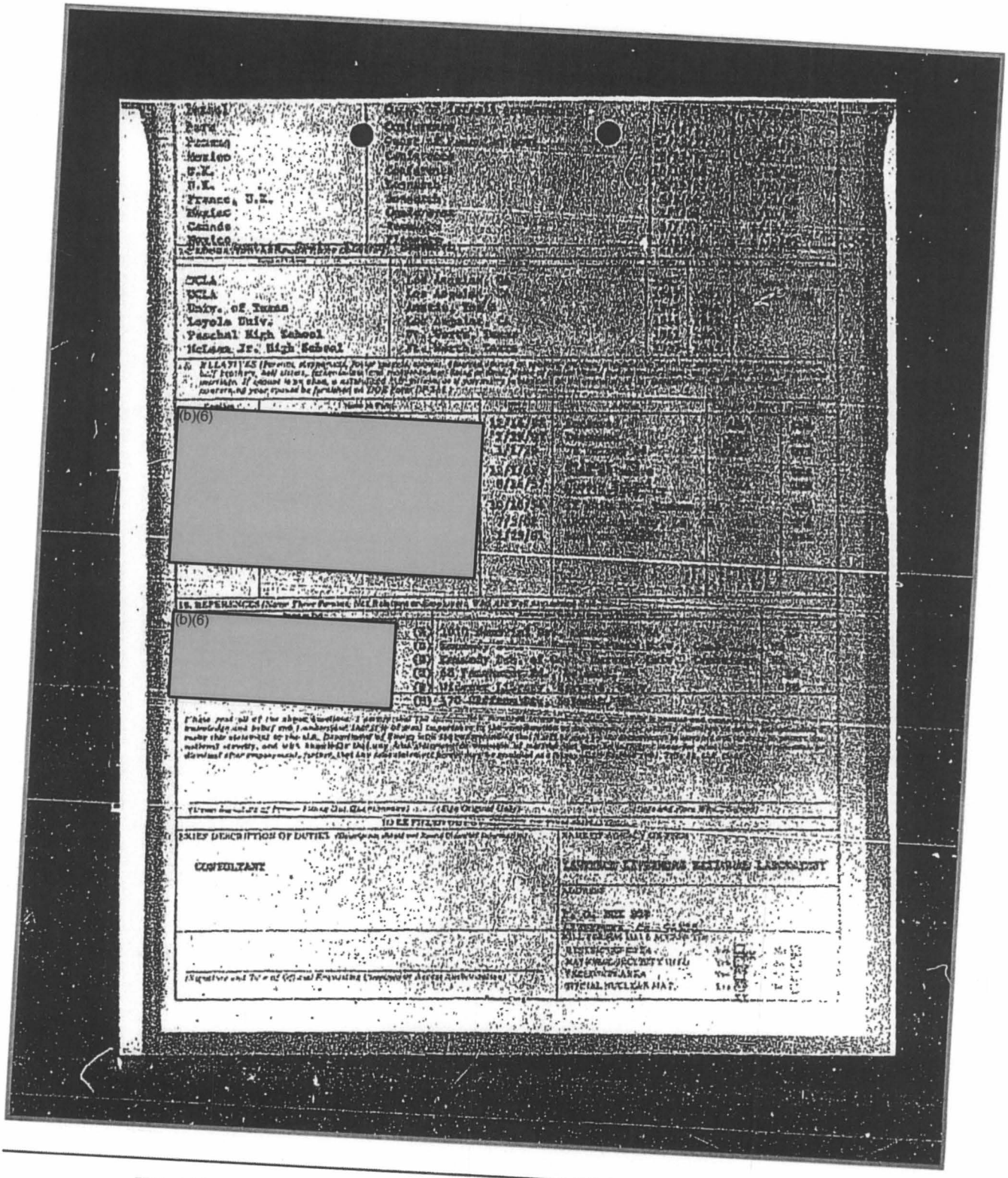
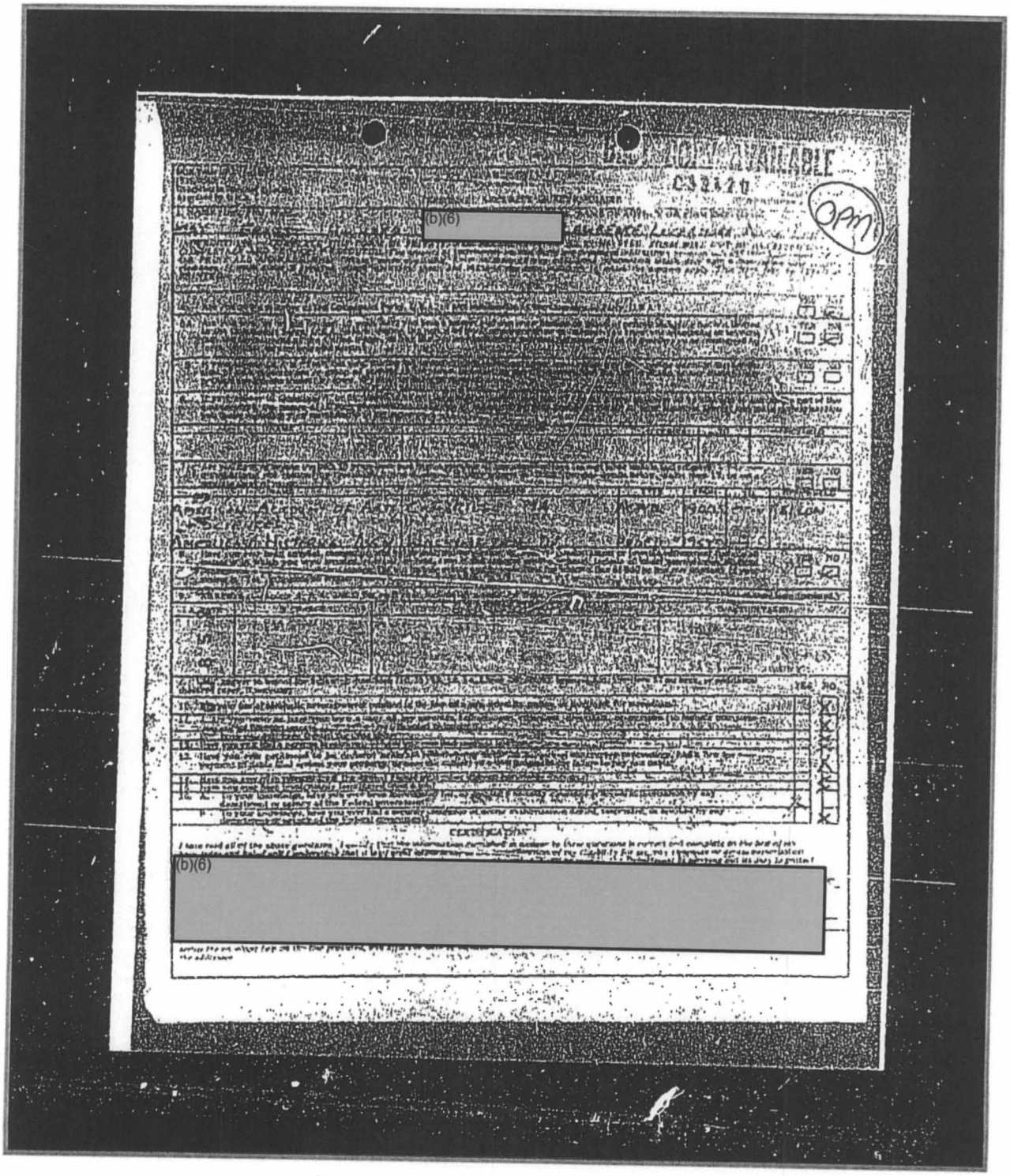

(b)(6)
(b)(6)
(b)(6)
MAY, Ernest Richard
7/54-Pres.
10/83-11/83
1/83-6/83
7/77-1/81
5/74-12/80
6/67-11/73
5/63-8/64
1/50-6/50
1/45-6/45
7/45-1/51
7/50 -7/54
Harvard University
Univ. of Calif.
U.S. Naval Reserve
U.K.
Israel
France
Panama
Mexico
U.K.


UCLA
UCLA
Univ. of Texas
Loyola Univ.
Paschal High School
McLane Jr. High School
(b)(6)
(b)(6)
CONSULTANT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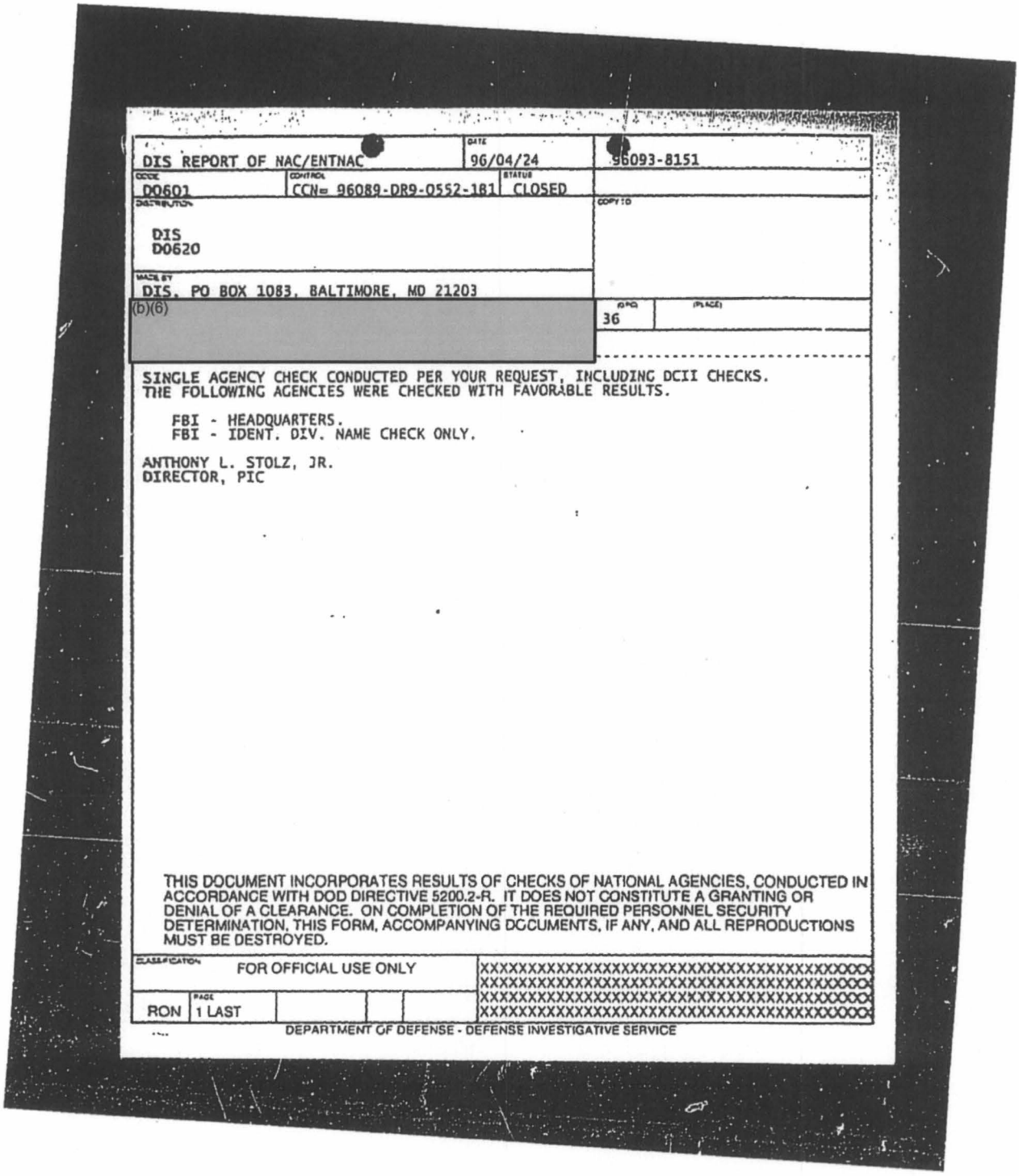

DIS REPORT OF NAC/ENTNAC | DATE 96/04/24 | 96093-8151

CODE D0601 | CONTROL CCN= 96089-DR9-0552-181 | STATUS CLOSED

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(b)(6)

PAGE 36 | (PLACE)

SINGLE AGENCY CHECK CONDUCTED PER YOUR REQUEST, INCLUDING DCII CHECKS.
THE FOLLOWING AGENCIES WERE CHECKED WITH FAVORABLE RESULTS.

FBI - HEADQUARTERS.
FBI - IDENT. DIV. NAME CHECK ONLY.

ANTHONY L. STOLZ, JR.
DIRECTOR, PIC

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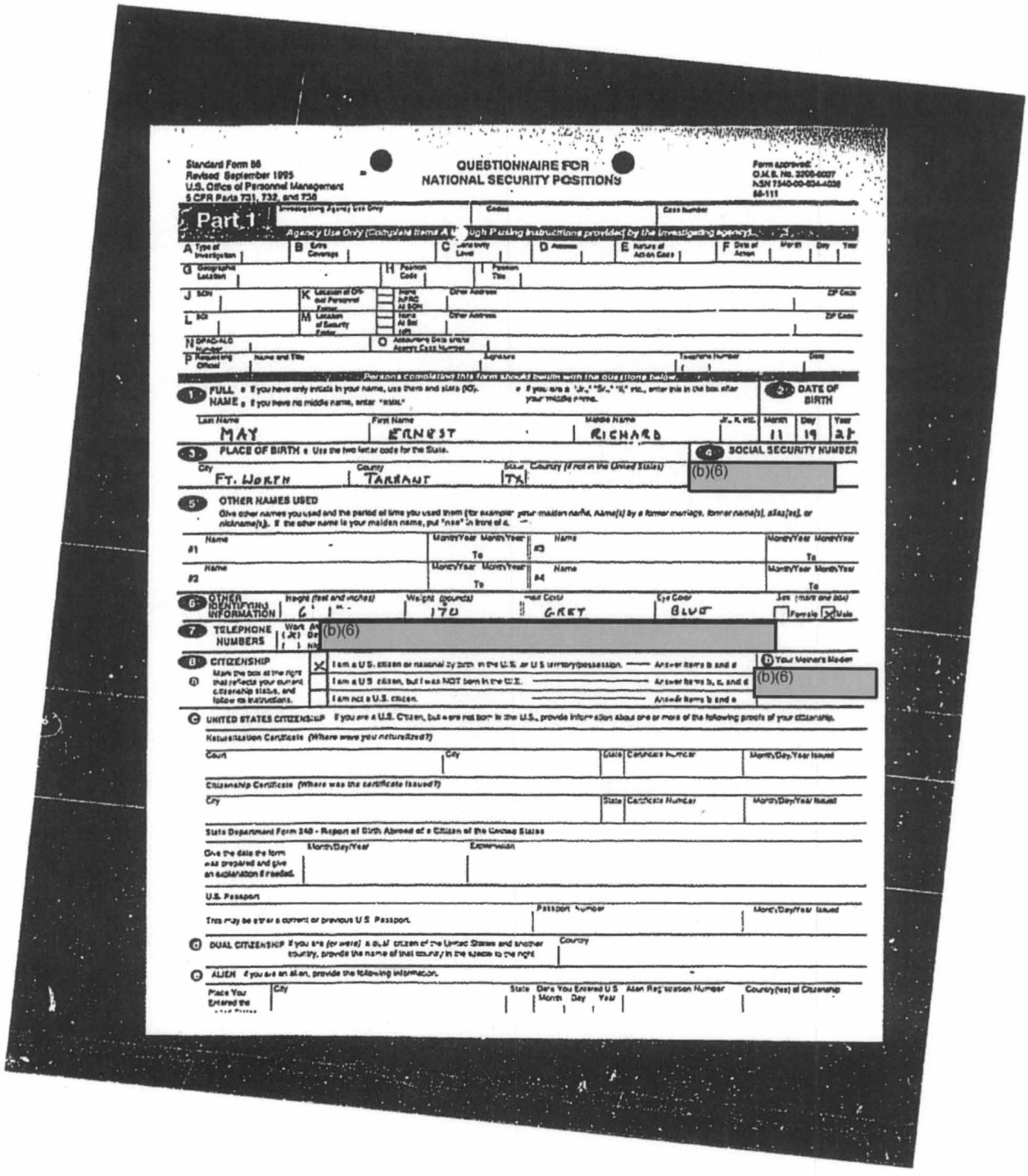
DEPARTMENT OF DEFENSE - DEFENSE INVESTIGATIVE SERVICE



Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form Approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 1 — Agency Use Only (Complete items A through P using instructions provided by the investigating agency.)

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action (Month Day Year)

G Geographical Location	H Position Code	Position Title

J SOI	K Location of Official Personnel Folder	Other Address	ZIP Code
	None / NPRC / At SOI		

L Kst	M Location of Security Folder	Other Address	ZIP Code
	None / At SOI / OPI		

N DPAC/ALC Number	O Accounting Data and/or Agency Case Number	

P Requesting Official	Name and Title	Signature	Telephone Number	Date
			( )	

Persons completing this form should begin with the questions below.

1 FULL NAME — If you have only initials in your name, use them and state (IO). If you have no middle name, enter "NMN." If you are a "Jr.," "Sr.," "II," etc., enter this in the box after your middle name.

2 DATE OF BIRTH

Last Name	First Name	Middle Name	Jr., II, etc.	Month	Day	Year
MAY	ERNEST	RICHARD		11	19	21

3 PLACE OF BIRTH — Use the two letter code for the State.

City	County	State	County (if not in the United States)
FT. WORTH	TARRANT	TX	

4 SOCIAL SECURITY NUMBER (b)(6)

5 OTHER NAMES USED
Give other names you used and the period of time you used them (for example, your maiden name, name(s) by a former marriage, former name(s), alias(es), or nickname(s)). If the other name is your maiden name, put "nee" in front of it.

	Name	Month/Year To Month/Year		Name	Month/Year To Month/Year
#1		To	#3		To
#2		To	#4		To

6 OTHER IDENTIFYING INFORMATION

Height (feet and inches)	Weight (pounds)	Hair Color	Eye Color	Sex (mark one box)
6' 1"	170	GREY	BLUE	Female / X Male

7 TELEPHONE NUMBERS — Work Area Code and Number: (b)(6)

8 CITIZENSHIP
a. Mark the box at the right that reflects your current citizenship status, and follow its instructions.

- X I am a U.S. citizen or national by birth in the U.S. or U.S. territory/possession. — Answer items b and d
- I am a U.S. citizen, but I was NOT born in the U.S. — Answer items b, c, and d
- I am not a U.S. citizen. — Answer items b and e

d. Your Mother's Maiden Name: (b)(6)

c. UNITED STATES CITIZENSHIP — If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate (Where were you naturalized?)

Court	City	State	Certificate Number	Month/Day/Year Issued

Citizenship Certificate (Where was the certificate issued?)

City	State	Certificate Number	Month/Day/Year Issued

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation

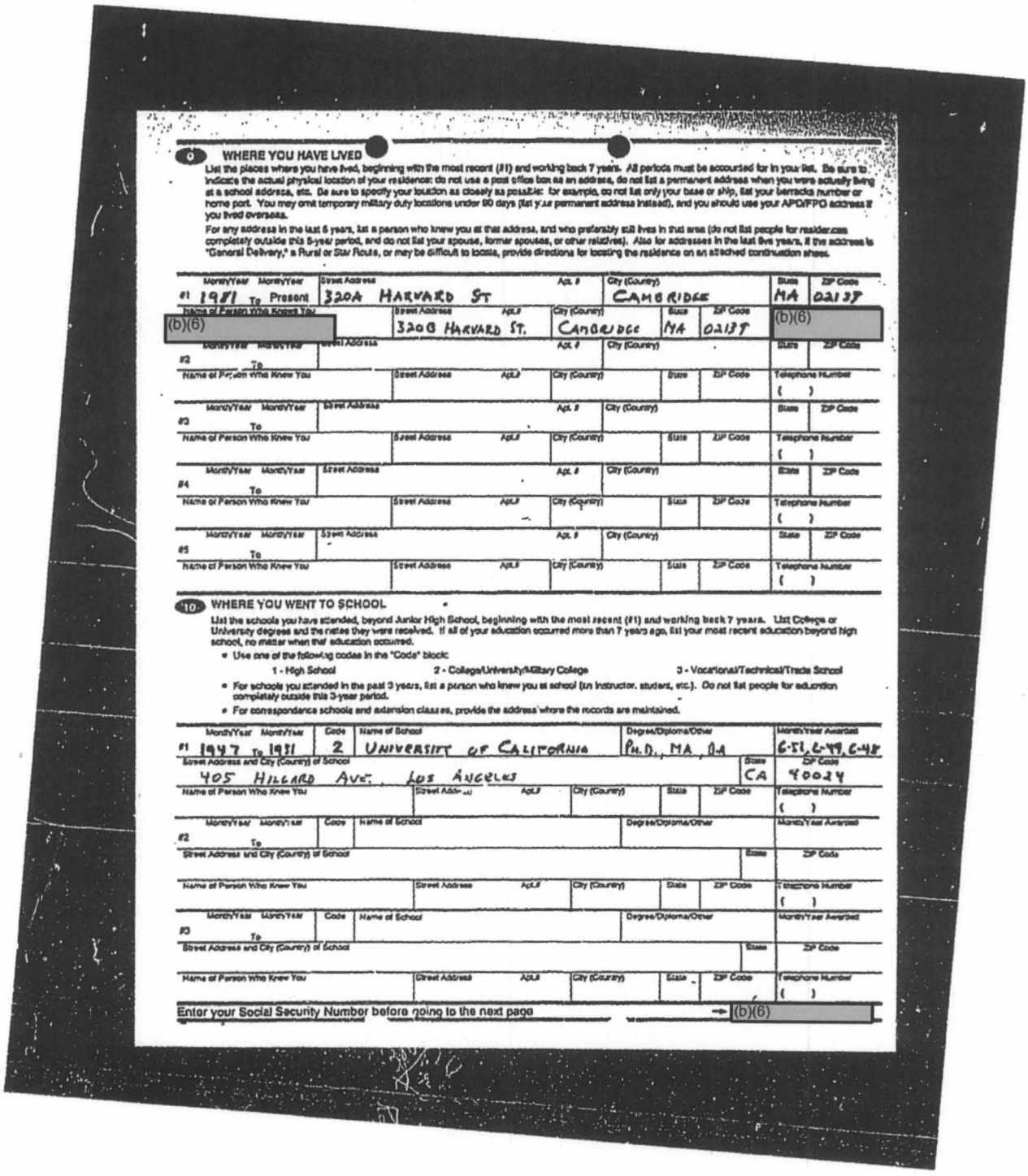
U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued

d. DUAL CITIZENSHIP — If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right. Country:

e. ALIEN — If you are an alien, provide the following information.

Place You Entered the United States	City	State	Date You Entered U.S. (Month Day Year)	Alien Registration Number	Country(ies) of Citizenship



9 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 6 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 6-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

	Month/Year	Month/Year	Street Address	Apt. #	City (County)	State	ZIP Code
#1	1981	To Present	320A HARVARD ST		CAMBRIDGE	MA	02139
Name of Person Who Knows You			Street Address	Apt.#	City (County)	State	ZIP Code	Telephone Number
(b)(6)			320B HARVARD ST.		CAMBRIDGE	MA	02139	(b)(6)
#2		To					
#3		To					
#4		To					
#5		To					

10 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working back 7 years. List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

- Use one of the following codes in the "Code" block:

  1 - High School 2 - College/University/Military College 3 - Vocational/Technical/Trade School

- For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.
- For correspondence schools and extension classes, provide the address where the records are maintained.

	Month/Year	Month/Year	Code	Name of School	Degree/Diploma/Other	Month/Year Awarded
#1	1947	To 1951	2	UNIVERSITY OF CALIFORNIA	PH.D., MA, BA	6-51, 6-49, 6-48
Street Address and City (County) of School					State	ZIP Code
405 HILGARD AVE. LOS ANGELES					CA	90024
Name of Person Who Knew You		Street Address	Apt.#	City (County)	State	ZIP Code	Telephone Number
#2		To					
#3		To					

Enter your Social Security Number before going to the next page → (b)(6)

11 YOUR EMPLOYMENT ACTIVITIES

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary military duty locations over 90 days, self-employment, other paid work, and all periods of unemployment. The entire 7-year period must be accounted for without breaks, but you need not list employments before your 16th birthday. EXCEPTION: Show all Federal civilian service, whether it occurred within the last 7 years or not.

- **Code.** Use one of the codes listed below to identify the type of employment.
  1 - Active military duty station; 2 - National Guard/Reserve; 3 - U.S.P.H.S. Commissioned Corps; 4 - Other Federal employment; 5 - State Government (Non-Federal employment); 6 - Self-employment (include business name and/or name of person who can verify); 7 - Unemployment (include name of person who can verify); 8 - Federal Contractor (List Contractor, not Federal agency); 9 - Other
- **Employer/Verifier Name.** List the business name of your employer or the name of the person who can verify your self-employment or unemployment in this block. If military service is being listed, include your duty location or home port here as well as your branch of service. You should provide separate listings to reflect changes in your military duty locations or home ports.
- **Previous Periods of Activity.** Complete these lines if you worked for an employer on more than one occasion at the same location. After entering the most recent period of employment in the initial numbered block, provide previous periods of employment at the same location on the additional lines provided. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment on the lines below that information.

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#1 6-94	To Present	9	DEPT. OF HISTORY, HARVARD UNIVERSITY	PROFESSOR

	City (Country)	State	ZIP Code	
Employer's/Verifier's Street Address	CAMBRIDGE	MA	02138	(b)(6)
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	Telephone Number ( )
Supervisor's Name & Street Address (if different than Job Location) PROF. WILLIAM M. KIRBY, CHAIR	City (Country)	State	ZIP Code	Telephone Number ( )

Previous Periods of Activity (Block #1)	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#2 6-93	To 6-94	4	NATIONAL INTELLIGENCE COUNCIL	CONSULTANT

	City (Country)	State	ZIP Code	
Employer's/Verifier's Street Address	WASHINGTON	DC	20505	(b)(6)
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	( )
(b)(6) dress (if different than Job Location)	City (Country)	State	ZIP Code	Telephone Number ( )

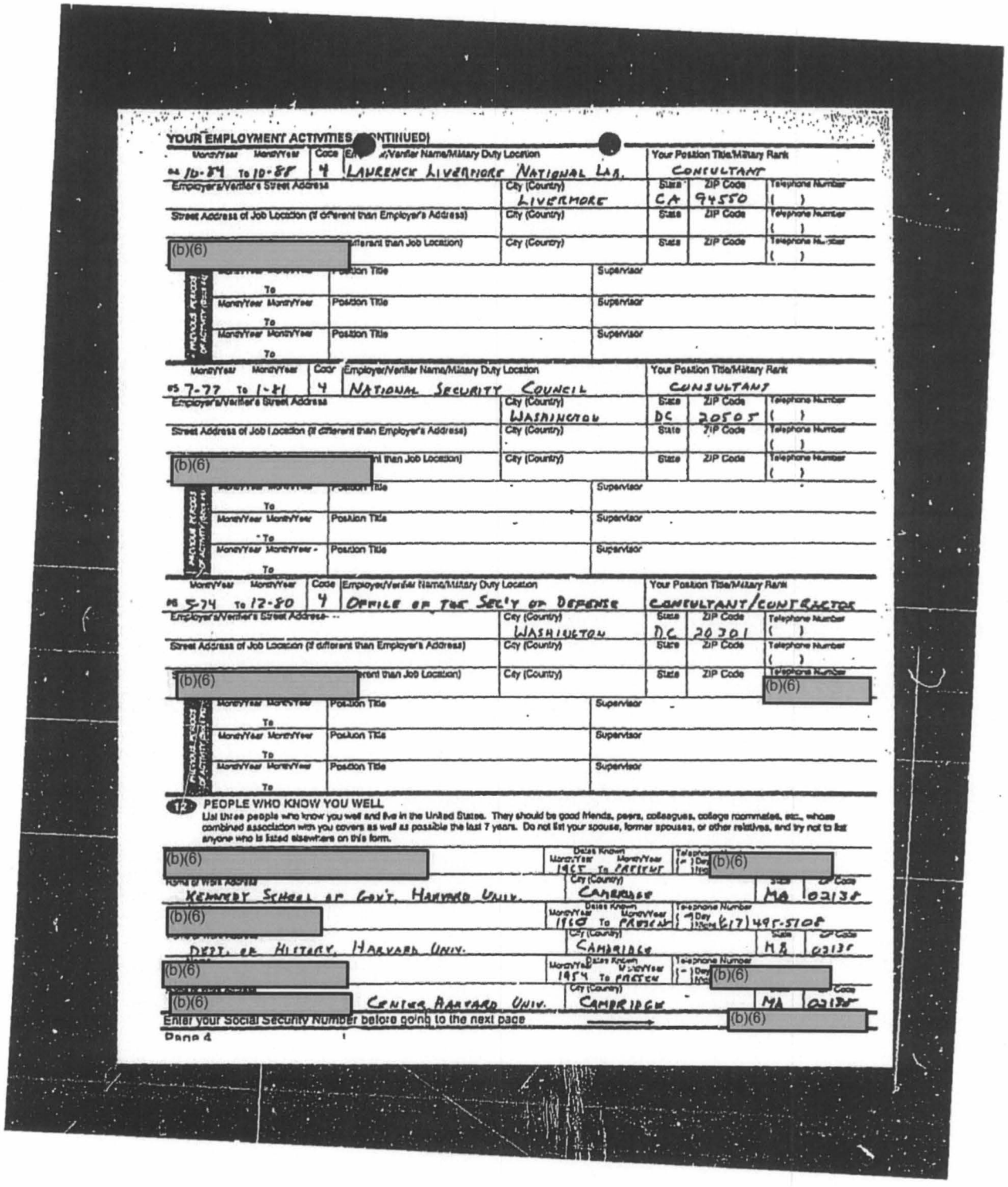
Previous Periods of Activity (Block #2)	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		

Month/Year	Month/Year	Code	Employer/Verifier Name/Military Duty Location	Your Position Title/Military Rank
#3 11-92	To PRESENT	9	BAY FUNDS	INDEPENDENT TRUSTEE

	City (Country)	State	ZIP Code	
Employer's/Verifier's Street Address 1414 MASS. AVE.	CAMBRIDGE	MA	02138	(b)(6)
Street Address of Job Location (if different than Employer's Address)	City (Country)	State	ZIP Code	
Supervisor's Name & Street Address (if different than Job Location) KENNETH CONDON, CHAIR 11 DUDLEY RD.	City (Country) SUDBURY	State MA	ZIP Code 01776	

Previous Periods of Activity (Block #3)	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		
	Month/Year	Month/Year	Position Title	Supervisor
		To		

Enter your Social Security Number before going to the next page → (b)(6)



YOUR EMPLOYMENT ACTIVITIES (CONTINUED)

#4 10-84 To 10-85 | Code 4 | Employer/Verifier Name/Military Duty Location: LAWRENCE LIVERMORE NATIONAL LAB. | Your Position Title/Military Rank: CONSULTANT
Employer's/Verifier's Street Address | City (Country): LIVERMORE | State: CA | ZIP Code: 94550 | Telephone Number: ( )
Street Address of Job Location (if different than Employer's Address) | City (Country) | State | ZIP Code | Telephone Number ( )
(b)(6) (if different than Job Location) | City (Country) | State | ZIP Code | Telephone Number ( )
Previous Periods of Activity (Block 14): Month/Year To Month/Year | Position Title | Supervisor
Month/Year To Month/Year | Position Title | Supervisor
Month/Year To Month/Year | Position Title | Supervisor

#5 7-77 To 1-81 | Code 4 | Employer/Verifier Name/Military Duty Location: NATIONAL SECURITY COUNCIL | Your Position Title/Military Rank: CONSULTANT
Employer's/Verifier's Street Address | City (Country): WASHINGTON | State: DC | ZIP Code: 20505 | Telephone Number ( )
Street Address of Job Location (if different than Employer's Address) | City (Country) | State | ZIP Code | Telephone Number ( )
(b)(6) (if different than Job Location) | City (Country) | State | ZIP Code | Telephone Number ( )
Previous Periods of Activity (Block 14): Month/Year To Month/Year | Position Title | Supervisor
Month/Year To Month/Year | Position Title | Supervisor
Month/Year To Month/Year | Position Title | Supervisor

#6 5-74 To 12-80 | Code 4 | Employer/Verifier Name/Military Duty Location: OFFICE OF THE SEC'Y OF DEFENSE | Your Position Title/Military Rank: CONSULTANT/CONTRACTOR
Employer's/Verifier's Street Address | City (Country): WASHINGTON | State: DC | ZIP Code: 20301 | Telephone Number ( )
Street Address of Job Location (if different than Employer's Address) | City (Country) | State | ZIP Code | Telephone Number ( )
(b)(6) (if different than Job Location) | City (Country) | State | ZIP Code | Telephone Number (b)(6)
Previous Periods of Activity (Block 14): Month/Year To Month/Year | Position Title | Supervisor
Month/Year To Month/Year | Position Title | Supervisor
Month/Year To Month/Year | Position Title | Supervisor

12 PEOPLE WHO KNOW YOU WELL
List three people who know you well and live in the United States. They should be good friends, peers, colleagues, college roommates, etc., whose combined association with you covers as well as possible the last 7 years. Do not list your spouse, former spouses, or other relatives, and try not to list anyone who is listed elsewhere on this form.

(b)(6) | Dates Known Month/Year 1965 To PRESENT | Telephone Number (Day) (b)(6)
Name of Work Address: KENNEDY SCHOOL OF GOV'T, HARVARD UNIV. | City (Country): CAMBRIDGE | State: MA | ZIP Code: 02138

(b)(6) | Dates Known Month/Year 1960 To PRESENT | Telephone Number (Day) (617) 495-5108
Name of Work Address: DEPT. OF HISTORY, HARVARD UNIV. | City (Country): CAMBRIDGE | State: MA | ZIP Code: 02138

(b)(6) | Dates Known Month/Year 1954 To PRESENT | Telephone Number (Day) (b)(6)
(b)(6) | Name of Work Address: CENTER, HARVARD UNIV. | City (Country): CAMBRIDGE | State: MA | ZIP Code: 02138

Enter your Social Security Number before going to the next page → (b)(6)

Page 4

13 YOUR SPOUSE

Mark one box to show your current marital status and provide information about your spouse(s) in items a. and/or b.

☐ 1 - Never married ☒ 2 - Married ☐ 3 - Separated ☐ 4 - Legally Separated ☐ 5 - Divorced ☐ 6 - Widowed

(b)(6)

14 YOUR RELATIVES AND ASSOCIATES

Give the full name, correct code, and other requested information for each of your relatives and associates, living or dead, specified below.

1 - Mother (first) 2 - Father (second) 3 - Stepmother 4 - Stepfather 5 - Foster parent 6 - Child (adopted also) 7 - Stepchild 8 - Brother 9 - Sister 10 - Stepbrother 11 - Stepsister 12 - Half-brother 13 - Half-sister 14 - Father-in-law 15 - Mother-in-law 16 - Guardian 17 - Other Relative* 18 - Associate* 19 - Adult Currently Living With You

* Code 17 (Other Relative) - Include only foreign national relatives not listed in 1-16 with whom you or your spouse are bound by affection, obligation, or close and continuing contact. Code 18 (Associates) - Include only foreign national associates with whom you or your spouse are bound by affection, obligation, or close and continuing contact.

Full Name (If deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country(ies) of Citizenship	Current Street Address and City (country) of Living Relatives	State
(b)(6)	1	6-2-01	USA	USA	(b)(6)	
	2	12-16-98	USA	USA		
	6	10-16-54	USA	USA		MA
	6	5-19-57	USA	USA		MA
	6	11-1-62	USA	USA		MA
	14	12-31-15	USA	USA		NY
	15	12-19-13	USA	USA		
☐						
☐						
☐						
☐						

15. CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line (*this information is needed to pair it accurately with information in items 13 and 14*).

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1. Naturalization Certificate: Provide the date issued and the location where the person was naturalized (Court, City and State).
2. Citizenship Certificate: Provide the date and location issued (City and State).
3. Alien Registration: Provide the date and place where the person entered the U.S. (City and State).
4. Other: Provide an explanation in the "Additional Information" block.

	Association	Name	Date of Birth (Month/Day/Year)
#1			
	Certificate/Registration #	Document Code / Additional Information	
#2	Association	Name	Date of Birth (Month/Day/Year)
	Certificate/Registration #	Document Code / Additional Information	

16. YOUR MILITARY HISTORY

	Yes	No
a. Have you served in the United States military?	X	
b. Have you served in the United States Merchant Marine?		

List all of your military service below, including service in Reserve, National Guard, and U.S. Merchant Marine. Start with the most recent period of service (#1) and work backward. If you had a break in service, each separate period should be listed.

- Code. Use one of the codes listed below to identify your branch of service:
  1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard
- O/E. Mark "O" block for Officer or "E" block for Enlisted.
- Status. "X" the appropriate block for the status of your service during the time you served. If your service was in the National Guard, do not use an "X"; use the two-letter code for the state to mark the block.
- Country. If your service was with other than the U.S. Armed Forces, identify the country for which you served.

	Month/Year	Month/Year	Code	Service/Certificate #	O	E	Status: Active	Active Reserve	Inactive Reserve	National Guard (State)	Country
#1	6-51	To 9-54	3	392175	X		X				
#2				---							

17. YOUR FOREIGN ACTIVITIES

	Yes	No
a. Do you have any foreign property, business connections, or financial interests?		X
b. Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?		X
c. Have you ever had any contact with a foreign government, its establishments (embassies or consulates), or its representatives, whether inside or outside the U.S., other than on official U.S. Government business? (Does not include routine visa applications and border crossing contacts.)		X
d. In the last 7 years, have you had an active passport that was issued by a foreign government?		X

If you answered "Yes" to a, b, c, or d above, explain in the space below: provide inclusive dates, names of firms and/or governments involved, and an explanation of your involvement.

Month/Year	Month/Year	Firm and/or Government	Explanation
	To		
	To		

18. FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (*Travel as a dependent or contractor must be listed.*)

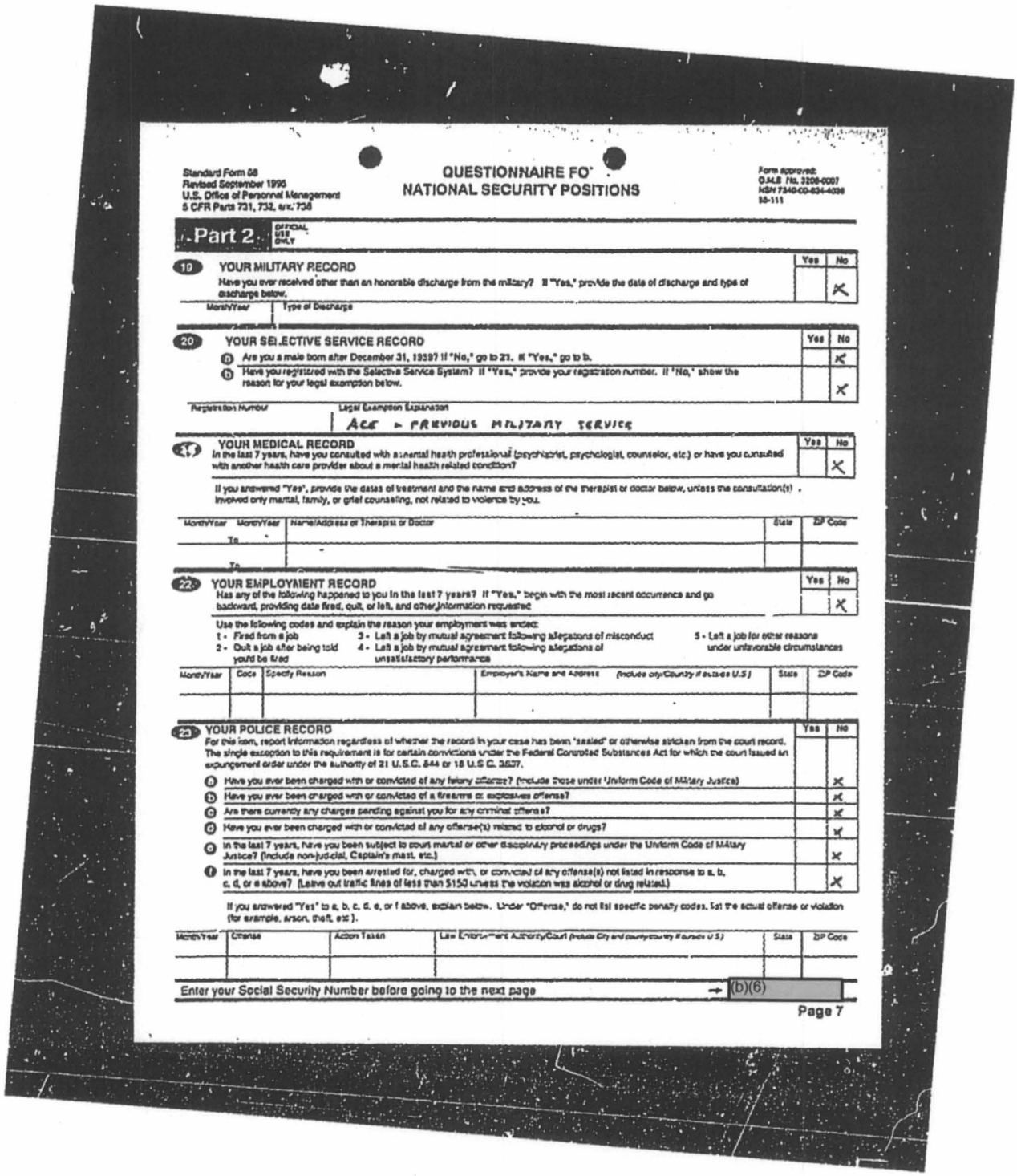
- Use one of these codes to indicate the purpose of your visit: 1 - Business 2 - Pleasure 3 - Education 4 - Other
- Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").
- Do not repeat travel covered in items 9, 10, or 11.

	Month/Year	Month/Year	Code	Country	Month/Year	Month/Year	Code	Country
#1	10/89	To 10/89	1	FRANCE	#2 3/91	To 3/91	1	GERMANY
#3	3/90	To 3/90	1	UK	#4 4/91	To 5/91	1	JAPAN

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right. 18

Enter your Social Security Number above on the next page

(b)(6)



Standard Form 86
Revised September 1995
U.S. Office of Personnel Management
5 CFR Parts 731, 732, and 736

QUESTIONNAIRE FO[illegible] NATIONAL SECURITY POSITIONS

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-111

Part 2 OFFICIAL USE ONLY

	Yes	No
19 YOUR MILITARY RECORD Have you ever received other than an honorable discharge from the military? If "Yes," provide the date of discharge and type of discharge below.		X
Month/Year | Type of Discharge		
20 YOUR SELECTIVE SERVICE RECORD		
a. Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b.		X
b. Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.		X
Registration Number | Legal Exemption Explanation: ACE - PREVIOUS MILITARY SERVICE		
21 YOUR MEDICAL RECORD In the last 7 years, have you consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with another health care provider about a mental health related condition?		X

If you answered "Yes", provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved only marital, family, or grief counseling, not related to violence by you.

Month/Year	Month/Year	Name/Address of Therapist or Doctor	State	ZIP Code
	To			
	To			

	Yes	No
22 YOUR EMPLOYMENT RECORD Has any of the following happened to you in the last 7 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested		X

Use the following codes and explain the reason your employment was ended:
1 - Fired from a job
2 - Quit a job after being told you'd be fired
3 - Left a job by mutual agreement following allegations of misconduct
4 - Left a job by mutual agreement following allegations of unsatisfactory performance
5 - Left a job for other reasons under unfavorable circumstances

Month/Year	Code	Specify Reason	Employer's Name and Address (Include city/County if outside U.S.)	State	ZIP Code

	Yes	No
23 YOUR POLICE RECORD For this item, report information regardless of whether the record in your case has been "sealed" or otherwise stricken from the court record. The single exception to this requirement is for certain convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607.		
a. Have you ever been charged with or convicted of any felony offense? (Include those under Uniform Code of Military Justice)		X
b. Have you ever been charged with or convicted of a firearms or explosives offense?		X
c. Are there currently any charges pending against you for any criminal offense?		X
d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?		X
e. In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice? (Include non-judicial, Captain's mast, etc.)		X
f. In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to a, b, c, d, or e above? (Leave out traffic fines of less than $150 unless the violation was alcohol or drug related.)		X

If you answered "Yes" to a, b, c, d, e, or f above, explain below. Under "Offense," do not list specific penalty codes. List the actual offense or violation (for example, arson, theft, etc.).

Month/Year	Offense	Action Taken	Law Enforcement Authority/Court (Include City and county/county if outside U.S.)	State	ZIP Code

Enter your Social Security Number before going to the next page → (b)(6)

Page 7

24 YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY

	Yes	No
The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you, but neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.		
a. Since the age of 16 or in the last 7 years, whichever is shorter, have you Illegally used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), amphetamines, depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.), or prescription drugs?		X
b. Have you ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?		X
c. In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?		X

If you answered "Yes" to a or b above, provide the date(s), identify the controlled substance(s) and/or prescription drugs used, and the number of times each was used.

Month/Year To Month/Year	Controlled Substance/Prescription Drug Used	Number of Times Used
To		
To		

25 YOUR USE OF ALCOHOL

	Yes	No
In the last 7 years, has your use of alcoholic beverages (such as liquor, beer, wine) resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)?		X

If you answered "Yes", provide the dates of treatment and the name and address of the counselor or doctor below. Do not repeat information reported in response to Item 21 above.

Month/Year To Month/Year	Name/Address of Counselor or Doctor	State	ZIP Code
To			
To			

26 YOUR INVESTIGATIONS RECORD

	Yes	No
a. Has the United States Government ever investigated your background and/or granted you a security clearance? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.	X	

Codes for Investigating Agency
1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other (Specify)

Codes for Security Clearance Received
0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q
6 - L
7 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code
10/86	1		3 & 4	6/74	1		3, 4 & 5
6/77	4		3	6/66	1		3

	Yes	No
b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency. Note: An administrative downgrade or termination of a security clearance is not a revocation.		X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

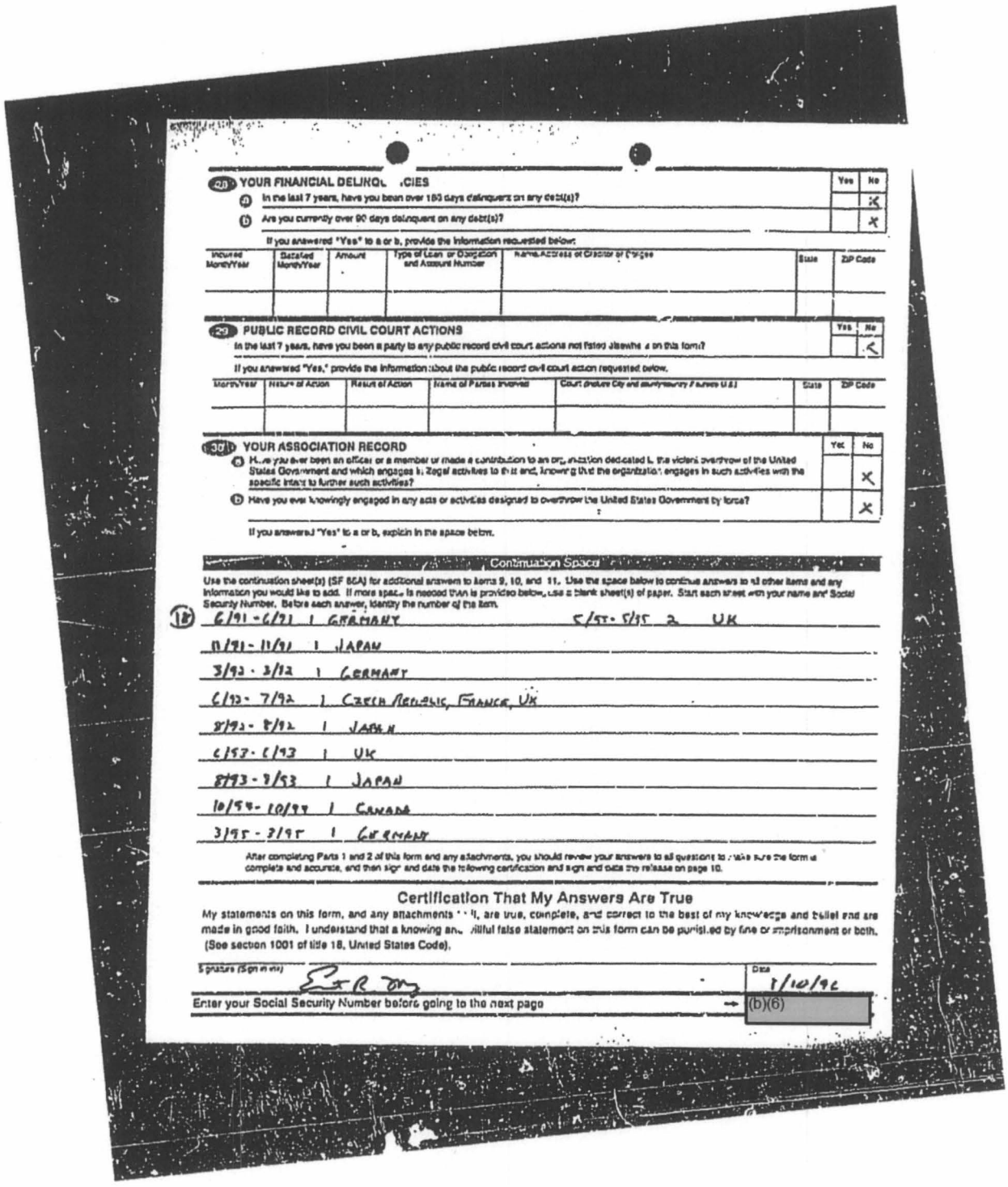
	Yes	No
a. In the last 7 years, have you filed a petition under any chapter of the bankruptcy code (to include Chapter 13)?		X
b. In the last 7 years, have you had your wages garnished or had any property repossessed for any reason?		X
c. In the last 7 years, have you had a lien placed against your property for failing to pay taxes or other debts?		X
d. In the last 7 years, have you had any judgments against you that have not been paid?		X

If you answered "Yes" to a, b, c, or d, provide the information requested below:

Month/Year	Type of Action	Amount	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

Enter your Social Security Number before going to the next page → (b)(6)

Page 9



28 YOUR FINANCIAL DELINQUENCIES

		Yes	No
a	In the last 7 years, have you been over 180 days delinquent on any debt(s)?		X
b	Are you currently over 90 days delinquent on any debt(s)?		X

If you answered "Yes" to a or b, provide the information requested below.

Incurred Month/Year	Satisfied Month/Year	Amount	Type of Loan or Obligation and Account Number	Name, Address of Creditor or Obligee	State	ZIP Code

29 PUBLIC RECORD CIVIL COURT ACTIONS

		Yes	No
	In the last 7 years, have you been a party to any public record civil court actions not listed elsewhere on this form?		X

If you answered "Yes," provide the information about the public record civil court action requested below.

Month/Year	Nature of Action	Result of Action	Name of Parties Involved	Court (Include City and County/Country if Outside U.S.)	State	ZIP Code

30 YOUR ASSOCIATION RECORD

		Yes	No
a	Have you ever been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?		X
b	Have you ever knowingly engaged in any acts or activities designed to overthrow the United States Government by force?		X

If you answered "Yes" to a or b, explain in the space below.

Continuation Space

Use the continuation sheet(s) (SF 86A) for additional answers to items 9, 10, and 11. Use the space below to continue answers to all other items and any information you would like to add. If more space is needed than is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the item.

18 6/91 - 6/91 1 GERMANY 5/95 - 5/95 2 UK

11/91 - 11/91 1 JAPAN

3/92 - 3/92 1 GERMANY

6/92 - 7/92 1 CZECH REPUBLIC, FRANCE, UK

8/92 - 8/92 1 JAPAN

6/93 - 6/93 1 UK

8/93 - 8/93 1 JAPAN

10/94 - 10/94 1 CANADA

3/95 - 3/95 1 GERMANY

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10.

Certification That My Answers Are True

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Signature (Sign in ink) E R May Date 1/10/96

Enter your Social Security Number before going to the next page → (b)(6)